

# Another proof of free ribbon lemma

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## ABSTRACT

Free ribbon lemma that every free sphere-link in the 4-sphere is a ribbon sphere-link is shown in an earlier paper by the author. In this paper, another proof of this lemma is given.

*Keywords:* Free ribbon lemma, Wirtinger presentation, Ribbon sphere-link.

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## 1. Introduction

A *surface-link* is a closed oriented (possibly, disconnected) surface  $L$  smoothly embedded in the 4-sphere  $S^4$ . When  $L$  is connected,  $L$  is called a *surface-knot*. If  $L$  consists of 2-spheres  $L_i$  ( $i = 1, 2, \dots, n$ ), then  $L$  is called a *sphere-link* (or an  $S^2$ -link) of  $n$  components. It is shown that every surface-link  $L$  is a trivial surface-link (i.e., bounds disjoint handlebodies in  $S^4$  if  $\pi_1(S^4 \setminus L, x_0)$  is a meridian-based free group (see [4, 5, 6]). A surface-link  $L$  is *ribbon* if  $L$  is obtained from a trivial  $S^2$ -link  $O$  in  $S^4$  by surgery along smoothly embedded disjoint 1-handles on  $O$ . A surface-link  $L$  in the 4-sphere  $S^4$  is *free* if the fundamental group  $\pi_1(S^4 \setminus L, x_0)$  is a (not necessarily meridian-based) free group. The *free ribbon lemma* is the following theorem.

**Theorem.** Every free  $S^2$ -link is a ribbon  $S^2$ -link.

This theorem is a basic result concerning Whitehead aspherical conjecture [8] [10] and classical Poincaré conjecture [9], and the proof is done in [8] as an appendix.

At present, it appears unknown whether or not every free surface-link is a ribbon surface-link. In this paper, another proof of this theorem is given as follows.

**Proof of Theorem.** Let  $L_i$  ( $i = 1, 2, \dots, n$ ) be the components of a free  $S^2$ -link  $L$ . Let  $x_i$ , ( $i = 1, 2, \dots, n$ ) be a basis of the free fundamental group  $G = \pi_1(S^4 \setminus L, x_0)$ . Let  $y_i$  be a meridian element of  $L_i$  in  $G$ , so that  $y_i$  ( $i = 1, 2, \dots, n$ ) are a meridian system of  $G$ . By Nielsen transformations,  $y_i$  is equal to  $x_i$  modulo the commutator subgroup  $[G, G]$  of  $G$ . It is known that the group  $G$  is isomorphic to a group  $G^P$  with Wirtinger presentation

$$P = \langle y_{ij} \ (1 \leq j \leq m_i, \ 1 \leq i \leq n) \mid r_{ij} \ (2 \leq j \leq m_i + s_i, \ 1 \leq i \leq n) \rangle$$

such that  $y_{i1} = y_i$  ( $i = 1, 2, \dots, n$ ) and the relators  $r_{ij}$  ( $j = 2, 3, \dots, m_i + s_i, \ i = 1, 2, \dots, n$ ) are given by  $r_{ij} : y_{ij} = w_{ij}y_{i1}w_{ij}^{-1}$  for  $j$  with  $2 \leq j \leq m_i, \ 1 \leq i \leq n$ , and  $r_{ij} : y_{i1} = w_{ij}y_{i1}w_{ij}^{-1}$  for  $j$  with  $m_i + 1 \leq j \leq m_i + s_i, \ 1 \leq i \leq n$ , where  $w_{ij}$  ( $j = 2, 3, \dots, m_i + s_i, \ i = 1, 2, \dots, n$ ) are words in the letters  $y_{ij}$  ( $j = 1, 2, \dots, m_i, \ i = 1, 2, \dots, n$ ). This result is obtained from Yajima [13] because  $G$  has a weight system  $y_i$  ( $i = 1, 2, \dots, n$ ),  $H_1(G; Z) \cong Z^n$  and  $H_2(G; Z) = 0$ . It is observed that this result can be also obtained by an alternative geometric method using a normal form of a surface-link in  $R^4$  [11]. In fact, put the  $S^2$ -link  $L$  in a normal form of in the 4-space  $R^4$  with  $L[0] = L \cap R^3[0]$  a middle cross-sectional link and calculate the fundamental groups  $\pi_1(R^3[0, +\infty) \setminus L \cap R^3[0, +\infty), x_0)$  and  $\pi_1(R^3(-\infty, 0] \setminus L \cap R^3(-\infty, 0], x_0)$  with Wirtinger presentations starting from the fundamental group  $\pi_1(R^3[0] \setminus L[0], x_0)$  with a Wirtinger presentation to obtain the group  $G$  with a Wirtinger presentation by van Kampen theorem. See [2, 3] for this construction and [1] for a generalization. By fixing an isomorphism  $G^P \rightarrow G$ , regard the generators  $y_{ij}$  ( $j = 1, 2, \dots, m_i, \ i = 1, 2, \dots, n$ ) of  $P$  as fixed words in the basis  $x_i$ , ( $i = 1, 2, \dots, n$ ) of  $G$ . Then the relator  $y_{i1} = w_{ij}y_{i1}w_{ij}^{-1}$  for every  $i$  and  $j$  with  $m_i + 1 \leq j \leq m_i + s_i$  can be written as  $y_{i1} = a_{ij}^{u(i,j)}$  and  $w_{ij} = a_{ij}^{v(i,j)}$  for a deduced word  $a_{ij}$  in  $x_i$ , ( $i = 1, 2, \dots, n$ ) and some integers  $u(i, j), v(i, j)$  by Dehn's solution of the word problem of the free group  $\langle x_1, x_2, \dots, x_n \rangle$ . The elements  $y_i = y_{i1}$  ( $i = 1, 2, \dots, n$ ) form the same abelian basis as  $x_i$  ( $i = 1, 2, \dots, n$ ) in the free abelian group  $G/[G, G]$ , so that  $u(i, j) = \pm 1$  for every  $i$  and  $j$ . Thus,  $w_{ij} = y_{i1}^{u(i,j)v(i,j)}$  for every  $i$  and  $j$  with  $m_i + 1 \leq j \leq m_i + s_i$ , which means that the relators  $r_{ij} : y_{i1} = w_{ij}y_{i1}w_{ij}^{-1}$  ( $m_i + 1 \leq j \leq m_i + s_i$ ) are identity relations in the free group  $\langle y_{ij} \ (1 \leq j \leq m_i, \ 1 \leq i \leq n) \rangle$ . Thus, the Wirtinger presentation  $P$  is equivalent to the Wirtinger presentation

$$R = \langle y_{ij} \ (1 \leq j \leq m_i, \ 1 \leq i \leq n) \mid r_{ij} \ (2 \leq j \leq m_i, \ 1 \leq i \leq n) \rangle$$

with  $y_{i1} = y_i$  ( $i = 1, 2, \dots, n$ ) and the relators  $r_{ij}$  ( $2 \leq j \leq m_i, \ 1 \leq i \leq n$ ) given by  $r_{ij} : y_{ij} = w_{ij}y_{i1}w_{ij}^{-1}$  ( $1 \leq j \leq m_i, \ 1 \leq i \leq n$ ). By Yajima's construction [12] (see also

[2, 3]), there is a ribbon  $S^2$ -link  $L^R$  with the fundamental group  $G^R = \pi_1(S^4 \setminus L^R, x_0)$  of the Wirtinger presentation  $R$  which is isomorphic to  $G$  by an isomorphism  $G^R \rightarrow G$  sending a meridian element  $y_i^R$  of the  $i$ th component  $L_i^R$  of  $L^R$  to the meridian element  $y_i$  of  $L_i$  in  $G$  for every  $i$  ( $i = 1, 2, \dots, n$ ) and a basis  $x_i^R$ , ( $i = 1, 2, \dots, n$ ) of  $G^R$  to the basis  $x_i$ , ( $i = 1, 2, \dots, n$ ) of  $G$ . Let  $Y^R$  and  $Y$  be the 4D manifolds (both diffeomorphic to the  $n$ -fold connected sum of  $S^1 \times S^3$ ) obtained from  $S^4$  by surgeries along  $L^R$  and  $L$ , respectively, and  $\ell_i^R$ , ( $i = 1, 2, \dots, n$ ) and  $\ell_i$ , ( $i = 1, 2, \dots, n$ ) the loop systems obtained from  $L_i^R$  ( $i = 1, 2, \dots, n$ ) and  $L_i$  ( $i = 1, 2, \dots, n$ ), respectively. By [8], there is an orientation-preserving diffeomorphism  $f : Y^R \rightarrow Y$  sending the loop system  $\ell_i^R$  ( $i = 1, 2, \dots, n$ ) to the loop system  $\ell_i$  ( $i = 1, 2, \dots, n$ ). Note that this result is obtained from the smooth unknotting conjecture for  $S^2$ -knots [4, 5, 6] and the 4D smooth Poincaré conjecture [7]. By the back surgeries from  $Y^R$  to  $S^4$  along  $\ell_i^R$  ( $i = 1, 2, \dots, n$ ) and from  $Y$  to  $S^4$  along  $\ell_i$  ( $i = 1, 2, \dots, n$ ), this diffeomorphism  $f$  induces an orientation-preserving diffeomorphism  $f' : S^4 \rightarrow S^4$  sending  $L^R$  to  $L$ . Thus, the  $S^2$ -link  $L$  is a ribbon  $S^2$ -link. This completes the proof of Theorem.

In the proof of Theorem, the ribbon  $S^2$ -link  $L^R$  is called a *ribbon presentation* of the free  $S^2$ -link  $L$ . The following corollary is obtained from the proof of Theorem.

**Corollary.** Let  $L$  be a free  $S^2$ -link in the 4-sphere  $S^4$  containing a free  $S^2$ -link  $K$  as a sublink. For any ribbon presentation of  $K^R$  of  $K$ , there is a ribbon presentation  $L^R$  of  $L$  containing  $K^R$  as a sublink.

**Proof of Corollary.** The ribbon presentation of  $K^R$  of  $K$  is in a normal form. Thus, the result is obtained from the observation that a normal form of  $L$  is taken to contain  $K^R$  as a sublink (see [11]).

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