

# CLASSIFICATION OF A FAMILY OF RIBBON 2-KNOTS WITH TRIVIAL ALEXANDER POLYNOMIAL

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ABSTRACT. We consider a family of ribbon 2-knots with trivial Alexander polynomial. We give nonabelian  $SL(2, \mathcal{C})$ -representations from the groups of these knots, and then calculate the twisted Alexander polynomials associated to these representations, which allows us to classify this family of knots.

## 1. INTRODUCTION

A ribbon 2-knot is an embedded 2-sphere in  $S^4$  obtained by adding  $r$  1-handles to a trivial 2-link with  $r + 1$  components for some  $r$ , which is called a ribbon 2-knot of  $r$ -fusion; cf. [14, 15]. Yasuda [16–20] has been studying an enumeration of ribbon 2-knot with ribbon crossing number up to 4, where the Alexander polynomial of each ribbon 2-knot is given but it is not referred about the classification of the knots so much. Takahashi [12] classified ribbon 2-knots of 1-fusion with small ribbon crossing number using the Alexander polynomial, representations of the knot group into  $SL(2, \mathcal{C})$ , and twisted Alexander polynomial. Recently, Kanenobu and Komatsu [2] have enumerated ribbon 2-knots based on the virtual arc presentation of ribbon 2-knots, and Kanenobu and Sumi [3] have attempted the classification of these ribbon 2-knots, where they used the Alexander polynomial, homology of double branched covering space, representations of the knot group into  $SL(2, \mathbb{F})$ ,  $\mathbb{F}$  a finite field, and twisted Alexander polynomial.

In order to classify ribbon 2-knots the Alexander polynomial is a very useful invariant. However, it is difficult to distinguish ribbon 2-knots sharing the same Alexander polynomial. In this paper, we show the effectiveness of the twisted Alexander polynomial in classifying the ribbon 2-knots, which was first achieved by Takahashi [12], and then by the authors [3] as mentioned above. The twisted Alexander polynomial was introduced by Lin [6] for knots in  $S^3$  and by Wada [13] for finitely presentable groups, which is a generalization of the classical Alexander polynomial and has many applications. In this paper, we classify a family of ribbon 2-knots of 1-fusion with trivial Alexander polynomial  $K_n = R(1, n, -n - 1, 1)$ ,  $n \in \mathbf{Z}$ ; see Sec. 2 for the definition of  $R(1, n, -n - 1, 1)$ . First, we show the number of irreducible representations  $\rho : \pi_1(S^4 - K_n) \rightarrow SL(2, \mathcal{C})$  up to conjugate is  $2n$  (Proposition 3.5), where  $n \geq 0$ , classifying the knots  $K_n$ ,  $n \geq 0$ . Next, we distinguish  $K_n$  and  $K_{-n-1}$ , which are mirror images one another, by Wada's twisted Alexander polynomials (Proposition 4.1). Our main theorem is the following.

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**Theorem 1.1.** *For the family of ribbon 2-knots of 1-fusion  $K_n$ ,  $n \in \mathbf{Z}$ , we have the following:*

- (i)  $K_n$  has trivial Alexander polynomial.
- (ii) The mirror image of  $K_n$  is isotopic to  $K_{-n-1}$ .
- (iii)  $K_n$  is trivial if and only if  $n = 0$  or  $-1$ .
- (iv) For  $m, n \in \mathbf{Z} - \{-1, 0\}$ ,  $K_m$  and  $K_n$  are isotopic if and only if  $m = n$ .

This paper is organized as follows: In Sect. 2 we define a ribbon 2-knot of 1-fusion and give some properties. In Sect. 3 we decide irreducible representations of the group of the knot  $K_n$  into  $SL(2, \mathbf{C})$  up to conjugate. In Sect. 4 we calculate the twisted Alexander polynomial of  $K_n$  associated to the representations given in Sect. 3.

## 2. RIBBON 2-KNOT OF 1-FUSION

We define a ribbon 2-knot of 1-fusion  $R(p_1, q_1, \dots, p_n, q_n)$  as follows. Let  $L_0 = S_0^1 \cup S_1^1$  be a trivial link with 2 components in  $\mathbf{R}^3$ . We add a band  $B$  to  $L_0$  as shown in Fig. 1, where  $\tau_{p_1}, \dots, \tau_{p_n}, \sigma_{q_1}, \dots, \sigma_{q_n}$  are pairs  $(D^3, a \cup \beta)$  of a 3-ball  $D^3$  and a properly embedded arc  $a$  and band  $\beta$  as shown in Fig. 2.

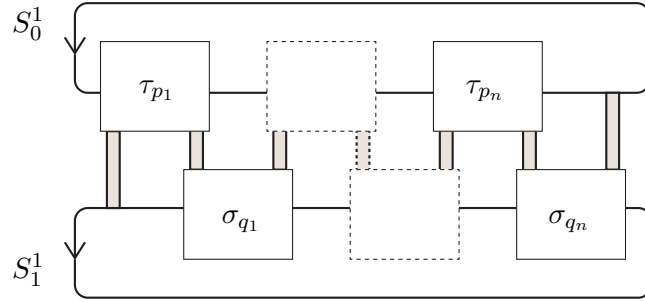


FIGURE 1. Adding a band  $B$  to a trivial link  $L_0 = S_0^1 \cup S_1^1$ .

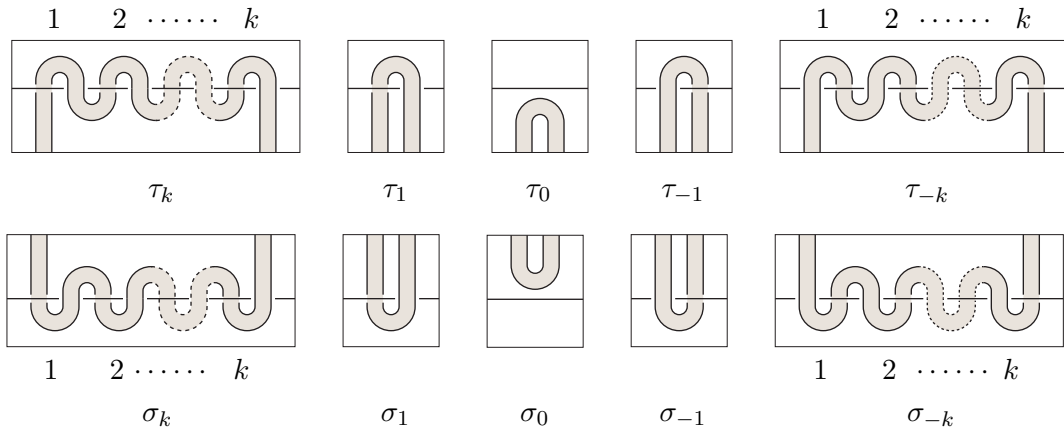


FIGURE 2.  $\tau_p$  and  $\sigma_q$ .

Regard the band  $B$  as the image of an embedding  $b : I \times I \rightarrow \mathbf{R}^3$ ,  $B = b(I \times I)$ , so that  $S_i^1 \cap b(I \times I) = b(I \times \{i\})$ ,  $i = 0, 1$ , where  $I$  is the unit interval  $[0, 1]$ . We take disjoint 2-disks  $D_0 \cup D_1$  in  $\mathbf{R}^3$  so that  $S_i^1 = \partial D_i$ ,  $i = 0, 1$ . Let  $K_0 = (L_0 - b(I \times \partial I)) \cup b(\partial I \times I)$ . Then we obtain a ribbon 2-knot of 1-fusion  $R(p_1, q_1, \dots, p_n, q_n)$  in  $S^4 = \mathbf{R}^4 \cup \{\infty\}$  by the moving pictures:

$$(1) \quad R(p_1, q_1, \dots, p_n, q_n) \cap (\mathbf{R}^3 \times \{t\}) = \begin{cases} K_0 & \text{for } |t| < 1; \\ K_0 \cup B = L_0 \cup B & \text{for } |t| = 1; \\ L_0 & \text{for } 1 < |t| < 2; \\ D_0 \cup D_1 & \text{for } |t| = 2; \\ \emptyset & \text{for } |t| > 2. \end{cases}$$

Any ribbon 2-knot of 1-fusion is represented in this form.

Note that a ribbon 2-knot is *negative-amphicheiral*, that is, a ribbon 2-knot  $K$  is ambient isotopic to  $-K!$ , which is obtained from  $K$  by taking the mirror image and then reversing the orientation; see [11, Theorem 2.18], [10, Proposition 4.1]. So, we show the knot  $K_n$ ,  $n > 0$ , is non-positive-amphicheiral and non-invertible. If a ribbon 2-knot has a non-reciprocal Alexander polynomial, that is,  $\Delta_K(t) \neq \Delta_K(t^{-1})$  up to  $\pm t^k$ , then it is non non-positive-amphicheiral and non-invertible; cf. [11, Proposition 3.26].

Example 2.1. Figure 3 shows the ribbon 2-knot  $K_2 = R(1, 2, -3, 1)$ .

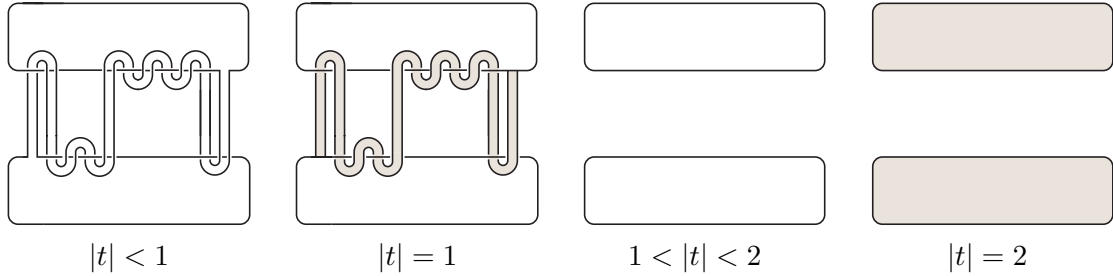


FIGURE 3. The ribbon 2-knot  $R(1, 2, -3, 1)$ .

Note that  $R(p_1, q_1, \dots, p_n, q_n)$  is isotopic to  $R(-q_n, -p_n, \dots, -q_1, -p_1)$ , which is the mirror image of  $R(q_n, p_n, \dots, q_1, p_1)$ .

The group of  $K$ ,  $\pi K = \pi_1(S^4 - K)$ , has a Wirtinger presentation

$$(2) \quad \langle x, y \mid x^{-1}w^{-1}yw \rangle, \quad w = x^{p_1}y^{q_1} \dots x^{p_n}y^{q_n},$$

where  $x$  and  $y$  are meridians of  $S_0^2$  and  $S_1^2$ , respectively.

The Alexander polynomial of a ribbon 2-knot  $K$ ,  $\Delta_K(t) \in Z[t^{\pm 1}]$ , is defined up to  $\pm t^n$ , which we normalize so that  $\Delta_K(1) = 1$  and  $(d/dt)\Delta_K(1) = 0$ ; cf. [1, 4, 7]. For a ribbon 2-knot of 1-fusion we have the following.

**Proposition 2.2.** *The normalized Alexander polynomial of the ribbon 2-knot of 1-fusion  $R(p_1, q_1, \dots, p_n, q_n)$  is*

$$\begin{aligned} & t^{-q_1 - q_2 - \dots - q_n} \left( 1 - t^{p_1} + t^{p_1 + q_1} - t^{p_1 + q_1 + p_2} + \dots \right. \\ & \qquad \qquad \qquad \left. - t^{p_1 + q_1 + \dots + p_n} + t^{p_1 + q_1 + \dots + p_n + q_n} \right) \\ &= t^{p_n + p_{n-1} + \dots + p_1} \left( 1 - t^{-q_n} + t^{-q_n - p_n} - t^{-q_n - p_n - q_{n-1}} + \dots \right. \\ & \qquad \qquad \qquad \left. - t^{-q_n - p_n - q_{n-1} - \dots - q_1} + t^{-q_n - p_n - q_{n-1} - \dots - q_1 - p_1} \right). \end{aligned}$$

### 3. REPRESENTATION TO $SL(2, \mathbf{C})$

Let  $G$  be a finitely presented group. Two representations, namely homomorphisms,  $\rho, \rho' : G \rightarrow SL(2, \mathbf{C})$  are called *conjugate* if  $\rho(g) = C\rho'(g)C^{-1}$  for some  $C \in SL(2, \mathbf{C})$  and for any  $g \in G$ . A representation  $\rho : G \rightarrow SL(2, \mathbf{C})$  is said to be *abelian* if  $\rho(G)$  is an abelian subgroup of  $SL(2, \mathbf{C})$ . A representation  $\rho$  is called *reducible* if there exists a proper invariant subspace of  $\mathbf{C}^2$  under the action of  $\rho(G)$ . This is equivalent to saying that  $\rho$  can be conjugate to a representation whose image consists of upper triangular matrices. It is easy to see that every abelian representation is reducible, but the converse does not hold. When  $\rho$  is not reducible, it is called *irreducible*.

The following is due to Riley [8, 9].

**Proposition 3.1.** *If two matrices  $X, Y$  are conjugate in  $SL(2, \mathbf{C})$  and  $XY \neq YX$ , then there exists a matrix  $C \in SL(2, \mathbf{C})$  such that:*

$$(3) \quad CXC^{-1} = \begin{pmatrix} s & 1 \\ 0 & s^{-1} \end{pmatrix}, \quad CYC^{-1} = \begin{pmatrix} s & 0 \\ u & s^{-1} \end{pmatrix},$$

where  $s, u \in \mathbf{C}$  with  $s \neq 0$  and  $(s, u) \neq (\pm 1, 0)$ .

Furthermore, if there exists a matrix  $D \in SL(2, \mathbf{C})$  such that:

$$(4) \quad DXD^{-1} = \begin{pmatrix} s' & 1 \\ 0 & s'^{-1} \end{pmatrix}, \quad DYD^{-1} = \begin{pmatrix} s' & 0 \\ u' & s'^{-1} \end{pmatrix},$$

where  $s', u' \in \mathbf{C}$  with  $s' \neq 0$  and  $(s', u') \neq (\pm 1, 0)$ , then  $(s', u') = (s, u)$  or  $(s^{-1}, u)$ .

Let us consider the presentatin Eq. (2) of the group of the ribbon 2-knot of 1-fusion  $R(p_1, q_1, \dots, p_n, q_n)$ . Then since  $x$  and  $y$  are conjugate, by Proposition 3.1 any non-abelian representation  $G \rightarrow SL(2; \mathbf{C})$  is conjugate to a representation  $\rho : G \rightarrow SL(2; \mathbf{C})$  given by

$$(5) \quad \rho(x) = X = \begin{pmatrix} s & 1 \\ 0 & s^{-1} \end{pmatrix}, \quad \rho(y) = Y = \begin{pmatrix} s & 0 \\ u & s^{-1} \end{pmatrix},$$

for some  $s, u \in \mathbf{C}$  with  $s \neq 0$  and  $(s, u) \neq (\pm 1, 0)$ ; such a representation  $\rho$  is parametrized by the trace  $s + s^{-1}$  and  $u$ . Furthermore, it is easy to prove the following.

**Lemma 3.2.** *A nonabelian representation  $\rho$  in Eq. (5) is reducible if and only if either  $u = -(s - s^{-1})^2$  or  $u = 0$ .*

From now on we focus on the family of ribbon 2-knots of 1-fusion  $K_n = R(1, n, -n - 1, 1)$ ,  $n \in \mathbf{Z}$ . Let  $G_n = \pi_1(S^4 - K_n)$ . Then

$$(6) \quad G_n = \langle x, y \mid w_n x = y w_n \rangle, \quad w_n = x y^n x^{-n-1} y.$$

We define a nonabelian representation

$$(7) \quad \rho : G_n \rightarrow SL(2, \mathbf{C})$$

by the correspondence Eq. (5), where  $s, u \in \mathbf{C}$  with  $s \neq 0$  and  $(s, u) \neq (\pm 1, 0)$ . Then, we have the following.

**Proposition 3.3.** *Suppose  $n > 0$ . The parameters  $s$  and  $u$  satisfy:*

$$(8) \quad s = \xi_n^k \quad (k = 1, 2, \dots, 2n, 2n+2, 2n+3, \dots, 4n+1);$$

$$(9) \quad u^2 + (p^2 - 4)u + \epsilon p + 2 = 0,$$

where  $\xi_n = \exp \frac{\pi\sqrt{-1}}{2n+1}$ ,  $p = s + s^{-1}$ , and  $\epsilon = (\xi_n^k)^{2n+1} = (-1)^k$ .

We use the following lemma in the proof of Proposition 3.3.

**Lemma 3.4.** *For  $i \in \mathbf{Z}$ , we have:*

$$(10) \quad X^i = \begin{pmatrix} s^i & f_i \\ 0 & s^{-i} \end{pmatrix}, \quad Y^i = \begin{pmatrix} s^i & 0 \\ u f_i & s^{-i} \end{pmatrix},$$

where

$$(11) \quad f_i = \begin{cases} \frac{s^i - s^{-i}}{s - s^{-1}} & \text{if } s \neq \pm 1; \\ i s^{i-1} & \text{if } s = \pm 1. \end{cases}$$

*Proof.* Induction on  $i$ . □

*Proof of Proposition 3.3.* Let

$$(12) \quad W_n = XY^n X^{-n-1} Y = \begin{pmatrix} (W_n)_{11} & (W_n)_{12} \\ (W_n)_{21} & (W_n)_{22} \end{pmatrix}.$$

Then using Lemma 3.4, we have:

$$(13) \quad \begin{aligned} (W_n)_{11} &= s + u(s + s^{-n} f_n + s^{n+1} f_{-n-1}) + u^2 f_n f_{-n-1} \\ &= s + u(1 - s^2) f_n f_{n+1} - u^2 f_n f_{n+1}; \end{aligned}$$

$$(14) \quad \begin{aligned} (W_n)_{12} &= 1 + s^n f_{-n-1} + u s^{-1} f_{-n-1} f_n \\ &= -s^{n+1} f_n - u s^{-1} f_n f_{n+1}; \end{aligned}$$

$$(15) \quad \begin{aligned} (W_n)_{21} &= u + u s^{-n-1} f_n + u^2 s^{-1} f_{-n-1} f_n \\ &= u s^{-n} f_{n+1} - u^2 s^{-1} f_n f_{n+1}; \end{aligned}$$

$$(16) \quad \begin{aligned} (W_n)_{22} &= s^{-1} + u s^{-2} f_n f_{-n-1} \\ &= s^{-1} - u s^{-2} f_n f_{n+1}, \end{aligned}$$

where we use  $f_{-k} = -f_k$  and  $s^k f_{k+1} - s^{k+1} f_k = 1$  for  $k \in \mathbf{Z}$ .

Let

$$(17) \quad R_n = W_n X - Y W_n = \begin{pmatrix} (R_n)_{11} & (R_n)_{12} \\ (R_n)_{21} & (R_n)_{22} \end{pmatrix}.$$

Then

$$(18) \quad (R_n)_{11} = 0;$$

$$(19) \quad (R_n)_{12} = (W_n)_{11} - (s - s^{-1})(W_n)_{12};$$

$$(20) \quad (R_n)_{21} = (s - s^{-1})(W_n)_{21} - u(W_n)_{11};$$

$$(21) \quad (R_n)_{22} = (W_n)_{21} - u(W_n)_{12}.$$

From the relation  $w_n x = y w_n$ , it should hold that  $R_n = W_n X - Y W_n = O$ . Using Eqs. (14) and (15), we have  $(W_n)_{21} - u(W_n)_{12} = u f_{2n+1}$ . Then from  $(R_n)_{22} = 0$ , Eq. (21) yields either  $u = 0$  or  $f_{2n+1} = 0$ . If  $u = 0$ , then by Eqs. (13) and (14)  $(W_n)_{11} = s$  and  $(W_n)_{12} = -s^{n+1} f_n$ . Substituting them into Eq. (19) we have  $(R_n)_{12} = s - (s - s^{-1})(-s^{n+1} f_n) = s^{2n+1} \neq 0$ , and so  $u \neq 0$ . From  $f_{2n+1} = 0$  we obtain Eq. (8).

Next, using Eqs. (13) and (14), we have

$$(22) \quad (W_n)_{11} - (s - s^{-1})(W_n)_{12} = s^{2n+1} - u(s - s^{-1})^2 f_n f_{n+1} - u^2 f_n f_{n+1}.$$

Then from  $(R_n)_{21} = 0$ , Eq. (20) yields Eq. (9). In fact, if  $s = \xi_n^k$ , then  $s^{2n+1} = \epsilon$  and  $f_n f_{n+1} = -s/(s + \epsilon)^2 = -1/(s + s^{-1} + 2\epsilon)$ .  $\square$

For a group  $G$  we denote by  $r(G)$  the number of irreducible representations to  $SL(2, \mathbf{C})$  up to conjugate. Then, by Lemmas 3.6 and 3.7 below, we obtain the following.

**Proposition 3.5.** *For  $n > 0$ , we have  $r(G_n) = 4n$ .*

**Lemma 3.6.** *The nonabelian representations  $\rho : G_n \rightarrow SL(2, \mathbf{C})$  defined as above are irreducible.*

*Proof.* Assume the representation  $\rho$  in Eq. (5) is reducible. Then by Lemma 3.2,  $u = 4 - p^2$  or  $u = 0$ . Then Eq. (9) implies  $\epsilon p + 2 = 0$ , which contradicts Eq. (8).  $\square$

**Lemma 3.7.** *If  $s = \xi_n^k$  ( $k = 1, 2, \dots, 2n, 2n + 2, 2n + 3, \dots, 4n + 1$ ), then the quadratic equation (9) does not have a double root.*

*Proof.* From Eq. (9) we have

$$(23) \quad \begin{aligned} 2u &= -(p^2 - 4) \pm \sqrt{p^4 - 8p^2 - 4\epsilon p + 8} \\ &= -(p + 2\epsilon)(p - 2\epsilon) \pm \sqrt{(p + 2\epsilon)(p^3 - 2\epsilon p^2 - 4p + 4\epsilon)}. \end{aligned}$$

So, we have only to prove  $p^3 - 2\epsilon p^2 - 4p + 4\epsilon \neq 0$ . Suppose  $p^3 - 2\epsilon p^2 - 4p + 4\epsilon = 0$ . Letting  $\gamma(t) = t^6 - 2t^5 - t^4 - t^2 - 2t + 1$ , we have  $p^3 - 2\epsilon p^2 - 4p + 4\epsilon = s^{-3}\gamma(\epsilon s)$ , and so  $\gamma(\epsilon s) = 0$ . Note that  $\epsilon s$  is a primitive  $d$ th root of unity for some  $d$ , which is a divisor of  $4n + 2$ . Let  $F_d(t)$  be the  $d$ th cyclotomic polynomial, which is an irreducible polynomial with integer coefficients. So,  $F_d(t)$  is a factor of  $\gamma(t)$ . Then since  $\deg F_d(t) \leq 6$  and  $s \neq \pm 1$ , we obtain  $d \in \{3, 5, 6, 7, 9, 10, 14, 18\}$ . For each  $d$ , we see that  $F_d(t)$  is not a factor of  $\gamma(t)$  (see Table 1), a contradiction.  $\square$

**Example 3.8.** For  $G_1$ , we have  $p = s + s^{-1} = 2 \cos(k\pi/3) = (-1)^{k-1}$  ( $k = 1, 2$ ), and there are 4 irreducible representations  $\rho_j : G_1 \rightarrow SL(2, \mathbf{C})$  up to conjugate,  $1 \leq j \leq 4$ ; in Table 2 we list the parameters  $p, u$  for each  $\rho_j$ .

$d$	$F_d(t)$
3	$1 + t + t^2$
5	$1 + t + t^2 + t^3 + t^4$
6	$1 - t + t^2$
7	$1 + t + t^2 + t^3 + t^4 + t^5 + t^6$
9	$1 + t^3 + t^6$
10	$1 - t + t^2 - t^3 + t^4$
14	$1 - t + t^2 - t^3 + t^4 - t^5 + t^6$
18	$1 - t^3 + t^6$

TABLE 1. Cyclotomic polynomials.

Representation	$p$	$u$
$\rho_1$	1	$\frac{3+\sqrt{5}}{2}$
$\rho_2$	1	$\frac{3-\sqrt{5}}{2}$
$\rho_3$	-1	$\frac{3+\sqrt{5}}{2}$
$\rho_4$	-1	$\frac{3-\sqrt{5}}{2}$

 TABLE 2. Parameters for the representations  $\rho_j : G_1 \rightarrow SL(2, \mathbf{C})$ .

Remark 3.9. Takahashi [12] considered  $K_1 = R(1, 1, -2, 1)$  and  $R(-2, 1, 1, -2)$ ; both of which have trivial Alexander polynomial. He has distinguished their knot groups by the representations to  $SL(2, \mathbf{C})$ . In fact, the knot group of  $R(-2, 1, 1, -2)$  has infinitely many representations  $\rho$  as in Eq. (5) for  $s \in \mathbf{C} - \{0, \pm 1\}$  and  $u = u_0$ , where

$$(24) \quad u_0 = \frac{-(1-s^2)^2(1+s^2) \pm \sqrt{(1-s^2-2s^3-s^4+s^6)(1-s^2+2s^3-s^4+s^6)}}{2s^2(1+s^2)}.$$

Note that  $R(-2, 1, 1, -2)$  is positive-amphicheiral.

Example 3.10. For  $G_2$ , we have  $p = s + s^{-1} = 2 \cos(k\pi/5)$  ( $k = 1, 2, 3, 4$ ) =  $\frac{1+\sqrt{5}}{2}$ ,  $\frac{-1+\sqrt{5}}{2}$ ,  $\frac{1-\sqrt{5}}{2}$ ,  $\frac{-1-\sqrt{5}}{2}$ , and there are 8 irreducible representations  $\rho_j : G_2 \rightarrow SL(2, \mathbf{C})$  up to conjugate,  $1 \leq j \leq 8$ ; in Table 3 we list the parameters  $p, u$  for each  $\rho_j$ .

#### 4. TWISTED ALEXANDER POLYNOMIAL OF $K_n$

Let  $\alpha : G_n \rightarrow \langle t \rangle \cong \mathbf{Z}$  be an abelianization defined by  $\alpha(x) = \alpha(y) = t$ , which induces the ring homomorphism  $\tilde{\alpha} : \mathbf{Z}G_n \rightarrow \mathbf{Z}[t, t^{-1}]$ . For an  $SL(2; \mathbf{C})$  representation of  $G_n$   $\rho : G_n \rightarrow SL(2; \mathbf{C})$  the ring homomorphism  $\tilde{\rho} : \mathbf{Z}G_n \rightarrow M(2; \mathbf{C})$  is brought out from  $\rho$ . For the free group  $\langle x, y \rangle$  with free basis  $\{x, y\}$  let  $\phi : \langle x, y \rangle \rightarrow G_n$  be the canonical homomorphism, which induces the ring homomorphism  $\tilde{\phi} : \mathbf{Z}\langle x, y \rangle \rightarrow \mathbf{Z}G_n$ . Now, we

Representation	$p$	$u$
$\rho_1$	$\frac{1+\sqrt{5}}{2}$	1
$\rho_2$	$\frac{1+\sqrt{5}}{2}$	$\frac{3-\sqrt{5}}{2}$
$\rho_3$	$\frac{-1+\sqrt{5}}{2}$	1
$\rho_4$	$\frac{-1+\sqrt{5}}{2}$	$\frac{3+\sqrt{5}}{2}$
$\rho_5$	$\frac{1-\sqrt{5}}{2}$	1
$\rho_6$	$\frac{1-\sqrt{5}}{2}$	$\frac{3+\sqrt{5}}{2}$
$\rho_7$	$\frac{-1-\sqrt{5}}{2}$	1
$\rho_8$	$\frac{-1-\sqrt{5}}{2}$	$\frac{3-\sqrt{5}}{2}$

TABLE 3. Parameters for the representations  $\rho_j : G_2 \rightarrow SL(2, \mathbf{C})$ .

define a ring homomorphism  $\Phi = (\tilde{\rho} \otimes \tilde{\alpha}) \circ \tilde{\phi}$  as follows.

$$(25) \quad \Phi : \mathbf{Z}\langle x, y \rangle \xrightarrow{\tilde{\phi}} \mathbf{Z}G_n \xrightarrow{\tilde{\rho} \otimes \tilde{\alpha}} M(2; \mathbf{C}[t, t^{-1}])$$

$$\frac{\partial r_n}{\partial y} \longmapsto \sum \nu_g g \longmapsto \sum \nu_g \rho(g) \alpha(g),$$

where  $r_n = w_n x - y w_n$ ,  $\partial/\partial y$  denotes the Fox derivation,  $g \in G_n$ , and  $\nu_g \in \mathbf{Z}$ . Let  $A_{\rho, y} = \Phi(\partial r_n / \partial y)$ . Then the twisted Alexander polynomial of  $G_n$  associated to the representation  $\rho$  [13] is defined to be a rational function

$$(26) \quad \Delta_{G_n, \rho}(t) = \frac{\det A_{\rho, y}}{\det \Phi(x-1)}.$$

Note that if two representations  $\rho, \rho'$  are conjugate, then  $\Delta_{G_n, \rho}(t) = \Delta_{G_n, \rho'}(t)$ .

The remainder of this section will be devoted to the proof of the following proposition, where the *breadth* of a Laurent polynomial is the difference between the highest and lowest degrees.

**Proposition 4.1.** *Suppose  $n > 0$ . For the irreducible representation  $\rho$  defined in Sect. 3 the twisted Alexander polynomial of  $G_n$ ,  $\Delta_{G_n, \rho}(t)$  in Eq. (26), is a Laurent polynomial of breadth  $2n$  such that the coefficients of the highest degree term and lowest degree term are 1 and  $u/(\epsilon\rho + 2)$ , respectively.*

Since

$$(27) \quad \frac{\partial r_n}{\partial y} = \frac{\partial w_n}{\partial y} - y \frac{\partial w_n}{\partial y} - 1,$$

we have

$$(28) \quad \tilde{\alpha} \circ \tilde{\phi} \left( \frac{\partial r_n}{\partial y} \right) = (1-t) \left( \tilde{\alpha} \circ \tilde{\phi} \left( \frac{\partial w_n}{\partial y} \right) \right) - 1.$$



For  $w_n = xy^n x^{-n-1} y$  we have

$$(29) \quad \frac{\partial w_n}{\partial y} = x + xy + xy^2 + \cdots + xy^{n-1} + w_n y^{-1}.$$

Thus, we obtain

$$(30) \quad A_{\rho,y} = \Phi \left( \frac{\partial r_n}{\partial y} \right) = (E - tY) (tX(E + tY + t^2 Y^2 + \cdots + t^{n-1} Y^{n-1}) + W_n Y^{-1}) - E.$$

On the other hand,

$$(31) \quad \det \Phi(x-1) = \det(tX - E)t^2 - t(s + s^{-1}) + 1 = (t-s)(t-s^{-1}).$$

We can prove the following by induction.

**Lemma 4.2.**

$$(32) \quad E + tY + t^2 Y^2 + \cdots + t^{n-1} Y^{n-1} = \begin{pmatrix} & g_n & 0 \\ \frac{u}{s-s^{-1}}(g_n - h_n) & & h_n \end{pmatrix},$$

where

$$(33) \quad g_n = \frac{1 - (st)^n}{1 - st}, \quad h_n = \frac{1 - (s^{-1}t)^n}{1 - s^{-1}t}.$$

Put

$$(34) \quad \det A_{\rho,y} = \varphi_0 + \varphi_1 u + \varphi_2 u^2,$$

where  $\varphi_i \in \mathbf{C}[t, t^{-1}]$ .

Then,

$$(35) \quad \varphi_0 = t^{2n+2};$$

$$(36) \quad (s^2 - 1)^2 \varphi_1 = -t^2 s^{-2n-1} (s^{n+1} t^n - s^{n+3} t^n - s^{3n+3} t^n + s^{3n+5} t^n \\ + 2s^{2n+3} - s^{4n+5} - s) - s^{-2n-1} (2s^{2n+3} - s^{4n+3} - s^3) \\ - t s^{-2n-1} (-s^{n+2} t^n + s^{n+4} t^n + s^{3n+2} t^n - s^{3n+4} t^n \\ - s^{2n+2} - s^{2n+4} - s^{2n+6} + s^{4n+2} + s^{4n+6} - s^{2n} + s^4 + 1);$$

$$(37) \quad (s^2 - 1)^2 \varphi_2 = -t s^{-2n-1} (-s^{2n+2} - s^{2n+4} + s^{4n+4} + s^2).$$

Substituting  $s^{2n+1} = \epsilon = (-1)^k$ , we obtain:

$$\begin{aligned}
(38) \quad (s^2 - 1)^2 \varphi_1 &= -\epsilon t^2 (s^{n+1} t^n - s^{n+3} t^n - \epsilon s^{n+2} t^n + \epsilon s^{n+4} t^n + 2\epsilon s^2 - s^3 - s) \\
&\quad - \epsilon (2\epsilon s^2 - s - s^3) - \epsilon t (-s^{n+2} t^n + s^{n+4} t^n \\
&\quad + \epsilon s^{n+1} t^n - \epsilon s^{n+3} t^n - \epsilon s - \epsilon s^3 - \epsilon s^5 + 2 + 2s^4 - \epsilon s^{-1}) \\
&= -\epsilon t^2 ((1 - s^2 - \epsilon s + \epsilon s^3) s^{n+1} t^n - s(\epsilon - s)^2) + \epsilon s(\epsilon - s)^2 \\
&\quad - \epsilon t ((-s + s^3 + \epsilon - \epsilon s^2) s^{n+1} t^n - \epsilon s^{-1} (s^2 + s^4 + s^6 - 2\epsilon s - 2\epsilon s^5 + 1)) \\
&= -\epsilon t^2 (\epsilon(\epsilon - s)(1 - s^2) s^{n+1} t^n - s(\epsilon - s)^2) + \epsilon s(\epsilon - s)^2 \\
&\quad - \epsilon t ((\epsilon - s)(1 - s^2) s^{n+1} t^n - \epsilon s^{-1} (\epsilon - s)^2 (1 + s^4));
\end{aligned}$$

$$(39) \quad (s^2 - 1)^2 \varphi_2 = -\epsilon t (-\epsilon s - \epsilon s^3 + 2s^2) = st(\epsilon - s)^2.$$

Since  $s^2 - 1 = (s - \epsilon)(s + \epsilon)$ , we have:

$$\begin{aligned}
(40) \quad (\epsilon + s)^2 \varphi_1 &= -\epsilon t^2 (\epsilon(\epsilon + s) s^{n+1} t^n - s) + \epsilon s - \epsilon t ((\epsilon + s) s^{n+1} t^n - \epsilon s^{-1} (1 + s^4)) \\
&= -(\epsilon + s) s^{n+1} (\epsilon + t) t^{n+1} + \epsilon s t^2 + \epsilon s + s^{-1} (1 + s^4) t \\
&= -(s^{-2n-1} + s) s^{n+1} (\epsilon + t) t^{n+1} + \epsilon s t^2 + \epsilon s + s^{-1} (1 + s^4) t;
\end{aligned}$$

$$(41) \quad (\epsilon + s)^2 \varphi_2 = st.$$

Since  $(\epsilon + s)^2 = s(s + s^{-1} + 2\epsilon)$ , we have:

$$(42) \quad (s + s^{-1} + 2\epsilon) \varphi_1 = -(s^{-n-1} + s^{n+1}) (\epsilon + t) t^{n+1} + \epsilon t^2 + \epsilon + ((s + s^{-1})^2 - 2) t;$$

$$(43) \quad (s + s^{-1} + 2\epsilon) \varphi_2 = t.$$

Putting  $p = s + s^{-1}$  and  $\psi_n(p) = s^{-n-1} + s^{n+1} \in \mathbf{Z}[p]$ , we obtain:

$$(44) \quad (p + 2\epsilon) \varphi_1 = -\psi_n(p) (\epsilon + t) t^{n+1} + \epsilon t^2 + \epsilon + (p^2 - 2) t;$$

$$(45) \quad (p + 2\epsilon) \varphi_2 = t.$$

Thus, we have:

$$\begin{aligned}
(46) \quad (p + 2\epsilon) \det A_{\rho, y} &= (p + 2\epsilon) t^{2n+2} + (-\psi_n(p) (\epsilon + t) t^{n+1} + \epsilon t^2 + \epsilon + (p^2 - 2) t) u + u^2 t \\
&= \epsilon u + ((p^2 - 2) u + u^2) t + \epsilon u t^2 - \psi_n(p) u (\epsilon + t) t^{n+1} + (p + 2\epsilon) t^{2n+2}.
\end{aligned}$$

Since  $u^2 + (p^2 - 4)u + \epsilon p + 2 = 0$  from Eq. (9), this becomes:

$$(47) \quad (p + 2\epsilon) \det A_{\rho, y} = \epsilon u + (2u - \epsilon p - 2) t + \epsilon u t^2 - \psi_n(p) u (\epsilon + t) t^{n+1} + (p + 2\epsilon) t^{2n+2}.$$

**Lemma 4.3.** *For the irreducible representation  $\rho$  defined in Sect. 3 the twisted Alexander polynomial of  $G_n$ ,  $\Delta_{G_n, \rho}(t)$  in Eq. (26), is a Laurent polynomial.*

*Proof.* Let  $P(t)$  be the right-hand side polynomial of Eq. (47). Then by Eq. (31) the result follows from  $P(s) = P(s^{-1}) = 0$ . In fact,

$$\begin{aligned}
 (48) \quad P(s) &= \epsilon u + (2u - \epsilon p - 2)s + \epsilon u s^2 - \psi_n(p)u(\epsilon + s)s^{n+1} + (p + 2\epsilon)s^{2n+2} \\
 &= \epsilon u + (2u - \epsilon p - 2)s + \epsilon u s^2 - (\epsilon s + 1)u(\epsilon + s) + (p + 2\epsilon)\epsilon s \\
 &= \epsilon u + (2u)s + \epsilon u s^2 - u(2s + \epsilon + \epsilon s^2) = 0;
 \end{aligned}$$

$P(s^{-1}) = 0$  is similar.  $\square$

Remark 4.4. It is known [5] that the twisted Alexander polynomial of a knot in  $S^3$  for any nonabelian representation into  $SL(2, \mathbf{F})$  over a field  $\mathbf{F}$  is always a Laurent polynomial. For a reducible representation  $\rho : \pi K \rightarrow SL(2, \mathbf{C})$  and for a representation  $\rho : \pi K \rightarrow SL(2, \mathbf{F}_p)$  over a prime field  $\mathbf{F}_p$  there are ribbon 2-knots of 1-fusion  $K$  whose twisted Alexander polynomial are not Laurent polynomials; see [3].

*Proof of Proposition 4.1.* By Eqs. (31), (47) and Lemma 4.3 we obtain Proposition 4.1.  $\square$

Example 4.5. For  $n = 1$ , we give explicit forms of the twisted Alexander polynomials  $\Delta_{G_1, \rho}(t)$ . Since  $p = -\epsilon$  and  $\psi_1(p) = -1$ , Eqs. (31) and (47) become

$$(49) \quad \det \Phi(x - 1) = 1 + \epsilon t + t^2;$$

$$\begin{aligned}
 (50) \quad \det A_{\rho, y} &= u + \epsilon(2u - 1)t + 2ut^2 + \epsilon ut^3 + t^4 \\
 &= (1 + \epsilon t + t^2)(u + \epsilon(u - 1)t + t^2),
 \end{aligned}$$

from which we obtain

$$\begin{aligned}
 (51) \quad \Delta_{G_1, \rho}(t) &= u + \epsilon(u - 1)t + t^2 \\
 &= (\epsilon u - t)(\epsilon - t).
 \end{aligned}$$

For each representation  $\rho_j$  we list the polynomial in Table 4.5.

Representation	$\Delta_{G_1, \rho}(t)$
$\rho_1$	$\frac{3+\sqrt{5}}{2} + \frac{1+\sqrt{5}}{2}t + t^2$
$\rho_2$	$\frac{3-\sqrt{5}}{2} + \frac{1-\sqrt{5}}{2}t + t^2$
$\rho_3$	$\frac{3+\sqrt{5}}{2} - \frac{1+\sqrt{5}}{2}t + t^2$
$\rho_4$	$\frac{3-\sqrt{5}}{2} - \frac{1-\sqrt{5}}{2}t + t^2$

TABLE 4. Twisted Alexander polynomials of  $G_1$ .

Remark 4.6. The twisted Alexander polynomial of  $R(-2, 1, 1, -2)$  associated to the representation  $\rho$  given in Remark 3.9 is  $u_0(1 + t^2)$ .

Example 4.7. For  $n = 2$ , we give explicit forms of the twisted Alexander polynomials  $\Delta_{G_2, \rho}(t)$  in Table 5.

Representation	$\Delta_{G_2, \rho}(t)$
$\rho_1$	$\frac{3+\sqrt{5}}{2} + \frac{1+\sqrt{5}}{2}t^3 + t^4$
$\rho_2$	$1 + \frac{-1+\sqrt{5}}{2}t + t^2 + \frac{1+\sqrt{5}}{2}t^3 + t^4$
$\rho_3$	$\frac{3-\sqrt{5}}{2} + \frac{-1+\sqrt{5}}{2}t^3 + t^4$
$\rho_4$	$1 + \frac{1+\sqrt{5}}{2}t + t^2 + \frac{-1+\sqrt{5}}{2}t^3 + t^4$
$\rho_5$	$\frac{3-\sqrt{5}}{2} + \frac{1-\sqrt{5}}{2}t^3 + t^4$
$\rho_6$	$1 + \frac{-1-\sqrt{5}}{2}t + t^2 + \frac{1-\sqrt{5}}{2}t^3 + t^4$
$\rho_7$	$\frac{3+\sqrt{5}}{2} + \frac{-1-\sqrt{5}}{2}t^3 + t^4$
$\rho_8$	$1 + \frac{1-\sqrt{5}}{2}t + t^2 + \frac{-1-\sqrt{5}}{2}t^3 + t^4$

TABLE 5. Twisted Alexander polynomials of  $G_2$ .

*Proof of Theorem 1.1.* Part (i) follows from Proposition 2.2. Since the mirror image of  $K_n$  is isotopic to  $R(1, -n-1, n, 1)$ , which is  $K_{-n-1}$ ; this implies Part (ii). By Lemma 3.7 (or also Proposition 4.1), the knot groups  $G_m$  and  $G_n$  are isomorphic if and only if either  $m = n$  or  $m + n = -1$ . This implies Part (iii) since  $K_0$  and  $K_{-1}$  are trivial.

In order to prove Part (iv) we prove  $K_n$  and  $K_{-n-1}$  are not isotopic. Suppose  $n > 0$ . By Proposition 4.1 the coefficients of the highest degree term and lowest degree term of the twisted Alexander polynomials of  $K_n$ ,  $\Delta_{G_n, \rho}(t)$ , are 1 and  $u/(\epsilon p + 2)$ , respectively. Since  $K_{-n-1}$  is the mirror image of  $K_n$ , the set of the twisted Alexander polynomials of  $K_{-n-1}$  consists of  $\Delta_{G_n, \rho}(t^{-1})$ , and so the coefficients of their highest degree terms are  $u/(\epsilon p + 2)$ , where  $p = 2 \cos(k\pi/(2n+1))$  and  $u$  is a root of Eq. (9). For  $p = p_0$  there are double roots  $u = u_1, u_2$  for Eq. (9) by Lemma 3.7, and so at least one of  $u_1/(\epsilon p_0 + 2)$  and  $u_2/(\epsilon p_0 + 2)$  does not equal to 1. Thus,  $K_n$  and  $K_{-n-1}$  have different twisted Alexander polynomials.  $\square$

Remark 4.8. Part (iii) of Theorem 1.1, the non-triviality of  $K_n$  ( $n \neq 0, -1$ ), also follows from [7].

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