

Continuous representations of semisimple Lie groups concerning homogeneous holomorphic vector bundles over elliptic adjoint orbits

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Preface

Our interest lies in continuous representations of real semisimple Lie groups concerning homogeneous holomorphic vector bundles over elliptic adjoint orbits, especially the representation $\varrho : G \rightarrow GL(\mathcal{V}_{G/L})$ below.

Let $G_{\mathbb{C}}$ be a connected complex semisimple Lie group, let G be a connected closed subgroup of $G_{\mathbb{C}}$ whose Lie algebra $\text{Lie}(G) = \mathfrak{g}$ is a real form of $\mathfrak{g}_{\mathbb{C}}$, and let T be a non-zero, element of \mathfrak{g} such that (1) the linear transformation $\text{ad } T : \mathfrak{g} \rightarrow \mathfrak{g}$, $X \mapsto [T, X]$, is semisimple and (2) all the eigenvalues of $\text{ad } T$ are purely imaginary. Consider the adjoint orbit $\text{Ad } G(T) = G/L$ of G through T , where $L := \{g \in G \mid \text{Ad } g(T) = T\}$. These T and G/L are called an *elliptic element* and an *elliptic adjoint orbit* (or an *elliptic orbit* for short), respectively. It is shown that G/L can be embedded into a complex flag manifold $G_{\mathbb{C}}/Q^{-}$ (which is also called a Kähler C-space or a generalized flag manifold) via $\iota : G/L \rightarrow G_{\mathbb{C}}/Q^{-}$, $gL \mapsto gQ^{-}$, and furthermore the image $\iota(G/L)$ is a domain in $G_{\mathbb{C}}/Q^{-}$. Identifying G/L with $\iota(G/L)$ we induce a G -invariant complex structure J on G/L from $G_{\mathbb{C}}/Q^{-}$. Then, the elliptic orbit G/L is a homogeneous complex manifold of G .

$$\begin{array}{ccc} \iota^{\sharp}(G_{\mathbb{C}} \times_{\rho} \mathbf{V}) & & G_{\mathbb{C}} \times_{\rho} \mathbf{V} \\ \downarrow & & \downarrow \\ G/L & \xrightarrow{\iota} & G_{\mathbb{C}}/Q^{-} \end{array}$$

Take a finite-dimensional complex vector space \mathbf{V} and a holomorphic homomorphism $\rho : Q^{-} \rightarrow GL(\mathbf{V})$, $q \mapsto \rho(q)$, where $GL(\mathbf{V})$ is the general linear group on \mathbf{V} . Denote by $G_{\mathbb{C}} \times_{\rho} \mathbf{V}$ the homogeneous holomorphic vector bundle over the complex flag manifold $G_{\mathbb{C}}/Q^{-}$ associated with ρ , and by $\iota^{\sharp}(G_{\mathbb{C}} \times_{\rho} \mathbf{V})$ its restriction to the domain $G/L \subset G_{\mathbb{C}}/Q^{-}$. In this setting, one may assume that

$$\mathcal{V}_{G/L} := \left\{ \psi : GQ^{-} \rightarrow \mathbf{V} \mid \begin{array}{l} \text{(i) } \psi \text{ is holomorphic,} \\ \text{(ii) } \psi(xq) = \rho(q)^{-1}(\psi(x)) \text{ for all } (x, q) \in GQ^{-} \times Q^{-} \end{array} \right\}$$

is the complex vector space of holomorphic cross-sections of the bundle $\iota^{\sharp}(G_{\mathbb{C}} \times_{\rho} \mathbf{V})$; and can define a continuous representation ϱ of G on $\mathcal{V}_{G/L}$ by

$$(\varrho(g)\psi)(x) := \psi(g^{-1}x) \text{ for } g \in G, \psi \in \mathcal{V}_{G/L}, \text{ and } x \in GQ^{-}.$$

Here the topology for $\mathcal{V}_{G/L}$ is the topology of uniform convergence on compact sets.

Notation

Throughout this note we utilize the following notation, where G is a Lie group and \mathfrak{g} is a Lie algebra:

- (n1) $\text{Lie}(G)$: the Lie algebra of G , i.e., the real Lie algebra of left invariant vector fields on G ,
- (n2) G_0 : the identity component of G ,
- (n3) L_g (resp. R_g) : the left (resp. right) translation of G by an element $g \in G$,
- (n4) ad , Ad : the adjoint representation of a Lie algebra, a Lie group,
- (n5) $Z(G)$: the center of G ,
- (n6) $C_G(A) := \{g \in G \mid gag^{-1} = a \text{ for all } a \in A\}$ for a subset $A \subset G$,
- (n7) $C_G(\mathfrak{a}) := \{g \in G \mid \text{Ad } g(X) = X \text{ for all } X \in \mathfrak{a}\}$ for a subset $\mathfrak{a} \subset \text{Lie}(G)$,

(n8) $C_G(X) := \{g \in G \mid \text{Ad } g(X) = X\}$ for an element $X \in \text{Lie}(G)$,

(n9) $N_G(\mathfrak{m}) := \{g \in G \mid \text{Ad } g(\mathfrak{m}) \subset \mathfrak{m}\}$ for a vector subspace $\mathfrak{m} \subset \text{Lie}(G)$,

(n10) $\mathfrak{c}_{\mathfrak{g}}(X) := \{Z \in \mathfrak{g} \mid \text{ad } X(Z) = 0\}$ for an element $X \in \mathfrak{g}$, which is the kernel of the linear mapping $\text{ad } X : \mathfrak{g} \rightarrow \mathfrak{g}$,

(n11) $\text{ad } X(\mathfrak{g})$: the image of a linear mapping $\text{ad } X : \mathfrak{g} \rightarrow \mathfrak{g}$,

(n12) $B_{\mathfrak{g}}$: the Killing form of \mathfrak{g} ,

(n13) $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$: the sets of natural numbers, integers, rational numbers, real numbers, complex numbers, respectively, where \mathbb{N} does not contain the zero,

(n14) $\mathbb{Z}_{\geq 0}$: the set of non-negative integers,

(n15) \mathbb{R}^+ : the set of positive real numbers,

(n16) $\mathbb{K} = \mathbb{R}$ or \mathbb{C} ,

(n17) $GL(\mathbb{V})$: the general linear group on a vector space \mathbb{V} over \mathbb{K} ,

(n18) $\mathfrak{m} \oplus \mathfrak{n}$: the direct sum of vector spaces \mathfrak{m} and \mathfrak{n} ,

(n19) $A \amalg B$: the disjoint union of sets A and B ,

(n20) $\mathcal{C}^\infty(M)$: the associative algebra of real-valued smooth functions on a smooth manifold M ,

(n21) $T_p M$: the tangent vector space of a smooth manifold M at a point $p \in M$,

(n22) $\mathfrak{X}(M)$: the real Lie algebra of smooth vector fields on a smooth manifold M , which is also a $\mathcal{C}^\infty(M)$ -module,

(n23) $f|_A$: the restriction of a mapping f to a set A ,

(n24) id_A or id : the identity mapping of a set A ,

(n25) c_A : the characteristic function of a set A ,

(n26) \overline{A}^X or \overline{A} : the closure of a subset A in a topological space X .

In addition, for a Lie group G we usually denote its Lie algebra by the corresponding Fraktur small letter \mathfrak{g} .

Remark

We say that a Lie group G is *semisimple*, *nilpotent*, or *parabolic*, respectively, whenever the Lie algebra \mathfrak{g} is.

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Chapter 1

Homogeneous spaces

In this chapter we review fundamental facts about homogeneous spaces. We deal with (real) homogeneous spaces in Section 1.1 and complex homogeneous spaces in Section 1.2. Finally in Section 1.3 we show that homogeneous spaces are principal fiber bundles.

1.1 Real case

Let G be a (real) Lie group which satisfies the second countability axiom, and let H be a closed subgroup of G . Consider the left quotient space $G/H = \{gH \mid g \in G\}$ of G by H and define a surjective mapping $\pi : G \rightarrow G/H$ (which is called the *projection* of G onto G/H) as follows:

$$\pi(g) := gH \text{ for } g \in G. \quad (1.1.1)$$

Provide G/H with the quotient topology relative to this π . Then, G/H is called a *homogeneous space*, and one has

Theorem 1.1.2. *There exists a real analytic structure $\mathcal{S} = \{(U_\alpha, \psi_\alpha)\}_{\alpha \in A}$ on the homogeneous space G/H so that*

- (1) $\pi : G \rightarrow G/H$, $g \mapsto gH$, is a surjective, open, real analytic mapping,
- (2) $\mu : G \times G/H \rightarrow G/H$, $(g_1, g_2H) \mapsto g_1g_2H$, is a real analytic mapping.

Moreover, for each $\alpha \in A$ there exists a real analytic mapping $\sigma_\alpha : U_\alpha \rightarrow G$ such that $\pi(\sigma_\alpha(x)) = x$ for all $x \in U_\alpha$.

The main purpose of this section is to demonstrate Theorem 1.1.2.

Remark 1.1.3. The condition (2) in Theorem 1.1.2 implies that $\pi : G \rightarrow G/H$ is real analytic, since $\pi(g) = \mu(g, \pi(e))$ for all $g \in G$. Here, e is the unit element of G .

Remark 1.1.4 (Uniqueness).

- (i) Suppose G/H to admit another real analytic structure \mathcal{S}' so that $\mu : G \times G/H \rightarrow G/H$, $(g_1, g_2H) \mapsto g_1g_2H$, is real analytic, where the topology for G/H is the quotient one relative to π . Then, $(G/H, \mathcal{S})$ is G -equivariant real analytic diffeomorphic to $(G/H, \mathcal{S}')$ via the identity mapping of G/H . cf. Subsection 1.1.4.
- (ii) Let $r \in \mathbb{N} \cup \{0, \infty, \omega\}$. Suppose that G acts transitively on a differentiable manifold M of class C^r as a differentiable transformation group of class C^r , $G \times M \ni (g, x) \mapsto g \cdot x \in M$. Let us denote by H' the isotropy subgroup of G at an $x_0 \in M$. Then, $G/H' \ni gH' \mapsto g \cdot x_0 \in M$ is a G -equivariant diffeomorphism of class C^r of $G/H' = (G/H', \mathcal{S})$ onto M .¹

1.1.1 Topological properties of G/H

Recall that the topology for G/H is the quotient topology relative to π .

Lemma 1.1.5.

- (1) $\pi : G \rightarrow G/H$, $g \mapsto gH$, is a surjective, open, continuous mapping.

¹e.g. 定理 3.7.11 in 杉浦 [34, p.131].

(2) For any open subset $U \subset G/H$, there exists an open subset $O \subset G$ such that $\pi(O) = U$.

Proof. (1). It suffices to confirm that $\pi : G \rightarrow G/H$, $g \mapsto gH$, is an open mapping. For any open subset O' of G , we deduce

$$\pi^{-1}(\pi(O')) = O'H = \bigcup_{h \in H} R_h(O')$$

by a direct computation, where R_h stands for the right translation of the Lie group G by h . Since each $R_h(O')$ is open in G , the union $\bigcup_{h \in H} R_h(O') = \pi^{-1}(\pi(O'))$ is also open in G . Therefore $\pi(O')$ is an open subset of G/H .

(2). Since $\pi : G \rightarrow G/H$ is continuous, $O := \pi^{-1}(U)$ is an open subset of G . Furthermore, one has $\pi(O) = U$ because $\pi : G \rightarrow G/H$ is surjective. \square

Lemma 1.1.6.

- (1) G/H is a Hausdorff space.
- (2) $\mu : G \times G/H \rightarrow G/H$, $(g_1, g_2H) \mapsto g_1g_2H$, is a continuous mapping.
- (3) G/H satisfies the second countability axiom.

Proof. (1) follows by H being a closed subset of G and Lemma 1.1.5-(1).

(2). Take any $(g_1, g_2H) \in G \times G/H$ and any open neighborhood U of $\mu(g_1, g_2H) = \pi(g_1g_2) \in G/H$. Lemma 1.1.5-(1) implies that $\pi^{-1}(U)$ is an open neighborhood of $g_1g_2 \in G$, so that there exist open subsets $O_1, O_2 \subset G$ satisfying $g_1 \in O_1$, $g_2 \in O_2$ and $O_1O_2 \subset \pi^{-1}(U)$. Then, $O_1 \times \pi(O_2)$ is an open neighborhood of $(g_1, g_2H) \in G \times G/H$, and it follows that $\mu(O_1 \times \pi(O_2)) \subset U$.

(3). Since G satisfies the second countability axiom, there exists a countable open base $\{O_n\}_{n \in \mathbb{N}}$ for the topological space G . Lemma 1.1.5 implies that $\{\pi(O_n)\}_{n \in \mathbb{N}}$ is a countable open base for the topological space G/H . \square

Lemma 1.1.6-(2) leads to

Corollary 1.1.7. Fix a $g \in G$ and define a transformation τ_g of G/H by

$$\tau_g(aH) := gaH \text{ for } aH \in G/H.$$

Then for each $g \in G$, τ_g is a homeomorphic transformation of G/H , and $\tau_g \circ \pi = \pi \circ L_g$ on G . Here L_g stands for the left translation of the Lie group G by g .

1.1.2 Local cross-sections

Choose a real vector subspace $\mathfrak{m} \subset \mathfrak{g}$ such that

$$\mathfrak{g} = \mathfrak{m} \oplus \mathfrak{h},$$

and define a real analytic mapping $\varphi : \mathfrak{m} \times \mathfrak{h} \rightarrow G$ by $\varphi(X, Y) := \exp X \exp Y$ for $(X, Y) \in \mathfrak{m} \times \mathfrak{h}$. Then,

Lemma 1.1.8. There exist two open neighborhoods V_1 of $0 \in \mathfrak{m}$ and B_1 of $0 \in \mathfrak{h}$ such that

- (1) $\varphi : (X, Y) \mapsto \exp X \exp Y$ is a real analytic diffeomorphism of $V_1 \times B_1$ onto an open neighborhood of $e \in G$,
- (2) $\exp B_1$ is an open neighborhood of $e \in H$.

Proof. It turns out that $\varphi(0, 0) = e$ and the differential $(d\varphi)_{(0,0)}$ of φ at $(0, 0)$ is a real linear isomorphism of the tangent vector space $T_{(0,0)}(\mathfrak{m} \times \mathfrak{h})$ onto T_eG . Thus the inverse mapping theorem assures the existence of open neighborhoods V_1 of $0 \in \mathfrak{m}$ and B_1 of $0 \in \mathfrak{h}$ satisfying (1); besides, one may assume that the (2) holds for this B_1 by substituting a sufficiently small open neighborhood B'_1 of $0 \in \mathfrak{h}$ for B_1 (if necessary). \square

Let V_1, B_1 have the properties in Lemma 1.1.8. In this setting, we assert

Proposition 1.1.9. There exists an open neighborhood V of $0 \in \mathfrak{m}$ so that

- (1) $0 \in V \subset V_1$,
- (2) $N := \exp V$ is a regular submanifold of G ,

(3) $\exp : V \rightarrow N$ is a real analytic diffeomorphism,

(4) $\pi(N)$ is an open subset of G/H ,

(5) $\pi : N \rightarrow \pi(N)$ is homeomorphic.

Proof. Taking Lemma 1.1.8-(2) and the topology for H into account, we see that there exists an open neighborhood O of $e \in G$ satisfying

$$\exp B_1 = (O \cap H). \quad (\text{a})$$

Since the mapping $G \times G \ni (g_1, g_2) \mapsto g_1^{-1}g_2 \in G$ is continuous, one can choose a compact subset $C \subset V_1$ containing an open neighborhood of $0 \in \mathfrak{m}$ and satisfying

$$\exp(-C) \exp C \subset O. \quad (\text{b})$$

In this setting, $\pi : \exp C \rightarrow \pi(\exp C)$ is a homeomorphism because if $\pi(\exp X_1) = \pi(\exp X_2)$ with $X_1, X_2 \in C$, then it follows from (b), (a) that $\exp(-X_2)\exp X_1 \in (O \cap H) = \exp B_1$. This and Lemma 1.1.8-(1) yield $X_1 = X_2$; consequently $\pi : \exp C \rightarrow \pi(\exp C)$ is injective, and so it is homeomorphic due to Lemma 1.1.6-(1).

Now, let V be an open neighborhood of $0 \in \mathfrak{m}$ such that $V \subset C$, and let $N := \exp V$. Then, it turns out that

(i) $0 \in V \subset C \subset V_1$,

(ii) $V \times B_1$ is an open neighborhood of $(0, 0) \in V_1 \times B_1$ (\cdot : (i)),

(iii) $\varphi(V \times B_1)$ is an open neighborhood of $e \in G$ (\cdot : (ii), Lemma 1.1.8-(1)),

(iv) $\varphi : V \times B_1 \rightarrow \varphi(V \times B_1)$, $(X, Y) \mapsto \exp X \exp Y$, is a real analytic diffeomorphism (\cdot : (ii), Lemma 1.1.8-(1)),

(v) $V \times \{0\}$ is a regular submanifold of $V \times B_1$,

(vi) $N = \exp V = \varphi(V \times \{0\})$ is a regular submanifold of $\varphi(V \times B_1)$ (\cdot : (iv), (v)),

(vii) $\varphi : V \times \{0\} \rightarrow N$, $(X, 0) \mapsto \exp X$, is a real analytic diffeomorphism (\cdot : (iv), (v), (vi)).

Therefore (1), (2) and (3) hold for the V . From $\exp B_1 \subset H$ we obtain

$$\pi(\varphi(V \times B_1)) = \pi(N \exp B_1) = \pi(N),$$

which assures (4) because the subset $\varphi(V \times B_1) \subset G$ is open and the projection $\pi : G \rightarrow G/H$ is an open mapping. The last (5) comes from $N \subset \exp C$ and $\pi : \exp C \rightarrow \pi(\exp C)$ being homeomorphic. \square

Let V have the properties in Proposition 1.1.9, and let $N := \exp V$. Proposition 1.1.9-(4) and Lemma 1.1.5-(1) imply that $\pi^{-1}(\pi(N)) = (\exp V)H$ is an open neighborhood of $e \in G$. For any $g \in (\exp V)H$ there exists a unique $(X, h) \in V \times H$ satisfying

$$g = (\exp X)h$$

because $\pi(g) = \pi(\exp X) \in \pi(N)$ and Proposition 1.1.9-(5), (3) yield $(\exp|_V)^{-1}((\pi|_N)^{-1}(\pi(g))) = X$; therefore X is uniquely determined by g , and so is h . Then, one can define a mapping $\chi : (\exp V)H \rightarrow V$ as follows:

$$\chi(g) := X \quad (1.1.10)$$

for $g = (\exp X)h \in (\exp V)H$ with $(X, h) \in V \times H$.

Lemma 1.1.11. *The above $\chi : (\exp V)H \rightarrow V$, $g \mapsto \chi(g)$, is a real analytic mapping such that*

(1) $\chi = \chi \circ R_h$ for all $h \in H$,

(2) $\pi(g) = \pi(\exp \chi(g))$ for all $g \in (\exp V)H$.

Here we refer to Proposition 1.1.9 for V .

Proof. From the definition (1.1.10) of χ it is immediate that (1) and (2) hold for χ . Let us prove that $\chi : (\exp V)H \rightarrow V$ is real analytic. In view of Lemma 1.1.8 we see that

(i) $W := \exp V \exp B_1$ is an open neighborhood of $e \in G$,

(ii) $\varphi : V \times B_1 \rightarrow W$, $(X, Y) \mapsto \exp X \exp Y$, is a real analytic diffeomorphism,

(iii) $W \subset (\exp V)H$.

Take any $g = (\exp X)h \in (\exp V)H$ with $(X, h) \in V \times H$. It is natural that $R_{h^{-1}}(g) \in \exp V \subset W$, and hence there exists an open neighborhood O of $g \in (\exp V)H$ such that

$$R_{h^{-1}}(O) \subset W,$$

where we recall that $(\exp V)H$ is an open subset of G . Considering a real analytic mapping $\text{proj} : V \times B_1 \rightarrow V$, $(X, Y) \mapsto X$, we conclude that $\text{proj} \circ \varphi^{-1} : W \rightarrow V$ is a real analytic mapping. Therefore

$$\text{proj} \circ \varphi^{-1} \circ R_{h^{-1}} : O \rightarrow V \text{ is a real analytic mapping}$$

because the right translation $R_{h^{-1}} : G \rightarrow G$ is real analytic. This enables us to conclude that $\chi : O \rightarrow V$ is real analytic, because (iii), $\text{proj} \circ \varphi^{-1} = \chi$ on W and $\chi = \chi \circ R_{h^{-1}}$ on $(\exp V)H$ imply that $\chi = \text{proj} \circ \varphi^{-1} \circ R_{h^{-1}}$ on O . \square

1.1.3 Proof of Theorem 1.1.2

From now on, let us demonstrate Theorem 1.1.2.

Proof of Theorem 1.1.2. Take an open neighborhood V of $0 \in \mathfrak{m}$ having the properties in Proposition 1.1.9, and put $N := \exp V$. Proposition 1.1.9-(4), (5), (3) enables us to define an open neighborhood U of $\pi(e) \in G/H$ by

$$U := \pi(N),$$

and moreover, define two homeomorphisms $\sigma : U \rightarrow N$ and $\psi : U \rightarrow V$ by

$$\sigma := (\pi|_N)^{-1}, \quad \psi := (\exp|_V)^{-1} \circ \sigma, \tag{a}$$

respectively.

$$\begin{array}{ccc} \mathfrak{m} \supset V & \begin{array}{c} \xrightarrow{\exp} \\ \xleftarrow{(\exp|_V)^{-1}} \end{array} & N = \exp V \subset G \\ & \searrow \psi & \begin{array}{c} \uparrow \sigma = (\pi|_N)^{-1} \\ \downarrow \pi \end{array} \\ & & U = \pi(N) \subset G/H \end{array}$$

Let us fix a real basis $\{X_i\}_{i=1}^n$ of the vector space \mathfrak{m} , identify \mathfrak{m} with \mathbb{R}^n , and set

$$U_g := \tau_g(U), \quad \psi_g(x) := \psi(\tau_g^{-1}(x)) \text{ for } x \in U_g \quad (g \in U). \tag{b}$$

Then, Lemma 1.1.6-(1) and Corollary 1.1.7 imply that

1. G/H is an n -dimensional topological manifold,
2. each pair (U_g, ψ_g) is a coordinate neighborhood of G/H with $\pi(g) \in U_g$ ($g \in G$),
3. $\mathcal{S} := \{(U_g, \psi_g)\}_{g \in G}$ is an atlas of G/H .

Our first aim is to show that

$$\text{the above } \mathcal{S} = \{(U_g, \psi_g)\}_{g \in G} \text{ defines a real analytic structure in } G/H. \tag{1}$$

Suppose that $U_{g_1} \cap U_{g_2} \neq \emptyset$ ($g_1, g_2 \in G$). For any $X \in \psi_{g_2}(U_{g_1} \cap U_{g_2}) \subset V$, it follows from $\tau_{g_2} \circ \pi = \pi \circ L_{g_2}$, $N = \exp V$, (a) and (b) that $\pi(g_2 \exp X) = \psi_{g_2}^{-1}(X) \in U_{g_1} \cap U_{g_2}$, so that $\pi(g_1^{-1}g_2 \exp X) \in \tau_{g_1^{-1}}(U_{g_1} \cap U_{g_2}) \subset U = \pi(N)$; and furthermore, $g_1^{-1}g_2 \exp X \in \pi^{-1}(U) = (\exp V)H$ and Lemma 1.1.11-(2) yield

$$\begin{aligned} (\psi_{g_1} \circ \psi_{g_2}^{-1})(X) &= \psi(\pi(g_1^{-1}g_2 \exp X)) = \psi(\pi(\exp \chi(g_1^{-1}g_2 \exp X))) \\ &= ((\exp|_V)^{-1} \circ (\pi|_N)^{-1})(\pi(\exp \chi(g_1^{-1}g_2 \exp X))) = (\exp|_V)^{-1}(\exp \chi(g_1^{-1}g_2 \exp X)) \\ &= \chi(g_1^{-1}g_2 \exp X) = (\chi \circ L_{g_1^{-1}g_2} \circ (\exp|_V))(X). \end{aligned}$$

Accordingly $\psi_{g_1} \circ \psi_{g_2}^{-1} = \chi \circ L_{g_1^{-1}g_2} \circ (\exp|_V)$, and thus $\psi_{g_1} \circ \psi_{g_2}^{-1} : \psi_{g_2}(U_{g_1} \cap U_{g_2}) \rightarrow \psi_{g_1}(U_{g_1} \cap U_{g_2})$ is real analytic, because all the mappings $\chi : \pi^{-1}(U) \rightarrow V$, $L_{g_1^{-1}g_2} : G \rightarrow G$ and $\exp : V \rightarrow N$ are real analytic due to Lemma 1.1.11, Proposition 1.1.9-(3). We have shown ①. Henceforth, G/H is a real analytic manifold having the atlas $\mathcal{S} = \{(U_g, \psi_g)\}_{g \in G}$.

Our second aim is to verify that

$$\pi : G \rightarrow G/H, g \mapsto gH, \text{ is a surjective, open, real analytic mapping.} \quad \textcircled{2}$$

By virtue of Lemma 1.1.5-(1) it suffices to verify that the projection $\pi : G \rightarrow G/H$ is real analytic. Let B_1 denote the open neighborhood of $0 \in \mathfrak{h}$ given in Lemma 1.1.8, and let $W := \exp V \exp B_1$. Here, we know that W is an open neighborhood of $e \in G$ and $\varphi : V \times B_1 \rightarrow W$, $(X, Y) \mapsto \exp X \exp Y$, is a real analytic diffeomorphism (cf. the proof of Lemma 1.1.11). For an arbitrary $g \in G$, it follows that

4. gW is an open neighborhood of $g \in G$,
5. $\pi(gW) \subset U_g$,
6. $(gW, \varphi^{-1} \circ L_{g^{-1}})$ is a coordinate neighborhood of G ,

where we identify \mathfrak{h} with \mathbb{R}^k by fixing a real basis $\{Y_j\}_{j=1}^k \subset \mathfrak{h}$. For any $(X, Y) \in (\varphi^{-1} \circ L_{g^{-1}})(gW) \subset V \times B_1$ we obtain

$$(\psi_g \circ \pi \circ (\varphi^{-1} \circ L_{g^{-1}})^{-1})(X, Y) = \psi_g(\pi(g \exp X \exp Y)) = X$$

from (b) and (a). Consequently $\psi_g \circ \pi \circ (\varphi^{-1} \circ L_{g^{-1}})^{-1} : (\varphi^{-1} \circ L_{g^{-1}})(gW) \rightarrow \psi_g(U_g)$, $(X, Y) \mapsto X$, is real analytic, and so $\pi : gW \rightarrow G/H$ is real analytic.

Now, let us define a continuous mapping $\sigma_g : U_g \rightarrow G$ by

$$\sigma_g(x) := L_g(\sigma(\tau_g^{-1}(x))) \text{ for } x \in U_g \text{ (} g \in G\text{)}. \quad \textcircled{c}$$

Our third aim is to prove the following proposition: for each $g \in G$

$$\sigma_g : U_g \rightarrow G \text{ is a real analytic mapping such that } \sigma_g(U_g) \subset gW \text{ and } \pi \circ \sigma_g = \text{id on } U_g. \quad \textcircled{3}$$

It is immediate from (c) and (b) that $\sigma_g(U_g) = L_g(\sigma(U)) \subset L_g(N) \subset gW$. For any $x \in U_g = \tau_g(U)$, there exists an $X \in V$ satisfying $x = \tau_g(\pi(\exp X))$, and then it follows from (c) and (a) that $\pi(\sigma_g(x)) = \pi(L_g(\sigma(\pi(\exp X)))) = \pi(g \exp X) = x$; hence $\pi \circ \sigma_g = \text{id on } U_g$. Let us demonstrate that $\sigma_g : U_g \rightarrow G$ is real analytic. For any $X \in \psi_g(U_g) \subset V$, we deduce

$$((\varphi^{-1} \circ L_{g^{-1}}) \circ \sigma_g \circ \psi_g^{-1})(X) = ((\varphi^{-1} \circ L_{g^{-1}}) \circ \sigma_g)(\pi(g \exp X)) = (\varphi^{-1} \circ L_{g^{-1}})(g \exp X) = (X, 0)$$

by (b), (a) and (c). This implies that $(\varphi^{-1} \circ L_{g^{-1}}) \circ \sigma_g \circ \psi_g^{-1} : \psi_g(U_g) \rightarrow (\varphi^{-1} \circ L_{g^{-1}})(gW)$, $X \mapsto (X, 0)$, is real analytic, so that $\sigma_g : U_g \rightarrow G$ is a real analytic mapping.

Our last aim is to conclude that

$$\mu : G \times G/H \rightarrow G/H, (g_1, g_2H) \mapsto g_1g_2H, \text{ is a real analytic mapping.} \quad \textcircled{4}$$

We denote by f the multiplication in G , namely $f : G \times G \rightarrow G$, $(g_1, g_2) \mapsto g_1g_2$. Let us take any $(g_1, g_2H) \in G \times G/H$. Then, $G \times U_{g_2}$ is an open neighborhood of $(g_1, g_2H) \in G \times G/H$. For any $(g, x) \in G \times U_{g_2}$ we assert that

$$\pi(f(g, \sigma_{g_2}(x))) = \pi(g\sigma_{g_2}(x)) = \tau_g(\pi(\sigma_{g_2}(x))) = \tau_g(x) = \mu(g, x)$$

because of $\pi \circ \sigma_{g_2} = \text{id on } U_{g_2}$. This assures that $\mu : G \times U_{g_2} \rightarrow G/H$, $(g, x) \mapsto \mu(g, x)$, is a real analytic mapping, since all the mappings $\pi : G \rightarrow G/H$, $f : G \times G \rightarrow G$ and $\sigma_{g_2} : U_{g_2} \rightarrow G$ are real analytic due to ②, ③.

Theorem 1.1.2 comes from ①, ②, ③ and ④. □

Lemma 1.1.6-(3) and the proof of Theorem 1.1.2 lead to

Corollary 1.1.12. *The homogeneous space G/H is an n -dimensional real analytic manifold which satisfies the second countability axiom, where $n = \dim_{\mathbb{R}} G - \dim_{\mathbb{R}} H$.*

The following lemma will be needed later (e.g. Chapter 9):

Lemma 1.1.13. Equip the homogeneous space G/H with the real analytic structure \mathcal{S} in Theorem 1.1.2, and define a mapping $F : \mathfrak{g} \rightarrow T_{\pi(e)}(G/H)$ by

$$F(X) := (d\pi)_e X_e \text{ for } X \in \mathfrak{g}.$$

Then, F is a surjective, linear mapping and \mathfrak{h} coincides with the kernel $\ker(F)$.

Proof. It is clear that $F : \mathfrak{g} \rightarrow T_{\pi(e)}(G/H)$, $X \mapsto (d\pi)_e X_e$, is a linear mapping. In the proof of Theorem 1.1.2 we have shown that $\pi : G \rightarrow G/H$ is real analytic. By the arguments we conclude that the linear mapping $(d\pi)_e : T_e G \rightarrow T_{\pi(e)}(G/H)$, $v \mapsto (d\pi)_e v$, is surjective. Accordingly F is surjective linear because the mapping $\mathfrak{g} \ni X \mapsto X_e \in T_e G$ is a linear isomorphism.

Now, let us prove that $\mathfrak{h} = \ker(F)$. For any $Z \in \mathfrak{h}$ and $f \in C^\infty(G/H)$ one obtains $((d\pi)_e Z_e) f = d/dt|_{t=0} f(\pi(\exp tZ)) = d/dt|_{t=0} f(\pi(e)) = 0$; and hence $F(Z) = (d\pi)_e Z_e = 0$. This gives rise to

$$\mathfrak{h} \subset \ker(F).$$

Furthermore, since $F : \mathfrak{g} \rightarrow T_{\pi(e)}(G/H)$ is surjective linear, Corollary 1.1.12 implies that

$$\dim_{\mathbb{R}} \ker(F) = \dim_{\mathbb{R}} \mathfrak{g} - \dim_{\mathbb{R}} T_{\pi(e)}(G/H) = \dim_{\mathbb{R}} \mathfrak{h},$$

so that $\mathfrak{h} = \ker(F)$ holds. □

1.1.4 Supplementation

Let us confirm the proposition in Remark 1.1.4-(i) for the sake of completeness.

Suppose G/H to admit another real analytic structure \mathcal{S}' so that $\mu : G \times G/H \rightarrow G/H$, $(g_1, g_2H) \mapsto g_1 g_2 H$, is real analytic, where the topology for G/H is the quotient one relative to π . We denote by M the real analytic manifold G/H having the atlas \mathcal{S}' . Since the topology for G/H is the same as that for M , the identity mapping $\text{id} : G/H \rightarrow M$ is a G -equivariant homeomorphism. For any $p \in G/H$, Theorem 1.1.2 allows us to have an open neighborhood U_p of $p \in G/H$ and a real analytic mapping $\sigma_p : U_p \rightarrow G$ such that $\pi(\sigma_p(x)) = x$ for all $x \in U_p$. Then, $\text{id} = \pi \circ \sigma_p$ on U_p , which implies that $\text{id} : U_p \rightarrow M$ is real analytic because $\pi : G \rightarrow M$ is real analytic (cf. Remark 1.1.3). Consequently $\text{id} : G/H \rightarrow M$ is G -equivariant homeomorphic and real analytic. Since $\text{id} : G/H \rightarrow M$ is real analytic, one can consider the differential of id at each point, which is a real linear isomorphism. Therefore the inverse mapping theorem assures that the inverse mapping $\text{id} : M \rightarrow G/H$ is also real analytic. For this reason $G/H = (G/H, \mathcal{S})$ is G -equivariant real analytic diffeomorphic to $M = (G/H, \mathcal{S}')$ via id .

1.2 Complex case

Let G, H be the same Lie groups as in Theorem 1.1.2. Suppose further that (s1) G is a complex Lie group and (s2) H is a complex Lie subgroup of G . Then, one can show

Theorem 1.2.1. *There exists a holomorphic structure $\mathcal{S} = \{(U_\alpha, \psi_\alpha)\}_{\alpha \in A}$ on the homogeneous space G/H so that*

- (1) $\pi : G \rightarrow G/H$, $a \mapsto aH$, is a surjective, open, holomorphic mapping,
- (2) $\mu : G \times G/H \rightarrow G/H$, $(a_1, a_2H) \mapsto a_1 a_2 H$, is a holomorphic mapping.

Moreover, for each $\alpha \in A$ there exists a holomorphic mapping $\sigma_\alpha : U_\alpha \rightarrow G$ such that $\pi(\sigma_\alpha(z)) = z$ for all $z \in U_\alpha$.

Proof. We get the conclusion by substituting the words “complex” for the words “real” in Subsections 1.1.2 and 1.1.3. □

Remark 1.2.2 (Uniqueness). Suppose G/H to admit another holomorphic structure \mathcal{S}' so that $\mu : G \times G/H \rightarrow G/H$, $(a_1, a_2H) \mapsto a_1 a_2 H$, is holomorphic, where the topology for G/H is the quotient one relative to π . Then, $(G/H, \mathcal{S})$ is G -equivariant biholomorphic to $(G/H, \mathcal{S}')$ via the identity mapping of G/H .

Remark 1.2.3. For a complex Lie group G satisfying the second countability axiom and a closed complex Lie subgroup H of G , we always consider the complex homogeneous space G/H to be a homogeneous complex manifold of G with respect to the invariant complex structure J induced by the \mathcal{S} in Theorem 1.2.1.

1.3 Principal fiber bundles and homogeneous spaces

Let G be a Lie group which satisfies the second countability axiom, and H a closed subgroup of G . Denote by π the projection of G onto G/H , and consider the homogeneous space G/H as a real analytic manifold having the atlas $\mathcal{S} = \{(U_\alpha, \psi_\alpha)\}_{\alpha \in A}$ in Theorem 1.1.2. In addition, let $\sigma_\alpha : U_\alpha \rightarrow G$ be the real analytic mapping in Theorem 1.1.2 ($\alpha \in A$). In this setting, we will show that this $(G, \pi, G/H)$ is a principal fiber bundle.

For an $\alpha \in A$, it follows that $\pi^{-1}(U_\alpha)$ is an open subset of G . Then we set

$$\zeta_\alpha(g) := (\sigma_\alpha(\pi(g)))^{-1}g \text{ for } g \in \pi^{-1}(U_\alpha). \quad (1.3.1)$$

Since $\pi(g) \in U_\alpha$ and $\pi(\sigma_\alpha(x)) = x$ for all $x \in U_\alpha$, it is natural that $\sigma_\alpha(\pi(g))H = \pi(\sigma_\alpha(\pi(g))) = \pi(g) = gH$, and therefore $\zeta_\alpha(g) = (\sigma_\alpha(\pi(g)))^{-1}g$ belongs to H . Moreover, the following lemma holds:

Lemma 1.3.2. *For each $\alpha \in A$, the $\zeta_\alpha : \pi^{-1}(U_\alpha) \rightarrow H$, $g \mapsto \zeta_\alpha(g)$, is a real analytic mapping such that*

- (1) $\zeta_\alpha(gh) = \zeta_\alpha(g)h$ for all $(g, h) \in \pi^{-1}(U_\alpha) \times H$,
- (2) $\zeta_\alpha(\sigma_\alpha(x)) = e$ for all $x \in U_\alpha$.

Now, we see that

1. H acts real analytically and freely on G to the right, $G \times H \ni (g, h) \mapsto R_h(g) = gh \in G$,
2. $R_{h_1 h_2}(g) = R_{h_2}(R_{h_1}(g))$ for all $h_1, h_2 \in H$ and $g \in G$,
3. $\pi : G \rightarrow G/H$, $g \mapsto gH$, is a surjective, real analytic mapping,
4. for given $g_1, g_2 \in G$, $\pi(g_1) = \pi(g_2)$ if and only if there exists an $h \in H$ such that $g_2 = R_h(g_1)$,
5. $\{U_\alpha : \alpha \in A\}$ is an open covering of G/H .

Furthermore, (1.3.1) and Lemma 1.3.2 enable one to see that for each $\alpha \in A$,

6. $\theta_\alpha : \pi^{-1}(U_\alpha) \rightarrow U_\alpha \times H$, $g \mapsto (\pi(g), \zeta_\alpha(g))$, is a real analytic diffeomorphism, $\theta_\alpha^{-1}(y, h) = \sigma_\alpha(y)h$ for all $(y, h) \in U_\alpha \times H$,
7. $\zeta_\alpha(gh) = R_h(\zeta_\alpha(g))$ for all $(g, h) \in \pi^{-1}(U_\alpha) \times H$.

These lead to

Proposition 1.3.3. *$(G, \pi, G/H)$ is a real analytic, principal fiber bundle over G/H with group H .*

Remark 1.3.4. The principal fiber bundle $(G, \pi, G/H)$ in Proposition 1.3.3 is able to be holomorphic, provided that the G is a complex Lie group and the H is a complex Lie subgroup of G .

Chapter 2

Homogeneous vector bundles over homogeneous spaces

In this chapter we deal with homogeneous vector bundles over homogeneous spaces. The setting of Chapter 2 is as follows:

- G is a Lie group which satisfies the second countability axiom,
- H is a closed subgroup of G ,
- π is the projection of G onto the left quotient space G/H ,
- $\mathcal{S} = \{(U_\alpha, \psi_\alpha)\}_{\alpha \in A}$ is the real analytic structure on G/H given in Theorem 1.1.2,
- $\sigma_\alpha : U_\alpha \rightarrow G$ is the real analytic mapping in Theorem 1.1.2 ($\alpha \in A$).

The topology for G/H is the quotient topology relative to $\pi : g \mapsto gH$, and the homogeneous space G/H is an n -dimensional real analytic manifold having the atlas \mathcal{S} .

2.1 Definition of homogeneous vector bundle

First of all, we are going to recall the definition of homogeneous vector bundle. Let \mathbf{V} be a finite-dimensional real vector space, and let $\rho : H \rightarrow GL(\mathbf{V})$, $h \mapsto \rho(h)$, be a continuous (group) homomorphism,¹ where we fix a real basis $\{\mathbf{e}_i\}_{i=1}^m$ of \mathbf{V} and identify \mathbf{V} with \mathbb{R}^m , and we consider the vector space \mathbf{V} and the general linear group $GL(\mathbf{V})$ as a real analytic manifold and a Lie group, respectively. For two elements $(g_1, \mathbf{v}_1), (g_2, \mathbf{v}_2) \in G \times \mathbf{V}$ we say that (g_1, \mathbf{v}_1) is *equivalent* to (g_2, \mathbf{v}_2) , if there exists an $h \in H$ satisfying

$$g_2 = g_1 h, \quad \mathbf{v}_2 = \rho(h)^{-1}(\mathbf{v}_1). \quad (2.1.1)$$

This gives rise to an equivalence relation on $G \times \mathbf{V}$. We denote by $[(g, \mathbf{v})]$ the equivalence class of an element $(g, \mathbf{v}) \in G \times \mathbf{V}$, put $G \times_\rho \mathbf{V} := \{[(g, \mathbf{v})] : (g, \mathbf{v}) \in G \times \mathbf{V}\}$, and define two surjective mappings $\varpi : G \times \mathbf{V} \rightarrow G \times_\rho \mathbf{V}$ and $\text{Pr} : G \times_\rho \mathbf{V} \rightarrow G/H$ by

$$\varpi(g, \mathbf{v}) := [(g, \mathbf{v})] \text{ for } (g, \mathbf{v}) \in G \times \mathbf{V}, \quad \text{Pr}([(g, \mathbf{v})]) := \pi(g) \text{ for } [(g, \mathbf{v})] \in G \times_\rho \mathbf{V}, \quad (2.1.2)$$

respectively. Provide $G \times_\rho \mathbf{V}$ with the quotient topology relative to this ϖ .

Definition 2.1.3 (cf. Bott [2, p.207]). In the setting above, $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ is called a *homogeneous vector bundle over G/H associated with ρ* or called an *associated fiber bundle of the principal fiber bundle $(G, \pi, G/H)$ with fiber \mathbf{V}* .

$$\begin{array}{ccc} G \times \mathbf{V} & \xrightarrow{\varpi} & G \times_\rho \mathbf{V} \\ & & \text{Pr} \downarrow \\ & & G/H \end{array}$$

We will confirm that

¹Remark. Since $\rho : H \rightarrow GL(\mathbf{V})$, $h \mapsto \rho(h)$, is a continuous homomorphism, it is a real analytic mapping. e.g. 定理 2.3.7 in 杉浦 [34, p.48].

1. $G \times_\rho \mathbf{V}$ is a real analytic manifold, cf. Section 2.2,
2. $(G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ is a fiber bundle with fiber \mathbf{V} and group $\rho(H) (\subset GL(\mathbf{V}))$, cf. Section 2.3.

In addition, we will study the real vector space $\Gamma^r(G \times_\rho \mathbf{V})$ of differentiable cross-sections of the bundle $(G \times_\rho \mathbf{V}, \text{Pr}, G/H)$, cf. Section 2.4.

2.2 Real analytic structures on homogeneous vector bundles

Our purpose of this section is to define a real analytic structure $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ on $G \times_\rho \mathbf{V}$. Here, $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ is a homogeneous vector bundle over G/H associated with ρ . In order to accomplish the purpose, we first define a real analytic mapping Φ_α needed later. By use of $\zeta_\alpha : \pi^{-1}(U_\alpha) \rightarrow H$ in (1.3.1), we define a real analytic mapping $\Phi_\alpha : \pi^{-1}(U_\alpha) \times \mathbf{V} \rightarrow U_\alpha \times \mathbf{V}$ as follows:

$$\Phi_\alpha(g, \mathbf{v}) := (\pi(g), \rho(\zeta_\alpha(g))\mathbf{v}) \text{ for } (g, \mathbf{v}) \in \pi^{-1}(U_\alpha) \times \mathbf{V} \quad (2.2.1)$$

($\alpha \in A$).

2.2.1 Topological properties of $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$

We want to deduce that $G \times_\rho \mathbf{V}$ is a topological manifold (see Proposition 2.2.9). Recalling that the topologies for $G \times_\rho \mathbf{V}$ and G/H are the quotient topologies relative to $\varpi : G \times \mathbf{V} \rightarrow G \times_\rho \mathbf{V}$, $(g, \mathbf{v}) \mapsto [(g, \mathbf{v})]$ and $\pi : G \rightarrow G/H$, $g \mapsto gH$, respectively, we first prove

Lemma 2.2.2. $\text{Pr} : G \times_\rho \mathbf{V} \rightarrow G/H$, $[(g, \mathbf{v})] \mapsto \pi(g)$, is a surjective, continuous mapping.

Proof. We only verify that $\text{Pr} : G \times_\rho \mathbf{V} \rightarrow G/H$ is continuous. Let U be any open subset of G/H . By a direct computation we obtain

$$\varpi^{-1}(\text{Pr}^{-1}(U)) = \pi^{-1}(U) \times \mathbf{V}; \quad (2.2.3)$$

besides, $\pi^{-1}(U) \times \mathbf{V}$ is an open subset of $G \times \mathbf{V}$. Hence $\varpi^{-1}(\text{Pr}^{-1}(U))$ is open in $G \times \mathbf{V}$, and so $\text{Pr}^{-1}(U)$ is open in $G \times_\rho \mathbf{V}$. \square

Corollary 2.2.4. $\{\text{Pr}^{-1}(U_\alpha) : \alpha \in A\}$ is an open covering of $G \times_\rho \mathbf{V}$.

Proof. Since $\{U_\alpha : \alpha \in A\}$ is an open covering of G/H , Lemma 2.2.2 enables us to get the conclusion. \square

For an $\alpha \in A$, it follows from $\text{Pr}([(g, \mathbf{v})]) = \pi(g)$ that $\pi(g) \in U_\alpha$ for all $[(g, \mathbf{v})] \in \text{Pr}^{-1}(U_\alpha)$. Then one can set

$$\phi_\alpha([(g, \mathbf{v})]) := (\pi(g), \rho(\zeta_\alpha(g))\mathbf{v}) \text{ for } [(g, \mathbf{v})] \in \text{Pr}^{-1}(U_\alpha). \quad (2.2.5)$$

Here it is necessary to confirm that this (2.2.5) is well-defined. Let us confirm that. Suppose that (g_1, \mathbf{v}_1) is equivalent to (g_2, \mathbf{v}_2) with $[(g_1, \mathbf{v}_1)] \in \text{Pr}^{-1}(U_\alpha)$. By the definition (2.1.1) of equivalence relation, there exists an $h \in H$ such that $g_2 = g_1h$, $\mathbf{v}_2 = \rho(h)^{-1}(\mathbf{v}_1)$. In this case it turns out that $\pi(g_2) = \pi(g_1h) = \pi(g_1)$. Moreover, Lemma 1.3.2-(1) implies

$$\rho(\zeta_\alpha(g_2))\mathbf{v}_2 = \rho(\zeta_\alpha(g_1h))\mathbf{v}_2 = \rho(\zeta_\alpha(g_1)h)\mathbf{v}_2 = \rho(\zeta_\alpha(g_1))(\rho(h)\mathbf{v}_2) = \rho(\zeta_\alpha(g_1))\mathbf{v}_1$$

since $\rho : H \rightarrow GL(\mathbf{V})$ is a homomorphism. Therefore (2.2.5) is well-defined. Now, let us prove

Proposition 2.2.6. For each $\alpha \in A$, the mapping $\phi_\alpha : \text{Pr}^{-1}(U_\alpha) \rightarrow U_\alpha \times \mathbf{V}$, $[(g, \mathbf{v})] \mapsto (\pi(g), \rho(\zeta_\alpha(g))\mathbf{v})$, is a homeomorphism. In addition, $\phi_\alpha^{-1}(x, \mathbf{v}) = [(\sigma_\alpha(x), \mathbf{v})]$ for all $(x, \mathbf{v}) \in U_\alpha \times \mathbf{V}$. cf. (1.3.1).

Proof. Let $\phi'_\alpha(x, \mathbf{v}) := [(\sigma_\alpha(x), \mathbf{v})]$ for $(x, \mathbf{v}) \in U_\alpha \times \mathbf{V}$.

(Bijective). For any $[(g, \mathbf{v})] \in \text{Pr}^{-1}(U_\alpha)$ we have

$$\begin{aligned} \phi'_\alpha(\phi_\alpha([(g, \mathbf{v})])) &= \phi'_\alpha(\pi(g), \rho(\zeta_\alpha(g))\mathbf{v}) = [(\sigma_\alpha(\pi(g)), \rho(\zeta_\alpha(g))\mathbf{v})] \stackrel{(1.3.1)}{=} [(\sigma_\alpha(\pi(g)), \rho((\sigma_\alpha(\pi(g)))^{-1}g)\mathbf{v})] \\ &\stackrel{(2.1.1)}{=} [(g, \mathbf{v})]. \end{aligned}$$

For any $(x, \mathbf{v}) \in U_\alpha \times \mathbf{V}$ we deduce

$$\phi_\alpha(\phi'_\alpha(x, \mathbf{v})) = \phi_\alpha([(\sigma_\alpha(x), \mathbf{v})]) = (\pi(\sigma_\alpha(x)), \rho(\zeta_\alpha(\sigma_\alpha(x)))\mathbf{v}) = (x, \mathbf{v})$$

by $\pi \circ \sigma_\alpha = \text{id}$ on U_α and Lemma 1.3.2-(2). Therefore ϕ_α is bijective and $\phi_\alpha^{-1} = \phi'_\alpha$.

(Continuous 1). Let us show that $\phi_\alpha : \text{Pr}^{-1}(U_\alpha) \rightarrow U_\alpha \times \mathbf{V}$, $[(g, \mathbf{v})] \mapsto (\pi(g), \rho(\zeta_\alpha(g))\mathbf{v})$, is continuous. It follows from (2.2.3) that $\varpi(\pi^{-1}(U_\alpha) \times \mathbf{V}) \subset \text{Pr}^{-1}(U_\alpha)$, and it follows from (2.1.2), (2.2.1) and (2.2.5) that

$$\Phi_\alpha = \phi_\alpha \circ \varpi$$

on $\pi^{-1}(U_\alpha) \times \mathbf{V}$. Consequently $\phi_\alpha : \text{Pr}^{-1}(U_\alpha) \rightarrow U_\alpha \times \mathbf{V}$ is continuous, because $\Phi_\alpha : \pi^{-1}(U_\alpha) \times \mathbf{V} \rightarrow U_\alpha \times \mathbf{V}$ is continuous and the topology for $G \times_\rho \mathbf{V}$ is the quotient topology relative to ϖ .

(Continuous 2). The inverse mapping $\phi_\alpha^{-1} : U_\alpha \times \mathbf{V} \rightarrow \text{Pr}^{-1}(U_\alpha)$, $(x, \mathbf{v}) \mapsto [(\sigma_\alpha(x), \mathbf{v})]$, is also continuous because it is the composition of two continuous mappings $U_\alpha \times \mathbf{V} \ni (x, \mathbf{v}) \mapsto (\sigma_\alpha(x), \mathbf{v}) \in \pi^{-1}(U_\alpha) \times \mathbf{V}$ and $G \times \mathbf{V} \ni (g, \mathbf{v}) \mapsto \varpi(g, \mathbf{v}) \in G \times_\rho \mathbf{V}$. \square

Proposition 2.2.6 leads to

Corollary 2.2.7. *For each $\alpha \in A$, $\psi_\alpha(U_\alpha) \times \mathbf{V}$ is an open subset of \mathbb{R}^{n+m} , the mapping $\varphi_\alpha := (\psi_\alpha \times \text{id}_\mathbf{V}) \circ \phi_\alpha : \text{Pr}^{-1}(U_\alpha) \rightarrow \psi_\alpha(U_\alpha) \times \mathbf{V}$, $[(g, \mathbf{v})] \mapsto (\psi_\alpha(\pi(g)), \rho(\zeta_\alpha(g))\mathbf{v})$, is homeomorphic, and $\varphi_\alpha^{-1}(X, \mathbf{v}) = [(\sigma_\alpha(\psi_\alpha^{-1}(X)), \mathbf{v})]$ for all $(X, \mathbf{v}) \in \psi_\alpha(U_\alpha) \times \mathbf{V}$. Here $m = \dim_{\mathbb{R}} \mathbf{V}$.*

$$\begin{array}{ccc} G \times_\rho \mathbf{V} \supset \text{Pr}^{-1}(U_\alpha) & \begin{array}{c} \xrightarrow{\phi_\alpha} \\ \xleftarrow{\phi_\alpha^{-1}} \end{array} & U_\alpha \times \mathbf{V} \subset G/H \times \mathbf{V} \\ & \searrow \varphi_\alpha & \downarrow \psi_\alpha \times \text{id}_\mathbf{V} \\ & \swarrow \varphi_\alpha^{-1} & \psi_\alpha(U_\alpha) \times \mathbf{V} \subset \mathbb{R}^{n+m} \end{array}$$

Corollary 2.2.8. *$G \times_\rho \mathbf{V}$ is a Hausdorff space.*

Proof. For $[(g_1, \mathbf{v}_1)], [(g_2, \mathbf{v}_2)] \in G \times_\rho \mathbf{V}$ we suppose that $[(g_1, \mathbf{v}_1)] \neq [(g_2, \mathbf{v}_2)]$. Let us investigate two cases $\pi(g_1) \neq \pi(g_2)$ and $\pi(g_1) = \pi(g_2)$, individually.

- In case of $\pi(g_1) \neq \pi(g_2)$, there exist open neighborhoods U_1 of $\pi(g_1)$ and U_2 of $\pi(g_2) \in G/H$ such that $U_1 \cap U_2 = \emptyset$ because G/H is a Hausdorff space. Then, Lemma 2.2.2 implies that $\text{Pr}^{-1}(U_1), \text{Pr}^{-1}(U_2)$ are open neighborhoods of $[(g_1, \mathbf{v}_1)], [(g_2, \mathbf{v}_2)] \in G \times_\rho \mathbf{V}$ and $\text{Pr}^{-1}(U_1) \cap \text{Pr}^{-1}(U_2) = \emptyset$.

- In case of $\pi(g_1) = \pi(g_2)$, Corollary 2.2.4 and $\text{Pr}([(g_1, \mathbf{v}_1)]) = \pi(g_1) = \pi(g_2) = \text{Pr}([(g_2, \mathbf{v}_2)])$ assure the existence of an element $\alpha \in A$ satisfying $[(g_1, \mathbf{v}_1)], [(g_2, \mathbf{v}_2)] \in \text{Pr}^{-1}(U_\alpha)$. So, one has $[(g_1, \mathbf{v}_1)], [(g_2, \mathbf{v}_2)] \in \text{Pr}^{-1}(U_\alpha)$ and $[(g_1, \mathbf{v}_1)] \neq [(g_2, \mathbf{v}_2)]$. Then there exist open subsets $W_1, W_2 \subset \text{Pr}^{-1}(U_\alpha)$ such that $[(g_1, \mathbf{v}_1)] \in W_1, [(g_2, \mathbf{v}_2)] \in W_2$ and

$$W_1 \cap W_2 = \emptyset,$$

because Corollary 2.2.7 implies that $\text{Pr}^{-1}(U_\alpha)$ is a Hausdorff space. Remark here that both W_1 and W_2 are open subsets of $G \times_\rho \mathbf{V}$, since $\text{Pr}^{-1}(U_\alpha)$ is open in $G \times_\rho \mathbf{V}$. \square

Corollaries 2.2.8, 2.2.4 and 2.2.7 allow us to assert

Proposition 2.2.9. *The following three items hold:*

- (1) $G \times_\rho \mathbf{V}$ is an $(n+m)$ -dimensional topological manifold, where $m = \dim_{\mathbb{R}} \mathbf{V}$,
- (2) each pair $(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)$ is a coordinate neighborhood of $G \times_\rho \mathbf{V}$ ($\alpha \in A$),
- (3) $\mathcal{S} := \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ is an atlas of $G \times_\rho \mathbf{V}$.

Here we refer to Corollary 2.2.7 for φ_α .

We end this subsection with proving

Proposition 2.2.10.

- (i) $\text{Pr} : G \times_\rho \mathbf{V} \rightarrow G/H$, $[(g, \mathbf{v})] \mapsto \pi(g)$, is a surjective, open, continuous mapping.
- (ii) $G \times_\rho \mathbf{V}$ satisfies the second countability axiom.

(iii) $\varpi : G \times \mathbf{V} \rightarrow G \times_{\rho} \mathbf{V}$, $(g, \mathbf{v}) \mapsto [(g, \mathbf{v})]$, is a surjective, open, continuous mapping.

(iv) $\nu : G \times (G \times_{\rho} \mathbf{V}) \rightarrow G \times_{\rho} \mathbf{V}$, $(g_1, [(g_2, \mathbf{v})]) \mapsto [(g_1 g_2, \mathbf{v})]$, is a continuous mapping.

Proof. (i). By Lemma 2.2.2 it suffices to confirm that $\text{Pr} : G \times_{\rho} \mathbf{V} \rightarrow G/H$ is an open mapping. For any open subset $W \subset G \times_{\rho} \mathbf{V}$ we see that $\varpi^{-1}(W)$ is open in $G \times \mathbf{V}$. Considering an open mapping $\text{proj} : G \times \mathbf{V} \rightarrow G$, $(g, \mathbf{v}) \mapsto g$, we conclude that $\text{proj}(\varpi^{-1}(W))$ is open in G , so that

$$\text{proj}(\varpi^{-1}(W))H = \bigcup_{h \in H} R_h(\text{proj}(\varpi^{-1}(W))) \text{ is an open subset of } G.$$

A direct computation yields $\text{proj}(\varpi^{-1}(W))H = \pi^{-1}(\text{Pr}(W))$. Accordingly $\pi^{-1}(\text{Pr}(W)) \subset G$ is open, and hence $\text{Pr}(W)$ is an open subset of G/H .

(ii). Since $G \times_{\rho} \mathbf{V}$ is a topological manifold, it is enough to show that $G \times_{\rho} \mathbf{V}$ is a Lindelöf space. Let $\{W_{\lambda} : \lambda \in \Lambda\}$ be an arbitrary open covering of $G \times_{\rho} \mathbf{V}$. Needless to say, $\{\varpi^{-1}(W_{\lambda}) : \lambda \in \Lambda\}$ is an open covering of $G \times \mathbf{V}$. Since both G and \mathbf{V} satisfy the second countability axiom, the product $G \times \mathbf{V}$ also satisfies the same axiom. Therefore one can find a countable subset $\{\varpi^{-1}(W_k) : k \in \mathbb{N}\}$ of $\{\varpi^{-1}(W_{\lambda}) : \lambda \in \Lambda\}$ so that

$$G \times \mathbf{V} = \bigcup_{k \in \mathbb{N}} \varpi^{-1}(W_k).$$

Then $G \times_{\rho} \mathbf{V} = \varpi(G \times \mathbf{V}) = \varpi(\bigcup_{k \in \mathbb{N}} \varpi^{-1}(W_k)) \subset \bigcup_{k \in \mathbb{N}} W_k$, which implies that $G \times_{\rho} \mathbf{V}$ is a Lindelöf space.

(iii). We only verify that $\varpi : G \times \mathbf{V} \rightarrow G \times_{\rho} \mathbf{V}$, $(g, \mathbf{v}) \mapsto [(g, \mathbf{v})]$, is an open mapping. For any non-empty open subset $Q \subset G \times \mathbf{V}$, we are going to show that $\varpi^{-1}(\varpi(Q))$ is an open subset of $G \times \mathbf{V}$. For any $(g, \mathbf{v}) \in \varpi^{-1}(\varpi(Q))$, it follows that $\varpi(g, \mathbf{v}) \in \varpi(Q)$, so that there exists a $(a, \mathbf{w}) \in Q$ satisfying

$$\varpi(g, \mathbf{v}) = \varpi(a, \mathbf{w}).$$

Since $(a, \mathbf{w}) \in Q$ and $Q \subset G \times \mathbf{V}$ is open, there exist open subsets $O \subset G$ and $B \subset \mathbf{V}$ such that $(a, \mathbf{w}) \in O \times B \subset Q$. Moreover, a direct computation, together with (2.1.1), yields

$$\varpi^{-1}(\varpi(O \times B)) = \bigcup_{h \in H} (R_h(O) \times \rho(h)^{-1}(B)),$$

which implies that $\varpi^{-1}(\varpi(O \times B))$ is an open subset of $G \times \mathbf{V}$ because each $R_h(O) \times \rho(h)^{-1}(B)$ is open in $G \times \mathbf{V}$. Consequently, $\varpi^{-1}(\varpi(O \times B))$ is an open neighborhood of $(g, \mathbf{v}) \in G \times \mathbf{V}$ and $\varpi^{-1}(\varpi(O \times B)) \subset \varpi^{-1}(\varpi(Q))$. This implies that $\varpi^{-1}(\varpi(Q))$ is an open subset of $G \times \mathbf{V}$.

(iv). Take any $(a_1, [(a_2, \mathbf{w})]) \in G \times (G \times_{\rho} \mathbf{V})$ and any open neighborhood W of $\nu(a_1, [(a_2, \mathbf{w})]) = [(a_1 a_2, \mathbf{w})] \in G \times_{\rho} \mathbf{V}$. Since $\varpi^{-1}(W)$ is an open neighborhood of $(a_1 a_2, \mathbf{w}) \in G \times \mathbf{V}$ and since $\hat{\nu} : G \times (G \times \mathbf{V}) \rightarrow G \times \mathbf{V}$, $(g_1, (g_2, \mathbf{v})) \mapsto (g_1 g_2, \mathbf{v})$, is a continuous mapping, there exist open subsets $O_1, O_2 \subset G$ and $B \subset \mathbf{V}$ such that $a_1 \in O_1$, $a_2 \in O_2$, $\mathbf{w} \in B$ and

$$\hat{\nu}(O_1, (O_2 \times B)) \subset \varpi^{-1}(W).$$

Then $\varpi(O_2 \times B)$ is an open subset of $G \times_{\rho} \mathbf{V}$ due to (iii), and so $O_1 \times \varpi(O_2 \times B)$ is an open neighborhood of $(a_1, [(a_2, \mathbf{w})]) \in G \times (G \times_{\rho} \mathbf{V})$; besides,

$$\nu(O_1 \times \varpi(O_2 \times B)) \subset \varpi(\hat{\nu}(O_1, (O_2 \times B))) \subset W.$$

Accordingly $\nu : G \times (G \times_{\rho} \mathbf{V}) \rightarrow G \times_{\rho} \mathbf{V}$, $(g_1, [(g_2, \mathbf{v})]) \mapsto [(g_1 g_2, \mathbf{v})]$, is continuous. \square

2.2.2 A real analytic structure on $G \times_{\rho} \mathbf{V}$

We have shown that $\mathcal{S} = \{(\text{Pr}^{-1}(U_{\alpha}), \varphi_{\alpha})\}_{\alpha \in A}$ is an atlas of $G \times_{\rho} \mathbf{V}$ (cf. Proposition 2.2.9). In this subsection we aim to confirm that the \mathcal{S} defines a real analytic structure in $G \times_{\rho} \mathbf{V}$.

Lemma 2.2.11. *Suppose that $\text{Pr}^{-1}(U_{\alpha}) \cap \text{Pr}^{-1}(U_{\beta}) \neq \emptyset$ ($\alpha, \beta \in A$). Then,*

$$(1) \varphi_{\beta}(\text{Pr}^{-1}(U_{\alpha}) \cap \text{Pr}^{-1}(U_{\beta})) = \psi_{\beta}(U_{\alpha} \cap U_{\beta}) \times \mathbf{V}.$$

$$(2) (\varphi_{\alpha} \circ \varphi_{\beta}^{-1})(X, \mathbf{v}) = \left(\psi_{\alpha}(\psi_{\beta}^{-1}(X)), \rho \left((\sigma_{\alpha}(\psi_{\beta}^{-1}(X)))^{-1} \sigma_{\beta}(\psi_{\beta}^{-1}(X)) \right) \mathbf{v} \right) \text{ for all } (X, \mathbf{v}) \in \psi_{\beta}(U_{\alpha} \cap U_{\beta}) \times \mathbf{V}.$$

$$(3) \varphi_{\alpha} \circ \varphi_{\beta}^{-1} \text{ is a real analytic diffeomorphism of } \varphi_{\beta}(\text{Pr}^{-1}(U_{\alpha}) \cap \text{Pr}^{-1}(U_{\beta})) \text{ onto } \varphi_{\alpha}(\text{Pr}^{-1}(U_{\alpha}) \cap \text{Pr}^{-1}(U_{\beta})).$$

Proof. (1). First, let us demonstrate that $\varphi_\beta(\text{Pr}^{-1}(U_\alpha) \cap \text{Pr}^{-1}(U_\beta)) \subset \psi_\beta(U_\alpha \cap U_\beta) \times \mathbf{V}$. For any $(X_1, \mathbf{v}_1) \in \varphi_\beta(\text{Pr}^{-1}(U_\alpha) \cap \text{Pr}^{-1}(U_\beta)) = \varphi_\beta(\text{Pr}^{-1}(U_\alpha \cap U_\beta))$, there exists a $[(a_1, \mathbf{w}_1)] \in \text{Pr}^{-1}(U_\alpha \cap U_\beta)$ satisfying $(X_1, \mathbf{v}_1) = \varphi_\beta([(a_1, \mathbf{w}_1)])$. From $\varphi_\beta = (\psi_\beta \times \text{id}_\mathbf{V}) \circ \phi_\beta$ we obtain

$$(X_1, \mathbf{v}_1) = \varphi_\beta([(a_1, \mathbf{w}_1)]) = (\psi_\beta(\pi(a_1)), \rho(\zeta_\beta(a_1))\mathbf{w}_1).$$

This, combined with $\pi(a_1) = \text{Pr}([(a_1, \mathbf{w}_1)]) \in U_\alpha \cap U_\beta$, implies that $(X_1, \mathbf{v}_1) \in \psi_\beta(U_\alpha \cap U_\beta) \times \mathbf{V}$; hence $\varphi_\beta(\text{Pr}^{-1}(U_\alpha) \cap \text{Pr}^{-1}(U_\beta)) \subset \psi_\beta(U_\alpha \cap U_\beta) \times \mathbf{V}$. Next, let us show that the converse inclusion also holds. For any $(X_2, \mathbf{v}_2) \in \psi_\beta(U_\alpha \cap U_\beta) \times \mathbf{V}$, it follows from Corollary 2.2.7 that

$$(X_2, \mathbf{v}_2) = \varphi_\beta(\varphi_\beta^{-1}(X_2, \mathbf{v}_2)) = \varphi_\beta([\sigma_\beta(\psi_\beta^{-1}(X_2)), \mathbf{v}_2]).$$

Furthermore $\text{Pr}([\sigma_\beta(\psi_\beta^{-1}(X_2)), \mathbf{v}_2]) = \pi(\sigma_\beta(\psi_\beta^{-1}(X_2))) = \psi_\beta^{-1}(X_2) \in U_\alpha \cap U_\beta$, and so $[\sigma_\beta(\psi_\beta^{-1}(X_2)), \mathbf{v}_2] \in \text{Pr}^{-1}(U_\alpha \cap U_\beta)$. Consequently we have $(X_2, \mathbf{v}_2) \in \varphi_\beta(\text{Pr}^{-1}(U_\alpha \cap U_\beta))$. Hence, $\psi_\beta(U_\alpha \cap U_\beta) \times \mathbf{V} \subset \varphi_\beta(\text{Pr}^{-1}(U_\alpha) \cap \text{Pr}^{-1}(U_\beta))$.

(2). For any $(X, \mathbf{v}) \in \psi_\beta(U_\alpha \cap U_\beta) \times \mathbf{V}$, Corollary 2.2.7 enables us to have

$$\begin{aligned} (\varphi_\alpha \circ \varphi_\beta^{-1})(X, \mathbf{v}) &= \varphi_\alpha([\sigma_\beta(\psi_\beta^{-1}(X)), \mathbf{v}]) = (\psi_\alpha(\pi(\sigma_\beta(\psi_\beta^{-1}(X))), \rho(\zeta_\alpha(\sigma_\beta(\psi_\beta^{-1}(X))))\mathbf{v}) \\ &\stackrel{(1.3.1)}{=} (\psi_\alpha(\pi(\sigma_\beta(\psi_\beta^{-1}(X))), \rho((\sigma_\alpha(\pi(\sigma_\beta(\psi_\beta^{-1}(X))))^{-1}\sigma_\beta(\psi_\beta^{-1}(X))))\mathbf{v}) \\ &= (\psi_\alpha(\psi_\beta^{-1}(X)), \rho((\sigma_\alpha(\psi_\beta^{-1}(X)))^{-1}\sigma_\beta(\psi_\beta^{-1}(X))))\mathbf{v} \end{aligned}$$

since $\psi_\beta^{-1}(X) \in U_\alpha \cap U_\beta$ and $\pi \circ \sigma_\beta = \text{id}$ on U_β .

(3) comes from (1) and (2). □

By Proposition 2.2.9 and Lemma 2.2.11 we conclude

Theorem 2.2.12. *The atlas $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ in Proposition 2.2.9 defines a real analytic structure in $G \times_\rho \mathbf{V}$.*

Theorem 2.2.12 and Proposition 2.2.10-(ii) lead to

Corollary 2.2.13. *$G \times_\rho \mathbf{V}$ is an $(n + m)$ -dimensional real analytic manifold which satisfies the second countability axiom, where $m = \dim_{\mathbb{R}} \mathbf{V}$.*

We end this section with confirming

Proposition 2.2.14. *$\varpi : G \times \mathbf{V} \rightarrow G \times_\rho \mathbf{V}$, $(g, \mathbf{v}) \mapsto [(g, \mathbf{v})]$, is a surjective, open, real analytic mapping. Here $G \times_\rho \mathbf{V}$ is a real analytic manifold having the atlas $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ in Proposition 2.2.9.*

Proof. We only demonstrate that $\varpi : G \times \mathbf{V} \rightarrow G \times_\rho \mathbf{V}$ is real analytic (cf. Proposition 2.2.10-(iii)). For any $\alpha \in A$ and $(g, \mathbf{v}) \in \pi^{-1}(U_\alpha) \times \mathbf{V}$, one has $\varpi(\pi^{-1}(U_\alpha) \times \mathbf{V}) \subset \text{Pr}^{-1}(U_\alpha)$, and

$$(\varphi_\alpha \circ \varpi)(g, \mathbf{v}) = \varphi_\alpha([(g, \mathbf{v})]) = (\psi_\alpha(\pi(g)), \rho(\zeta_\alpha(g))\mathbf{v})$$

due to Corollary 2.2.7. This mapping $G \times \mathbf{V} \supset \pi^{-1}(U_\alpha) \times \mathbf{V} \ni (g, \mathbf{v}) \mapsto (\psi_\alpha(\pi(g)), \rho(\zeta_\alpha(g))\mathbf{v}) \in \varphi_\alpha(\text{Pr}^{-1}(U_\alpha)) \subset \mathbb{R}^{n+m}$ is real analytic; hence $\varpi : \pi^{-1}(U_\alpha) \times \mathbf{V} \rightarrow G \times_\rho \mathbf{V}$ is real analytic for each $\alpha \in A$. Therefore $\varpi : G \times \mathbf{V} \rightarrow G \times_\rho \mathbf{V}$ is a real analytic mapping, since $G = \bigcup_{\alpha \in A} \pi^{-1}(U_\alpha)$. □

2.3 Fiber bundles

For a homogeneous vector bundle $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ over G/H associated with $\rho : H \rightarrow GL(\mathbf{V})$, we will demonstrate that it is a fiber bundle with fiber \mathbf{V} and group $\rho(H) (\subset GL(\mathbf{V}))$, cf. Theorem 2.3.5.

2.3.1 Vector space structures on fibers

First, let us show that for every $x_0 \in G/H$, the fiber $\text{Pr}^{-1}(\{x_0\})$ can be a real vector space which is real linear isomorphic to \mathbf{V} . Since $G/H = \bigcup_{\alpha \in A} U_\alpha$, there exists an $\alpha \in A$ such that $x_0 \in U_\alpha$. Then, $f_\alpha : \mathbf{V} \rightarrow \text{Pr}^{-1}(\{x_0\})$, $\mathbf{v} \mapsto [(\sigma_\alpha(x_0), \mathbf{v})]$, is a homeomorphism due to Proposition 2.2.6.² Setting

$$\begin{cases} [(g_1, \mathbf{v}_1)] + [(g_2, \mathbf{v}_2)] := [(\sigma_\alpha(x_0), \rho((\sigma_\alpha(x_0))^{-1}g_1)\mathbf{v}_1 + \rho((\sigma_\alpha(x_0))^{-1}g_2)\mathbf{v}_2)], \\ \lambda[(g, \mathbf{v})] := [(\sigma_\alpha(x_0), \lambda\rho((\sigma_\alpha(x_0))^{-1}g)\mathbf{v})] \end{cases} \quad (2.3.1)$$

² $f_\alpha^{-1}([(g, \mathbf{v})]) = \rho(\zeta_\alpha(g))\mathbf{v}$ for all $[(g, \mathbf{v})] \in \text{Pr}^{-1}(\{x_0\})$.

for $[(g_1, \mathbf{v}_1)], [(g_2, \mathbf{v}_2)], [(g, \mathbf{v})] \in \text{Pr}^{-1}(\{x_0\})$ and $\lambda \in \mathbb{R}$, one can assert that the fiber $\text{Pr}^{-1}(\{x_0\})$ is a real vector space, where we note that

$$[(g, \mathbf{v})] = [(\sigma_\alpha(x_0), \rho((\sigma_\alpha(x_0))^{-1}g)\mathbf{v})] \text{ for all } [(g, \mathbf{v})] \in \text{Pr}^{-1}(\{x_0\}).$$

With respect to this vector space $\text{Pr}^{-1}(\{x_0\})$, the homeomorphism $f_\alpha : \mathbf{V} \rightarrow \text{Pr}^{-1}(\{x_0\})$, $\mathbf{v} \mapsto [(\sigma_\alpha(x_0), \mathbf{v})]$, is real linear isomorphic, and hence the vector space structure on $\text{Pr}^{-1}(\{x_0\})$ is independent of the choice of $\alpha \in A$ satisfying $x_0 \in U_\alpha$.

Remark 2.3.2.

(1) For each $x_0 \in G/H$ we see that

$$\text{Pr}(\lambda_1[(g_1, \mathbf{v}_1)] + \lambda_2[(g_2, \mathbf{v}_2)]) = x_0$$

for all $[(g_1, \mathbf{v}_1)], [(g_2, \mathbf{v}_2)] \in \text{Pr}^{-1}(\{x_0\})$ and $\lambda_1, \lambda_2 \in \mathbb{R}$ because of (2.3.1).

(2) Hereafter, for each $x_0 \in G/H$ we regard the fiber $\text{Pr}^{-1}(\{x_0\})$ as an m -dimensional real vector space by means of (2.3.1). Here $m = \dim_{\mathbb{R}} \mathbf{V}$.

2.3.2 Transition functions

Let us set

$$g_{\alpha\beta}(y) := (\sigma_\alpha(y))^{-1}\sigma_\beta(y) \text{ for } y \in U_\alpha \cap U_\beta \quad (2.3.3)$$

whenever $U_\alpha \cap U_\beta \neq \emptyset$ ($\alpha, \beta \in A$). Since $\pi(\sigma_\alpha(y)) = y = \pi(\sigma_\beta(y))$ we have $g_{\alpha\beta}(y) \in H$, and therefore $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow H$ is a real analytic mapping, where we remark that H is a regular submanifold of G . It is easy to prove

Proposition 2.3.4. *For the real analytic mapping $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow H$, $y \mapsto (\sigma_\alpha(y))^{-1}\sigma_\beta(y)$, the following two items hold:*

- (a) $g_{\alpha\alpha}(x) = e$ for all $x \in U_\alpha$.
- (b) $g_{\alpha\beta}(z)g_{\beta\gamma}(z)g_{\gamma\alpha}(z) = e$ for all $z \in U_\alpha \cap U_\beta \cap U_\gamma$.

2.3.3 Proof of Theorem 2.3.5

Now, we are in a position to demonstrate

Theorem 2.3.5. *Provide $G \times_\rho \mathbf{V}$ with the real analytic structure $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ in Proposition 2.2.9. Then, the following two items hold:*

- (1) $\text{Pr} : G \times_\rho \mathbf{V} \rightarrow G/H$, $[(g, \mathbf{v})] \mapsto \pi(g) = gH$, is a surjective, open, real analytic mapping.
- (2) For each $\alpha \in A$, the mapping $\phi_\alpha^{-1} : U_\alpha \times \mathbf{V} \rightarrow \text{Pr}^{-1}(U_\alpha)$, $(x, \mathbf{v}) \mapsto [(\sigma_\alpha(x), \mathbf{v})]$, is a real analytic diffeomorphism; besides, $\phi_\alpha([(g, \mathbf{v})]) = (\pi(g), \rho(\zeta_\alpha(g))\mathbf{v})$ for all $[(g, \mathbf{v})] \in \text{Pr}^{-1}(U_\alpha)$. cf. (1.3.1).

Moreover, for each $x_0 \in U_\alpha$ it follows that

- (3) $\text{Pr}(\phi_\alpha^{-1}(x_0, \mathbf{v})) = x_0$ for all $\mathbf{v} \in \mathbf{V}$,
- (4) the mapping $\mathbf{V} \ni \mathbf{v} \mapsto \phi_\alpha^{-1}(x_0, \mathbf{v}) \in \text{Pr}^{-1}(\{x_0\})$ is a real linear isomorphism.

In addition, suppose that $U_\alpha \cap U_\beta \neq \emptyset$ ($\alpha, \beta \in A$). Then,

- (5) $(\phi_\alpha \circ \phi_\beta^{-1})(y, \mathbf{v}) = (y, \rho(g_{\alpha\beta}(y))\mathbf{v})$ for all $(y, \mathbf{v}) \in (U_\alpha \cap U_\beta) \times \mathbf{V}$.

Here we refer to (2.3.3) for $g_{\alpha\beta}$.

Proof. (1). By Proposition 2.2.10-(i), it suffices to confirm that $\text{Pr} : G \times_\rho \mathbf{V} \rightarrow G/H$ is real analytic. For any $\alpha \in A$ and $(X, \mathbf{v}) \in \varphi_\alpha(\text{Pr}^{-1}(U_\alpha)) = \psi_\alpha(U_\alpha) \times \mathbf{V}$, Corollary 2.2.7, (2.1.2) and $\pi \circ \sigma_\alpha = \text{id}$ on U_α imply that

$$(\psi_\alpha \circ \text{Pr} \circ \varphi_\alpha^{-1})(X, \mathbf{v}) = (\psi_\alpha \circ \text{Pr})([(\sigma_\alpha(\psi_\alpha^{-1}(X)), \mathbf{v})]) = \psi_\alpha(\pi(\sigma_\alpha(\psi_\alpha^{-1}(X)))) = X,$$

so that $\text{Pr} : \text{Pr}^{-1}(U_\alpha) \rightarrow G/H$ is real analytic. Thus $\text{Pr} : G \times_\rho \mathbf{V} \rightarrow G/H$ is real analytic.

(2). For any $(X, \mathbf{v}) \in (\psi_\alpha \times \text{id}_{\mathbf{V}})(U_\alpha \times \mathbf{V}) = \psi_\alpha(U_\alpha) \times \mathbf{V}$, we deduce

$$(\varphi_\alpha \circ \phi_\alpha^{-1} \circ (\psi_\alpha \times \text{id}_{\mathbf{V}})^{-1})(X, \mathbf{v}) = (\varphi_\alpha \circ \varphi_\alpha^{-1})(X, \mathbf{v}) = (X, \mathbf{v})$$

by Corollary 2.2.7. Hence $\phi_\alpha^{-1} : U_\alpha \times \mathbf{V} \rightarrow \text{Pr}^{-1}(U_\alpha)$ is a real analytic diffeomorphism.

(3). By a direct computation we have $\text{Pr}(\phi_\alpha^{-1}(x_0, \mathbf{v})) = \text{Pr}([\sigma_\alpha(x_0), \mathbf{v}]) = \pi(\sigma_\alpha(x_0)) = x_0$.

(4). Recall that $f_\alpha : \mathbf{V} \rightarrow \text{Pr}^{-1}(\{x_0\})$, $\mathbf{v} \mapsto [(\sigma_\alpha(x_0), \mathbf{v})]$, is real linear isomorphic, cf. Subsection 2.3.1.

(5). For any $(y, \mathbf{v}) \in (U_\alpha \cap U_\beta) \times \mathbf{V}$, Proposition 2.2.6 enables us to obtain

$$\begin{aligned} (\phi_\alpha \circ \phi_\beta^{-1})(y, \mathbf{v}) &= \phi_\alpha([\sigma_\beta(y), \mathbf{v}]) = (\pi(\sigma_\beta(y)), \rho(\zeta_\alpha(\sigma_\beta(y)))\mathbf{v}) \\ &\stackrel{(1.3.1)}{=} (\pi(\sigma_\beta(y)), \rho((\sigma_\alpha(\pi(\sigma_\beta(y))))^{-1}\sigma_\beta(y))\mathbf{v}) = (y, \rho((\sigma_\alpha(y))^{-1}\sigma_\beta(y))\mathbf{v}) \quad (\because \pi(\sigma_\beta(y)) = y) \\ &\stackrel{(2.3.3)}{=} (y, \rho(g_{\alpha\beta}(y))\mathbf{v}). \end{aligned}$$

□

We end Section 2.3 with proving

Proposition 2.3.6. $\nu : G \times (G \times_\rho \mathbf{V}) \rightarrow G \times_\rho \mathbf{V}$, $(g_1, [(g_2, \mathbf{v})]) \mapsto [(g_1g_2, \mathbf{v})]$, is a real analytic mapping. Here $G \times_\rho \mathbf{V}$ is a real analytic manifold having the atlas $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ in Proposition 2.2.9.

Proof. Fix any $\alpha \in A$. On the one hand; since $\hat{\nu} : G \times (G \times \mathbf{V}) \rightarrow G \times \mathbf{V}$, $(g_1, (g_2, \mathbf{v})) \mapsto (g_1g_2, \mathbf{v})$, is a real analytic mapping, it follows from Proposition 2.2.14 and Theorem 2.3.5-(2) that $\varpi \circ \hat{\nu} \circ (\text{id}_G \times (\sigma_\alpha \times \text{id}_\mathbf{V})) \circ (\text{id}_G \times \phi_\alpha)$ is real analytic on $G \times \text{Pr}^{-1}(U_\alpha)$. On the other hand; for any $(g_1, [(g_2, \mathbf{v})]) \in G \times \text{Pr}^{-1}(U_\alpha)$ one has

$$\begin{aligned} (\varpi \circ \hat{\nu} \circ (\text{id}_G \times (\sigma_\alpha \times \text{id}_\mathbf{V})) \circ (\text{id}_G \times \phi_\alpha))(g_1, [(g_2, \mathbf{v})]) &= (\varpi \circ \hat{\nu} \circ (\text{id}_G \times (\sigma_\alpha \times \text{id}_\mathbf{V}))(g_1, (\pi(g_2), \rho(\zeta_\alpha(g_2))\mathbf{v})) \\ &= (\varpi \circ \hat{\nu})(g_1, (\sigma_\alpha(\pi(g_2)), \rho(\zeta_\alpha(g_2))\mathbf{v})) = \varpi(g_1\sigma_\alpha(\pi(g_2)), \rho(\zeta_\alpha(g_2))\mathbf{v}) \stackrel{(1.3.1)}{=} \varpi(g_1\sigma_\alpha(\pi(g_2)), \rho((\sigma_\alpha(\pi(g_2)))^{-1}g_2)\mathbf{v}) \\ &\stackrel{(2.1.1)}{=} \varpi(g_1g_2, \mathbf{v}) = \nu(g_1, [(g_2, \mathbf{v})]). \end{aligned}$$

Hence $\nu : G \times \text{Pr}^{-1}(U_\alpha) \rightarrow G \times_\rho \mathbf{V}$ is real analytic, and so $\nu : G \times (G \times_\rho \mathbf{V}) \rightarrow G \times_\rho \mathbf{V}$ is real analytic. □

2.4 Vector spaces of cross-sections of homogeneous vector bundles

For a homogeneous vector bundle $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ we provide $G \times_\rho \mathbf{V}$ with the real analytic structure $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ in Proposition 2.2.9 (cf. Theorem 2.2.12). In this section we study the real vector space $\Gamma^r(G \times_\rho \mathbf{V})$ of differentiable cross-sections of the bundle $G \times_\rho \mathbf{V}$.

For an $r \in \mathbb{N} \cup \{0, \infty, \omega\}$, let us set

$$\Gamma^r(G \times_\rho \mathbf{V}) := \left\{ \gamma : G/H \rightarrow G \times_\rho \mathbf{V} \left| \begin{array}{l} (1) \gamma \text{ is of class } C^r, \\ (2) \text{Pr}(\gamma(x)) = x \text{ for all } x \in G/H \end{array} \right. \right\}. \quad (2.4.1)$$

Then, for any $\gamma_i \in \Gamma^r(G \times_\rho \mathbf{V})$ and $x \in G/H$ ($i = 1, 2$), it follows from (2.4.1)-(2) that $\gamma_i(x) \in \text{Pr}^{-1}(\{x\})$. Accordingly, since $\text{Pr}^{-1}(\{x\})$ is a real vector space, one can define an element $\gamma_1 + \gamma_2 \in \Gamma^r(G \times_\rho \mathbf{V})$ as follows:

$$(\gamma_1 + \gamma_2)(x) := \gamma_1(x) + \gamma_2(x) \text{ for } x \in G/H. \quad (2.4.2)$$

Similarly, $\lambda\gamma \in \Gamma^r(G \times_\rho \mathbf{V})$ as follows:

$$(\lambda\gamma)(x) := \lambda\gamma(x) \text{ for } x \in G/H, \quad (2.4.3)$$

where $\gamma \in \Gamma^r(G \times_\rho \mathbf{V})$ and $\lambda \in \mathbb{R}$ (cf. Remark 2.3.2). Hereafter, we regard $\Gamma^r(G \times_\rho \mathbf{V})$ as a real vector space by means of (2.4.2) and (2.4.3).

The main purpose of this section is to verify Theorem 2.4.15 which implies that the real vector space $\Gamma^r(G \times_\rho \mathbf{V})$ is isomorphic to

$$\mathcal{V}^r(G \times_\rho \mathbf{V}) := \left\{ \xi : G \rightarrow \mathbf{V} \left| \begin{array}{l} (i) \xi \text{ is of class } C^r, \\ (ii) \xi(gh) = \rho(h)^{-1}(\xi(g)) \text{ for all } (g, h) \in G \times H \end{array} \right. \right\}. \quad (2.4.4)$$

Here $\mathcal{V}^r(G \times_\rho \mathbf{V})$ is a real vector space with respect to the following addition of vectors and scalar multiplication:

$$(\xi_1 + \xi_2)(g) := \xi_1(g) + \xi_2(g), \quad (\lambda\xi)(g) := \lambda\xi(g) \text{ for } g \in G, \quad (2.4.5)$$

where $\xi_1, \xi_2, \xi \in \mathcal{V}^r(G \times_\rho \mathbf{V})$ and $\lambda \in \mathbb{R}$.

2.4.1 A linear mapping $F_1 : \mathcal{V}^r(G \times_\rho \mathbf{V}) \rightarrow \Gamma^r(G \times_\rho \mathbf{V})$

Let ξ be an arbitrary element of $\mathcal{V}^r(G \times_\rho \mathbf{V})$. From it we are going to construct an element of $\Gamma^r(G \times_\rho \mathbf{V})$. Set Γ_ξ as

$$\Gamma_\xi(\pi(g)) := [(g, \xi(g))] \text{ for } \pi(g) \in G/H. \quad (2.4.6)$$

This (2.4.6) is well-defined by virtue of (2.4.4)-(ii) and (2.1.1). So, Γ_ξ is a mapping of G/H into $G \times_\rho \mathbf{V}$. Moreover,

Lemma 2.4.7. *In the setting of (2.4.1), (2.4.4) and (2.4.6);*

- (1) Γ_ξ belongs to $\Gamma^r(G \times_\rho \mathbf{V})$ for each $\xi \in \mathcal{V}^r(G \times_\rho \mathbf{V})$;
- (2) $F_1 : \mathcal{V}^r(G \times_\rho \mathbf{V}) \rightarrow \Gamma^r(G \times_\rho \mathbf{V})$, $\xi \mapsto \Gamma_\xi$, is a real linear mapping.

Proof. (1). Let ξ be an arbitrary element of $\mathcal{V}^r(G \times_\rho \mathbf{V})$. It is easy to see that $\text{Pr}(\Gamma_\xi(\pi(g))) \stackrel{(2.4.6)}{=} \text{Pr}([(g, \xi(g))]) \stackrel{(2.1.2)}{=} \pi(g)$ for all $\pi(g) \in G/H$. Thus, the rest of proof is to confirm that $\Gamma_\xi : G/H \rightarrow G \times_\rho \mathbf{V}$ is a differentiable mapping of class C^r . For each $\alpha \in A$, it follows from $\text{Pr} \circ \Gamma_\xi = \text{id}_{G/H}$ that $\Gamma_\xi(U_\alpha) \subset \text{Pr}^{-1}(U_\alpha)$. Then for any $X \in \psi_\alpha(U_\alpha)$ we have

$$\begin{aligned} (\varphi_\alpha \circ \Gamma_\xi \circ \psi_\alpha^{-1})(X) &= (\varphi_\alpha \circ \Gamma_\xi)(\psi_\alpha^{-1}(X)) = (\varphi_\alpha \circ \Gamma_\xi)(\pi(\sigma_\alpha(\psi_\alpha^{-1}(X)))) \quad (\because \pi \circ \sigma_\alpha = \text{id on } U_\alpha) \\ &\stackrel{(2.4.6)}{=} \varphi_\alpha([(\sigma_\alpha(\psi_\alpha^{-1}(X)), \xi(\sigma_\alpha(\psi_\alpha^{-1}(X))))]) \\ &= (\psi_\alpha(\pi(\sigma_\alpha(\psi_\alpha^{-1}(X))), \rho(\zeta_\alpha(\sigma_\alpha(\psi_\alpha^{-1}(X)))) \xi(\sigma_\alpha(\psi_\alpha^{-1}(X)))) = (X, \xi(\sigma_\alpha(\psi_\alpha^{-1}(X)))) \end{aligned}$$

by Corollary 2.2.7 and Lemma 1.3.2-(2). This mapping $\mathbb{R}^n \supset \psi_\alpha(U_\alpha) \ni X \mapsto (X, \xi(\sigma_\alpha(\psi_\alpha^{-1}(X)))) \in \varphi_\alpha(\text{Pr}^{-1}(U_\alpha)) \subset \mathbb{R}^{n+m}$ is of class C^r because both σ_α and ψ_α^{-1} are of class C^ω and ξ is of class C^r . Consequently $\Gamma_\xi : U_\alpha \rightarrow G \times_\rho \mathbf{V}$ is of class C^r for each $\alpha \in A$, and hence $\Gamma_\xi : G/H \rightarrow G \times_\rho \mathbf{V}$ is a differentiable mapping of class C^r .

(2). For any $\xi_1, \xi_2 \in \mathcal{V}^r(G \times_\rho \mathbf{V})$ and $\pi(g) \in G/H$, a direct computation yields

$$\begin{aligned} (F_1(\xi_1 + \xi_2))(\pi(g)) &= \Gamma_{\xi_1 + \xi_2}(\pi(g)) \stackrel{(2.4.6)}{=} [(g, (\xi_1 + \xi_2)(g))] \stackrel{(2.4.5)}{=} [(g, \xi_1(g) + \xi_2(g))] \stackrel{(2.3.1)}{=} [(g, \xi_1(g))] + [(g, \xi_2(g))] \\ &\stackrel{(2.4.6)}{=} \Gamma_{\xi_1}(\pi(g)) + \Gamma_{\xi_2}(\pi(g)) \stackrel{(2.4.2)}{=} (\Gamma_{\xi_1} + \Gamma_{\xi_2})(\pi(g)) = (F_1(\xi_1) + F_1(\xi_2))(\pi(g)). \end{aligned}$$

Thus $F_1(\xi_1 + \xi_2) = F_1(\xi_1) + F_1(\xi_2)$ for all $\xi_1, \xi_2 \in \mathcal{V}^r(G \times_\rho \mathbf{V})$. Similarly, $F_1(\lambda\xi) = \lambda F_1(\xi)$ for all $(\xi, \lambda) \in \mathcal{V}^r(G \times_\rho \mathbf{V}) \times \mathbb{R}$. \square

2.4.2 A mapping $F_2 : \Gamma^r(G \times_\rho \mathbf{V}) \rightarrow \mathcal{V}^r(G \times_\rho \mathbf{V})$

Fix an arbitrary $\gamma \in \Gamma^r(G \times_\rho \mathbf{V})$. From it we will construct an element of $\mathcal{V}^r(G \times_\rho \mathbf{V})$. For any $\alpha \in A$, Theorem 2.3.5-(2) implies that $\phi_\alpha : \text{Pr}^{-1}(U_\alpha) \rightarrow U_\alpha \times \mathbf{V}$, $[(g_1, \mathbf{v})] \mapsto (\pi(g_1), \rho(\zeta_\alpha(g_1))\mathbf{v})$, is real analytic, so that we can define a real analytic mapping $\chi_\alpha : \text{Pr}^{-1}(U_\alpha) \rightarrow \mathbf{V}$ by

$$\chi_\alpha([(g_1, \mathbf{v})]) := \rho(\zeta_\alpha(g_1))\mathbf{v} \text{ for } [(g_1, \mathbf{v})] \in \text{Pr}^{-1}(U_\alpha). \quad (2.4.8)$$

Furthermore, by use of this χ_α we define a differentiable mapping $\Xi_{\gamma, \alpha} : \pi^{-1}(U_\alpha) \rightarrow \mathbf{V}$ of class C^r as follows:

$$\Xi_{\gamma, \alpha}(g_1) := \rho(\zeta_\alpha(g_1))^{-1}(\chi_\alpha(\gamma(\pi(g_1)))) \text{ for } g_1 \in \pi^{-1}(U_\alpha). \quad (2.4.9)$$

Then, Lemma 1.3.2-(1) assures that

$$\Xi_{\gamma, \alpha}(g_1 h) = \rho(h)^{-1}(\Xi_{\gamma, \alpha}(g_1)) \text{ for all } (g_1, h) \in \pi^{-1}(U_\alpha) \times H. \quad (2.4.10)$$

Now, in terms of $\gamma(\pi(g_1)) \in G \times_\rho \mathbf{V}$, we obtain a $(a, \mathbf{w}) \in G \times \mathbf{V}$ satisfying $\gamma(\pi(g_1)) = [(a, \mathbf{w})]$. Then (2.4.1)-(2) yields $\pi(g_1) = \text{Pr}(\gamma(\pi(g_1))) = \text{Pr}([(a, \mathbf{w})]) = \pi(a)$. Therefore $g_1^{-1}a \in H$, $\mathbf{v} := \rho(g_1^{-1}a)\mathbf{w} \in \mathbf{V}$ and

$$\gamma(\pi(g_1)) = [(a, \mathbf{w})] \stackrel{(2.1.1)}{=} [(g_1, \mathbf{v})].$$

This gives $\Xi_{\gamma, \alpha}(g_1) \stackrel{(2.4.9)}{=} \rho(\zeta_\alpha(g_1))^{-1}(\chi_\alpha(\gamma(\pi(g_1)))) = \rho(\zeta_\alpha(g_1))^{-1}(\chi_\alpha([(g_1, \mathbf{v})])) \stackrel{(2.4.8)}{=} \rho(\zeta_\alpha(g_1))^{-1}(\rho(\zeta_\alpha(g_1))\mathbf{v}) = \mathbf{v}$. Hence, it follows that

$$\gamma(\pi(g_1)) = [(g_1, \Xi_{\gamma, \alpha}(g_1))] \text{ for all } g_1 \in \pi^{-1}(U_\alpha). \quad (2.4.11)$$

In a similar way, we conclude that for any $g_2 \in \pi^{-1}(U_\alpha) \cap \pi^{-1}(U_\beta)$, there exists a $\mathbf{v}_2 \in \mathbf{V}$ such that $\gamma(\pi(g_2)) = [(g_2, \mathbf{v}_2)]$; moreover $\Xi_{\gamma, \alpha}(g_2) = \mathbf{v}_2 = \Xi_{\gamma, \beta}(g_2)$. Therefore one can define a differentiable mapping $\Xi_\gamma : G \rightarrow \mathbf{V}$ of class C^r by

$$\Xi_\gamma(g) := \Xi_{\gamma, \alpha}(g) \text{ if } g \in \pi^{-1}(U_\alpha), \quad (2.4.12)$$

where we remark that $G = \bigcup_{\alpha \in A} \pi^{-1}(U_\alpha)$. Needless to say, it follows from (2.4.10), (2.4.11) and (2.4.12) that

$$\begin{cases} \Xi_\gamma(gh) = \rho(h)^{-1}(\Xi_\gamma(g)) \text{ for all } (g, h) \in G \times H, \\ \gamma(\pi(g)) = [(g, \Xi_\gamma(g))] \text{ for all } g \in G. \end{cases} \quad (2.4.13)$$

Summarizing the statements above, we conclude

Lemma 2.4.14. *For each $\gamma \in \Gamma^r(G \times_\rho \mathbf{V})$, Ξ_γ belongs to $\mathcal{V}^r(G \times_\rho \mathbf{V})$. Therefore, one can get a mapping $F_2 : \Gamma^r(G \times_\rho \mathbf{V}) \rightarrow \mathcal{V}^r(G \times_\rho \mathbf{V})$ by setting $F_2(\gamma) := \Xi_\gamma$ for $\gamma \in \Gamma^r(G \times_\rho \mathbf{V})$. Moreover, $\gamma(\pi(g)) = [(g, (F_2(\gamma))(g))]$ for all $(\gamma, g) \in \Gamma^r(G \times_\rho \mathbf{V}) \times G$. Here we refer to (2.4.12), (2.4.9) for Ξ_γ .*

Now, let us verify

Theorem 2.4.15. *In the setting of (2.4.1) and (2.4.4); there exists a real linear isomorphism $F : \Gamma^r(G \times_\rho \mathbf{V}) \rightarrow \mathcal{V}^r(G \times_\rho \mathbf{V})$, $\gamma \mapsto F(\gamma)$, such that $\gamma(\pi(g)) = [(g, (F(\gamma))(g))]$ for all $(\gamma, g) \in \Gamma^r(G \times_\rho \mathbf{V}) \times G$. Here $r \in \mathbb{N} \cup \{0, \infty, \omega\}$.*

Proof. By Lemmas 2.4.7 and 2.4.14 it is enough to confirm that (1) $F_1 \circ F_2 = \text{id}$ on $\Gamma^r(G \times_\rho \mathbf{V})$ and (2) $F_2 \circ F_1 = \text{id}$ on $\mathcal{V}^r(G \times_\rho \mathbf{V})$.

(1). Let us take any $\alpha \in A$, $x \in U_\alpha$ and $\delta \in \Gamma^r(G \times_\rho \mathbf{V})$. Since $\delta(x) \in \text{Pr}^{-1}(U_\alpha) \subset \varpi(\pi^{-1}(U_\alpha) \times \mathbf{V})$, there exists a $(g_1, \mathbf{v}) \in \pi^{-1}(U_\alpha) \times \mathbf{V}$ such that $\delta(x) = [(g_1, \mathbf{v})]$. Then we have $x = \pi(g_1)$, $\delta(\pi(g_1)) = [(g_1, \mathbf{v})]$ and

$$\begin{aligned} (F_1(F_2(\delta)))(x) &= \Gamma_{F_2(\delta)}(x) \stackrel{(2.4.6)}{=} [(g_1, (F_2(\delta))(g_1))] = [(g_1, \Xi_\delta(g_1))] \stackrel{(2.4.9), (2.4.12)}{=} [(g_1, \rho(\zeta_\alpha(g_1))^{-1}(\chi_\alpha(\delta(\pi(g_1)))))] \\ &= [(g_1, \rho(\zeta_\alpha(g_1))^{-1}(\chi_\alpha([(g_1, \mathbf{v}]))))] \stackrel{(2.4.8)}{=} [(g_1, \mathbf{v})] = \delta(x). \end{aligned}$$

Therefore we see that $F_1(F_2(\delta)) = \delta$ on U_α ($\alpha \in A$). This, together with $G/H = \bigcup_{\alpha \in A} U_\alpha$, assures that $F_1(F_2(\delta)) = \delta$ on G/H . For this reason $F_1 \circ F_2 = \text{id}$ on $\Gamma^r(G \times_\rho \mathbf{V})$.

(2). By arguments similar to those stated in (1), one can conclude that (2) $F_2 \circ F_1 = \text{id}$ on $\mathcal{V}^r(G \times_\rho \mathbf{V})$. However, let us confirm (2) for the sake of completeness. For any $\alpha \in A$, $g \in \pi^{-1}(U_\alpha)$ and $\eta \in \mathcal{V}^r(G \times_\rho \mathbf{V})$, we have

$$\begin{aligned} (F_2(F_1(\eta)))(g) &= \Xi_{F_1(\eta)}(g) \stackrel{(2.4.9), (2.4.12)}{=} \rho(\zeta_\alpha(g))^{-1}(\chi_\alpha((F_1(\eta))(\pi(g)))) = \rho(\zeta_\alpha(g))^{-1}(\chi_\alpha(\Gamma_\eta(\pi(g)))) \\ &\stackrel{(2.4.6)}{=} \rho(\zeta_\alpha(g))^{-1}(\chi_\alpha([(g, \eta(g)]))) \stackrel{(2.4.8)}{=} \eta(g). \end{aligned}$$

Hence $F_2(F_1(\eta)) = \eta$ on $\pi^{-1}(U_\alpha)$ ($\alpha \in A$), and $F_2(F_1(\eta)) = \eta$ on $G = \bigcup_{\alpha \in A} \pi^{-1}(U_\alpha)$. Consequently (2) holds. \square

2.5 The restrictions of bundles to open subsets and their cross-sections

Fix a homogeneous vector bundle $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ and provide $G \times_\rho \mathbf{V}$ with the real analytic structure $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ in Proposition 2.2.9. For a non-empty open subset $U \subset G/H$ we define an open subset $(G \times_\rho \mathbf{V})_U$ of $G \times_\rho \mathbf{V}$ by

$$(G \times_\rho \mathbf{V})_U := \text{Pr}^{-1}(U); \quad (2.5.1)$$

besides, we induce real analytic structures \mathcal{S}_U on U and \mathcal{S}_U on $(G \times_\rho \mathbf{V})_U$ from G/H and $G \times_\rho \mathbf{V}$, respectively. Then, Proposition 2.3.4 and Theorem 2.3.5 tell us that $(G \times_\rho \mathbf{V})_U = ((G \times_\rho \mathbf{V})_U, \text{Pr}|_{(G \times_\rho \mathbf{V})_U}, U)$ is a fiber bundle with fiber \mathbf{V} and group $\rho(H) (\subset GL(\mathbf{V}))$, which is called the *restriction of the bundle $(G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ to U* .

Let $\Gamma^r(G \times_\rho \mathbf{V})_U$ be the real vector space of differentiable cross-sections of the bundle $(G \times_\rho \mathbf{V})_U = ((G \times_\rho \mathbf{V})_U, \text{Pr}|_{(G \times_\rho \mathbf{V})_U}, U)$, namely

$$\Gamma^r(G \times_\rho \mathbf{V})_U = \left\{ \gamma : U \rightarrow (G \times_\rho \mathbf{V})_U \left| \begin{array}{l} (1) \gamma \text{ is of class } C^r, \\ (2) \text{Pr}(\gamma(x)) = x \text{ for all } x \in U \end{array} \right. \right\}. \quad (2.5.2)$$

This $\Gamma^r(G \times_\rho \mathbf{V})_U$ corresponds to the following real vector space:

$$\mathcal{V}^r(G \times_\rho \mathbf{V})_U := \left\{ \xi : \pi^{-1}(U) \rightarrow \mathbf{V} \left| \begin{array}{l} (i) \xi \text{ is of class } C^r, \\ (ii) \xi(gh) = \rho(h)^{-1}(\xi(g)) \text{ for all } (g, h) \in \pi^{-1}(U) \times H \end{array} \right. \right\}. \quad (2.5.3)$$

Proposition 2.5.4. *In the setting of (2.5.1), (2.5.2) and (2.5.3); there exists a real linear isomorphism $F : \Gamma^r(G \times_\rho \mathbf{V})_U \rightarrow \mathcal{V}^r(G \times_\rho \mathbf{V})_U$, $\gamma \mapsto F(\gamma)$, such that $\gamma(\pi(g)) = [(g, (F(\gamma))(g))]$ for all $(\gamma, g) \in \Gamma^r(G \times_\rho \mathbf{V})_U \times \pi^{-1}(U)$. Here $r \in \mathbb{N} \cup \{0, \infty, \omega\}$ and U is a non-empty open subset of G/H .*

Proof. Refer to Section 2.4 for the proof of this proposition. \square

Chapter 3

Homogeneous holomorphic vector bundles over complex homogeneous spaces

In this chapter we deal with homogeneous holomorphic vector bundles over complex homogeneous spaces. The setting of Chapter 3 is as follows:

- G is a complex Lie group which satisfies the second countability axiom,
- H is a closed complex Lie subgroup of G ,
- π is the projection of G onto the left quotient space G/H ,
- $\mathcal{S} = \{(U_\alpha, \psi_\alpha)\}_{\alpha \in A}$ is the holomorphic structure on G/H given in Theorem 1.2.1,
- $\sigma_\alpha : U_\alpha \rightarrow G$ is the holomorphic mapping in Theorem 1.2.1 ($\alpha \in A$).

The topology for G/H is the quotient topology relative to $\pi : g \mapsto gH$, and the homogeneous space G/H is a complex manifold having the atlas \mathcal{S} .

3.1 Definition of homogeneous holomorphic vector bundle

Let \mathbf{V} be a finite-dimensional complex vector space, and let $\rho : H \rightarrow GL(\mathbf{V})$, $h \mapsto \rho(h)$, be a holomorphic homomorphism, where we fix a complex basis $\{\mathbf{e}_i\}_{i=1}^m$ of \mathbf{V} and identify \mathbf{V} with \mathbb{C}^m , and consider \mathbf{V} and $GL(\mathbf{V})$ as a complex manifold and a complex Lie group, respectively.

Definition 3.1.1. In the setting above, the homogeneous vector bundle $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ over G/H associated with ρ is said to be *holomorphic*. cf. Definition 2.1.3.

In the next section we state results about homogeneous holomorphic vector bundles.

3.2 Results about homogeneous holomorphic vector bundles

Let $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ be a homogeneous holomorphic vector bundle over G/H associated with $\rho : H \rightarrow GL(\mathbf{V})$. Referring to Chapter 2 we are going to state results about this bundle.

Theorem 3.2.1. *There exists a holomorphic structure $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ on the homogeneous holomorphic vector bundle $G \times_\rho \mathbf{V}$ so that*

- (1) $\varpi : G \times \mathbf{V} \rightarrow G \times_\rho \mathbf{V}$, $(g, \mathbf{v}) \mapsto [(g, \mathbf{v})]$, is a surjective, open, holomorphic mapping,
- (2) $\nu : G \times (G \times_\rho \mathbf{V}) \rightarrow G \times_\rho \mathbf{V}$, $(g_1, [(g_2, \mathbf{v})]) \mapsto [(g_1 g_2, \mathbf{v})]$, is a holomorphic mapping,
- (4) $\text{Pr} : G \times_\rho \mathbf{V} \rightarrow G/H$, $[(g, \mathbf{v})] \mapsto \pi(g) = gH$, is a surjective, open, holomorphic mapping,
- (5) for each $\alpha \in A$, the mapping $\phi_\alpha^{-1} : U_\alpha \times \mathbf{V} \rightarrow \text{Pr}^{-1}(U_\alpha)$, $(x, \mathbf{v}) \mapsto [(\sigma_\alpha(x), \mathbf{v})]$, is a biholomorphism; besides, $\phi_\alpha([(g, \mathbf{v})]) = (\pi(g), \rho(\zeta_\alpha(g))\mathbf{v})$ for all $[(g, \mathbf{v})] \in \text{Pr}^{-1}(U_\alpha)$. cf. (1.3.1).

Moreover, for each $x_0 \in U_\alpha$ it follows that

$$(6) \Pr(\phi_\alpha^{-1}(x_0, \mathbf{v})) = x_0 \text{ for all } \mathbf{v} \in \mathbf{V},$$

(7) the mapping $\mathbf{V} \ni \mathbf{v} \mapsto \phi_\alpha^{-1}(x_0, \mathbf{v}) \in \Pr^{-1}(\{x_0\})$ is a complex linear isomorphism, where the complex vector space structure on $\Pr^{-1}(\{x_0\})$ is defined by a similar way to (2.3.1).

In addition, suppose that $U_\alpha \cap U_\beta \neq \emptyset$ ($\alpha, \beta \in A$). Then,

(8) $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow H$, $y \mapsto (\sigma_\alpha(y))^{-1}\sigma_\beta(y)$, is a holomorphic mapping such that

$$(8.a) \ g_{\alpha\alpha}(x) = e \text{ for all } x \in U_\alpha,$$

$$(8.b) \ g_{\alpha\beta}(z)g_{\beta\gamma}(z)g_{\gamma\alpha}(z) = e \text{ for all } z \in U_\alpha \cap U_\beta \cap U_\gamma.$$

(9) $(\phi_\alpha \circ \phi_\beta^{-1})(y, \mathbf{v}) = (y, \rho(g_{\alpha\beta}(y))\mathbf{v})$ for all $(y, \mathbf{v}) \in (U_\alpha \cap U_\beta) \times \mathbf{V}$.

Proof. ref. Proposition 2.2.14, Proposition 2.3.6, Theorem 2.3.5 and Proposition 2.3.4. \square

Provide $G \times_\rho \mathbf{V}$ with the holomorphic structure $\mathcal{S} = \{(\Pr^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ in Theorem 3.2.1, and define complex vector spaces $\Gamma(G \times_\rho \mathbf{V})$ and $\mathcal{V}(G \times_\rho \mathbf{V})$ by

$$\Gamma(G \times_\rho \mathbf{V}) := \left\{ \gamma : G/H \rightarrow G \times_\rho \mathbf{V} \left| \begin{array}{l} (1) \ \gamma \text{ is holomorphic,} \\ (2) \ \Pr(\gamma(x)) = x \text{ for all } x \in G/H \end{array} \right. \right\} \quad (3.2.2)$$

and

$$\mathcal{V}(G \times_\rho \mathbf{V}) := \left\{ \xi : G \rightarrow \mathbf{V} \left| \begin{array}{l} (i) \ \xi \text{ is holomorphic,} \\ (ii) \ \xi(gh) = \rho(h)^{-1}(\xi(g)) \text{ for all } (g, h) \in G \times H \end{array} \right. \right\}, \quad (3.2.3)$$

respectively. This $\Gamma(G \times_\rho \mathbf{V})$ is the complex vector space of holomorphic cross-sections of the homogeneous holomorphic vector bundle $G \times_\rho \mathbf{V}$, and

Theorem 3.2.4. *In the setting of (3.2.2) and (3.2.3); there exists a complex linear isomorphism $F : \Gamma(G \times_\rho \mathbf{V}) \rightarrow \mathcal{V}(G \times_\rho \mathbf{V})$, $\gamma \mapsto F(\gamma)$, such that $\gamma(\pi(g)) = [(g, (F(\gamma))(g))]$ for all $(\gamma, g) \in \Gamma(G \times_\rho \mathbf{V}) \times G$.*

Proof. ref. Theorem 2.4.15. \square

For a non-empty open subset $U \subset G/H$, the restriction $(G \times_\rho \mathbf{V})_U = ((G \times_\rho \mathbf{V})_U, \Pr|_{(G \times_\rho \mathbf{V})_U}, U)$ of the bundle $G \times_\rho \mathbf{V}$ to U is a fiber bundle with \mathbf{V} and $\rho(H) (\subset GL(\mathbf{V}))$. Here we induce holomorphic structures \mathcal{S}_U on U and \mathcal{A}_U on $(G \times_\rho \mathbf{V})_U$ from G/H and $G \times_\rho \mathbf{V}$, respectively. Let $\Gamma(G \times_\rho \mathbf{V})_U$ be the complex vector space of holomorphic cross-sections of the bundle $(G \times_\rho \mathbf{V})_U$, that is,

$$\Gamma(G \times_\rho \mathbf{V})_U := \left\{ \gamma : U \rightarrow (G \times_\rho \mathbf{V})_U \left| \begin{array}{l} (1) \ \gamma \text{ is holomorphic,} \\ (2) \ \Pr(\gamma(x)) = x \text{ for all } x \in U \end{array} \right. \right\}. \quad (3.2.5)$$

This $\Gamma(G \times_\rho \mathbf{V})_U$ corresponds to the complex vector space

$$\mathcal{V}(G \times_\rho \mathbf{V})_U := \left\{ \xi : \pi^{-1}(U) \rightarrow \mathbf{V} \left| \begin{array}{l} (i) \ \xi \text{ is holomorphic,} \\ (ii) \ \xi(gh) = \rho(h)^{-1}(\xi(g)) \text{ for all } (g, h) \in \pi^{-1}(U) \times H \end{array} \right. \right\} \quad (3.2.6)$$

as follows (ref. Proposition 2.5.4):

Proposition 3.2.7. *In the setting of (3.2.5) and (3.2.6); there exists a complex linear isomorphism $F : \Gamma(G \times_\rho \mathbf{V})_U \rightarrow \mathcal{V}(G \times_\rho \mathbf{V})_U$, $\gamma \mapsto F(\gamma)$, such that $\gamma(\pi(g)) = [(g, (F(\gamma))(g))]$ for all $(\gamma, g) \in \Gamma(G \times_\rho \mathbf{V})_U \times \pi^{-1}(U)$. Here U is a non-empty open subset of G/H .*

Chapter 4

Topological vector spaces of mappings

The main purpose of Chapter 4 is to study topological vector spaces. This chapter consists of four sections. In Section 4.1 we first define an important metric (which is called the Fréchet metric) on the vector space of continuous mappings of a certain topological space into a finite-dimensional vector space, next confirm that the metric topology for the vector space coincides with the topology of uniform convergence on compact sets, and finally conclude that the vector space is a Fréchet space. In Sections 4.2 and 4.3 we apply the arguments in Section 4.1 to the real vector space of continuous cross-sections of a homogeneous vector bundle and the complex vector space of holomorphic cross-sections of a homogeneous holomorphic vector bundle, respectively. In the last section we give a proposition about complete metric spaces.

4.1 A topological vector space of continuous mappings

Let $\mathbb{K} = \mathbb{R}$ or \mathbb{C} . Let X be a locally compact Hausdorff space which satisfies the second countability axiom, let V be a finite-dimensional vector space over \mathbb{K} , and let

$$\mathcal{C}(X, V) := \{\xi : X \rightarrow V \mid \xi \text{ is continuous}\}, \quad (4.1.1)$$

where we fix a basis $\{\mathbf{e}_i\}_{i=1}^m$ of V , identify V with \mathbb{K}^m , and consider V as a topological space. For $\xi_1, \xi_2, \xi \in \mathcal{C}(X, V)$, $\alpha \in \mathbb{K}$, one defines the addition $\xi_1 + \xi_2$ and the scalar multiplication $\alpha\xi$ by $(\xi_1 + \xi_2)(x) := \xi_1(x) + \xi_2(x)$ and $(\alpha\xi)(x) := \alpha\xi(x)$ for $x \in X$, respectively. In this setting we will first endow the vector space $\mathcal{C}(X, V)$ with a metric topology so that $\mathcal{C}(X, V)$ is a Hausdorff topological vector space, and afterwards show that the topological vector space $\mathcal{C}(X, V)$ is a Fréchet space.

4.1.1 A metric topology, the Fréchet metric

We want to set a metric d on $\mathcal{C}(X, V)$. For a non-empty compact subset $E \subset X$, we first define a function $d_E : \mathcal{C}(X, V) \times \mathcal{C}(X, V) \rightarrow \mathbb{R}$ by

$$d_E(\xi_1, \xi_2) := \sup \{\|\xi_1(y) - \xi_2(y)\| : y \in E\} \quad (4.1.2)$$

for $\xi_1, \xi_2 \in \mathcal{C}(X, V)$. Here $\|\cdot\|$ is an arbitrary norm on the vector space V .¹ Since X satisfies the second countability axiom and is a locally compact Hausdorff space, there exist non-empty open subsets $O_n \subset X$ such that

1. $X = \bigcup_{n=1}^{\infty} O_n$ (countable union),
2. the closure $\overline{O_n}$ in X is compact for each $n \in \mathbb{N}$.

Then, we put $E_n := \overline{O_n}$ for $n \in \mathbb{N}$. Taking (4.1.2) into consideration we set

$$d(\xi_1, \xi_2) := \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{d_{E_n}(\xi_1, \xi_2)}{1 + d_{E_n}(\xi_1, \xi_2)} \quad (4.1.3)$$

for $\xi_1, \xi_2 \in \mathcal{C}(X, V)$.

Lemma 4.1.4. *The d in (4.1.3) is a metric on $\mathcal{C}(X, V)$ such that*

- (1) $d(\xi_1, \xi_2) \leq 1$ for all $\xi_1, \xi_2 \in \mathcal{C}(X, V)$,

¹Remark. Two norms on V are always equivalent to each other because of $\dim_{\mathbb{K}} V = m < \infty$. e.g. 補題 1.38 in 黒田 [24, p.22].

- (2) $d(\xi_1, \xi_2) = d(\xi_1 + \xi_3, \xi_2 + \xi_3)$ for all $\xi_1, \xi_2, \xi_3 \in \mathcal{C}(X, \mathbb{V})$,
- (3) $d(\alpha\xi_1, \alpha\xi_2) \leq d(\xi_1, \xi_2)$ for all $\alpha \in \mathbb{K}$ with $|\alpha| \leq 1$ and all $\xi_1, \xi_2 \in \mathcal{C}(X, \mathbb{V})$.

Proof. For any $\xi_1, \xi_2 \in \mathcal{C}(X, \mathbb{V})$, we deduce $0 \leq d_E(\xi_1, \xi_2), d(\xi_1, \xi_2)$ by (4.1.2) and (4.1.3). Furthermore,

$$d(\xi_1, \xi_2) = \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{d_{E_n}(\xi_1, \xi_2)}{1 + d_{E_n}(\xi_1, \xi_2)} \leq \sum_{n=1}^{\infty} \frac{1}{2^n} = 1 < \infty. \quad \textcircled{1}$$

Hence d is a non-negative function on $\mathcal{C}(X, \mathbb{V}) \times \mathcal{C}(X, \mathbb{V})$. It is immediate from (4.1.2) and (4.1.3) that

1. $d(\xi_1, \xi_2) = d(\xi_1 + \xi_3, \xi_2 + \xi_3)$ for all $\xi_1, \xi_2, \xi_3 \in \mathcal{C}(X, \mathbb{V})$,
2. $d(\alpha\xi_1, \alpha\xi_2) \leq d(\xi_1, \xi_2)$ for all $\alpha \in \mathbb{K}$ with $|\alpha| = 1$ and all $\xi_1, \xi_2 \in \mathcal{C}(X, \mathbb{V})$,
3. $d(\xi_1, \xi_2) = d(\xi_2, \xi_1)$ for all $\xi_1, \xi_2 \in \mathcal{C}(X, \mathbb{V})$,
4. $d(\xi, \xi) = 0$ for all $\xi \in \mathcal{C}(X, \mathbb{V})$.

Now, for $\xi'_1, \xi'_2 \in \mathcal{C}(X, \mathbb{V})$ we suppose that $d(\xi'_1, \xi'_2) = 0$. Then for each $k \in \mathbb{N}$, one has

$$0 \leq \frac{1}{2^k} \frac{d_{E_k}(\xi'_1, \xi'_2)}{1 + d_{E_k}(\xi'_1, \xi'_2)} \leq \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{d_{E_n}(\xi'_1, \xi'_2)}{1 + d_{E_n}(\xi'_1, \xi'_2)} \stackrel{(4.1.3)}{=} d(\xi'_1, \xi'_2) = 0.$$

This implies that $d_{E_k}(\xi'_1, \xi'_2) = 0$, so that $\xi'_1 = \xi'_2$ on E_k for all $k \in \mathbb{N}$. Therefore $\xi'_1 = \xi'_2$ on the whole X in terms of $X = \bigcup_{n=1}^{\infty} O_n$ and $E_n = \overline{O_n}$. Consequently, the rest of proof is to confirm the triangle inequality

$$d(\xi_1, \xi_3) \leq d(\xi_1, \xi_2) + d(\xi_2, \xi_3) \text{ for all } \xi_1, \xi_2, \xi_3 \in \mathcal{C}(X, \mathbb{V}). \quad \textcircled{2}$$

For any $\xi_1, \xi_2, \xi_3 \in \mathcal{C}(X, \mathbb{V})$, it follows from (4.1.2) that $d_{E_n}(\xi_1, \xi_3) \leq d_{E_n}(\xi_1, \xi_2) + d_{E_n}(\xi_2, \xi_3)$ for all $n \in \mathbb{N}$. Therefore it follows from $0 \leq d_{E_n}(\xi_i, \xi_j)$ that

$$\begin{aligned} \frac{d_{E_n}(\xi_1, \xi_3)}{1 + d_{E_n}(\xi_1, \xi_3)} &\leq \frac{d_{E_n}(\xi_1, \xi_2) + d_{E_n}(\xi_2, \xi_3)}{1 + d_{E_n}(\xi_1, \xi_2) + d_{E_n}(\xi_2, \xi_3)} \\ &= \frac{d_{E_n}(\xi_1, \xi_2)}{1 + d_{E_n}(\xi_1, \xi_2) + d_{E_n}(\xi_2, \xi_3)} + \frac{d_{E_n}(\xi_2, \xi_3)}{1 + d_{E_n}(\xi_1, \xi_2) + d_{E_n}(\xi_2, \xi_3)} \leq \frac{d_{E_n}(\xi_1, \xi_2)}{1 + d_{E_n}(\xi_1, \xi_2)} + \frac{d_{E_n}(\xi_2, \xi_3)}{1 + d_{E_n}(\xi_2, \xi_3)} \end{aligned}$$

for all $n \in \mathbb{N}$. This and $\textcircled{1}$ lead to $\textcircled{2}$. Indeed,

$$\begin{aligned} d(\xi_1, \xi_3) &\stackrel{(4.1.3)}{=} \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{d_{E_n}(\xi_1, \xi_3)}{1 + d_{E_n}(\xi_1, \xi_3)} \leq \sum_{n=1}^{\infty} \left(\frac{1}{2^n} \frac{d_{E_n}(\xi_1, \xi_2)}{1 + d_{E_n}(\xi_1, \xi_2)} + \frac{1}{2^n} \frac{d_{E_n}(\xi_2, \xi_3)}{1 + d_{E_n}(\xi_2, \xi_3)} \right) \\ &= \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{d_{E_n}(\xi_1, \xi_2)}{1 + d_{E_n}(\xi_1, \xi_2)} + \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{d_{E_n}(\xi_2, \xi_3)}{1 + d_{E_n}(\xi_2, \xi_3)} \stackrel{(4.1.3)}{=} d(\xi_1, \xi_2) + d(\xi_2, \xi_3). \end{aligned}$$

□

Definition 4.1.5. The metric d in (4.1.3) is called the *Fréchet metric* on $\mathcal{C}(X, \mathbb{V})$.

Lemma 4.1.6. *The metric space $(\mathcal{C}(X, \mathbb{V}), d)$ is complete. Here d is the Fréchet metric in (4.1.3).*

Proof. Let $\{\xi_n\}_{n=1}^{\infty}$ be an arbitrary Cauchy sequence in $(\mathcal{C}(X, \mathbb{V}), d)$.

Our first aim is to prove that for any $x \in X$, $\{\xi_n(x)\}_{n=1}^{\infty}$ is a Cauchy sequence in $(\mathbb{V}, \|\cdot\|)$. Let us take any $\epsilon > 0$. By $x \in X = \bigcup_{n=1}^{\infty} O_n$ there exists a $k \in \mathbb{N}$ such that $x \in O_k \subset E_k$. Since $\epsilon/(2^k(1 + \epsilon)) > 0$ and $\{\xi_n\}_{n=1}^{\infty}$ is a Cauchy sequence in $(\mathcal{C}(X, \mathbb{V}), d)$, there exists an $M \in \mathbb{N}$ such that $n, m \geq M$ implies

$$d(\xi_n, \xi_m) < \frac{1}{2^k} \frac{\epsilon}{1 + \epsilon}.$$

Then, it follows from (4.1.3) that

$$\frac{1}{2^k} \frac{d_{E_k}(\xi_n, \xi_m)}{1 + d_{E_k}(\xi_n, \xi_m)} \leq d(\xi_n, \xi_m) < \frac{1}{2^k} \frac{\epsilon}{1 + \epsilon},$$

so that $d_{E_k}(\xi_n, \xi_m) < \epsilon$; and we deduce $\|\xi_n(x) - \xi_m(x)\| \leq d_{E_k}(\xi_n, \xi_m) < \epsilon$ by virtue of (4.1.2) and $x \in E_k$. Hence $\{\xi_n(x)\}_{n=1}^{\infty}$ is a Cauchy sequence in $(\mathbb{V}, \|\cdot\|)$ for each $x \in X$.

Since the normed vector space $(\mathbf{V}, \|\cdot\|)$ is complete, one can get a mapping $\xi : X \rightarrow \mathbf{V}$ by setting

$$\xi(x) := \lim_{n \rightarrow \infty} \xi_n(x) \text{ for } x \in X.$$

Our second aim is to conclude that this $\xi : X \rightarrow \mathbf{V}$ is continuous. For any $\epsilon > 0$ and $x_0 \in X = \bigcup_{n=1}^{\infty} O_n$, there exists a $k \in \mathbb{N}$ such that $x_0 \in O_k \subset E_k$. Moreover, there exists an $N \in \mathbb{N}$ such that $n, m \geq N$ implies

$$d(\xi_n, \xi_m) < \frac{1}{2^k} \frac{(\epsilon/3)}{1 + (\epsilon/3)}.$$

Then, it follows that $d_{E_k}(\xi_n, \xi_m) < \epsilon/3$; and $\|\xi_n(y) - \xi_m(y)\| \leq d_{E_k}(\xi_n, \xi_m) < \epsilon/3$ for all $y \in E_k$, $n, m \geq N$. For this reason we see that $\|\xi_n(y) - \xi_N(y)\| < \epsilon/3$ for all $y \in E_k$, $n \geq N$. This assures that

$$\|\xi(y) - \xi_N(y)\| = \left\| \lim_{n \rightarrow \infty} \xi_n(y) - \xi_N(y) \right\| \leq \epsilon/3 \text{ for all } y \in E_k. \quad \textcircled{1}$$

Besides, since $\xi_N : X \rightarrow \mathbf{V}$ is continuous at x_0 , there exists an open neighborhood U_k of $x_0 \in O_k$ such that $z \in U_k$ implies

$$\|\xi_N(x_0) - \xi_N(z)\| < \epsilon/3. \quad \textcircled{2}$$

The U_k is an open neighborhood of $x_0 \in X$, and $z \in U_k$ implies

$$\|\xi(x_0) - \xi(z)\| \leq \|\xi(x_0) - \xi_N(x_0)\| + \|\xi_N(x_0) - \xi_N(z)\| + \|\xi_N(z) - \xi(z)\| < \epsilon$$

because of $\textcircled{1}$, $\textcircled{2}$ and $x_0 \in U_k \subset E_k$. Consequently $\xi : X \rightarrow \mathbf{V}$ is continuous at x_0 . At this stage we can assert $\xi \in \mathcal{C}(X, \mathbf{V})$.

Our third aim is to demonstrate $\lim_{m \rightarrow \infty} d(\xi, \xi_m) = 0$. For any $\epsilon > 0$, one can choose an $\ell \in \mathbb{N}$ such that

$$\frac{1}{2^\ell} < \frac{\epsilon}{2}. \quad \textcircled{3}$$

For each $1 \leq k \leq \ell$, one has $(\epsilon/2)/(2^k(1 + (\epsilon/2))) > 0$ and there exists an $N_k \in \mathbb{N}$ such that $n, m \geq N_k$ implies

$$d(\xi_n, \xi_m) < \frac{1}{2^k} \frac{(\epsilon/2)}{1 + (\epsilon/2)}$$

because $\{\xi_n\}_{n=1}^{\infty}$ is a Cauchy sequence in $(\mathcal{C}(X, \mathbf{V}), d)$. Then, it follows from (4.1.3) that

$$\frac{1}{2^k} \frac{d_{E_k}(\xi_n, \xi_m)}{1 + d_{E_k}(\xi_n, \xi_m)} \leq d(\xi_n, \xi_m) < \frac{1}{2^k} \frac{(\epsilon/2)}{1 + (\epsilon/2)},$$

so that $d_{E_k}(\xi_n, \xi_m) < \epsilon/2$. This and (4.1.2) enable us to verify that $\|\xi_n(y) - \xi_m(y)\| \leq d_{E_k}(\xi_n, \xi_m) < \epsilon/2$ for all $y \in E_k$, $n, m \geq N_k$. Therefore $\|\xi(y) - \xi_m(y)\| = \left\| \lim_{n \rightarrow \infty} \xi_n(y) - \xi_m(y) \right\| \leq \epsilon/2$ for all $y \in E_k$, $m \geq N_k$; and thus (4.1.2) tells us that

$$d_{E_k}(\xi, \xi_m) \leq \epsilon/2 \text{ for all } m \geq N_k. \quad \textcircled{4}$$

Now, let $N := \max\{N_j : 1 \leq j \leq \ell\}$. Then, this N belongs to \mathbb{N} and we deduce

$$d_{E_j}(\xi, \xi_m) \leq \epsilon/2 \text{ for all } 1 \leq j \leq \ell \text{ and } m \geq N$$

by $\textcircled{4}$. Accordingly, $m \geq N$ implies

$$\begin{aligned} d(\xi, \xi_m) &\stackrel{(4.1.3)}{=} \sum_{j=1}^{\ell} \frac{1}{2^j} \frac{d_{E_j}(\xi, \xi_m)}{1 + d_{E_j}(\xi, \xi_m)} + \sum_{i=\ell+1}^{\infty} \frac{1}{2^i} \frac{d_{E_i}(\xi, \xi_m)}{1 + d_{E_i}(\xi, \xi_m)} \leq \sum_{j=1}^{\ell} \frac{1}{2^j} d_{E_j}(\xi, \xi_m) + \sum_{i=\ell+1}^{\infty} \frac{1}{2^i} \\ &\leq \sum_{j=1}^{\ell} \frac{1}{2^j} \frac{\epsilon}{2} + \sum_{i=\ell+1}^{\infty} \frac{1}{2^i} = \left(1 - \frac{1}{2^\ell}\right) \frac{\epsilon}{2} + \frac{1}{2^\ell} < \epsilon \quad (\because \textcircled{3}). \end{aligned}$$

Hence $\lim_{m \rightarrow \infty} d(\xi, \xi_m) = 0$ follows. \square

Lemma 4.1.7. *With respect to the Fréchet metric d in (4.1.3),*

- (1) *the addition $\mathcal{C}(X, \mathbf{V}) \times \mathcal{C}(X, \mathbf{V}) \ni (\xi_1, \xi_2) \mapsto \xi_1 + \xi_2 \in \mathcal{C}(X, \mathbf{V})$ is continuous,*
- (2) *the scalar multiplication $\mathbb{K} \times \mathcal{C}(X, \mathbf{V}) \ni (\alpha, \xi) \mapsto \alpha\xi \in \mathcal{C}(X, \mathbf{V})$ is continuous.*

Therefore $\mathcal{C}(X, \mathbf{V}) = (\mathcal{C}(X, \mathbf{V}), d)$ is a Hausdorff topological vector space over \mathbb{K} .

Proof. (1). Take any $\eta_1, \eta_2 \in \mathcal{C}(X, \mathbf{V})$ and $\epsilon > 0$. If $\xi_1, \xi_2 \in \mathcal{C}(X, \mathbf{V})$ and $d(\xi_1, \eta_1), d(\xi_2, \eta_2) < \epsilon/2$, then the triangle inequality and Lemma 4.1.4-(2) assure

$$d(\xi_1 + \xi_2, \eta_1 + \eta_2) \leq d(\xi_1 + \xi_2, \eta_1 + \xi_2) + d(\eta_1 + \xi_2, \eta_1 + \eta_2) = d(\xi_1, \eta_1) + d(\xi_2, \eta_2) < \epsilon.$$

Hence the addition of vectors is a continuous mapping.

(2). Fix any $\beta \in \mathbb{K}$, $\eta \in \mathcal{C}(X, \mathbf{V})$ and $\epsilon > 0$. Since $\epsilon > 0$ there exists an $N \in \mathbb{N}$ such that

$$\frac{1}{2^N} < \frac{\epsilon}{4}. \quad \textcircled{1}$$

By use of β , ϵ and the N , we define a positive real number p as follows:

$$p := \frac{\epsilon}{4N(1 + |\beta|)}. \quad \textcircled{2}$$

Now, let us suppose that $\alpha \in \mathbb{K}$ and $\xi \in \mathcal{C}(X, \mathbf{V})$ satisfy

$$(s1) \quad |\alpha - \beta| < \frac{\epsilon}{4N(p + \max\{d_{E_j}(\eta, 0) : 1 \leq j \leq N\})} \quad \text{and}$$

$$(s2) \quad d(\xi, \eta) < \frac{1}{2^N} \frac{p}{1 + p},$$

respectively. We want to get

$$d(\alpha\xi, \beta\eta) < \epsilon. \quad \textcircled{a}$$

It follows from (4.1.3) and (s2) that for any $1 \leq j \leq N$,

$$\frac{1}{2^j} \frac{d_{E_j}(\xi, \eta)}{1 + d_{E_j}(\xi, \eta)} \leq d(\xi, \eta) < \frac{1}{2^N} \frac{p}{1 + p} \leq \frac{1}{2^j} \frac{p}{1 + p},$$

so that

$$d_{E_j}(\xi, \eta) < p \quad \text{for all } 1 \leq j \leq N. \quad \textcircled{3}$$

On the one hand; we obtain

$$\begin{aligned} d(\alpha\xi, \beta\xi) &\stackrel{(4.1.3)}{=} \sum_{j=1}^N \frac{1}{2^j} \frac{d_{E_j}(\alpha\xi, \beta\xi)}{1 + d_{E_j}(\alpha\xi, \beta\xi)} + \sum_{k=N+1}^{\infty} \frac{1}{2^k} \frac{d_{E_k}(\alpha\xi, \beta\xi)}{1 + d_{E_k}(\alpha\xi, \beta\xi)} \leq \sum_{j=1}^N d_{E_j}(\alpha\xi, \beta\xi) + \sum_{k=N+1}^{\infty} \frac{1}{2^k} \\ &= \left(\sum_{j=1}^N d_{E_j}(\alpha\xi, \beta\xi) \right) + \frac{1}{2^N} \stackrel{(4.1.2)}{=} \left(\sum_{j=1}^N |\alpha - \beta| d_{E_j}(\xi, 0) \right) + \frac{1}{2^N} \leq \left(\sum_{j=1}^N |\alpha - \beta| (d_{E_j}(\xi, \eta) + d_{E_j}(\eta, 0)) \right) + \frac{1}{2^N} \\ &\leq |\alpha - \beta| N(p + \max\{d_{E_j}(\eta, 0) : 1 \leq j \leq N\}) + \frac{1}{2^N} \quad (\because \textcircled{3}) \\ &< \frac{\epsilon}{2} \quad (\because (s1), \textcircled{1}). \end{aligned}$$

On the other hand;

$$\begin{aligned} d(\beta\xi, \beta\eta) &\stackrel{(4.1.3)}{=} \sum_{j=1}^N \frac{1}{2^j} \frac{d_{E_j}(\beta\xi, \beta\eta)}{1 + d_{E_j}(\beta\xi, \beta\eta)} + \sum_{k=N+1}^{\infty} \frac{1}{2^k} \frac{d_{E_k}(\beta\xi, \beta\eta)}{1 + d_{E_k}(\beta\xi, \beta\eta)} \leq \left(\sum_{j=1}^N d_{E_j}(\beta\xi, \beta\eta) \right) + \frac{1}{2^N} \\ &\stackrel{(4.1.2)}{=} \left(\sum_{j=1}^N |\beta| d_{E_j}(\xi, \eta) \right) + \frac{1}{2^N} \leq |\beta| Np + \frac{1}{2^N} \quad (\because \textcircled{3}) \\ &\stackrel{\textcircled{2}}{=} \frac{|\beta|\epsilon}{4(1 + |\beta|)} + \frac{1}{2^N} < \frac{\epsilon}{2} \quad (\because \textcircled{1}). \end{aligned}$$

These yield $d(\alpha\xi, \beta\xi) + d(\beta\xi, \beta\eta) < \epsilon$. This, combined with the triangle inequality, enables us to conclude (a). So, the scalar multiplication $\mathbb{K} \times \mathcal{C}(X, \mathbf{V}) \ni (\alpha, \xi) \mapsto \alpha\xi \in \mathcal{C}(X, \mathbf{V})$ is continuous. \square

We here give a supplementation about Hausdorff topological vector spaces.

Proposition 4.1.8. *Let \mathcal{X} be a Hausdorff topological vector space over \mathbb{K} ,² and let \mathcal{Y} be a real or complex vector subspace of \mathcal{X} according as $\mathbb{K} = \mathbb{R}$ or $\mathbb{K} = \mathbb{C}$. Suppose that $\dim_{\mathbb{K}} \mathcal{Y} < \infty$. Then,*

²This proposition can hold even if \mathcal{X} is a topological vector space satisfying the first separation axiom.

(1) the topological vector space \mathcal{Y} is isomorphic to \mathbb{K}^k , where $k = \dim_{\mathbb{K}} \mathcal{Y}$,

(2) \mathcal{Y} is a closed subset of \mathcal{X} .

Proof. (1). Fix a basis $\{e_i\}_{i=1}^k$ of \mathcal{Y} , and define a linear isomorphism $f : \mathbb{K}^k \rightarrow \mathcal{Y}$ by

$$f(\alpha_1, \alpha_2, \dots, \alpha_k) := \sum_{i=1}^k \alpha_i e_i \text{ for } (\alpha_1, \alpha_2, \dots, \alpha_k) \in \mathbb{K}^k.$$

Let us show that

$$\text{the linear isomorphism } f : \mathbb{K}^k \rightarrow \mathcal{Y} \text{ is homeomorphic.} \quad \textcircled{1}$$

It is natural that $f : \mathbb{K}^k \rightarrow \mathcal{Y}$, $(\alpha_1, \alpha_2, \dots, \alpha_k) \mapsto \sum_{i=1}^k \alpha_i e_i$, is continuous because f is the composition of the following three continuous mappings f_1 , f_2 and f_3 :

$$\begin{aligned} f_1 : \mathbb{K}^k &\rightarrow (\mathbb{K} \times \mathcal{Y}) \times (\mathbb{K} \times \mathcal{Y}) \times \cdots \times (\mathbb{K} \times \mathcal{Y}), (\alpha_1, \alpha_2, \dots, \alpha_k) \mapsto (\alpha_1, e_1, \alpha_2, e_2, \dots, \alpha_k, e_k), \\ f_2 : (\mathbb{K} \times \mathcal{Y}) \times (\mathbb{K} \times \mathcal{Y}) \times \cdots \times (\mathbb{K} \times \mathcal{Y}) &\rightarrow \mathcal{Y} \times \mathcal{Y} \times \cdots \times \mathcal{Y}, (\alpha_1, y_1, \alpha_2, y_2, \dots, \alpha_k, y_k) \mapsto (\alpha_1 y_1, \alpha_2 y_2, \dots, \alpha_k y_k), \\ f_3 : \mathcal{Y} \times \mathcal{Y} \times \cdots \times \mathcal{Y} &\rightarrow \mathcal{Y}, (y_1, y_2, \dots, y_k) \mapsto \sum_{i=1}^k y_i. \end{aligned}$$

We need to confirm that the inverse $f^{-1} : \mathcal{Y} \rightarrow \mathbb{K}^k$ is also continuous. It suffices to confirm that f^{-1} is continuous at the zero $0 \in \mathcal{Y}$. Let $\|\mathbf{x}\| := \sqrt{|x_1|^2 + |x_2|^2 + \cdots + |x_k|^2}$ for $\mathbf{x} = (x_1, x_2, \dots, x_k) \in \mathbb{K}^k$. We will show that for any $\epsilon > 0$ there exists an open neighborhood U of $0 \in \mathcal{Y}$ satisfying

$$f^{-1}(U) \subset B_\epsilon, \quad \textcircled{2}$$

where $B_\epsilon := \{\mathbf{x} \in \mathbb{K}^k : \|\mathbf{x}\| < \epsilon\}$. It turns out that the sphere $S_\epsilon := \{\mathbf{y} \in \mathbb{K}^k : \|\mathbf{y}\| = \epsilon\}$ is a compact subset of \mathbb{K}^k and $\mathbf{0} \notin S_\epsilon$. Therefore, since $f : \mathbb{K}^k \rightarrow \mathcal{Y}$ is injective continuous and \mathcal{Y} is a Hausdorff space, we conclude that $f(S_\epsilon) \subset \mathcal{Y}$ is closed and $0 = f(\mathbf{0}) \notin f(S_\epsilon)$. Accordingly there exist two open subsets $U_1, U_2 \subset \mathcal{Y}$ such that

$$0 \in U_1, \quad f(S_\epsilon) \subset U_2, \quad U_1 \cap U_2 = \emptyset \quad \textcircled{a}$$

because a Hausdorff topological vector space is a regular space.³ Since U_1 is an open neighborhood of $0 \in \mathcal{Y}$ and the scalar multiplication $\mathbb{K} \times \mathcal{Y} \ni (\alpha, y) \mapsto \alpha y \in \mathcal{Y}$ is continuous at $(0, 0)$, there exist a positive real number p and an open neighborhood V of $0 \in \mathcal{Y}$ such that $\alpha v \in U_1$ for all $|\alpha| < p$ and $v \in V$. Setting $U := \bigcup_{0 < |\beta| < p} \beta V$, one deduces the following:

$$\text{(i) } U \text{ is an open subset of } \mathcal{Y}, \text{ (ii) } 0 \in U \subset U_1, \text{ (iii) } tu \in U \text{ for all } |t| \leq 1 \text{ and } u \in U, \quad \textcircled{b}$$

where we remark that each βV is an open neighborhood of $0 \in \mathcal{Y}$. Now, we are in a position to prove $\textcircled{2}$. Let us use proof by contradiction. Suppose that there exists a $\mathbf{z} \in f^{-1}(U)$ which does not belong to B_ϵ . Then, it follows from $\mathbf{z} \notin B_\epsilon$ that $\|\mathbf{z}\| \geq \epsilon$. Therefore the intermediate-value theorem enables us to obtain a real number t_0 such that $0 \leq t_0 \leq 1$ and $\|t_0 \mathbf{z}\| = \epsilon$ (because the mapping $[0, 1] \ni t \mapsto t\|\mathbf{z}\| = \|t\mathbf{z}\| \in \mathbb{R}$ is continuous). This $\|t_0 \mathbf{z}\| = \epsilon$ implies $t_0 \mathbf{z} \in S_\epsilon$, and so \textcircled{a} yields

$$f(t_0 \mathbf{z}) \in U_2.$$

However, from $f(\mathbf{z}) \in U$, $0 \leq t_0 \leq 1$ and \textcircled{b} we deduce $f(t_0 \mathbf{z}) = t_0 f(\mathbf{z}) \in U \subset U_1$. Hence $f(t_0 \mathbf{z}) \in U_1 \cap U_2$, which contradicts \textcircled{a} . For this reason $\textcircled{2}$ holds, and $f^{-1} : \mathcal{Y} \rightarrow \mathbb{K}^k$ is continuous at 0 . This assures $\textcircled{1}$.

(2). Taking the above U and f into account, we are going to prove that $\mathcal{Y} \subset \mathcal{X}$ is closed from now on. Let x be an arbitrary element of $\overline{\mathcal{Y}^\mathcal{X}}$ (the closure of \mathcal{Y} in \mathcal{X}). By \textcircled{b} - \textcircled{i} there exists an open subset $O \subset \mathcal{X}$ such that

$$U = (O \cap \mathcal{Y}).$$

Since O is an open neighborhood of $0 \in \mathcal{X}$ and the mapping $\mathbb{R} \ni t \mapsto tx \in \mathcal{X}$ is continuous at 0 , there exists a $\nu > 0$ such that $(1/\nu)x \in O$. Then, one has

$$\begin{aligned} x \in (\nu O \cap \overline{\mathcal{Y}^\mathcal{X}}) &\subset \overline{\nu O \cap \mathcal{Y}^\mathcal{X}} \quad (\because \nu O \text{ is open in } \mathcal{X}) \\ &= \overline{\nu(O \cap \mathcal{Y})^\mathcal{X}} = \overline{\nu U}^\mathcal{X} \subset \overline{\nu f(B_\epsilon)^\mathcal{X}} \quad (\because \textcircled{2}) \\ &= \overline{f(\nu B_\epsilon)^\mathcal{X}} = \overline{f(B_{\nu\epsilon})^\mathcal{X}} \subset \overline{f(\overline{B_{\nu\epsilon}^{\mathbb{K}^k}})^\mathcal{X}} = f(\overline{B_{\nu\epsilon}^{\mathbb{K}^k}}) \subset f(\mathbb{K}^k) = \mathcal{Y}, \end{aligned}$$

where $\overline{f(\overline{B_{\nu\epsilon}^{\mathbb{K}^k}})^\mathcal{X}} = f(\overline{B_{\nu\epsilon}^{\mathbb{K}^k}})$ follows by $f : \mathbb{K}^k \rightarrow (\mathcal{Y} \subset \mathcal{X})$ being continuous, $f(\overline{B_{\nu\epsilon}^{\mathbb{K}^k}}) \subset \mathcal{X}$ being compact and \mathcal{X} being a Hausdorff space. Therefore $x \in \mathcal{Y}$, and $\overline{\mathcal{Y}^\mathcal{X}} \subset \mathcal{Y}$. This implies that \mathcal{Y} is a closed subset of \mathcal{X} . \square

³More generally, a topological group satisfying the first separation axiom is a regular space. e.g. 定理 1.8 in 村上 [27, p.28].

4.1.2 A metric topology, a topology of uniform convergence on compact sets, and a locally convex topology

In the previous subsection we have defined the Fréchet metric d on $\mathcal{C}(X, \mathbb{V})$. So, one can consider the metric topology for $(\mathcal{C}(X, \mathbb{V}), d)$. Recalling that $X = \bigcup_{n=1}^{\infty} O_n$ and each $E_n = \overline{O_n}$ is compact in X (cf. Subsection 4.1.1), we prove two Lemmas 4.1.9 and 4.1.10, and deduce Theorem 4.1.11 from them.

Lemma 4.1.9. *The metric topology for $(\mathcal{C}(X, \mathbb{V}), d)$ coincides with the topology of uniform convergence on compact sets.*

Proof. First, let us demonstrate that the metric topology \mathcal{D}_d for $(\mathcal{C}(X, \mathbb{V}), d)$ is coarser than the topology \mathcal{D}_{cu} of uniform convergence on compact sets, namely

$$\mathcal{D}_d \subset \mathcal{D}_{\text{cu}}.$$

For given $\xi_0 \in \mathcal{C}(X, \mathbb{V})$ and $\epsilon > 0$, we set $O_d := \{\xi \in \mathcal{C}(X, \mathbb{V}) \mid d(\xi, \xi_0) < \epsilon\}$ and take an arbitrary element $\xi \in O_d$. We want to show that there exist a non-empty compact subset $E \subset X$ and a $\delta > 0$ satisfying

$$\{\eta \in \mathcal{C}(X, \mathbb{V}) \mid d_E(\eta, \xi) < \delta\} \subset O_d$$

(see (4.1.2) for d_E). Let $r := d(\xi, \xi_0)$. Since $\epsilon - r > 0$ there exists an $m \in \mathbb{N}$ such that

$$1/2^m < (\epsilon - r)/2.$$

By use of m , ϵ and r we put

$$E := \bigcup_{j=1}^m E_j, \quad \delta := (\epsilon - r)/(2m).$$

Then, it turns out that E is a non-empty compact subset of X and $\delta > 0$. Moreover, (4.1.2) yields

$$d_{E_1}(\xi_1, \xi_2) + \cdots + d_{E_m}(\xi_1, \xi_2) \leq m d_E(\xi_1, \xi_2)$$

for all $\xi_1, \xi_2 \in \mathcal{C}(X, \mathbb{V})$. Hence for any $\eta \in \mathcal{C}(X, \mathbb{V})$ with $d_E(\eta, \xi) < \delta$, we have

$$\begin{aligned} d(\eta, \xi) &\stackrel{(4.1.3)}{=} \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{d_{E_n}(\eta, \xi)}{1 + d_{E_n}(\eta, \xi)} = \sum_{j=1}^m \frac{1}{2^j} \frac{d_{E_j}(\eta, \xi)}{1 + d_{E_j}(\eta, \xi)} + \sum_{k=m+1}^{\infty} \frac{1}{2^k} \frac{d_{E_k}(\eta, \xi)}{1 + d_{E_k}(\eta, \xi)} \\ &\leq \sum_{j=1}^m d_{E_j}(\eta, \xi) + \sum_{k=m+1}^{\infty} \frac{1}{2^k} = \left(\sum_{j=1}^m d_{E_j}(\eta, \xi) \right) + \frac{1}{2^m} \leq m d_E(\eta, \xi) + \frac{1}{2^m} \\ &< m\delta + \frac{1}{2^m} < \epsilon - r. \end{aligned}$$

This and $d(\eta, \xi_0) \leq d(\eta, \xi) + d(\xi, \xi_0) = d(\eta, \xi) + r$ imply that $\{\eta \in \mathcal{C}(X, \mathbb{V}) \mid d_E(\eta, \xi) < \delta\} \subset O_d$, and thus $\mathcal{D}_d \subset \mathcal{D}_{\text{cu}}$.

Next, let us confirm that the converse inclusion $\mathcal{D}_{\text{cu}} \subset \mathcal{D}_d$ also holds. For given $\xi'_0 \in \mathcal{C}(X, \mathbb{V})$, $\epsilon' > 0$ and non-empty compact subset $E' \subset X$, we set $O_{\text{cu}} := \{\xi' \in \mathcal{C}(X, \mathbb{V}) \mid d_{E'}(\xi', \xi'_0) < \epsilon'\}$ and fix any element $\xi' \in O_{\text{cu}}$. We are going to show that there exists a $\delta' > 0$ satisfying

$$\{\eta' \in \mathcal{C}(X, \mathbb{V}) \mid d(\eta', \xi') < \delta'\} \subset O_{\text{cu}}.$$

Put $r' := d_{E'}(\xi', \xi'_0)$. Since $X = \bigcup_{n=1}^{\infty} O_n$, $E_n = \overline{O_n}$ and E' is compact, there exist finite elements $n(1), \dots, n(k) \in \mathbb{N}$ such that $n(1) < \cdots < n(k)$ and $E' \subset \bigcup_{i=1}^k E_{n(i)}$. Then it follows from (4.1.2) that

$$d_{E'}(\xi_1, \xi_2) \leq d_{E_{n(1)}}(\xi_1, \xi_2) + \cdots + d_{E_{n(k)}}(\xi_1, \xi_2)$$

for all $\xi_1, \xi_2 \in \mathcal{C}(X, \mathbb{V})$. Setting

$$\delta' := \frac{1}{2^{n(k)}} \frac{((\epsilon' - r')/k)}{1 + ((\epsilon' - r')/k)},$$

we deduce $\delta' > 0$. In addition; if $\eta' \in \mathcal{C}(X, \mathbb{V})$ satisfies $d(\eta', \xi') < \delta'$, then it follows from (4.1.3) that

$$\frac{1}{2^{n(i)}} \frac{d_{E_{n(i)}}(\eta', \xi')}{1 + d_{E_{n(i)}}(\eta', \xi')} \leq d(\eta', \xi') < \delta' = \frac{1}{2^{n(k)}} \frac{((\epsilon' - r')/k)}{1 + ((\epsilon' - r')/k)} \leq \frac{1}{2^{n(i)}} \frac{((\epsilon' - r')/k)}{1 + ((\epsilon' - r')/k)},$$

so that $d_{E_{n(i)}}(\eta', \xi') < (\epsilon' - r')/k$ for all $1 \leq i \leq k$. Consequently, if $\eta' \in \mathcal{C}(X, \mathbb{V})$ satisfies $d(\eta', \xi') < \delta'$, then $d_{E'}(\eta', \xi') \leq \sum_{i=1}^k d_{E_{n(i)}}(\eta', \xi') < \epsilon' - r'$. This and $d_{E'}(\eta', \xi'_0) \leq d_{E'}(\eta', \xi') + d_{E'}(\xi', \xi'_0) = d_{E'}(\eta', \xi') + r'$ imply that $\{\eta' \in \mathcal{C}(X, \mathbb{V}) \mid d(\eta', \xi') < \delta'\} \subset O_{\text{cu}}$, and so $\mathcal{D}_{\text{cu}} \subset \mathcal{D}_d$. \square

Lemma 4.1.10. *The metric topology for $(\mathcal{C}(X, \mathbb{V}), d)$ coincides with the locally convex topology determined by a countable number of seminorms $\{p_n\}_{n \in \mathbb{N}}$, where $p_n(\xi) := d_{E_n}(\xi, 0)$ for $n \in \mathbb{N}$, $\xi \in \mathcal{C}(X, \mathbb{V})$. Here we refer to (4.1.2) for d_{E_n} .*

Proof. We denote by \mathcal{D}_{loc} the locally convex topology determined by $\{p_n\}_{n \in \mathbb{N}}$, and utilize the same notation \mathcal{D}_d , $O_d = \{\xi \in \mathcal{C}(X, \mathbb{V}) \mid d(\xi, \xi_0) < \epsilon\}$ as in the proof of Lemma 4.1.9. We need to verify that $\mathcal{D}_{\text{loc}} = \mathcal{D}_d$, but $\mathcal{D}_{\text{loc}} \subset \mathcal{D}_d$ is a consequence of Lemma 4.1.9. Thus we are going to confirm $\mathcal{D}_d \subset \mathcal{D}_{\text{loc}}$ only.

For given $\xi \in O_d$, we put $r := d(\xi, \xi_0)$. Since $\epsilon - r > 0$ there exists an $N \in \mathbb{N}$ such that

$$\frac{1}{2^N} < \frac{\epsilon - r}{2}.$$

Then, any $\eta \in \bigcap_{i=1}^N \{\eta \in \mathcal{C}(X, \mathbb{V}) \mid p_i(\eta - \xi) < (\epsilon - r)/(2N)\}$ satisfies

$$\begin{aligned} d(\eta, \xi) &\stackrel{(4.1.3)}{=} \sum_{i=1}^N \frac{1}{2^i} \frac{d_{E_i}(\eta, \xi)}{1 + d_{E_i}(\eta, \xi)} + \sum_{k=N+1}^{\infty} \frac{1}{2^k} \frac{d_{E_k}(\eta, \xi)}{1 + d_{E_k}(\eta, \xi)} \leq \sum_{i=1}^N d_{E_i}(\eta, \xi) + \sum_{k=N+1}^{\infty} \frac{1}{2^k} = \left(\sum_{i=1}^N d_{E_i}(\eta, \xi) \right) + \frac{1}{2^N} \\ &\stackrel{(4.1.2)}{=} \left(\sum_{i=1}^N p_i(\eta - \xi) \right) + \frac{1}{2^N} < \epsilon - r; \end{aligned}$$

furthermore, $d(\eta, \xi_0) \leq d(\eta, \xi) + d(\xi, \xi_0) < \epsilon - r + r = \epsilon$. Hence we see that $\bigcap_{i=1}^N \{\eta \in \mathcal{C}(X, \mathbb{V}) \mid p_i(\eta - \xi) < (\epsilon - r)/(2N)\} \subset O_d$, and $\mathcal{D}_d \subset \mathcal{D}_{\text{loc}}$. \square

Summarizing statements above we conclude the following (see (4.1.1), (4.1.2) for $\mathcal{C}(X, \mathbb{V})$, d_{E_n}):

Theorem 4.1.11. *With respect the Fréchet metric d in (4.1.3),*

- (1) *the metric space $(\mathcal{C}(X, \mathbb{V}), d)$ is complete,*
- (2) *the addition $\mathcal{C}(X, \mathbb{V}) \times \mathcal{C}(X, \mathbb{V}) \ni (\xi_1, \xi_2) \mapsto \xi_1 + \xi_2 \in \mathcal{C}(X, \mathbb{V})$ and the scalar multiplication $\mathbb{K} \times \mathcal{C}(X, \mathbb{V}) \ni (\alpha, \xi) \mapsto \alpha\xi \in \mathcal{C}(X, \mathbb{V})$ are continuous,*
- (3) *the metric topology for $(\mathcal{C}(X, \mathbb{V}), d)$ coincides with the topology of uniform convergence on compact sets; besides, it also coincides with the locally convex topology determined by a countable number of seminorms $\{p_n\}_{n \in \mathbb{N}}$, where $p_n(\xi) = d_{E_n}(\xi, 0)$ for $n \in \mathbb{N}$, $\xi \in \mathcal{C}(X, \mathbb{V})$.*

Therefore $\mathcal{C}(X, \mathbb{V})$ is a Fréchet space over $\mathbb{K} = \mathbb{R}$ or \mathbb{C} .

Proof. cf. Lemmas 4.1.6, 4.1.7, 4.1.9 and 4.1.10. \square

4.2 Real vector spaces of continuous cross-sections of homogeneous vector bundles

The setting of Section 4.2 is as follows:

- G is a Lie group which satisfies the second countability axiom,
- H is a closed subgroup of G ,
- π is the projection of G onto the left quotient space G/H ,
- $\mathcal{S} = \{(U_\alpha, \psi_\alpha)\}_{\alpha \in A}$ is the real analytic structure on G/H given in Theorem 1.1.2,
- $G \times_\rho \mathbb{V} = (G \times_\rho \mathbb{V}, \text{Pr}, G/H)$ is a homogeneous vector bundle over G/H associated with $\rho : H \rightarrow GL(\mathbb{V})$,
- $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ is the real analytic structure on $G \times_\rho \mathbb{V}$ in Proposition 2.2.9.

The topologies for G/H and $G \times_\rho \mathbb{V}$ are the quotient topologies relative to $\pi : G \rightarrow G/H$, $g \mapsto gH$, and $\varpi : G \times \mathbb{V} \rightarrow G \times_\rho \mathbb{V}$, $(g, \mathbb{v}) \mapsto [(g, \mathbb{v})]$, respectively, and the homogeneous space G/H and the homogeneous vector bundle $G \times_\rho \mathbb{V}$ are real analytic manifolds having the atlases \mathcal{S} and \mathcal{S} , respectively.

Now, let U be a non-empty open subset of G/H . Since $\pi^{-1}(U)$ is open in G and the Lie group G satisfies the second countability axiom, we see that $\pi^{-1}(U)$ is a locally compact Hausdorff space and satisfies the same axiom. For this reason we can apply the arguments and notation “ $d, d_{E_n}, \|\cdot\|$ ” in Section 4.1 to $\mathcal{C}(\pi^{-1}(U), \mathbf{V})$. Noting (2.5.3) and

$$\mathcal{V}^0(G \times_\rho \mathbf{V})_U \subset \mathcal{C}(\pi^{-1}(U), \mathbf{V}),$$

we demonstrate

Proposition 4.2.1. *With respect the Fréchet metric d in (4.1.3),*

- (1) *the metric space $(\mathcal{V}^0(G \times_\rho \mathbf{V})_U, d)$ is complete,*
- (2) *the addition $\mathcal{V}^0(G \times_\rho \mathbf{V})_U \times \mathcal{V}^0(G \times_\rho \mathbf{V})_U \ni (\xi_1, \xi_2) \mapsto \xi_1 + \xi_2 \in \mathcal{V}^0(G \times_\rho \mathbf{V})_U$ and the scalar multiplication $\mathbb{R} \times \mathcal{V}^0(G \times_\rho \mathbf{V})_U \ni (\lambda, \xi) \mapsto \lambda\xi \in \mathcal{V}^0(G \times_\rho \mathbf{V})_U$ are continuous,*
- (3) *the metric topology for $(\mathcal{V}^0(G \times_\rho \mathbf{V})_U, d)$ coincides with the topology of uniform convergence on compact sets; besides, it also coincides with the locally convex topology determined by a countable number of seminorms $\{p_n\}_{n \in \mathbb{N}}$, where $p_n(\xi) := d_{E_n}(\xi, 0)$ for $n \in \mathbb{N}$, $\xi \in \mathcal{V}^0(G \times_\rho \mathbf{V})_U$.*

Therefore $\mathcal{V}^0(G \times_\rho \mathbf{V})_U$ is a Fréchet space over \mathbb{R} .

Proof. Theorem 4.1.11, together with $\mathcal{V}^0(G \times_\rho \mathbf{V})_U \subset \mathcal{C}(\pi^{-1}(U), \mathbf{V})$, enables us to show that d is a metric on $\mathcal{V}^0(G \times_\rho \mathbf{V})_U$, and to conclude (2), (3). Hence, we only prove that $(\mathcal{V}^0(G \times_\rho \mathbf{V})_U, d)$ is complete.

Let $\{\eta_n\}_{n=1}^\infty$ be a given Cauchy sequence in $(\mathcal{V}^0(G \times_\rho \mathbf{V})_U, d)$. By $\mathcal{V}^0(G \times_\rho \mathbf{V})_U \subset \mathcal{C}(\pi^{-1}(U), \mathbf{V})$ and Lemma 4.1.6, there exists a unique $\eta \in \mathcal{C}(\pi^{-1}(U), \mathbf{V})$ such that $\lim_{n \rightarrow \infty} d(\eta, \eta_n) = 0$. In order to show $\eta \in \mathcal{V}^0(G \times_\rho \mathbf{V})_U$, it suffices to confirm that

$$\eta(gh) = \rho(h)^{-1}(\eta(g)) \text{ for all } (g, h) \in \pi^{-1}(U) \times H$$

because (2.5.3). For any $(g, h) \in \pi^{-1}(U) \times H$, it follows from $\lim_{n \rightarrow \infty} d(\eta, \eta_n) = 0$ and $gh, g \in \pi^{-1}(U)$ that

$$\lim_{n \rightarrow \infty} \|\eta(gh) - \eta_n(gh)\| = 0, \quad \lim_{m \rightarrow \infty} \|\eta_m(g) - \eta(g)\| = 0$$

(ref. the beginning of the proof of Lemma 4.1.6); and therefore

$$\begin{aligned} \|\eta(gh) - \rho(h)^{-1}(\eta(g))\| &\leq \|\eta(gh) - \eta_n(gh)\| + \|\eta_n(gh) - \rho(h)^{-1}(\eta(g))\| \\ &= \|\eta(gh) - \eta_n(gh)\| + \|\rho(h)^{-1}(\eta_n(g) - \eta(g))\| \longrightarrow 0 \quad (n \rightarrow \infty) \end{aligned}$$

because of $\eta_n \in \mathcal{V}^0(G \times_\rho \mathbf{V})_U$ and because the mapping $\rho(h)^{-1} : \mathbf{V} \rightarrow \mathbf{V}$, $\mathbf{v} \mapsto \rho(h)^{-1}(\mathbf{v})$, is continuous. Consequently, one has $\eta \in \mathcal{V}^0(G \times_\rho \mathbf{V})_U$, and the metric space $(\mathcal{V}^0(G \times_\rho \mathbf{V})_U, d)$ is complete.⁴ \square

4.3 Complex vector spaces of holomorphic cross-sections of homogeneous holomorphic vector bundles

The setting of Section 4.3 is as follows:

- G is a complex Lie group which satisfies the second countability axiom,
- H is a closed complex Lie subgroup of G ,
- π is the projection of G onto the left quotient space G/H ,
- $\mathcal{S} = \{(U_\alpha, \psi_\alpha)\}_{\alpha \in A}$ is the holomorphic structure on G/H given in Theorem 1.2.1,
- $G \times_\rho \mathbf{V} = (G \times_\rho \mathbf{V}, \text{Pr}, G/H)$ is a homogeneous holomorphic vector bundle over G/H associated with $\rho : H \rightarrow GL(\mathbf{V})$,
- $\mathcal{S} = \{(\text{Pr}^{-1}(U_\alpha), \varphi_\alpha)\}_{\alpha \in A}$ is the holomorphic structure on $G \times_\rho \mathbf{V}$ in Theorem 3.2.1.

⁴This implies that $\mathcal{V}^0(G \times_\rho \mathbf{V})_U$ is a closed, real vector subspace of the Fréchet space $\mathcal{C}(\pi^{-1}(U), \mathbf{V})$.

The topologies for G/H and $G \times_\rho \mathbf{V}$ are the quotient topologies relative to $\pi : G \rightarrow G/H$, $g \mapsto gH$, and $\varpi : G \times \mathbf{V} \rightarrow G \times_\rho \mathbf{V}$, $(g, \mathbf{v}) \mapsto [(g, \mathbf{v})]$, respectively, and the homogeneous space G/H and the homogeneous holomorphic vector bundle $G \times_\rho \mathbf{V}$ are complex manifolds having the atlases \mathcal{S} and \mathcal{S}' , respectively. Here we fix a complex basis $\{\mathbf{e}_i\}_{i=1}^m$ of \mathbf{V} , identify \mathbf{V} with \mathbb{C}^m and consider \mathbf{V} as a complex manifold.

The following arguments are similar to those in the previous section. For a non-empty open subset $U \subset G/H$, it follows from (3.2.6) and (4.1.1) that

$$\mathcal{V}(G \times_\rho \mathbf{V})_U \subset \mathcal{C}(\pi^{-1}(U), \mathbf{V}),$$

and moreover

Proposition 4.3.1. *With respect the Fréchet metric d in (4.1.3),*

- (1) *the metric space $(\mathcal{V}(G \times_\rho \mathbf{V})_U, d)$ is complete,*
- (2) *the addition $\mathcal{V}(G \times_\rho \mathbf{V})_U \times \mathcal{V}(G \times_\rho \mathbf{V})_U \ni (\xi_1, \xi_2) \mapsto \xi_1 + \xi_2 \in \mathcal{V}(G \times_\rho \mathbf{V})_U$ and the scalar multiplication $\mathbb{C} \times \mathcal{V}(G \times_\rho \mathbf{V})_U \ni (\alpha, \xi) \mapsto \alpha\xi \in \mathcal{V}(G \times_\rho \mathbf{V})_U$ are continuous,*
- (3) *the metric topology for $(\mathcal{V}(G \times_\rho \mathbf{V})_U, d)$ coincides with the topology of uniform convergence on compact sets; besides, it also coincides with the locally convex topology determined by a countable number of seminorms.*

Therefore $\mathcal{V}(G \times_\rho \mathbf{V})_U$ is a Fréchet space over \mathbb{C} .

Proof. By Theorem 4.1.11 and $\mathcal{V}(G \times_\rho \mathbf{V})_U \subset \mathcal{C}(\pi^{-1}(U), \mathbf{V})$ we conclude that d is a metric on $\mathcal{V}(G \times_\rho \mathbf{V})_U$, and that both (2) and (3) hold.

Let us prove that $(\mathcal{V}(G \times_\rho \mathbf{V})_U, d)$ is complete. Let $\{\xi_n\}_{n=1}^\infty$ be a given Cauchy sequence in $(\mathcal{V}(G \times_\rho \mathbf{V})_U, d)$. By (3.2.6) and (2.5.3), one has

$$\mathcal{V}(G \times_\rho \mathbf{V})_U \subset \mathcal{V}^0(G \times_\rho \mathbf{V})_U,$$

where we regard \mathbf{V} as a real vector space here. Therefore $\{\xi_n\}_{n=1}^\infty \subset \mathcal{V}^0(G \times_\rho \mathbf{V})_U$ and Proposition 4.2.1-(1) assure the existence of a unique $\xi \in \mathcal{V}^0(G \times_\rho \mathbf{V})_U$ satisfying $\lim_{n \rightarrow \infty} d(\xi, \xi_n) = 0$. So, we can get the conclusion if one confirms that

$$\text{the continuous mapping } \xi : \pi^{-1}(U) \rightarrow \mathbf{V} = \mathbb{C}^m \text{ is holomorphic.} \quad \textcircled{1}$$

For an arbitrary $g \in \pi^{-1}(U)$, we take a holomorphic coordinate neighborhood (P, ψ) of g such that (i) $z^j(\psi(g)) = 0$ for all $1 \leq j \leq N := \dim_{\mathbb{C}} \pi^{-1}(U)$ and (ii) ψ is a homeomorphism of P onto an open subset of \mathbb{C}^N defined by $|z^1| < r, |z^2| < r, \dots, |z^N| < r$ for some $r > 0$. Let us express $\xi \circ \psi^{-1} : \psi(P) \rightarrow \mathbb{C}^m$ as

$$(\xi \circ \psi^{-1})(z^1, z^2, \dots, z^N) = (\xi^1(z^1, z^2, \dots, z^N), \dots, \xi^m(z^1, z^2, \dots, z^N)),$$

and set $D := \{z \in \mathbb{C} : |z| < r\}$. If one shows that for each $1 \leq i \leq m$ and $1 \leq j \leq N$

$$\text{the continuous function } D \ni z^j \mapsto \xi^i(\dots, z^j, \dots) \in \mathbb{C} \text{ of one variable is holomorphic,} \quad \textcircled{1}'$$

then we can conclude $\textcircled{1}$ by $\psi(P) \ni (z^1, z^2, \dots, z^N) \mapsto \xi^i(z^1, z^2, \dots, z^N) \in \mathbb{C}$ being continuous and $\psi(P) = \underbrace{D \times D \times \dots \times D}_N$.

In order to show $\textcircled{1}'$ we first express $\xi_n \circ \psi^{-1} : \psi(P) \rightarrow \mathbb{C}^m$ as

$$(\xi_n \circ \psi^{-1})(z^1, z^2, \dots, z^N) = (\xi_n^1(z^1, z^2, \dots, z^N), \dots, \xi_n^m(z^1, z^2, \dots, z^N)),$$

$n \in \mathbb{N}$. Notice that each $\xi_n^i(z^1, z^2, \dots, z^N) : \psi(P) \rightarrow \mathbb{C}$ is a holomorphic function ($1 \leq i \leq m$, $n \in \mathbb{N}$) by virtue of $\xi_n \in \mathcal{V}(G \times_\rho \mathbf{V})_U$. Remark that $\lim_{n \rightarrow \infty} d(\xi, \xi_n) = 0$ and the topology for $(\mathcal{V}(G \times_\rho \mathbf{V})_U, d)$ coincides with the topology of uniform convergence on compact sets. Substituting a sufficiently small $r' > 0$ for r (if necessary), one can assume that $\{\xi_n \circ \psi^{-1}\}_{n=1}^\infty$ is uniformly convergent to $\xi \circ \psi^{-1}$ on the set $\psi(P)$ —that is, for any $\epsilon > 0$ there exists a $K \in \mathbb{N}$ such that $k \geq K$ implies

$$\|(\xi \circ \psi^{-1})(\mathbf{z}) - (\xi_k \circ \psi^{-1})(\mathbf{z})\| < \epsilon \text{ for all } \mathbf{z} \in \psi(P),$$

where $\|\mathbf{w}\| := \sqrt{|w_1|^2 + \dots + |w_m|^2}$ for $\mathbf{w} = (w_1, \dots, w_m) \in \mathbb{C}^m$. Consequently it follows that for each $1 \leq i \leq m$

$$\{\xi_n^i(z^1, z^2, \dots, z^N)\}_{n=1}^\infty \text{ is uniformly convergent to } \xi^i(z^1, z^2, \dots, z^N) \text{ on } \psi(P),$$

and in particular, for each $1 \leq i \leq m$ and $1 \leq j \leq N$

$$\{\xi_n^i(\dots, z^j, \dots)\}_{n=1}^\infty \text{ is uniformly convergent to } \xi^i(\dots, z^j, \dots) \text{ on } D. \quad (\text{a})$$

Now, we are in a position to demonstrate $\textcircled{1}'$. Fix any $1 \leq i \leq m$ and $1 \leq j \leq N$. Let C be any piecewise differentiable closed curve of class C^1 which is contained in D . Then we have

$$\int_C \xi^i(\dots, z^j, \dots) dz^j \stackrel{(\text{a})}{=} \lim_{n \rightarrow \infty} \int_C \xi_n^i(\dots, z^j, \dots) dz^j = 0$$

because the function $D \ni z^j \mapsto \xi_n^i(\dots, z^j, \dots) \in \mathbb{C}$ is holomorphic for each $n \in \mathbb{N}$, its domain D is a star region and Cauchy's integral theorem.⁵ Accordingly we obtain $\textcircled{1}'$ from Morera's theorem.⁶ \square

4.4 An appendix (complete metric spaces, the Baire category theorem)

In Sections 4.1, 4.2 and 4.3 we have dealt with metric spaces $\mathcal{C}(X, \mathbb{V})$, $\mathcal{V}^0(G \times_\rho \mathbb{V})_U$ and $\mathcal{V}(G \times_\rho \mathbb{V})_U$, respectively. To these spaces we can apply the following proposition:

Proposition 4.4.1. *Let $X = (X, d)$ be a complete, metric space. If $\{F_n\}_{n=1}^\infty$ is a sequence of closed subsets of X and $X = \bigcup_{n=1}^\infty F_n$, then there exists an $N \in \mathbb{N}$ such that F_N includes a non-empty open subset of X .*

Proof. We use proof by contradiction. Suppose that each F_n cannot include any non-empty open subset of X ($n \in \mathbb{N}$). Then, $X \neq F_1$ follows, and $X - F_1$ is a non-empty open subset of X . Thus there exist an $a_1 \in X - F_1$ and an $r_1 > 0$ satisfying

$$r_1 < 1/2, \quad B(a_1, r_1) := \{x \in X \mid d(x, a_1) < r_1\} \subset X - F_1.$$

Since $B(a_1, r_1/2)$ is a non-empty open subset of X , the supposition assures that $(X - F_2) \cap B(a_1, r_1/2)$ is a non-empty open subset of X . Thus there exist an $a_2 \in (X - F_2) \cap B(a_1, r_1/2)$ and an $r_2 > 0$ satisfying

$$r_2 < r_1/2, \quad B(a_2, r_2) \subset (X - F_2) \cap B(a_1, r_1/2).$$

By repeating the arguments above, one has a sequence $\{a_n\}_{n=1}^\infty \subset X$ and a sequence $\{r_n\}_{n=1}^\infty$ of positive real numbers such that

$$r_{n+1} < r_n/2, \quad B(a_{n+1}, r_{n+1}) \subset (X - F_{n+1}) \cap B(a_n, r_n/2), \quad n = 1, 2, \dots \quad \textcircled{1}$$

Here we remark that

$$\begin{aligned} \dots \subset B(a_{n+1}, r_{n+1}) &\subset ((X - F_{n+1}) \cap B(a_n, r_n/2)) \subset B(a_n, r_n) \subset ((X - F_n) \cap B(a_{n-1}, r_{n-1}/2)) \\ &\subset \dots \subset B(a_2, r_2) \subset ((X - F_2) \cap B(a_1, r_1/2)) \subset B(a_1, r_1) \subset X - F_1. \end{aligned} \quad \textcircled{2}$$

The $\textcircled{1}$ assures that $n \geq m$ implies

$$\begin{aligned} d(a_m, a_n) &\leq d(a_m, a_{m+1}) + d(a_{m+1}, a_{m+2}) + \dots + d(a_{n-1}, a_n) \\ &< \frac{r_m}{2} + \frac{r_{m+1}}{2} + \dots + \frac{r_{n-1}}{2} < \frac{r_1}{2^m} + \frac{r_1}{2^{m+1}} + \dots + \frac{r_1}{2^{n-1}} < \frac{r_1}{2^{m-1}} < \frac{1}{2^m}. \end{aligned}$$

Consequently $\{a_n\}_{n=1}^\infty$ is a Cauchy sequence in (X, d) , so there exists a unique $a \in X$ satisfying

$$\lim_{n \rightarrow \infty} d(a_n, a) = 0. \quad \textcircled{3}$$

For any $k \in \mathbb{N}$, in terms of $\textcircled{3}$ there exists a natural number $N_k > k$ such that $n \geq N_k$ implies $d(a_n, a) < r_{k+1}/2$, and then

$$d(a_{k+1}, a) \leq d(a_{k+1}, a_n) + d(a_n, a) < r_{k+1}$$

because we can deduce $d(a_{k+1}, a_n) < r_{k+1}/2$ from $n \geq k+1$ and $\textcircled{1}$. Therefore it follows from $a \in B(a_{k+1}, r_{k+1})$ and $\textcircled{2}$ that $a \notin \bigcup_{j=1}^{k+1} F_j$ for all $k \in \mathbb{N}$. This and $X = \bigcup_{n=1}^\infty F_n$ yield $a \notin X$, which is a contradiction. \square

⁵e.g. 定理 2.2 in 杉浦 [33, p.249].

⁶e.g. 定理 3.4 in 杉浦 [33, p.258].

Chapter 5

Left-invariant Haar measures

In this chapter we deal with left-invariant Haar measures on topological groups. The setting of this chapter is as follows:

- G is a locally compact Hausdorff topological group.

Besides, we utilize the following notation:

- \mathcal{T} : the set of open subsets of G ,
- \mathcal{B} : the σ -algebra on G generated by \mathcal{T} , i.e., the Borel field on G ,
- \mathcal{C} : the set of compact subsets of G ,
- \mathcal{U} : the set of open neighborhoods of the unit element $e \in G$,
- W° : the interior of a subset $W \subset G$,
- l_g (resp. r_g) : $2^G \rightarrow 2^G$, $A \mapsto gA$ (resp. Ag), for $g \in G$,
- c_B : the characteristic function of a subset $B \subset G$,
- $\mathcal{C}_{\geq 0}(G, \mathbb{R}) := \{f : G \rightarrow \mathbb{R} \mid (1) f \text{ is continuous, (2) } \text{supp}(f) \subset G \text{ is compact, (3) } f(g) \geq 0 \text{ for all } g \in G\}$.

Here 2^G stands for the power set of G .

5.1 Definition of left-invariant Haar measure

We first give a lemma, next state Theorem 5.1.2 and then recall the definition of left-invariant Haar measure.

Lemma 5.1.1.

- (1) $\mathcal{C} \subset \mathcal{B}$.
- (2) $l_g(\mathcal{B}) \subset \mathcal{B}$, $r_g(\mathcal{B}) \subset \mathcal{B}$ for each $g \in G$.

Proof. (1). Since G is a Hausdorff space, every $C \in \mathcal{C}$ is a closed subset of G , and we have (1).

(2). Since the left translation $L_{g^{-1}} : G \rightarrow G$ is a homeomorphism, $l_{g^{-1}}(\mathcal{B})$ is a σ -algebra on G and includes $\mathcal{T} = l_{g^{-1}}(\mathcal{T})$. Hence we see that $\mathcal{B} \subset l_{g^{-1}}(\mathcal{B})$ because \mathcal{B} is the least σ -algebra on G including \mathcal{T} . It follows from $\mathcal{B} \subset l_{g^{-1}}(\mathcal{B})$ that $l_g(\mathcal{B}) \subset \mathcal{B}$. Similarly, $r_g(\mathcal{B}) \subset \mathcal{B}$. \square

Lemma 5.1.1 ensures that the conditions (p6), (p7) in the following theorem are well-defined:

Theorem 5.1.2 (cf. Haar [15], von Neumann [36]). *There exists a set function $\mu : \mathcal{B} \rightarrow \mathbb{R} \amalg \{\infty\}$ such that*

- (p1) $0 \leq \mu(A) \leq \infty$ for all $A \in \mathcal{B}$,
- (p2) $\mu(\emptyset) = 0$,
- (p3) $A_n \in \mathcal{B}$ ($n = 1, 2, \dots$), $A_j \cap A_k = \emptyset$ ($j \neq k$) imply $\mu(\prod_{n=1}^{\infty} A_n) = \sum_{n=1}^{\infty} \mu(A_n)$,

- (p4) $\mu(A) = \inf\{\mu(O) : O \in \mathcal{T}, A \subset O\}$ for every $A \in \mathcal{B}$,
- (p5) $\mu(O) = \sup\{\mu(C) : C \in \mathcal{C}, C \subset O\}$ for every $O \in \mathcal{T}$,
- (p6) $\mu(C) < \infty$ for each $C \in \mathcal{C}$,
- (p7) $\mu(gA) = \mu(A)$ for all $(g, A) \in G \times \mathcal{B}$, (left-invariant)
- (p8) $\mu(O) > 0$ for each $O \in \mathcal{T} - \{\emptyset\}$.

In addition, the existence of μ above is unique up to a positive multiplicative constant whenever G satisfies the second countability axiom.

Remark 5.1.3. Here are comments on Theorem 5.1.2.

- (i) The conditions (p1), (p2) and (p3) are just the conditions for μ to be a measure on \mathcal{B} .
- (ii) The conditions (p3) and (p6) imply that for a $g \in G$,

$$\begin{cases} \mu(\{g\}) = \mu(\{g\} \amalg \emptyset) = \mu(\{g\}) + \mu(\emptyset), \\ \mu(\{g\}) < \infty. \end{cases}$$

Accordingly, these conditions imply (p2) $\mu(\emptyset) = 0$.

- (iii) It seems that one can omit the supposition “ G satisfies the second countability axiom” from this theorem. e.g. Theorem 9.2.6 in Cohn [11, p.290].

We will prove this theorem in the next section.

Definition 5.1.4. A measure μ on \mathcal{B} is called a non-zero *left-invariant Haar measure* on G , if it satisfies the five conditions (p4) through (p8) in Theorem 5.1.2.

5.2 Proof of Theorem 5.1.2

We take four steps to prove Theorem 5.1.2. In Subsection 5.2.1 we first define a non-negative integer $\sharp(C : W)$ and a set function $h_U : \mathcal{C} \rightarrow \mathbb{Q}$. In Subsection 5.2.2 we get a set function $h_\bullet : \mathcal{C} \rightarrow \mathbb{R}$ by taking $\sharp(C : W)$ and h_U into consideration. In Subsection 5.2.3 we construct a Carathéodory outer measure μ^* on G from the function h_\bullet . Finally in Subsection 5.2.4 we complete the proof of Theorem 5.1.2. The arguments below will be similar to those in Cohn [11, Section 9.2].

5.2.1 Step 1/4, $\sharp(C : W) \in \mathbb{Z}_{\geq 0}$ & $h_U : \mathcal{C} \rightarrow \mathbb{Q}$

For any $C \in \mathcal{C}$ and any subset $W \subset G$ with $W^\circ \neq \emptyset$, one puts

$$\sharp(C : W) := \min\{n \in \mathbb{Z}_{\geq 0} \mid \text{there exist } n \text{ elements } g_1, g_2, \dots, g_n \in G \text{ so that } C \subset \bigcup_{i=1}^n g_i W\}. \quad (5.2.1)$$

This (5.2.1) is well-defined because $\emptyset \neq \{n \in \mathbb{Z}_{\geq 0} \mid \text{there exist } n \text{ elements } g_1, g_2, \dots, g_n \in G \text{ so that } C \subset \bigcup_{i=1}^n g_i W\}$ follows from $C \in \mathcal{C}$ and $W^\circ \neq \emptyset$. In view of (5.2.1) we see that

$$\sharp(C : W) \in \mathbb{Z}_{\geq 0}; \quad C = \emptyset \text{ if and only if } \sharp(C : W) = 0. \quad (5.2.2)$$

Since G is locally compact, there exists a $C_0 \in \mathcal{C}$ whose interior is non-empty. By use of this C_0 and a given $U \in \mathcal{U}$, let us define a set function $h_U : \mathcal{C} \rightarrow \mathbb{Q}$ by

$$h_U(C) := \frac{\sharp(C : U)}{\sharp(C_0 : U)} \text{ for } C \in \mathcal{C}, \quad (5.2.3)$$

where we remark that (5.2.3) is well-defined due to (5.2.2), $C_0 \neq \emptyset$ and $U \in \mathcal{U}$. The above h_U has the following properties:

Proposition 5.2.4. For any $U \in \mathcal{U}$ and $C, C_1, C_2 \in \mathcal{C}$,

- (i) $0 \leq h_U(C) \leq \sharp(C : C_0) \in \mathbb{Z}$,
- (ii) $h_U(\emptyset) = 0$,

(iii) $h_U(C_0) = 1,$

(iv) $h_U(gC) = h_U(C)$ for all $g \in G,$

(v) $C_1 \subset C_2$ implies $h_U(C_1) \leq h_U(C_2),$

(vi) $h_U(C_1 \cup C_2) \leq h_U(C_1) + h_U(C_2),$

(vii) $C_1U^{-1} \cap C_2U^{-1} = \emptyset$ implies $h_U(C_1 \cup C_2) = h_U(C_1) + h_U(C_2).$ Here $U^{-1} := \{u^{-1} \mid u \in U\}.$

Proof. (i). It is enough to show $h_U(C) \leq \sharp(C : C_0)$ because of (5.2.2) and (5.2.3). Let $n := \sharp(C : C_0), m := \sharp(C_0 : U).$ Then, by (5.2.1) there exist n elements $g_1, g_2, \dots, g_n \in G$ and m elements $h_1, h_2, \dots, h_m \in G$ such that $C \subset \bigcup_{i=1}^n g_i C_0$ and $C_0 \subset \bigcup_{j=1}^m h_j U,$ respectively. Accordingly $C \subset \bigcup_{i=1}^n \bigcup_{j=1}^m g_i h_j U,$ and so (5.2.1) implies

$$\sharp(C : U) \leq nm = \sharp(C : C_0)\sharp(C_0 : U).$$

This, (5.2.3) and $\sharp(C_0 : U) > 0$ yield $h_U(C) \leq \sharp(C : C_0).$

(ii), (iii) are immediate from (5.2.2) and (5.2.3).

(iv). By (5.2.3) it suffices to show $\sharp(gC : U) = \sharp(C : U).$ Let $k := \sharp(gC : U), \ell := \sharp(C : U).$ Then, by (5.2.1) there exist $g_1, g_2, \dots, g_k, h_1, h_2, \dots, h_\ell \in G$ such that $gC \subset \bigcup_{a=1}^k g_a U, C \subset \bigcup_{b=1}^\ell h_b U.$ On the one hand; from $gC \subset \bigcup_{a=1}^k g_a U$ we obtain $C \subset \bigcup_{a=1}^k (g^{-1}g_a)U,$ and hence $\ell = \sharp(C : U) \leq k$ by (5.2.1). On the other hand; from $C \subset \bigcup_{b=1}^\ell h_b U$ one obtains $gC \subset \bigcup_{b=1}^\ell (gh_b)U,$ and $k = \sharp(gC : U) \leq \ell.$ Therefore $k = \ell$ holds, namely $\sharp(gC : U) = \sharp(C : U).$

(v). By (5.2.3) and $\sharp(C_0 : U) > 0$ it suffices to show $\sharp(C_2 : U) \geq \sharp(C_1 : U).$ The supposition “ $C_1 \subset C_2$ ” implies that

$$\begin{aligned} \{n \in \mathbb{Z}_{\geq 0} \mid \text{there exist } n \text{ elements } g_1, g_2, \dots, g_n \in G \text{ so that } C_2 \subset \bigcup_{i=1}^n g_i U\} \\ \subset \{m \in \mathbb{Z}_{\geq 0} \mid \text{there exist } m \text{ elements } h_1, h_2, \dots, h_m \in G \text{ so that } C_1 \subset \bigcup_{j=1}^m h_j U\}, \end{aligned}$$

and hence

$$\begin{aligned} \min\{n \in \mathbb{Z}_{\geq 0} \mid \text{there exist } n \text{ elements } g_1, g_2, \dots, g_n \in G \text{ so that } C_2 \subset \bigcup_{i=1}^n g_i U\} \\ \geq \min\{m \in \mathbb{Z}_{\geq 0} \mid \text{there exist } m \text{ elements } h_1, h_2, \dots, h_m \in G \text{ so that } C_1 \subset \bigcup_{j=1}^m h_j U\}. \end{aligned}$$

Consequently we deduce $\sharp(C_2 : U) \geq \sharp(C_1 : U)$ by (5.2.1).

(vi). By (5.2.3) and $\sharp(C_0 : U) > 0$ it suffices to show $\sharp(C_1 \cup C_2 : U) \leq \sharp(C_1 : U) + \sharp(C_2 : U).$ Let $m := \sharp(C_1 : U), n := \sharp(C_2 : U).$ Then, by (5.2.1) there exist $h_1, h_2, \dots, h_m, g_1, g_2, \dots, g_n \in G$ such that $C_1 \subset \bigcup_{j=1}^m h_j U, C_2 \subset \bigcup_{i=1}^n g_i U;$ and it follows that $C_1 \cup C_2 \subset \bigcup_{j=1}^m h_j U \cup \bigcup_{i=1}^n g_i U.$ So, (5.2.1) yields $\sharp(C_1 \cup C_2 : U) \leq m + n = \sharp(C_1 : U) + \sharp(C_2 : U).$

(vii). By (vi), (5.2.3) and $\sharp(C_0 : U) > 0$ it suffices to show $\sharp(C_1 : U) + \sharp(C_2 : U) \leq \sharp(C_1 \cup C_2 : U).$ Let $\ell := \sharp(C_1 \cup C_2 : U).$ Then, there exist $g_1, g_2, \dots, g_\ell \in G$ such that

$$(C_1 \cup C_2) \subset \bigcup_{a=1}^{\ell} g_a U$$

by (5.2.1). Here, the supposition “ $C_1U^{-1} \cap C_2U^{-1} = \emptyset$ ” enables us to assert that each set $g_a U$ meets at most one of C_1 and $C_2.$ Therefore one can separate $\{g_a\}_{a=1}^{\ell}$ into two pieces $\{h_b\}_{b=1}^n$ and $\{k_c\}_{c=1}^m$ so that $C_1 \subset \bigcup_{b=1}^n h_b U$ and $C_2 \subset \bigcup_{c=1}^m k_c U.$ This and (5.2.1) imply $\sharp(C_1 : U) + \sharp(C_2 : U) \leq n + m = \ell = \sharp(C_1 \cup C_2 : U).$ \square

5.2.2 Step 2/4, $h_{\bullet} : \mathcal{C} \rightarrow \mathbb{R}$

Our goal in this subsection is to demonstrate Proposition 5.2.11.

For each $C \in \mathcal{C}$ we define a closed (finite) interval $I_C \subset \mathbb{R}$ as

$$I_C := [0, \sharp(C : C_0)]$$

(cf. (5.2.1)), and denote by X the product space of the family $\{I_C\}_{C \in \mathcal{C}}$ of topological spaces. Tikhonov’s product theorem implies that

$$\text{the topological space } X = \prod_{C \in \mathcal{C}} I_C \text{ is compact.} \quad (5.2.5)$$

Remark 5.2.6. In general, one can identify “a set function $h : \mathcal{C} \rightarrow \mathbb{R}$ such that $h(C) \in I_C$ for all $C \in \mathcal{C}$ ” with “an element of $X = \prod_{C \in \mathcal{C}} I_C$ ” via $h \mapsto (h(C))_{C \in \mathcal{C}} \in X.$ Under this identification we construct arguments hereafter.

Proposition 5.2.4-(i) and Remark 5.2.6 allow us to assume that $h_U \in X$ for all $U \in \mathcal{U}$. For this reason, we can define a closed subset $S(V) \subset X$ by

$$S(V) := \overline{\{h_U \mid U \in \mathcal{U}, U \subset V\}} \quad (\text{the closure in } X) \quad (5.2.7)$$

for $V \in \mathcal{U}$.

Lemma 5.2.8. *There exists an $h_\bullet \in \bigcap_{V \in \mathcal{U}} S(V)$.*

Proof. The family $\{S(V)\}_{V \in \mathcal{U}}$ consists of closed subsets of X . It has the finite intersection property. Indeed; for any finite elements $V_1, \dots, V_m \in \mathcal{U}$ one sees that $V := \bigcap_{i=1}^m V_i$ belongs to \mathcal{U} , and moreover $h_V \in \bigcap_{i=1}^m S(V_i)$; hence $\{S(V)\}_{V \in \mathcal{U}}$ has the desired property. Consequently we deduce $\bigcap_{V \in \mathcal{U}} S(V) \neq \emptyset$ by (5.2.5). \square

We prepare two lemmas for proving Proposition 5.2.11.

Lemma 5.2.9. *For each $C \in \mathcal{C}$, the following two items hold:*

- (1) $\text{Pr}_C : X \rightarrow I_C, h \mapsto h(C)$ is continuous; in particular, it is a continuous mapping of X into \mathbb{R} . cf. Remark 5.2.6.
- (2) $0 \leq h(C) \leq \sharp(C : C_0)$ for all $h \in X$.

Proof. (1). $X = \prod_{C \in \mathcal{C}} I_C$ is the product topological space, and so the projection $X \ni h \mapsto h(C) \in I_C$ is continuous.

(2) follows by $h(C) = \text{Pr}_C(h) \in I_C = [0, \sharp(C : C_0)]$. \square

Lemma 5.2.10. *For any $C_1, C_2 \in \mathcal{C}$ with $C_1 \cap C_2 = \emptyset$, there exist $(O_1, V_1), (O_2, V_2) \in \mathcal{T} \times \mathcal{U}$ which satisfy $O_1 \cap O_2 = \emptyset$, $C_1 V_1 \subset O_1$ and $C_2 V_2 \subset O_2$.*

Proof. In case of $C_1 = \emptyset$ we can get the conclusion by setting $O_1 := \emptyset$ and $O_2 = V_1 = V_2 := G$. Similarly one can do so in case of $C_2 = \emptyset$.

Now, let us suppose that $C_1 \neq \emptyset$ and $C_2 \neq \emptyset$. On the one hand; C_2 is a closed subset of G since G is a Hausdorff space and $C_2 \in \mathcal{C}$. On the other hand; G is a regular space since G is a Hausdorff topological group. Consequently, for an arbitrary $g \in C_1$, there exist $P_g, Q_g \in \mathcal{T}$ such that

$$g \in P_g, \quad C_2 \subset Q_g, \quad P_g \cap Q_g = \emptyset,$$

where we remark that $C_1 \cap C_2 = \emptyset, g \in C_1$ lead to $g \notin C_2$. In terms of $C_1 \subset \bigcup_{g \in C_1} P_g$ and $C_1 \in \mathcal{C}$, there exist finite elements $g_1, \dots, g_n \in C_1$ such that $C_1 \subset \bigcup_{i=1}^n P_{g_i}$. Setting $O_1 := \bigcup_{i=1}^n P_{g_i}$ and $O_2 := \bigcap_{i=1}^n Q_{g_i}$ we deduce

$$O_1, O_2 \in \mathcal{T}, \quad O_1 \cap O_2 = \emptyset, \quad C_1 \subset O_1, \quad C_2 \subset O_2.$$

The rest of proof is to confirm that for each $a = 1, 2$, there exists a $V_a \in \mathcal{U}$ satisfying $C_a V_a \subset O_a$. Fix any element $h \in C_a$. From $h \in C_a \subset O_a \in \mathcal{T}$ we obtain a $W_h \in \mathcal{U}$ such that

$$hW_h \subset O_a.$$

Moreover, since the mapping $G \times G \ni (g_1, g_2) \mapsto g_1 g_2 \in G$ is continuous at (e, e) and W_h is an open neighborhood of $e \in G$, there exists a $U_h \in \mathcal{U}$ satisfying

$$U_h U_h \subset W_h.$$

In terms of $C_a \subset \bigcup_{h \in C_a} hU_h$ and $C_a \in \mathcal{C}$, there exist finite elements $h_1, \dots, h_\ell \in C_a$ such that $C_a \subset \bigcup_{j=1}^\ell h_j U_{h_j}$. Now, let $V_a := \bigcap_{j=1}^\ell U_{h_j}$. Then it follows that $V_a \in \mathcal{U}$; besides, for any $k \in C_a$ ($\subset \bigcup_{j=1}^\ell h_j U_{h_j}$) there exists a $1 \leq i \leq \ell$ such that $k \in h_i U_{h_i}$, and hence

$$kV_a \subset h_i U_{h_i} V_a \subset h_i U_{h_i} U_{h_i} \subset h_i W_{h_i} \subset O_a.$$

This implies $C_a V_a \subset O_a$. \square

Now, let us prove

Proposition 5.2.11. *For any $C, C_1, C_2 \in \mathcal{C}$,*

- (i) $0 \leq h(C) \leq \sharp(C : C_0) \in \mathbb{Z}$ for all $h \in X = \prod_{C \in \mathcal{C}} I_C$,
- (ii) $h(\emptyset) = 0$ for all $h \in X$,

- (iii) $h(C_0) = 1$ for all $h \in S(G)$,
- (iv) $h(gC) = h(C)$ for all $g \in G$ and $h \in S(G)$,
- (v) $C_1 \subset C_2$ implies $h(C_1) \leq h(C_2)$ for all $h \in S(G)$,
- (vi) $h(C_1 \cup C_2) \leq h(C_1) + h(C_2)$ for all $h \in S(G)$,
- (vii) $C_1 \cap C_2 = \emptyset$ implies $h_\bullet(C_1 \cup C_2) = h_\bullet(C_1) + h_\bullet(C_2)$.

Remark here that $h_\bullet \in S(G) \subset X$, and (i) through (vii) hold for h_\bullet . cf. (5.2.7).

Proof. (i) follows from (5.2.2) and Lemma 5.2.9-(2).

(ii). By Lemma 5.2.9-(1), $\Pr_\emptyset(X) \subset I_\emptyset = [0, \sharp(\emptyset : C_0)] \stackrel{(5.2.2)}{=} \{0\}$. Hence, $h(\emptyset) = \Pr_\emptyset(h) = 0$ for all $h \in X$.

(iii). Lemma 5.2.9-(1) implies that $\Pr_{C_0} : S(G) \rightarrow I_{C_0}$, $h \mapsto h(C_0)$, is continuous. Proposition 5.2.4-(iii), combined with (5.2.7), implies that $\Pr_{C_0} = 1$ on a dense subset $\{h_U \mid U \in \mathcal{U}\}$ of $S(G)$. Consequently $h(C_0) = \Pr_{C_0}(h) = 1$ for all $h \in S(G)$.

(iv). By Lemma 5.2.9-(1) we see that $\Pr_{gC} - \Pr_C : S(G) \rightarrow \mathbb{R}$ is continuous. Proposition 5.2.4-(iv) and (5.2.7) imply that $\Pr_{gC} - \Pr_C = 0$ on the dense subset $\{h_U \mid U \in \mathcal{U}\}$ of $S(G)$. Thus $h(gC) - h(C) = (\Pr_{gC} - \Pr_C)(h) = 0$ for all $h \in S(G)$.

(v). By virtue of Lemma 5.2.9-(1), Proposition 5.2.4-(v) and (5.2.7) we deduce that $\Pr_{C_2} - \Pr_{C_1} : S(G) \rightarrow \mathbb{R}$ is continuous, and that $\Pr_{C_2} - \Pr_{C_1} \geq 0$ on the dense subset $\{h_U \mid U \in \mathcal{U}\} \subset S(G)$. Hence $h(C_2) - h(C_1) = (\Pr_{C_1} - \Pr_{C_2})(h) \geq 0$ for all $h \in S(G)$.

(vi). One can conclude (vi) by arguments similar to those in the above (v) and Proposition 5.2.4-(vi).

(vii). Since $C_1, C_2 \in \mathcal{C}$ with $C_1 \cap C_2 = \emptyset$, Lemma 5.2.10 assures that there exist $(O_1, V_1), (O_2, V_2) \in \mathcal{T} \times \mathcal{U}$ satisfying

$$O_1 \cap O_2 = \emptyset, \quad C_1 V_1 \subset O_1, \quad C_2 V_2 \subset O_2.$$

By use of V_1, V_2 , we put $V_3 := V_1 \cap V_2$. Then, it follows that $V_3, V_3^{-1} \in \mathcal{U}$; and moreover, $U \subset V_3^{-1}$ and $U \in \mathcal{U}$ imply

$$h_U(C_1) + h_U(C_2) - h_U(C_1 \cup C_2) = 0$$

because of Proposition 5.2.4-(vii) and $(C_1 U^{-1} \cap C_2 U^{-1}) \subset (C_1 V_3 \cap C_2 V_3) \subset (C_1 V_1 \cap C_2 V_2) \subset (O_1 \cap O_2) = \emptyset$. Consequently we deduce that $(\Pr_{C_1} + \Pr_{C_2} - \Pr_{C_1 \cup C_2})(h_U) = 0$ for all $h_U \in \{h_U \mid U \in \mathcal{U}, U \subset V_3^{-1}\}$. Furthermore, one verifies that

$$(\Pr_{C_1} + \Pr_{C_2} - \Pr_{C_1 \cup C_2})(h) = 0 \text{ for all } h \in S(V_3^{-1})$$

because $\Pr_{C_1} + \Pr_{C_2} - \Pr_{C_1 \cup C_2} : S(V_3^{-1}) \rightarrow \mathbb{R}$ is continuous and $\{h_U \mid U \in \mathcal{U}, U \subset V_3^{-1}\}$ is dense in $S(V_3^{-1})$. Therefore we obtain $h_\bullet(C_1) + h_\bullet(C_2) - h_\bullet(C_1 \cup C_2) = (\Pr_{C_1} + \Pr_{C_2} - \Pr_{C_1 \cup C_2})(h_\bullet) = 0$ from $h_\bullet \in (\bigcap_{V \in \mathcal{U}} S(V)) \subset S(V_3^{-1})$. \square

5.2.3 Step 3/4, $\mu^* : 2^G \rightarrow \mathbb{R} \amalg \{\infty\}$

Lemma 5.2.12. *Set*

$$\mu_1^*(O) := \sup\{h_\bullet(C) : C \in \mathcal{C}, C \subset O\} \text{ for } O \in \mathcal{T}; \quad (5.2.13)$$

$$\mu^*(A) := \inf\{\mu_1^*(O) : O \in \mathcal{T}, A \subset O\} \text{ for } A \in 2^G. \quad (5.2.14)$$

Then $\mu_1^*(O) = \mu^*(O)$ holds for each $O \in \mathcal{T}$.

Proof. On the one hand; it follows from $O \in \mathcal{T}, O \subset O$ that

$$\mu_1^*(O) \geq \inf\{\mu_1^*(P) : P \in \mathcal{T}, O \subset P\} \stackrel{(5.2.14)}{=} \mu^*(O).$$

On the other hand; for an arbitrary $Q \in \mathcal{T}$ with $O \subset Q$, one has $\{h_\bullet(C) : C \in \mathcal{C}, C \subset O\} \subset \{h_\bullet(K) : K \in \mathcal{C}, K \subset Q\}$, and so (5.2.13) yields $\mu_1^*(O) \leq \mu_1^*(Q)$. This enables us to show

$$\mu_1^*(O) \leq \inf\{\mu_1^*(Q) : Q \in \mathcal{T}, O \subset Q\} \stackrel{(5.2.14)}{=} \mu^*(O).$$

Hence $\mu_1^*(O) = \mu^*(O)$ holds. \square

Our first aim in this subsection is to prove Proposition 5.2.18, which tells us that the μ^* in (5.2.14) is a Carathéodory outer measure on G . We are going to confirm three lemmas and conclude Proposition 5.2.18 from them.

Lemma 5.2.15. *Let $C \in \mathcal{C}$, and let $O_1, O_2, \dots, O_k \in \mathcal{T}$ such that $C \subset \bigcup_{a=1}^k O_a$. Then, there exist $C_1, C_2, \dots, C_k \in \mathcal{C}$ such that $C_a \subset O_a$ ($1 \leq a \leq k$) and $C = \bigcup_{a=1}^k C_a$.*

Proof. We prove this lemma in case of $k = 2$, which enables one to get the conclusion by mathematical induction on k .

Suppose that $C \subset O_1 \cup O_2$, where $O_1, O_2 \in \mathcal{T}$. Setting $K_a := C - O_a$ ($a = 1, 2$), we obtain $K_1, K_2 \in \mathcal{C}$ and $K_1 \cap K_2 = \emptyset$ from the supposition. Hence Lemma 5.2.10 assures the existence of $P_1, P_2 \in \mathcal{T}$ such that

$$P_1 \cap P_2 = \emptyset, \quad K_1 \subset P_1, \quad K_2 \subset P_2. \quad \textcircled{1}$$

Now, let $C_a := C - P_a$ for $a = 1, 2$. Then, it follows from $\textcircled{1}$ that $C_1, C_2 \in \mathcal{C}$, $C_a \subset O_a$ ($a = 1, 2$) and $C = C_1 \cup C_2$. \square

Lemma 5.2.16. *For any $A, A_1, A_2 \in 2^G$,*

- (1) $0 \leq \mu^*(A) \leq \infty$,
- (2) $\mu^*(\emptyset) = 0$,
- (3) $A_1 \subset A_2$ implies $\mu^*(A_1) \leq \mu^*(A_2)$.

Proof. (1) (resp. (2)) follows by (5.2.13), (5.2.14) and Proposition 5.2.11-(i) (resp. -(ii)).

(3). From $A_1 \subset A_2$ we deduce that $\{\mu_1^*(O) : O \in \mathcal{T}, A_2 \subset O\} \subset \{\mu_1^*(P) : P \in \mathcal{T}, A_1 \subset P\}$, so that $\mu^*(A_2) \geq \mu^*(A_1)$ due to (5.2.14). \square

Lemma 5.2.17. *$O_n \in \mathcal{T}$ ($n = 1, 2, \dots$) imply $\mu^*(\bigcup_{n=1}^{\infty} O_n) \leq \sum_{n=1}^{\infty} \mu^*(O_n)$.*

Proof. For an arbitrary $C \in \mathcal{C}$ with $C \subset \bigcup_{n=1}^{\infty} O_n$, one can choose a finite subset $\{O_a\}_{a=1}^k \subset \{O_n\}_{n=1}^{\infty}$ so that $C \subset \bigcup_{a=1}^k O_a$. Then, there exist $C_1, C_2, \dots, C_k \in \mathcal{C}$ such that $C_a \subset O_a$ ($1 \leq a \leq k$) and $C = \bigcup_{a=1}^k C_a$ by Lemma 5.2.15. Therefore

$$\begin{aligned} h_{\bullet}(C) &= h_{\bullet}\left(\bigcup_{a=1}^k C_a\right) \leq \sum_{a=1}^k h_{\bullet}(C_a) \quad (\because \text{Proposition 5.2.11-(vi), } C_a \in \mathcal{C}) \\ &\leq \sum_{a=1}^k \mu_1^*(O_a) \quad (\because (5.2.13), C_a \in \mathcal{C}, C_a \subset O_a) \\ &\leq \sum_{n=1}^{\infty} \mu^*(O_n) \quad (\because \text{Lemma 5.2.12, } O_a \in \mathcal{T}, \text{ Lemma 5.2.16-(1)}), \end{aligned}$$

namely $h_{\bullet}(C) \leq \sum_{n=1}^{\infty} \mu^*(O_n)$ for any $C \in \mathcal{C}$ with $C \subset \bigcup_{n=1}^{\infty} O_n$. This and (5.2.13) yield $\mu_1^*(\bigcup_{n=1}^{\infty} O_n) \leq \sum_{n=1}^{\infty} \mu^*(O_n)$. Hence $\mu^*(\bigcup_{n=1}^{\infty} O_n) \leq \sum_{n=1}^{\infty} \mu^*(O_n)$ by Lemma 5.2.12 and $\bigcup_{n=1}^{\infty} O_n \in \mathcal{T}$. \square

We are in a position to prove

Proposition 5.2.18. *The μ^* in (5.2.14) has the following four properties:*

- (i) $0 \leq \mu^*(A) \leq \infty$ for all $A \in 2^G$,
- (ii) $\mu^*(\emptyset) = 0$,
- (iii) $A_1 \subset A_2$, $A_1, A_2 \in 2^G$ imply $\mu^*(A_1) \leq \mu^*(A_2)$,
- (iv) $A_n \in 2^G$ ($n = 1, 2, \dots$) imply $\mu^*(\bigcup_{n=1}^{\infty} A_n) \leq \sum_{n=1}^{\infty} \mu^*(A_n)$.

Proof. By virtue of Lemma 5.2.16 it is enough to prove (iv).

(iv). It is clear in the case where there exists a $j \in \mathbb{N}$ such that $\mu^*(A_j) = \infty$. Henceforth, we investigate the case where $\mu^*(A_n) < \infty$ for all $n \in \mathbb{N}$. Let $\epsilon > 0$. For each $m \in \mathbb{N}$ there exists an $O_m \in \mathcal{T}$ such that

$$A_m \subset O_m, \quad \mu^*(O_m) = \mu_1^*(O_m) < \mu^*(A_m) + \frac{\epsilon}{2^m}$$

because of $\mu^*(A_m) < \infty$, (5.2.14) and Lemma 5.2.12. Then, it follows that

$$\begin{aligned} \mu^*\left(\bigcup_{m=1}^{\infty} A_m\right) &\leq \mu^*\left(\bigcup_{m=1}^{\infty} O_m\right) \quad (\because \bigcup_{m=1}^{\infty} A_m \subset \bigcup_{m=1}^{\infty} O_m, \text{ Lemma 5.2.16-(3)}) \\ &\leq \sum_{m=1}^{\infty} \mu^*(O_m) \quad (\because \text{Lemma 5.2.17, } O_m \in \mathcal{T} \text{ (} m = 1, 2, \dots \text{)}) \\ &\leq \sum_{m=1}^{\infty} \left(\mu^*(A_m) + \frac{\epsilon}{2^m}\right) = \left(\sum_{m=1}^{\infty} \mu^*(A_m)\right) + \epsilon, \end{aligned}$$

so that $\mu^*(\bigcup_{m=1}^{\infty} A_m) \leq \sum_{m=1}^{\infty} \mu^*(A_m)$. \square

Proposition 5.2.18 tells us that the μ^* in (5.2.14) is a Carathéodory outer measure on G , so that we can get a σ -algebra \mathcal{M} on G by setting

$$\mathcal{M} := \{A \in 2^G \mid \mu^*(B) = \mu^*(B \cap A) + \mu^*(B - A) \text{ for every } B \in 2^G\}. \quad (5.2.19)$$

Our second aim is to prove Proposition 5.2.22 below. We first show two lemmas and afterwards accomplish the aim.

Lemma 5.2.20. *Let $A \in 2^G$. Then,*

- (1) $\mu^*(B) \leq \mu^*(B \cap A) + \mu^*(B - A)$ for every $B \in 2^G$;
- (2) $\mu^*(B) = \infty$, $B \in 2^G$ imply $\mu^*(B) \geq \mu^*(B \cap A) + \mu^*(B - A)$.

Proof. (1). By Proposition 5.2.18-(iv) we have $\mu^*(B) = \mu^*((B \cap A) \cup (B - A)) \leq \mu^*(B \cap A) + \mu^*(B - A)$.

(2). Trivial. \square

Lemma 5.2.21. *Let $O \in \mathcal{T}$. Then it follows that $\mu^*(P) < \infty$, $P \in \mathcal{T}$ imply $\mu^*(P) \geq \mu^*(P \cap O) + \mu^*(P - O)$.*

Proof. Take any $\epsilon > 0$. By Lemma 5.2.16-(3) and $(P \cap O) \subset P$, we see that $\mu^*(P \cap O) \leq \mu^*(P) < \infty$. Therefore there exists a $C_1 \in \mathcal{C}$ such that

$$C_1 \subset (P \cap O), \quad h_{\bullet}(C_1) > \mu_1^*(P \cap O) - \epsilon = \mu^*(P \cap O) - \epsilon \quad \textcircled{1}$$

because of (5.2.13), $P \cap O \in \mathcal{T}$ and Lemma 5.2.12. Since $(P - C_1) \subset P$ one can conclude that there exists a $C_2 \in \mathcal{C}$ satisfying

$$C_2 \subset (P - C_1), \quad h_{\bullet}(C_2) > \mu^*(P - C_1) - \epsilon \quad \textcircled{2}$$

in a similar way. Moreover, $C_1 \cup C_2 \in \mathcal{C}$, $(C_1 \cup C_2) \subset P$, (5.2.13) and Lemma 5.2.12 yield $\mu^*(P) \geq h_{\bullet}(C_1 \cup C_2)$. Hence

$$\begin{aligned} \mu^*(P) &\geq h_{\bullet}(C_1 \cup C_2) = h_{\bullet}(C_1) + h_{\bullet}(C_2) \quad (\because C_1 \cap C_2 = \emptyset, \text{ Proposition 5.2.11-(vii)}) \\ &> \mu^*(P \cap O) + \mu^*(P - C_1) - 2\epsilon \quad (\because \textcircled{1}, \textcircled{2}) \\ &\geq \mu^*(P \cap O) + \mu^*(P - O) - 2\epsilon, \end{aligned}$$

where we remark that $\mu^*(P - C_1) \geq \mu^*(P - O)$ follows from $(P - C_1) \supset (P - O)$ and Lemma 5.2.16-(3). This $\mu^*(P) > \mu^*(P \cap O) + \mu^*(P - O) - 2\epsilon$ assures that $\mu^*(P) \geq \mu^*(P \cap O) + \mu^*(P - O)$ holds. \square

Lemmas 5.2.20 and 5.2.21 allow us to assert

Proposition 5.2.22. *The σ -algebra \mathcal{M} on G includes \mathcal{B} . cf. (5.2.19).*

Proof. It is enough to conclude $\mathcal{T} \subset \mathcal{M}$, since \mathcal{M} is a σ -algebra on G and \mathcal{B} is the least σ -algebra on G including \mathcal{T} . From (5.2.19) and Lemma 5.2.20 one can obtain $\mathcal{T} \subset \mathcal{M}$, provided that the following inequality holds for each $O \in \mathcal{T}$:

$$\mu^*(B \cap O) + \mu^*(B - O) \leq \mu^*(B) \text{ for any } B \in 2^G \text{ with } \mu^*(B) < \infty. \quad \textcircled{1}$$

Let us show $\textcircled{1}$ from now on. Fix any $\epsilon > 0$, $O \in \mathcal{T}$, and $B \in 2^G$ with $\mu^*(B) < \infty$. By virtue of $\mu^*(B) < \infty$ and (5.2.14) we have a $P \in \mathcal{T}$ such that

$$B \subset P, \quad \mu_1^*(P) < \mu^*(B) + \epsilon < \infty. \quad (a)$$

Since $O, P \in \mathcal{T}$ and $\mu_1^*(P) < \infty$, Lemmas 5.2.21 and 5.2.12 assure

$$\mu^*(P \cap O) + \mu^*(P - O) \leq \mu^*(P) = \mu_1^*(P). \quad (b)$$

By $B \subset P$ we deduce $(B \cap O) \subset (P \cap O)$ and $(B - O) \subset (P - O)$. Hence Lemma 5.2.16-(3) implies that

$$\mu^*(B \cap O) + \mu^*(B - O) \leq \mu^*(P \cap O) + \mu^*(P - O). \quad (c)$$

Consequently (a), (b) and (c) yield $\mu^*(B \cap O) + \mu^*(B - O) < \mu^*(B) + \epsilon$, which gives rise to $\textcircled{1}$. \square

5.2.4 Step 4/4, the proof of Theorem 5.1.2

In this subsection we demonstrate Theorem 5.1.2. We prove the existence of a left-invariant Haar measure μ in the first half, and prove the uniqueness of μ in the latter half (see Propositions 5.2.23 and 5.2.29).

The existence of Haar measure First of all, let us show

Proposition 5.2.23 (Existence). *There exists a set function $\mu : \mathcal{B} \rightarrow \mathbb{R} \cup \{\infty\}$ satisfying the eight conditions (p1) through (p8) in Theorem 5.1.2.*

Proof. We have already known that the μ^* in (5.2.14) is a Carathéodory outer measure on G , and that the inclusion $\mathcal{B} \subset \mathcal{M}$ holds for the class \mathcal{M} of all sets measurable with respect to μ^* (recall Proposition 5.2.18, (5.2.19), Proposition 5.2.22). Hence we can define a measure μ on \mathcal{B} as follows:

$$\mu := \mu^*|_{\mathcal{B}}. \quad (5.2.24)$$

Then (5.2.14) assures that the four conditions (p1) through (p4) in Theorem 5.1.2 hold for the $\mu = \mu^*|_{\mathcal{B}}$. From now on, let us confirm that the rest of conditions also hold.

(p5). Fix an $O \in \mathcal{T}$. For a given $C \in \mathcal{C}$, it follows from (5.2.13) that $h_{\bullet}(C) \leq \mu(Q)$ for any $Q \in \mathcal{T}$ with $C \subset Q$, so that

$$h_{\bullet}(C) \leq \inf\{\mu(Q) : Q \in \mathcal{T}, C \subset Q\} \stackrel{(5.2.14)}{=} \mu(C).$$

Hence we see that

$$h_{\bullet}(C) \leq \mu(C) \text{ for all } C \in \mathcal{C}. \quad \textcircled{1}$$

This $\textcircled{1}$ gives us

$$\mu(O) \stackrel{(5.2.13)}{=} \sup\{h_{\bullet}(C) : C \in \mathcal{C}, C \subset O\} \leq \sup\{\mu(C) : C \in \mathcal{C}, C \subset O\} \leq \mu(O);$$

and therefore (p5) $\mu(O) = \sup\{\mu(C) : C \in \mathcal{C}, C \subset O\}$ holds. Here, we remark that $\mu(C) \leq \mu(O)$ follows from $C \subset O$ and Lemma 5.2.16-(3).

(p6). Take any $C \in \mathcal{C}$. Since $C \subset G$ is compact and G is a locally compact Hausdorff space, we can construct an $O \in \mathcal{T}$ so that $C \subset O$ and $\overline{O} \in \mathcal{C}$. Then, for any $K \in \mathcal{C}$ with $K \subset O$, one has

$$h_{\bullet}(K) \leq h_{\bullet}(\overline{O})$$

due to $K, \overline{O} \in \mathcal{C}$, $K \subset \overline{O}$ and Proposition 5.2.11-(v). Therefore it follows from (5.2.13) that

$$\mu(O) \leq h_{\bullet}(\overline{O}).$$

In addition, $C \subset O$ and Lemma 5.2.16-(3) yield

$$\mu(C) \leq \mu(O).$$

Consequently we deduce $\mu(C) \leq \mu(O) \leq h_{\bullet}(\overline{O}) \leq \sharp(\overline{O} : C_0) < \infty$ by Proposition 5.2.11-(i). So, (p6) $\mu(C) < \infty$ holds.

(p7). Fix an arbitrary $(g, A) \in G \times \mathcal{B}$. For a given $O \in \mathcal{T}$ we first obtain

$$\begin{aligned} \mu(O) &\stackrel{(5.2.13)}{=} \sup\{h_{\bullet}(C) : C \in \mathcal{C}, C \subset O\} = \sup\{h_{\bullet}(gC) : C \in \mathcal{C}, gC \subset O\} \quad (\because l_{g^{-1}}(\mathcal{C}) = \mathcal{C}) \\ &= \sup\{h_{\bullet}(gC) : C \in \mathcal{C}, C \subset g^{-1}O\} = \sup\{h_{\bullet}(C) : C \in \mathcal{C}, C \subset g^{-1}O\} \quad (\because \text{Proposition 5.2.11-(iv)}) \\ &\stackrel{(5.2.13)}{=} \mu(g^{-1}O). \end{aligned}$$

This $\mu(O) = \mu(g^{-1}O)$ enables us to conclude that

$$\begin{aligned} \mu(gA) &\stackrel{(5.2.14)}{=} \inf\{\mu(O) : O \in \mathcal{T}, gA \subset O\} = \inf\{\mu(g^{-1}O) : O \in \mathcal{T}, gA \subset O\} \\ &= \inf\{\mu(g^{-1}O) : O \in \mathcal{T}, A \subset g^{-1}O\} = \inf\{\mu(O) : O \in \mathcal{T}, A \subset O\} \quad (\because l_g(\mathcal{T}) = \mathcal{T}) \\ &\stackrel{(5.2.14)}{=} \mu(A). \end{aligned}$$

Hence (p7) $\mu(gA) = \mu(A)$ holds.

(p8). Let us use proof by contradiction. Suppose that there exists a $P \in \mathcal{T} - \{\emptyset\}$ satisfying $\mu(P) \leq 0$. On the one hand; from (p1) and $\mu(P) \leq 0$ we obtain $\mu(P) = 0$. On the other hand; since $P \neq \emptyset$ there exists a $p \in P$. Setting $P' := p^{-1}P$ we conclude

$$P' \in \mathcal{U}, \quad \mu(P') = 0$$

by (p7). For any $C \in \mathcal{C}$, it follows from $P' \in \mathcal{U}$ that $C \subset \bigcup_{c \in C} cP'$, and so there exist finite elements $c_1, \dots, c_k \in C$ such that $C \subset \bigcup_{i=1}^k c_i P'$. Then (p1), Lemma 5.2.16-(3), Proposition 5.2.18-(iv) imply that

$$0 \leq \mu(C) \leq \mu\left(\bigcup_{i=1}^k c_i P'\right) \leq \sum_{i=1}^k \mu(c_i P') \stackrel{(p7)}{=} \sum_{i=1}^k \mu(P') = 0;$$

in particular, $\mu(C_0) = 0$. However, Proposition 5.2.11-(iii) and $\textcircled{1}$ yield $1 = h_{\bullet}(C_0) \leq \mu(C_0) = 0$, which is a contradiction. For this reason one sees that $\mu(Q) > 0$ for all $Q \in \mathcal{T} - \{\emptyset\}$, and (p8) holds. Consequently we have shown the $\mu : \mathcal{B} \rightarrow \mathbb{R} \cup \{\infty\}$ in (5.2.24) satisfies the eight conditions (p1) through (p8) in Theorem 5.1.2. \square

The uniqueness of Haar measure Our aim is to demonstrate that the existence of left-invariant Haar measure is unique up to a positive multiplicative constant whenever G satisfies the second countability axiom (cf. Proposition 5.2.29). For the aim let us give four lemmas first.

Lemma 5.2.25. *For any $K \in \mathcal{C}$ and $O \in \mathcal{T}$ with $K \subset O$, there exists an $f \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ such that $c_K \leq f \leq c_O$ on G .*

Proof. Since $K \in \mathcal{C}$, $O \in \mathcal{T}$, $K \subset O$ and G is a locally compact Hausdorff space, there exists a $Q \in \mathcal{T}$ such that

$$K \subset Q \subset \overline{Q} \subset O$$

and $\overline{Q} \in \mathcal{C}$. Here \overline{Q} is a compact Hausdorff space, so it is a normal space. Hence Uryson's lemma assures that there exists a continuous function $h : \overline{Q} \rightarrow \mathbb{R}$ such that

$$(i) \ 0 \leq h(q) \leq 1 \text{ for all } q \in \overline{Q}, \quad (ii) \ h(k) = 1 \text{ for all } k \in K, \quad (iii) \ h(p) = 0 \text{ for all } p \in \overline{Q} - Q.$$

Then we define a function $f : G \rightarrow \mathbb{R}$ by

$$f(g) := \begin{cases} h(g) & \text{if } g \in \overline{Q}, \\ 0 & \text{if } g \in G - Q. \end{cases}$$

Remark here that the definition of f is well-defined because $h(p) = 0$ for all $p \in \overline{Q} \cap (G - Q)$. About this f we assert the following statements, which complete the proof of Lemma 5.2.25:

1. f is continuous since $h : \overline{Q} \rightarrow \mathbb{R}$ is continuous, both \overline{Q} and $G - Q$ are closed in G and $G = \overline{Q} \cup (G - Q)$;
2. $\text{supp}(f)$ is compact due to $\text{supp}(f) \subset \overline{Q}$ and $\overline{Q} \in \mathcal{C}$;
3. it follows from (i) that $0 \leq f(g) \leq 1$ for all $g \in G$;
4. $0 \leq f \leq 1$, (ii) and $K \subset \overline{Q}$ imply $c_K \leq f$;
5. it follows from $(G - O) \subset (G - Q)$ that $f(x) = 0$ for all $x \notin O$, so that $0 \leq f \leq 1$ leads to $f \leq c_O$.

□

From Lemma 5.2.25 we deduce

Lemma 5.2.26. *Let ν be a measure on \mathcal{B} such that*

$$(p5) \ \nu(O) = \sup\{\nu(C) : C \in \mathcal{C}, C \subset O\} \text{ for every } O \in \mathcal{T}.$$

Then, for each $P \in \mathcal{T}$ it follows that

$$\nu(P) = \sup \left\{ \int_G f(g) d\nu(g) \mid f \in \mathcal{C}_{\geq 0}(G, \mathbb{R}), f \leq c_P \right\}.$$

Proof. First, let us confirm that

$$\sup \left\{ \int_G f(g) d\nu(g) \mid f \in \mathcal{C}_{\geq 0}(G, \mathbb{R}), f \leq c_P \right\} \leq \nu(P). \quad \textcircled{1}$$

For any $f \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ with $f \leq c_P$, both f and c_P are \mathcal{B} -measurable functions on G and $0 \leq f \leq c_P$. Therefore we have

$$\int_G f(g) d\nu(g) \leq \int_G c_P(g) d\nu(g) = \nu(P).$$

Hence the inequality $\textcircled{1}$ holds. Now, let us show that the converse inequality also holds. From (p5) it suffices to show that for any $K \in \mathcal{C}$ with $K \subset P$, there exists an $h \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ satisfying

$$h \leq c_P, \quad \nu(K) \leq \int_G h(g) d\nu(g).$$

That comes from Lemma 5.2.25 and $\nu(K) = \int_G c_K(g) d\nu(g)$. □

Lemma 5.2.27. *Let ν be a measure on \mathcal{B} such that*

$$(p6) \ \nu(C) < \infty \text{ for each } C \in \mathcal{C}.$$

Then, the following three items hold:

- (1) Any $f \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ is ν -integrable on G .
- (2) For any $f \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ and $g \in G$, the non-negative function $G \ni x \mapsto \int_G f(gx) d\nu(g) \in \mathbb{R}$ is continuous.
- (3) The measure space (G, \mathcal{B}, ν) is σ -finite in the case where G satisfies the second countability axiom.

Proof. (1). Since $\text{supp}(f) \subset G$ is compact and f is continuous, there exists a positive real number λ such that $f \leq \lambda c_{\text{supp}(f)}$ on G . In addition, $\nu(\text{supp}(f)) < \infty$ due to (p6). Then, it follows from $0 \leq f \leq \lambda c_{\text{supp}(f)}$ and $\nu(\text{supp}(f)) < \infty$ that

$$\int_G f(g) d\nu(g) \leq \int_G \lambda c_{\text{supp}(f)}(g) d\nu(g) = \lambda \nu(\text{supp}(f)) < \infty.$$

Hence f is ν -integrable on G .

(2). The above (1) assures that the function $G \ni x \mapsto \int_G f(gx) d\nu(g) \in \mathbb{R}$ and the computations below are well-defined.

Fix any $\epsilon > 0$ and $x_0 \in G$. There exists a $V \in \mathcal{U}$ satisfying $\bar{V} \in \mathcal{C}$ because G is a locally compact Hausdorff space. In view of $\text{supp}(f) \in \mathcal{C}$, we see that $\text{supp}(f)\bar{V}x_0^{-1}$ is a compact subset of G , and that f is uniformly continuous on G . Then it follows from (p6) that

$$0 < \delta < \infty \text{ holds for } \delta := 1 + \nu(\text{supp}(f)\bar{V}x_0^{-1});$$

and moreover, there exists a $U \in \mathcal{U}$ such that (i) $U = U^{-1}$, (ii) $U \subset V$, and (iii) $a^{-1}b \in U$ implies $|f(a) - f(b)| < \epsilon/\delta$. If $x \in G$ and $g \in G$ satisfy $x_0^{-1}x \in U$ and $g \notin \text{supp}(f)\bar{V}x_0^{-1}$, respectively, then (i) and (ii) yield $gx \notin \text{supp}(f)$, and $f(gx) = 0$. Consequently, $x \in x_0U$ implies

$$\begin{aligned} \left| \int_G f(gx_0) d\nu(g) - \int_G f(gx) d\nu(g) \right| &\leq \int_G |f(gx_0) - f(gx)| d\nu(g) \\ &= \int_{\text{supp}(f)\bar{V}x_0^{-1}} |f(gx_0) - f(gx)| d\nu(g) + \int_{G - \text{supp}(f)\bar{V}x_0^{-1}} |f(gx_0) - f(gx)| d\nu(g) \\ &= \int_{\text{supp}(f)\bar{V}x_0^{-1}} |f(gx_0) - f(gx)| d\nu(g) \\ &\leq \int_{\text{supp}(f)\bar{V}x_0^{-1}} \frac{\epsilon}{\delta} d\nu(g) \quad (\because (gx_0)^{-1}gx = x_0^{-1}x \in U, \text{ (iii)}) \\ &= \frac{\epsilon}{\delta} \nu(\text{supp}(f)\bar{V}x_0^{-1}) = \epsilon \frac{\nu(\text{supp}(f)\bar{V}x_0^{-1})}{1 + \nu(\text{supp}(f)\bar{V}x_0^{-1})} \leq \epsilon. \end{aligned}$$

So, the function $G \ni x \mapsto \int_G f(gx) d\nu(g) \in \mathbb{R}$ is continuous.

(3). Since G satisfies the second countability axiom and is a locally compact Hausdorff space, there exists a sequence $\{E_n\}_{n=1}^{\infty} \subset G$ satisfying $E_n \in \mathcal{C}$ ($n \in \mathbb{N}$) and $\bigcup_{n=1}^{\infty} E_n = G$. Thus we conclude (3) from (p6). \square

Lemma 5.2.28. *There exists an $h_0 \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ satisfying $\int_G h_0(gx) d\nu(g) > 0$ for all $x \in G$ and all measures ν on \mathcal{B} such that (p8) $\nu(O) > 0$ for each $O \in \mathcal{T} - \{\emptyset\}$.*

Proof. Since G is locally compact, there exists a $K \in \mathcal{C}$ satisfying $\emptyset \neq K^\circ$. Lemma 5.2.25 and $K \in \mathcal{C}$ allow us to find an $h_0 \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ such that $h_0 \geq c_K$. In this setting, for each $x \in G$ and each measure ν with (p8), we obtain

$$\int_G h_0(gx) d\nu(g) \geq \int_G c_K(gx) d\nu(g) = \int_G c_{Kx^{-1}}(g) d\nu(g) = \nu(Kx^{-1}) \geq \nu(K^\circ x^{-1}) > 0$$

from (p8). \square

Lemmas 5.2.26, 5.2.27 and 5.2.28 enable one to obtain

Proposition 5.2.29 (Uniqueness). *Suppose that G satisfies the second countability axiom. Then, for non-zero left-invariant Haar measures μ and ν on G , there exists a positive real number λ such that $\mu = \lambda\nu$.*

Proof. Throughout this proof, (pk) means the condition (pk) in Theorem 5.1.2 ($1 \leq k \leq 8$).

By (p4) and Lemma 5.2.26 it suffices to confirm the following: there exists a $\lambda > 0$ such that

$$\int_G f(x) d\mu(x) = \lambda \int_G f(y) d\nu(y) \tag{1}$$

for all $f \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$. Let us fix any $f \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$, and deal with the product measure space $(G \times G, \mathcal{R}, \mu \times \nu)$ obtained from the measure spaces (G, \mathcal{B}, μ) and (G, \mathcal{B}, ν) . Recalling that there exists an $h_0 \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ such that $\int_G h_0(gx) d\mu(g) > 0$, $\int_G h_0(gx) d\nu(g) > 0$ for all $x \in G$, we define a function $F : G \times G \rightarrow \mathbb{R}$ by

$$F(x, y) := \frac{f(x)h_0(yx)}{\int_G h_0(gx) d\nu(g)} \text{ for } (x, y) \in G \times G \quad (\text{a})$$

(cf. Lemma 5.2.28). On the one hand; this function F is non-negative, continuous on $G \times G$ by Lemma 5.2.27-(2). Hence F is \mathcal{R} -measurable on $G \times G$. On the other hand; since $\text{supp}(F) \subset \text{supp}(f) \times \text{supp}(h_0) \text{supp}(f)^{-1}$, we see that $\text{supp}(F)$ is a compact subset of $G \times G$, and that $(\mu \times \nu)(\text{supp}(F)) \leq \mu(\text{supp}(f))\nu(\text{supp}(h_0) \text{supp}(f)^{-1}) < \infty$ by (p6). Accordingly we conclude that

$$\text{the } F(x, y) \text{ is } \mathcal{R}\text{-measurable and } (\mu \times \nu)\text{-integrable on } G \times G \quad (\text{b})$$

by arguments similar to those in the proof of Lemma 5.2.27-(1). Now, (b), Lemma 5.2.27-(3) and Fubini's theorem imply

$$\begin{aligned} \int_G f(x) d\mu(x) &= \int_G \left(\int_G F(x, y) d\nu(y) \right) d\mu(x) = \int_{G \times G} F(x, y) d(\mu \times \nu)(x, y) \\ &= \int_G \left(\int_G F(x, y) d\mu(x) \right) d\nu(y) = \int_G \left(\int_G F(y^{-1}x, y) d\mu(y^{-1}x) \right) d\nu(y) \quad (\text{by } x \mapsto y^{-1}x) \\ &\stackrel{\text{(p7)}}{=} \int_G \left(\int_G F(y^{-1}x, y) d\mu(x) \right) d\nu(y) = \int_{G \times G} F(y^{-1}x, y) d(\mu \times \nu)(x, y) \\ &= \int_G \left(\int_G F(y^{-1}x, y) d\nu(y) \right) d\mu(x) = \int_G \left(\int_G F(y^{-1}, xy) d\nu(xy) \right) d\mu(x) \quad (\text{by } y \mapsto xy) \\ &\stackrel{\text{(p7)}}{=} \int_G \left(\int_G F(y^{-1}, xy) d\nu(y) \right) d\mu(x) \stackrel{\text{(a)}}{=} \int_G h_0(x) d\mu(x) \int_G \frac{f(y^{-1})}{\int_G h_0(gy^{-1}) d\nu(g)} d\nu(y), \end{aligned}$$

where we remark that $f(x) = \int_G F(x, y) d\nu(y)$. Hence it turns out that

$$\frac{\int_G f(x) d\mu(x)}{\int_G h_0(z) d\mu(z)} = \int_G \frac{f(y^{-1})}{\int_G h_0(gy^{-1}) d\nu(g)} d\nu(y). \quad (\text{c})$$

The above arguments assure that for any non-zero left-invariant Haar measure μ' on G , the equality

$$\frac{\int_G f(x) d\mu'(x)}{\int_G h_0(z) d\mu'(z)} = \int_G \frac{f(y^{-1})}{\int_G h_0(gy^{-1}) d\nu(g)} d\nu(y)$$

always holds, and thus (c) yields $\frac{\int_G f(x) d\mu(x)}{\int_G h_0(z) d\mu(z)} = \frac{\int_G f(x) d\mu'(x)}{\int_G h_0(z) d\mu'(z)}$; in particular,

$$\frac{\int_G f(x) d\mu(x)}{\int_G h_0(z) d\mu(z)} = \frac{\int_G f(x) d\nu(x)}{\int_G h_0(z) d\nu(z)}.$$

Setting $\lambda := \frac{\int_G h_0(z) d\mu(z)}{\int_G h_0(z) d\nu(z)}$, we have $\lambda > 0$ and $\textcircled{1}$. □

Propositions 5.2.23 and 5.2.29 lead to Theorem 5.1.2.

5.3 An example of unimodular group

Suppose G to satisfy the second countability axiom. Let μ be a non-zero left-invariant Haar measure on G . For an $x \in G$, Lemma 5.1.1-(2) enables us to define a set function $\delta(x)\mu : \mathcal{B} \rightarrow \mathbb{R} \amalg \{\infty\}$ by

$$(\delta(x)\mu)(A) := \mu(Ax) \text{ for } A \in \mathcal{B}. \quad (5.3.1)$$

Then $\delta(x)\mu$ is also a non-zero left-invariant Haar measure on G , since the right translation $R_x : G \rightarrow G$ is a homeomorphism. Accordingly there exists a unique positive real number $\Delta(x)$ satisfying

$$\delta(x)\mu = \Delta(x)\mu \quad (5.3.2)$$

by Theorem 5.1.2. The function $\Delta : G \rightarrow \mathbb{R}^+$, $x \mapsto \Delta(x)$, is called the *modular function* of G .¹ Besides; the group G is said to be *unimodular*, if $\Delta(x) = 1$ for all $x \in G$. In this section, we clarify some properties of Δ and show Proposition 5.3.4 which provides us with an example of unimodular group.

¹Remark. Theorem 5.1.2 assures that this modular function Δ is independent of the choice of μ .

Proposition 5.3.3. *Suppose that G satisfies the second countability axiom. Let Δ denote the modular function of G . Then,*

- (i) $\Delta : G \rightarrow \mathbb{R}^+$, $x \mapsto \Delta(x)$, is a continuous function.
- (ii) $\Delta(xy) = \Delta(x)\Delta(y)$ for all $x, y \in G$.
- (iii) $\Delta(x) = 1$ for all $x \in G$ (i.e., G is unimodular) if and only if a non-zero left-invariant Haar measure μ on G is also right-invariant (i.e., $\mu(Ag) = \mu(A)$ for all $(g, A) \in G \times \mathcal{B}$).

Proof. Let μ be a non-zero left-invariant Haar measure on G .

(i). By Lemma 5.2.28 there exists an $h_0 \in \mathcal{C}_{\geq 0}(G, \mathbb{R})$ such that $\int_G h_0(gx)d\mu(g) > 0$ for all $x \in G$. From (5.3.1) and (5.3.2) we obtain $\int_G h_0(gx)d\mu(g) = \Delta(x)^{-1} \int_G h_0(g)d\mu(g)$. Then, Lemma 5.2.27-(2) implies that

$$G \ni x \mapsto \frac{1}{\Delta(x)} \int_G h_0(g)d\mu(g) \in \mathbb{R}^+ \text{ is continuous.}$$

Hence $\Delta : G \rightarrow \mathbb{R}^+$, $x \mapsto \Delta(x)$, is continuous because $\int_G h_0(g)d\mu(g)$ is a positive constant.

(ii). By a direct computation, together with (5.3.2) and (5.3.1), we have $\Delta(xy)\mu(A) = \mu(Axy) = (\delta(y)\mu)(Ax) = \Delta(y)\mu(Ax) = \Delta(x)\Delta(y)\mu(A)$ for all $A \in \mathcal{B}$, and so $\Delta(xy) = \Delta(x)\Delta(y)$ by virtue of $\mu \neq 0$.

(iii). For each $x \in G$, it follows from (5.3.2), $\mu \neq 0$ and (5.3.1) that $\Delta(x) = 1$ if and only if $\delta(x)\mu = \mu$ if and only if $\mu(Ax) = \mu(A)$ for all $A \in \mathcal{B}$. Hence we can get the conclusion. \square

Now, let us show

Proposition 5.3.4. *G is unimodular if G is a compact Hausdorff topological group, or G is a connected semisimple Lie group.² Here, we say that a Lie group is semisimple, if so is its Lie algebra.*

Proof. First, let us confirm that a compact Hausdorff topological group K is unimodular. Proposition 5.3.3-(i), (ii) implies that $\Delta : K \rightarrow \mathbb{R}^+$, $k \mapsto \Delta(k)$, is a continuous (group) homomorphism, where we note that \mathbb{R}^+ is the identity component of $GL(1, \mathbb{R})$. Thus its image $\Delta(K)$ is a compact subgroup of \mathbb{R}^+ , and it must be $\{1\}$.³ So K is unimodular.

Next, let us prove that G is unimodular, where G is a connected semisimple Lie group. Since $\Delta : G \rightarrow \mathbb{R}^+$, $g \mapsto \Delta(g)$, is a continuous homomorphism, it is a Lie group homomorphism. Therefore one can set its differential $\Delta_* : \mathfrak{g} \rightarrow \mathfrak{gl}(1, \mathbb{R})$, and obtain

$$\Delta_*(\mathfrak{g}) = \{0\}$$

from $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$. Since G is a connected Lie group, for each $g \in G$ there exist finite elements $X_1, X_2, \dots, X_k \in \mathfrak{g}$ such that $g = \exp X_1 \exp X_2 \cdots \exp X_k$, and then

$$\Delta(g) = \Delta(\exp X_1 \cdots \exp X_k) = \Delta(\exp X_1) \cdots \Delta(\exp X_k) = e^{\Delta_*(X_1)} \cdots e^{\Delta_*(X_k)} = 1.$$

For this reason $\Delta(G) \subset \{1\}$, and G is unimodular. \square

We end this chapter with commenting on unimodular groups.

Remark 5.3.5. Suppose that (s1) G satisfies the second countability axiom and (s2) G is unimodular. Then, for a given non-zero left-invariant Haar measure μ on G and any μ -integrable function f on G , it follows that

$$(1) \int_G f(g)d\mu(g) = \int_G f(xg)d\mu(g) = \int_G f(g)d\mu(xg) \text{ for all } x \in G;$$

$$(2) \int_G f(g)d\mu(g) = \frac{1}{\Delta(x)} \int_G f(g)d\mu(g) = \int_G f(gx)d\mu(g) \text{ for all } x \in G;$$

$$(3) \int_G f(g)d\mu(g) = \int_G \frac{1}{\Delta(g)} f(g^{-1})d\mu(g) = \int_G f(g^{-1})d\mu(g),$$

where Δ is the modular function of G . Remark here, (1), (2') $\Delta(x)^{-1} \int_G f(g)d\mu(g) = \int_G f(gx)d\mu(g)$ and (3') $\int_G f(g)d\mu(g) = \int_G \Delta(g)^{-1} f(g^{-1})d\mu(g)$ come from the measure μ being left-invariant only.

²Remark. A connected Lie group always satisfies the second countability axiom.

³(\cdot) Suppose that $\Delta(K)$ contains an element $\lambda \neq 1$. Then, since $\Delta(K)$ is a subgroup of \mathbb{R}^+ , we have $\lambda, \lambda^{-1} \in \Delta(K)$ and $(0, 1) \cup (1, \infty) \subset \Delta(K)$; so $\Delta(K) = (0, \infty) = \mathbb{R}^+$. This is a contradiction. For this reason $\Delta(K) = \{1\}$.

Chapter 6

Regulated integrals

In this chapter we study integrals of vector-valued functions. cf. Lang [25, Section 4, Chapter I].

6.1 An introduction to regulated integral

The setting of Section 6.1 is as follows:

- (X, \mathcal{B}, μ) is a measure space which consists of an abstract space X , a σ -algebra \mathcal{B} on X , and a measure μ on \mathcal{B} ,
- \mathcal{V} is a Fréchet space over $\mathbb{K} = \mathbb{R}$ or \mathbb{C} , whose topology is determined by a countable number of seminorms $\{p_\ell\}_{\ell \in \mathbb{N}}$,
- d is a metric on \mathcal{V} such that
 - (1) d is a suitable metric for \mathcal{V} which induces a topology identical to the original one,
 - (2) the metric space (\mathcal{V}, d) is complete,
 - (3) $d(\xi_1, \xi_2) = d(\xi_1 + \xi_3, \xi_2 + \xi_3)$ for all $\xi_1, \xi_2, \xi_3 \in \mathcal{V}$.

We remark that for $\xi \in \mathcal{V}$ and $\{\xi_n\}_{n=1}^\infty \subset \mathcal{V}$, $\lim_{n \rightarrow \infty} d(\xi, \xi_n) = 0$ if and only if for any $\epsilon > 0$ and each $\ell \in \mathbb{N}$ there exists an $N_\ell \in \mathbb{N}$ such that $n \geq N_\ell$ implies $p_\ell(\xi - \xi_n) < \epsilon$.

6.1.1 The regulated integral of a step function

For an $A \in \mathcal{B}$ with $\mu(A) < \infty$, a *step function*

$$S = S(x) : A \rightarrow \mathcal{V}$$

is a mapping such that there exists a finite sequence $\{A_i\}_{i=1}^k \subset 2^A$ satisfying the following three conditions:

1. $A_i \in \mathcal{B}$ for all $1 \leq i \leq k$,
2. $A = \coprod_{i=1}^k A_i$ (disjoint union),
3. the mapping S is constant on each A_i ($1 \leq i \leq k$).

For this step function $S : A \rightarrow \mathcal{V}$, we define its integral $\int_A S(x) d\mu(x)$ on A by

$$\int_A S(x) d\mu(x) := \sum_{i=1}^k \mu(A_i) \xi_i, \quad (6.1.1)$$

where $S(A_i) = \{\xi_i\}$, $1 \leq i \leq k$. Remark that $0 \leq \mu(A_i) \leq \mu(A) < \infty$ ($1 \leq i \leq k$), that the integral (6.1.1) is independent of the choice of A_i on which S is constant, and that $\int_A S(x) d\mu(x) \in \mathcal{V}$. In addition, $\int_A S(x) d\mu(x) = 0$ if $\mu(A) \leq 0$ (i.e., $\mu(A) = 0$).

6.1.2 Definition of regulated integral

In the previous subsection we have set the integral of a step function, (6.1.1). We want to consider the integral $\int_A F(x)d\mu(x)$ on A of a more general function $F : A \rightarrow \mathcal{V}$. For this reason, let us first prove Lemma 6.1.2 and afterwards show Proposition 6.1.3. This proposition grants our want.

Lemma 6.1.2. *Let $A \in \mathcal{B}$ with $\mu(A) < \infty$, let $S, T : A \rightarrow \mathcal{V}$ be step functions, and let $\alpha, \beta \in \mathbb{K}$.*

$$(1) \quad \alpha S + \beta T : A \rightarrow \mathcal{V} \text{ is a step function, and } \int_A (\alpha S + \beta T)(x)d\mu(x) = \alpha \int_A S(x)d\mu(x) + \beta \int_A T(x)d\mu(x).$$

(2) *If $A = B \amalg C$ and $B \in \mathcal{B}$, then both $S : B \rightarrow \mathcal{V}$ and $S : C \rightarrow \mathcal{V}$ are step functions, and*

$$\int_A S(x)d\mu(x) = \int_B S(y)d\mu(y) + \int_C S(z)d\mu(z).$$

(3) *Suppose that \mathcal{W} is a Fréchet space over \mathbb{K} and $K : \mathcal{V} \rightarrow \mathcal{W}$ is a \mathbb{K} -linear mapping. Then, $K \circ S : A \rightarrow \mathcal{W}$ is a step function, and $K\left(\int_A S(x)d\mu(x)\right) = \int_A (K \circ S)(x)d\mu(x)$.*

Proof. Let

$$A = \coprod_{i=1}^k A_i = \coprod_{j=1}^h B_j, \quad A_i, B_j \in \mathcal{B}, \quad S(x) = \sum_{i=1}^k c_{A_i}(x)\xi_i, \quad T(x) = \sum_{j=1}^h c_{B_j}(x)\eta_j,$$

where $\xi_i, \eta_j \in \mathcal{V}$ and c_Q is the characteristic function of a subset $Q \subset A$.

(1). It turns out that $A_i \cap B_j \in \mathcal{B}$, $A = \coprod_{1 \leq i \leq k, 1 \leq j \leq h} (A_i \cap B_j)$, and $\alpha S + \beta T = \sum_{1 \leq i \leq k, 1 \leq j \leq h} c_{A_i \cap B_j}(\alpha \xi_i + \beta \eta_j)$. Consequently $\alpha S + \beta T : A \rightarrow \mathcal{V}$ is a step function; besides,

$$\begin{aligned} \int_A (\alpha S + \beta T)(x)d\mu(x) &\stackrel{(6.1.1)}{=} \sum_{1 \leq i \leq k, 1 \leq j \leq h} \mu(A_i \cap B_j)(\alpha \xi_i + \beta \eta_j) = \alpha \sum_{i=1}^k \left(\sum_{j=1}^h \mu(A_i \cap B_j) \right) \xi_i + \beta \sum_{j=1}^h \left(\sum_{i=1}^k \mu(A_i \cap B_j) \right) \eta_j \\ &= \alpha \sum_{i=1}^k \mu(A_i)\xi_i + \beta \sum_{j=1}^h \mu(B_j)\eta_j \stackrel{(6.1.1)}{=} \alpha \int_A S(x)d\mu(x) + \beta \int_A T(x)d\mu(x). \end{aligned}$$

Hence (1) holds.

(2). Both $S(y) = \sum_{i=1}^k c_{A_i \cap B}(y)\xi_i : B \rightarrow \mathcal{V}$ and $S(z) = \sum_{i=1}^k c_{A_i \cap C}(z)\xi_i : C \rightarrow \mathcal{V}$ are step functions, and

$$\int_{B \amalg C} S(x)d\mu(x) \stackrel{(6.1.1)}{=} \sum_{i=1}^k \mu(A_i \cap (B \amalg C))\xi_i = \sum_{i=1}^k \mu(A_i \cap B)\xi_i + \sum_{i=1}^k \mu(A_i \cap C)\xi_i \stackrel{(6.1.1)}{=} \int_B S(y)d\mu(y) + \int_C S(z)d\mu(z),$$

where we remark that $B, C = (A - B) \in \mathcal{B}$, $\mu(B) \leq \mu(A) < \infty$, $\mu(C) < \infty$, $B = \coprod_{i=1}^k (A_i \cap B)$, $C = \coprod_{i=1}^k (A_i \cap C)$, and $(A_i \cap B), (A_i \cap C) \in \mathcal{B}$.

(3). Since $K : \mathcal{V} \rightarrow \mathcal{W}$ is linear we see that $(K \circ S)(x) = \sum_{i=1}^k c_{A_i}(x)K(\xi_i)$, and so $K \circ S : A \rightarrow \mathcal{W}$ is a step function. Moreover,

$$K\left(\int_A S(x)d\mu(x)\right) \stackrel{(6.1.1)}{=} K\left(\sum_{i=1}^k \mu(A_i)\xi_i\right) = \sum_{i=1}^k \mu(A_i)K(\xi_i) \stackrel{(6.1.1)}{=} \int_A (K \circ S)(x)d\mu(x).$$

□

Taking the proof of Lemma 6.1.2 into account, we prove

Proposition 6.1.3. *Let $A \in \mathcal{B}$ with $\mu(A) < \infty$, and let $F : A \rightarrow \mathcal{V}$ be a mapping. Suppose that a sequence $\{S_n : A \rightarrow \mathcal{V} \mid S_n \text{ is a step function}\}_{n=1}^{\infty}$ is uniformly convergent to F on A . Then, the following two items hold:*

(i) *There exists a unique $\xi_F \in \mathcal{V}$ such that $\lim_{n \rightarrow \infty} d\left(\xi_F, \int_A S_n(x)d\mu(x)\right) = 0$.*

(ii) *If another sequence $\{T_m : A \rightarrow \mathcal{V} \mid T_m \text{ is a step function}\}_{m=1}^{\infty}$ is uniformly convergent to F on A also, then the sequence $\left\{\int_A T_m(x)d\mu(x)\right\}_{m=1}^{\infty}$ in (\mathcal{V}, d) converges to the same limit point ξ_F as $\left\{\int_A S_n(x)d\mu(x)\right\}_{n=1}^{\infty}$.*

Proof. Let $A = \coprod_{i=1}^{k_n} A_{n,i}$, $A_{n,i} \in \mathcal{B}$ and $S_n(x) = \sum_{i=1}^{k_n} c_{A_{n,i}}(x)\xi_{n,i}$, where $\xi_{n,i} \in \mathcal{V}$, $n \in \mathbb{N}$.

(i). Since (\mathcal{V}, d) is a Fréchet space, it is enough to confirm that $\left\{\int_A S_n(x)d\mu(x)\right\}_{n=1}^{\infty}$ is a Cauchy sequence in (\mathcal{V}, d) . In case of $\mu(A) \leq 0$, we show that $0 \leq \mu(A_{n,i}) \leq \mu(A) \leq 0$ and

$$\int_A S_n(x)d\mu(x) \stackrel{(6.1.1)}{=} \sum_{i=1}^{k_n} \mu(A_{n,i})\xi_{n,i} = 0$$

for all $n \in \mathbb{N}$; therefore $\{\int_A S_n(x)d\mu(x)\}_{n=1}^\infty = \{0\}$ is a Cauchy sequence in (\mathcal{V}, d) . From now on, let us consider the case where $\mu(A) > 0$. Fix any $\epsilon > 0$ and an arbitrary seminorm $p \in \{p_\ell\}_{\ell \in \mathbb{N}}$. For each $n \in \mathbb{N}$ we define a subset $X_n \subset A$ by

$$X_n := \{a \in A \mid p(S_n(a) - F(a)) < \epsilon/(2\mu(A))\}.$$

On the one hand; the supposition allows us to choose an $N_p \in \mathbb{N}$ such that $n \geq N_p$ implies $A = X_n$; and so

$$A = \{a \in A \mid p(S_n(a) - F(a)) < \epsilon/(2\mu(A))\} \text{ for all } n \geq N_p. \quad \textcircled{1}$$

On the other hand; a direct computation yields

$$\begin{aligned} p\left(\int_A S_n(x)d\mu(x) - \int_A S_m(x)d\mu(x)\right) &\stackrel{(6.1.1)}{=} p\left(\sum_{1 \leq i \leq k_n, 1 \leq j \leq k_m} \mu(A_{n,i} \cap A_{m,j})(\xi_{n,i} - \xi_{m,j})\right) \\ &\leq \sum_{1 \leq i \leq k_n, 1 \leq j \leq k_m} \mu(A_{n,i} \cap A_{m,j}) \cdot p(\xi_{n,i} - \xi_{m,j}). \end{aligned}$$

Here, one estimates the last term at

$$\mu(A_{n,i} \cap A_{m,j}) \cdot p(\xi_{n,i} - \xi_{m,j}) \leq \mu(A_{n,i} \cap A_{m,j}) \cdot \epsilon/\mu(A), \quad \textcircled{2}$$

provided that $n, m \geq N_p$. Indeed; in case of $A_{n,i} \cap A_{m,j} = \emptyset$ we have

$$\mu(A_{n,i} \cap A_{m,j}) \cdot p(\xi_{n,i} - \xi_{m,j}) = 0 \leq \mu(A_{n,i} \cap A_{m,j}) \cdot \epsilon/\mu(A).$$

In case of $A_{n,i} \cap A_{m,j} \neq \emptyset$, one can take an element $a \in A_{n,i} \cap A_{m,j}$, and obtain $S_n(a) = \xi_{n,i}$, $S_m(a) = \xi_{m,j}$ and

$$\begin{aligned} \mu(A_{n,i} \cap A_{m,j}) \cdot p(\xi_{n,i} - \xi_{m,j}) &= \mu(A_{n,i} \cap A_{m,j}) \cdot p(S_n(a) - S_m(a)) \\ &\leq \mu(A_{n,i} \cap A_{m,j}) \cdot (p(S_n(a) - F(a)) + p(F(a) - S_m(a))) < \mu(A_{n,i} \cap A_{m,j}) \cdot \epsilon/\mu(A) \end{aligned}$$

from $\textcircled{1}$ and $n, m \geq N_p$. In any case $\textcircled{2}$ does hold. Consequently, it follows from $\textcircled{2}$ that $n, m \geq N_p$ implies

$$\begin{aligned} p\left(\int_A S_n(x)d\mu(x) - \int_A S_m(x)d\mu(x)\right) &\leq \sum_{1 \leq i \leq k_n, 1 \leq j \leq k_m} \mu(A_{n,i} \cap A_{m,j}) \cdot p(\xi_{n,i} - \xi_{m,j}) \\ &\leq \frac{\epsilon}{\mu(A)} \sum_{1 \leq i \leq k_n, 1 \leq j \leq k_m} \mu(A_{n,i} \cap A_{m,j}) \leq \epsilon. \end{aligned}$$

Hence $d(\int_A S_n(x)d\mu(x), \int_A S_m(x)d\mu(x)) \rightarrow 0$ ($n, m \rightarrow \infty$), and (i) holds.

(ii). Suppose that a sequence $\{T_m : A \rightarrow \mathcal{V} \mid T_m \text{ is a step function}\}_{m=1}^\infty$ is uniformly convergent to F on A . Then, by virtue of (i) there exists a unique $\xi'_F \in \mathcal{V}$ satisfying $\lim_{m \rightarrow \infty} d(\xi'_F, \int_A T_m(x)d\mu(x)) = 0$; and

$$d(\xi_F, \xi'_F) \leq d(\xi_F, \int_A S_n(x)d\mu(x)) + d\left(\int_A S_n(x)d\mu(x), \int_A T_n(x)d\mu(x)\right) + d\left(\int_A T_n(x)d\mu(x), \xi'_F\right).$$

Accordingly, it suffices to confirm that

$$\lim_{n \rightarrow \infty} d\left(\int_A S_n(x)d\mu(x), \int_A T_n(x)d\mu(x)\right) = 0. \quad \textcircled{a}$$

The arguments below will be similar to those in (i) above.

Now, let $A = \bigsqcup_{j=1}^{h_m} B_{m,j}$, $B_{m,j} \in \mathcal{B}$ and $T_m(x) = \sum_{j=1}^{h_m} c_{B_{m,j}}(x)\eta_{m,j}$, where $\eta_{m,j} \in \mathcal{V}$, $m \in \mathbb{N}$. In case of $\mu(A) \leq 0$ we know that $\mu(B_{n,j}) = 0$ and

$$\int_A S_n(x)d\mu(x) = 0 = \int_A T_n(x)d\mu(x)$$

for all $n \in \mathbb{N}$. Accordingly one has (a) in case of $\mu(A) = 0$. So, we investigate the case where $\mu(A) > 0$ henceforth. Let us fix any $\epsilon > 0$ and seminorm $p \in \{p_\ell\}_{\ell \in \mathbb{N}}$. Since $\{S_n\}_{n=1}^\infty$ and $\{T_m\}_{m=1}^\infty$ are uniformly convergent to F on A , there exist $N_p \in \mathbb{N}$ and $M_p \in \mathbb{N}$ such that $n \geq N_p$ and $m \geq M_p$ imply

$$A = \{a \in A \mid p(S_n(a) - F(a)) < \epsilon/(2\mu(A))\} \text{ and } A = \{b \in A \mid p(T_m(b) - F(b)) < \epsilon/(2\mu(A))\}, \quad \textcircled{1}'$$

respectively. For any $n \geq \max\{N_p, M_p\}$, one knows

$$\mu(A_{n,i} \cap B_{n,j}) \cdot p(\xi_{n,i} - \eta_{n,j}) \leq \mu(A_{n,i} \cap B_{n,j}) \cdot \epsilon/\mu(A) \quad \textcircled{2}'$$

in a similar way. Consequently $n \geq \max\{N_p, M_p\}$ implies

$$\begin{aligned} p\left(\int_A S_n(x)d\mu(x) - \int_A T_n(x)d\mu(x)\right) &\leq \sum_{1 \leq i \leq k_n, 1 \leq j \leq h_n} \mu(A_{n,i} \cap B_{n,j}) \cdot p(\xi_{n,i} - \eta_{n,j}) \\ &\leq \frac{\epsilon}{\mu(A)} \sum_{1 \leq i \leq k_n, 1 \leq j \leq h_n} \mu(A_{n,i} \cap B_{n,j}) \leq \epsilon. \end{aligned}$$

Therefore $d\left(\int_A S_n(x)d\mu(x), \int_A T_n(x)d\mu(x)\right) \rightarrow 0$ ($n \rightarrow \infty$), and (a) holds. \square

Proposition 6.1.3 assures that the following Definition 6.1.4-(2) is well-defined:

Definition 6.1.4. Let $A \in \mathcal{B}$ with $\mu(A) < \infty$.

- (1) A mapping $F : A \rightarrow \mathcal{V}$ is said to be *regulated*, if there exists a sequence $\{S_n : A \rightarrow \mathcal{V} \mid S_n \text{ is a step function}\}_{n=1}^{\infty}$ which is uniformly convergent to F on A .
- (2) Let $F : A \rightarrow \mathcal{V}$ be a regulated mapping. Suppose that a sequence $\{S_n\}_{n=1}^{\infty}$ of step functions is uniformly convergent to F on A . Then, there exists a unique $\xi_F \in \mathcal{V}$ such that

$$\lim_{n \rightarrow \infty} d\left(\xi_F, \int_A S_n(x)d\mu(x)\right) = 0.$$

This ξ_F is called the *regulated integral* or the *integral* on A of F and we write $\int_A F(x)d\mu(x)$.

Needless to say, the above integral $\int_A F(x)d\mu(x)$ accords with the integral in (6.1.1) whenever F is a step function.

6.1.3 Properties of regulated integrals

Let us clarify some properties of regulated integrals.

Lemma 6.1.5. Let $A \in \mathcal{B}$ with $\mu(A) < \infty$, let $F, G : A \rightarrow \mathcal{V}$ be regulated, and let $\alpha, \beta \in \mathbb{K}$.

- (1) $\alpha F + \beta G : A \rightarrow \mathcal{V}$ is a regulated mapping and

$$\int_A (\alpha F + \beta G)(x)d\mu(x) = \alpha \int_A F(x)d\mu(x) + \beta \int_A G(x)d\mu(x).$$

- (2) If $A = B \amalg C$ and $B \in \mathcal{B}$, then both $F : B \rightarrow \mathcal{V}$ and $F : C \rightarrow \mathcal{V}$ are regulated mappings, and

$$\int_A F(x)d\mu(x) = \int_B F(y)d\mu(y) + \int_C F(z)d\mu(z).$$

- (3) Suppose that \mathcal{W} is a Fréchet space over \mathbb{K} and $L : \mathcal{V} \rightarrow \mathcal{W}$ is a continuous, \mathbb{K} -linear mapping. Then, $L \circ F : A \rightarrow \mathcal{W}$ is a regulated mapping and

$$L\left(\int_A F(x)d\mu(x)\right) = \int_A (L \circ F)(x)d\mu(x).$$

- (4) For any continuous seminorm \hat{p} on \mathcal{V} , it follows that $\hat{p} \circ F : A \rightarrow \mathbb{R}$ is regulated, and the inequality

$$\hat{p}\left(\int_A F(x)d\mu(x)\right) \leq \int_A (\hat{p} \circ F)(x)d\mu(x)$$

holds.

cf. Definition 6.1.4.

Proof. Let $\{S_n\}_{n=1}^{\infty}$ and $\{T_m\}_{m=1}^{\infty}$ be sequences of step functions which are uniformly convergent to F, G on A , respectively.

- (1). In case of $|\alpha| + |\beta| \leq 0$, one has $\alpha = \beta = 0$; thus $\alpha F + \beta G = 0$ is a regulated mapping and

$$\int_A (\alpha F + \beta G)(x)d\mu(x) = 0 = \alpha \int_A F(x)d\mu(x) + \beta \int_A G(x)d\mu(x).$$

So, let us consider the case where $|\alpha| + |\beta| > 0$ henceforth. Fix any $\epsilon > 0$ and any seminorm $p \in \{p_\ell\}_{\ell \in \mathbb{N}}$. Since $\{S_n\}_{n=1}^{\infty}, \{T_m\}_{m=1}^{\infty}$ are uniformly convergent to F, G on A , there exist $N_p, M_p \in \mathbb{N}$ such that $n \geq N_p, m \geq M_p$ imply

$$p(F(x) - S_n(x)) < \frac{\epsilon}{|\alpha| + |\beta|}, \quad p(G(x) - T_m(x)) < \frac{\epsilon}{|\alpha| + |\beta|}$$

for all $x \in A$, respectively. Hence $k \geq \max\{N_p, M_p\}$ implies

$$p(\alpha F(x) + \beta G(x) - \alpha S_k(x) - \beta T_k(x)) \leq |\alpha|p(F(x) - S_k(x)) + |\beta|p(G(x) - T_k(x)) < \epsilon$$

for all $x \in A$. Consequently the sequence $\{\alpha S_n + \beta T_n\}_{n=1}^{\infty}$ of step functions is uniformly convergent to $\alpha F + \beta G$ on A (cf. Lemma 6.1.2-(1)), and $\alpha F + \beta G : A \rightarrow \mathcal{V}$ is a regulated mapping. Furthermore, we obtain

$$\begin{aligned} & p\left(\int_A (\alpha F + \beta G)(x)d\mu(x) - \alpha \int_A F(x)d\mu(x) - \beta \int_A G(x)d\mu(x)\right) \\ & \leq p\left(\int_A (\alpha F + \beta G)(x)d\mu(x) - \int_A (\alpha S_n + \beta T_n)(x)d\mu(x)\right) \\ & \quad + p\left(\int_A (\alpha S_n + \beta T_n)(x)d\mu(x) - \alpha \int_A F(x)d\mu(x) - \beta \int_A G(x)d\mu(x)\right) \\ & = p\left(\int_A (\alpha F + \beta G)(x)d\mu(x) - \int_A (\alpha S_n + \beta T_n)(x)d\mu(x)\right) \\ & \quad + p\left(\alpha \int_A S_n(x)d\mu(x) + \beta \int_A T_n(x)d\mu(x) - \alpha \int_A F(x)d\mu(x) - \beta \int_A G(x)d\mu(x)\right) \quad (\because \text{Lemma 6.1.2-(1)}) \\ & \leq p\left(\int_A (\alpha F + \beta G)(x)d\mu(x) - \int_A (\alpha S_n + \beta T_n)(x)d\mu(x)\right) \\ & \quad + |\alpha|p\left(\int_A S_n(x)d\mu(x) - \int_A F(x)d\mu(x)\right) + |\beta|p\left(\int_A T_n(x)d\mu(x) - \int_A G(x)d\mu(x)\right) \\ & \longrightarrow 0 \quad (n \rightarrow \infty) \end{aligned}$$

because of Definition 6.1.4-(2) and $\{\alpha S_n + \beta T_n\}_{n=1}^{\infty}$, $\{S_n\}_{n=1}^{\infty}$, $\{T_n\}_{n=1}^{\infty}$ being uniformly convergent to $\alpha F + \beta G$, F , G on A , respectively. The above computation leads to $\int_A (\alpha F + \beta G)(x)d\mu(x) = \alpha \int_A F(x)d\mu(x) + \beta \int_A G(x)d\mu(x)$.

(2). cf. Lemma 6.1.2-(2).

(3). Suppose that the topology for \mathcal{W} is determined by a countable number of seminorms $\{q_m\}_{m \in \mathbb{N}}$. Since $\{S_n\}_{n=1}^{\infty}$ is uniformly convergent to F on A , it follows from Definition 6.1.4-(2) that

$$\lim_{n \rightarrow \infty} d\left(\int_A F(x)d\mu(x), \int_A S_n(x)d\mu(x)\right) = 0. \quad \textcircled{1}$$

From now on, let us confirm that $L \circ F : A \rightarrow \mathcal{W}$ is regulated. By Lemma 6.1.2-(3), $L \circ S_n : A \rightarrow \mathcal{W}$ is a step function ($n \in \mathbb{N}$). We want to show that the sequence $\{L \circ S_n\}_{n=1}^{\infty}$ of step functions is uniformly convergent to $L \circ F$ on A . Take any $\epsilon > 0$ and any seminorm $q \in \{q_m\}_{m \in \mathbb{N}}$. Since $L : \mathcal{V} \rightarrow \mathcal{W}$ is continuous, there exist finite $p_{\ell_1}, \dots, p_{\ell_r} \in \{p_{\ell}\}_{\ell \in \mathbb{N}}$ and $\lambda_1, \dots, \lambda_r > 0$ such that

$$q(L(\xi)) \leq \lambda_1 p_{\ell_1}(\xi) + \dots + \lambda_r p_{\ell_r}(\xi) \text{ for all } \xi \in \mathcal{V}. \quad \textcircled{2}$$

Then, for each $1 \leq j \leq r$ there exists an $N_{q,j} \in \mathbb{N}$ such that $n \geq N_{q,j}$ implies

$$p_{\ell_j}(S_n(x) - F(x)) < \frac{\epsilon}{\lambda_1 + \dots + \lambda_r} \quad \textcircled{3}$$

for all $x \in A$, because $\{S_n\}_{n=1}^{\infty}$ is uniformly convergent to F on A . Therefore it follows from $\textcircled{2}$ and $\textcircled{3}$ that $n \geq \max\{N_{q,j} : 1 \leq j \leq r\}$ implies

$$q(L(S_n(x)) - L(F(x))) = q(L(S_n(x) - F(x))) \leq \sum_{j=1}^r \lambda_j p_{\ell_j}(S_n(x) - F(x)) < \frac{\epsilon}{\lambda_1 + \dots + \lambda_r} \sum_{j=1}^r \lambda_j = \epsilon$$

for all $x \in A$. Hence $\{L \circ S_n\}_{n=1}^{\infty}$ is uniformly convergent to $L \circ F$ on A . Consequently we assert that $L \circ F : A \rightarrow \mathcal{W}$ is a regulated mapping. Note here, at this stage we see that

$$q\left(\int_A (L \circ S_n)(x)d\mu(x) - \int_A (L \circ F)d\mu(x)\right) \longrightarrow 0 \quad (n \rightarrow \infty) \quad \textcircled{4}$$

by Definition 6.1.4-(2). The rest of proof is to verify that $L(\int_A F(x)d\mu(x)) = \int_A (L \circ F)(x)d\mu(x)$, which comes from

$$\begin{aligned} & q\left(L\left(\int_A F(x)d\mu(x)\right) - \int_A (L \circ F)(x)d\mu(x)\right) \\ & \leq q\left(L\left(\int_A F(x)d\mu(x)\right) - \int_A (L \circ S_n)(x)d\mu(x)\right) + q\left(\int_A (L \circ S_n)(x)d\mu(x) - \int_A (L \circ F)(x)d\mu(x)\right) \end{aligned}$$

$$\begin{aligned}
&= q\left(L\left(\int_A F(x)d\mu(x)\right) - L\left(\int_A S_n(x)d\mu(x)\right)\right) + q\left(\int_A (L \circ S_n)(x)d\mu(x) - \int_A (L \circ F)(x)d\mu(x)\right) \quad (\because \text{Lemma 6.1.2-(3)}) \\
&= q\left(L\left(\int_A F(x)d\mu(x)\right) - \int_A S_n(x)d\mu(x)\right) + q\left(\int_A (L \circ S_n)(x)d\mu(x) - \int_A (L \circ F)(x)d\mu(x)\right) \\
&\rightarrow 0 \quad (n \rightarrow \infty)
\end{aligned}$$

because $q \circ L$ is continuous, ① and ④.

(4). Let $A = \prod_{i=1}^{k_n} A_{n,i}$, $A_{n,i} \in \mathcal{B}$ and $S_n(x) = \sum_{i=1}^{k_n} c_{A_{n,i}}(x)\xi_{n,i}$, where $\xi_{n,i} \in \mathcal{V}$, $n \in \mathbb{N}$. By a direct computation we obtain $\hat{p}(S_n(y)) = \hat{p}(\xi_{n,i})$ if $y \in A_{n,i}$. This assures that

$$(\hat{p} \circ S_n)(x) = \sum_{i=1}^{k_n} c_{A_{n,i}}(x)\hat{p}(\xi_{n,i}),$$

and that $\{\hat{p} \circ S_n\}_{n=1}^{\infty}$ is a sequence of step functions. Now, let us show that $\{\hat{p} \circ S_n\}_{n=1}^{\infty}$ is uniformly convergent to $\hat{p} \circ F$ on A . Take an arbitrary $\epsilon > 0$. On the one hand; since $\hat{p} : \mathcal{V} \rightarrow \mathbb{R}$ is continuous at 0, there exists a $\delta > 0$ such that $\eta \in \mathcal{V}$, $d(\eta, 0) < \delta$ implies

$$\hat{p}(\eta) = |\hat{p}(\eta) - \hat{p}(0)| < \epsilon.$$

On the other hand; since $\{S_n\}_{n=1}^{\infty}$ is uniformly convergent to F on A , there exists an $N \in \mathbb{N}$ such that $n \geq N$ implies

$$d(F(x) - S_n(x), 0) = d(F(x), S_n(x)) < \delta$$

for all $x \in A$. Consequently, $n \geq N$ implies $\hat{p}(F(x) - S_n(x)) < \epsilon$, and then

$$|\hat{p}(F(x)) - \hat{p}(S_n(x))| \leq \hat{p}(F(x) - S_n(x)) < \epsilon$$

for all $x \in A$. Hence $\{\hat{p} \circ S_n\}_{n=1}^{\infty}$ is uniformly convergent to $\hat{p} \circ F$ on A , and we conclude that $\hat{p} \circ F : A \rightarrow \mathbb{R}$ is regulated. In addition, one has

$$\int_A (\hat{p} \circ S_n)(x)d\mu(x) \stackrel{(6.1.1)}{=} \sum_{i=1}^{k_n} \mu(A_{n,i})\hat{p}(\xi_{n,i}) \geq \hat{p}\left(\sum_{i=1}^{k_n} \mu(A_{n,i})\xi_{n,i}\right) \stackrel{(6.1.1)}{=} \hat{p}\left(\int_A S_n(x)d\mu(x)\right)$$

for all $n \in \mathbb{N}$, and therefore

$$\begin{aligned}
\int_A (\hat{p} \circ F)(x)d\mu(x) &= \lim_{n \rightarrow \infty} \int_A (\hat{p} \circ S_n)(x)d\mu(x) \geq \lim_{n \rightarrow \infty} \hat{p}\left(\int_A S_n(x)d\mu(x)\right) = \hat{p}\left(\lim_{n \rightarrow \infty} \int_A S_n(x)d\mu(x)\right) \quad (\because \hat{p} \text{ is continuous}) \\
&= \hat{p}\left(\int_A F(x)d\mu(x)\right).
\end{aligned}$$

□

Remark 6.1.6. In terms of Lemmas 6.1.2-(1) and 6.1.5-(1), the sets of step functions and regulated mappings are vector spaces over \mathbb{K} , respectively.

6.1.4 A remark on regulated integrals of real-valued functions

In case of $\mathcal{V} = \mathbb{R}$, we can consider two kinds of integrals, the regulated integral in the sense of Definition 6.1.4-(2) and the μ -integral in the sense of the measure theory, which we here dare to write $\int_A F(x)d\mu(x)$ the former and $\mu\text{-}\int_A F(x)d\mu(x)$ the latter. In this subsection we are going to confirm that

$$\int_A F(x)d\mu(x) = \mu\text{-}\int_A F(x)d\mu(x)$$

for all regulated mappings $F : A \rightarrow \mathbb{R}$, where $A \in \mathcal{B}$ with $\mu(A) < \infty$.

Suppose that $\mathcal{V} = \mathbb{R}$, $A \in \mathcal{B}$ and $\mu(A) < \infty$. Here, $(\mathcal{V}, \{p_\ell\}_{\ell \in \mathbb{N}}) = (\mathbb{R}, |\cdot|)$ follows.

Any step function $S = \sum_{i=1}^k c_{A_i}(x)\lambda_i : A \rightarrow \mathbb{R}$ is μ -integrable on A , and it is immediate from (6.1.1) that

$$\int_A S(x)d\mu(x) = \sum_{i=1}^k \mu(A_i)\lambda_i = \mu\text{-}\int_A S(x)d\mu(x). \quad \text{①}$$

Now, let $F : A \rightarrow \mathbb{R}$ be a regulated mapping. Then, by Definition 6.1.4-(1) there exists a sequence $\{S_n\}_{n=1}^{\infty}$ of step functions which is uniformly convergent to F on A . On the one hand; Definition 6.1.4-(2) implies that

$$\left| \int_A F(x) d\mu(x) - \int_A S_n(x) d\mu(x) \right| \rightarrow 0 \quad (n \rightarrow \infty). \quad \textcircled{2}$$

On the other hand; since $\{S_n\}_{n=1}^{\infty}$ is uniformly convergent to F on A , there exists an $M \in \mathbb{N}$ such that $m \geq M$ implies $|S_m(x) - S_M(x)| < 1$ for all $x \in A$; and it follows that

$$|S_m(x)| \leq |S_M(x)| + 1 \text{ for all } m \geq M \text{ and } x \in A.$$

This, together with Lebesgue's convergence theorem, tells us that F is μ -integrable on A and

$$\left| \mu \int_A S_n(x) d\mu(x) - \mu \int_A F(x) d\mu(x) \right| \rightarrow 0 \quad (n \rightarrow \infty), \quad \textcircled{3}$$

since $\mu(A) < \infty$, each S_n is μ -integrable on A and $\{S_n\}_{n=1}^{\infty}$ is uniformly convergent to F on A . In view of $\textcircled{1}$, $\textcircled{2}$ and $\textcircled{3}$ we see that

$$\begin{aligned} \left| \int_A F(x) d\mu(x) - \mu \int_A F(x) d\mu(x) \right| &\leq \left| \int_A F(x) d\mu(x) - \int_A S_n(x) d\mu(x) \right| + \left| \int_A S_n(x) d\mu(x) - \mu \int_A F(x) d\mu(x) \right| \\ &= \left| \int_A F(x) d\mu(x) - \int_A S_n(x) d\mu(x) \right| + \left| \mu \int_A S_n(x) d\mu(x) - \mu \int_A F(x) d\mu(x) \right| \rightarrow 0 \quad (n \rightarrow \infty). \end{aligned}$$

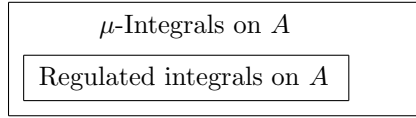
So, one has $\int_A F(x) d\mu(x) = \mu \int_A F(x) d\mu(x)$.

Remark 6.1.7. By the arguments above and the measure theory we deduce that for any $A \in \mathcal{B}$ and $\mu(A) < \infty$, all regulated mappings $f, g : A \rightarrow \mathbb{R}$ are μ -integrable on A , and that the inequalities

$$0 \leq \int_A f(x) d\mu(x) \leq \int_A g(x) d\mu(x)$$

hold in the case where $0 \leq f(x) \leq g(x)$ for all $x \in A$.

Case $\mathcal{V} = \mathbb{R}$, $\mu(A) < \infty$:



6.1.5 An example of regulated mapping

The following proposition provides us with examples of regulated mappings.

Proposition 6.1.8. *Suppose that*

- (s1) X is a Hausdorff (topological) space,
- (s2) \mathcal{B} includes the set of open subsets of X ,
- (s3) K is a compact subset of X with $\mu(K) < \infty$.

Then, every continuous mapping $F : K \rightarrow \mathcal{V}$ is regulated. Accordingly F has a regulated integral on K .

Proof. For $\xi_0 \in \mathcal{V}$ and $r > 0$ we set an open subset $B(\xi_0, r) \subset \mathcal{V}$ as $B(\xi_0, r) := \{\xi \in \mathcal{V} \mid d(\xi, \xi_0) < r\}$.

By Definition 6.1.4 it suffices to construct a sequence of step functions which is uniformly convergent to F on K . For any $n \in \mathbb{N}$, one has $F(K) \subset \bigcup_{\eta \in F(K)} B(\eta, 1/n)$. Since $F(K)$ is compact in \mathcal{V} , there exist finite elements $\eta_1, \eta_2, \dots, \eta_{\ell_n} \in F(K)$ satisfying $F(K) \subset \bigcup_{i=1}^{\ell_n} B(\eta_i, 1/n)$. Then, we put

$$A_i := F^{-1}(B(\eta_i, 1/n)) - \bigcup_{j=1}^{i-1} F^{-1}(B(\eta_j, 1/n)) \text{ for } 1 \leq i \leq \ell_n.$$

Since $F : K \rightarrow \mathcal{V}$ is continuous, it follows from (s2) that $F^{-1}(B(\eta_i, 1/n)) \in \mathcal{B}$, so that $A_i \in \mathcal{B}$ ($1 \leq i \leq \ell_n$). Moreover, we deduce $K = \bigsqcup_{i=1}^{\ell_n} A_i$ by a direct computation. Now, let $S_n(x) := \sum_{i=1}^{\ell_n} c_{A_i}(x) \eta_i$ for $x \in K$. This $S_n : K \rightarrow \mathcal{V}$ is a step function and satisfies $d(S_n(x), F(x)) < 1/n$ for all $x \in K$. Accordingly $\{S_n\}_{n=1}^{\infty}$ is the desired sequence of step functions. \square

Remark 6.1.9. By considering Lemma 6.1.5-(3) in case $\mathcal{W} = \mathbb{K}$ we see that the integral $\int_K F(x) d\mu(x)$ in Proposition 6.1.8 accords with the integral in Bourbaki [7, Section 3, Chapter III], the integral in Rudin [31, p.77, Definition 3.26], and so on.

6.2 An application (K -finite vectors)

The setting of Section 6.2 is as follows:

- K is a compact Lie group,
- \mathcal{V} is a Fréchet space over \mathbb{C} ,
- d is a suitable complete metric for \mathcal{V} which induces a topology identical to the original one,
- $\varrho : K \rightarrow GL(\mathcal{V})$, $k \mapsto \varrho(k)$, is a (group) homomorphism, where it does not matter whether ϱ is continuous here.

In this section we apply the theory on regulated integrals to conclude

Proposition 6.2.1. *Suppose that (S) the mapping $\pi_\varrho : K \times \mathcal{V} \rightarrow \mathcal{V}$, $(k, \xi) \mapsto \varrho(k)\xi$, is continuous.¹ Then,*

$$\mathcal{V}_K := \{\eta \in \mathcal{V} \mid \dim_{\mathbb{C}} \text{span}_{\mathbb{C}}\{\varrho(k)\eta : k \in K\} < \infty\}$$

is a $\varrho(K)$ -invariant, complex vector subspace of \mathcal{V} , and moreover, it is dense in \mathcal{V} .

The main purpose of this section is to prove Proposition 6.2.1.

6.2.1 A preparation for proving Proposition 6.2.1

In order to prove Proposition 6.2.1 we study $\mathcal{C}(K, \mathbb{C})$ first, where $\mathcal{C}(K, \mathbb{C}) = \{\phi : K \rightarrow \mathbb{C} \mid \phi \text{ is continuous}\}$. Let us define a norm $\|\cdot\|$ on the complex vector space $\mathcal{C}(K, \mathbb{C})$ by

$$\|\phi\| := \sup\{|\phi(x)| : x \in K\} \text{ for } \phi \in \mathcal{C}(K, \mathbb{C}),$$

consider $\mathcal{C}(K, \mathbb{C})$ as a complex Banach space with this norm, and define a homomorphism $\rho : K \rightarrow GL(\mathcal{C}(K, \mathbb{C}))$, $k \mapsto \rho(k)$, as follows:

$$(\rho(k)\phi)(x) := \phi(k^{-1}x) \text{ for } \phi \in \mathcal{C}(K, \mathbb{C}) \text{ and } x \in K.$$

Then, it turns out that $\|\rho(k)\phi\| = \|\phi\|$ for all $(k, \phi) \in K \times \mathcal{C}(K, \mathbb{C})$, and that the mapping $\pi_\rho : K \times \mathcal{C}(K, \mathbb{C}) \rightarrow \mathcal{C}(K, \mathbb{C})$, $(k, \phi) \mapsto \rho(k)\phi$, is continuous. Furthermore, by the Peter-Weyl theory one knows

Proposition 6.2.2 (e.g. Sugiura [32, p.27, Theorem 3.5]).

$$\mathcal{C}(K, \mathbb{C})_K := \{\varphi \in \mathcal{C}(K, \mathbb{C}) \mid \dim_{\mathbb{C}} \text{span}_{\mathbb{C}}\{\rho(k)\varphi : k \in K\} < \infty\}$$

is a $\rho(K)$ -invariant, complex vector subspace of $\mathcal{C}(K, \mathbb{C})$ and is dense in $\mathcal{C}(K, \mathbb{C})$.²

From now on, let us suppose that

(S) $\pi_\varrho : K \times \mathcal{V} \rightarrow \mathcal{V}$, $(k, \xi) \mapsto \varrho(k)\xi$, is continuous,

and denote by μ a non-zero left-invariant Haar measure on \mathcal{B} , where \mathcal{B} is the Borel field on the compact Lie group K . Then, for a $\xi \in \mathcal{V}$, Proposition 6.1.8 and (S) allow us to define a mapping $F_\xi : \mathcal{C}(K, \mathbb{C}) \rightarrow \mathcal{V}$ by

$$F_\xi(\phi) := \int_K \phi(x)(\varrho(x)\xi) d\mu(x) \text{ for } \phi \in \mathcal{C}(K, \mathbb{C}), \quad (6.2.3)$$

since $f_\phi : K \rightarrow \mathcal{V}$, $x \mapsto \phi(x)(\varrho(x)\xi)$, is continuous and $\mu(K) < \infty$ (cf. (p6) in Theorem 5.1.2).

Lemma 6.2.4. *On the supposition (S); for each $\xi \in \mathcal{V}$, the mapping $F_\xi : \mathcal{C}(K, \mathbb{C}) \rightarrow \mathcal{V}$, $\phi \mapsto \int_K \phi(x)(\varrho(x)\xi) d\mu(x)$, is continuous.*

Proof. Fix any $\xi \in \mathcal{V}$, $\epsilon > 0$, $\psi \in \mathcal{C}(K, \mathbb{C})$ and any continuous seminorm \hat{p} on \mathcal{V} . Let us verify that $F_\xi : \mathcal{C}(K, \mathbb{C}) \rightarrow \mathcal{V}$ is continuous at ψ . For $\phi \in \mathcal{C}(K, \mathbb{C})$ we suppose that

$$\|\psi - \phi\| < \frac{\epsilon}{1 + \int_K \hat{p}(\varrho(k)\xi) d\mu(k)},$$

¹This supposition (S) means that ϱ is a continuous representation of K on \mathcal{V} (see Definition 11.0.1).

²Remark. $\mathcal{C}(K, \mathbb{C})_K$ includes the representative ring of the compact Lie group K .

where it should be remarked that $0 \leq \int_K \hat{p}(\varrho(k)\xi) d\mu(k) < \infty$, cf. Remark 6.1.7. The mapping f_ψ (resp. f_ϕ): $K \rightarrow \mathcal{V}$, $x \mapsto \psi(x)(\varrho(x)\xi)$ (resp. $\mapsto \phi(x)(\varrho(x)\xi)$), is continuous, and so it is regulated due to Proposition 6.1.8. Hence one shows

$$\begin{aligned} \hat{p}(F_\xi(\psi) - F_\xi(\phi)) &\stackrel{(6.2.3)}{=} \hat{p}\left(\int_K f_\psi(x) d\mu(x) - \int_K f_\phi(x) d\mu(x)\right) = \hat{p}\left(\int_K (f_\psi - f_\phi)(x) d\mu(x)\right) \quad (\because \text{Lemma 6.1.5-(1)}) \\ &\leq \int_K \hat{p}((f_\psi - f_\phi)(x)) d\mu(x) = \int_K |\psi(x) - \phi(x)| \hat{p}(\varrho(x)\xi) d\mu(x) \leq \int_K \|\psi - \phi\| \hat{p}(\varrho(x)\xi) d\mu(x) \\ &= \|\psi - \phi\| \int_K \hat{p}(\varrho(x)\xi) d\mu(x) \leq \frac{\epsilon}{1 + \int_K \hat{p}(\varrho(k)\xi) d\mu(k)} \int_K \hat{p}(\varrho(x)\xi) d\mu(x) \leq \epsilon \end{aligned}$$

(see Lemma 6.1.5-(4), Remark 6.1.7 also). Therefore $F_\xi : \mathcal{C}(K, \mathbb{C}) \rightarrow \mathcal{V}$ is continuous at ψ . \square

Lemma 6.2.5. *On the supposition (S); $F_\xi(\mathcal{C}(K, \mathbb{C})_K) \subset \mathcal{V}_K$ for all $\xi \in \mathcal{V}$.*

Proof. Fix a $\varphi \in \mathcal{C}(K, \mathbb{C})_K$. There exist finite vectors $\varphi_1, \dots, \varphi_n \in \mathcal{C}(K, \mathbb{C})$ and functions $\alpha_1, \dots, \alpha_n : K \rightarrow \mathbb{C}$ such that

$$\rho(k)\varphi = \sum_{i=1}^n \alpha_i(k)\varphi_i \quad \text{for all } k \in K \quad \textcircled{1}$$

by virtue of $\dim_{\mathbb{C}} \text{span}_{\mathbb{C}}\{\rho(k)\varphi : k \in K\} < \infty$. Therefore, for any $k \in K$

$$\begin{aligned} \varrho(k)(F_\xi(\varphi)) &\stackrel{(6.2.3)}{=} \varrho(k)\left(\int_K \varphi(x)(\varrho(x)\xi) d\mu(x)\right) = \int_K \varrho(k)(\varphi(x)(\varrho(x)\xi)) d\mu(x) \quad (\because \text{(S), Lemma 6.1.5-(3)}) \\ &= \int_K \varphi(x)(\varrho(kx)\xi) d\mu(x) = \int_K \varphi(k^{-1}y)(\varrho(y)\xi) d\mu(k^{-1}y) \quad (\text{by } x \rightarrow k^{-1}y) \\ &\stackrel{\textcircled{1}}{=} \int_K \sum_{i=1}^n \alpha_i(k)\varphi_i(y)(\varrho(y)\xi) d\mu(k^{-1}y) = \int_K \sum_{i=1}^n \alpha_i(k)\varphi_i(y)(\varrho(y)\xi) d\mu(y) \quad (\because \mu \text{ is left-invariant}) \\ &= \sum_{i=1}^n \alpha_i(k) \int_K \varphi_i(y)(\varrho(y)\xi) d\mu(y) \stackrel{(6.2.3)}{=} \sum_{i=1}^n \alpha_i(k) F_\xi(\varphi_i). \end{aligned}$$

Hence $\dim_{\mathbb{C}} \text{span}_{\mathbb{C}}\{\varrho(k)(F_\xi(\varphi)) : k \in K\} \leq n < \infty$, and so $F_\xi(\varphi) \in \mathcal{V}_K$. \square

Lemma 6.2.6. *On the supposition (S); for any $\xi \in \mathcal{V}$, there exists a sequence $\{\phi_n\}_{n=1}^\infty \subset \mathcal{C}(K, \mathbb{C})$ satisfying*

$$\lim_{n \rightarrow \infty} d(\xi, F_\xi(\phi_n)) = 0.$$

Proof. Fix an $\epsilon > 0$ and a $\xi \in \mathcal{V}$. Since K is a smooth manifold, one can construct a strictly decreasing sequence $\{U_n\}_{n=1}^\infty$ of open neighborhoods of $e \in K$ so that

$$U_1 \supset \overline{U_2} \supset U_2 \supset \overline{U_3} \supset \dots \supset U_n \supset \overline{U_{n+1}} \supset U_{n+1} \supset \overline{U_{n+2}} \supset \dots \supset \{e\} \quad \textcircled{1}$$

and a sequence $\{\psi_n\}_{n=1}^\infty$ of smooth functions (which are sometimes called *bump functions*) so that

1. $0 \leq \psi_n(x) \leq 1$ for all $x \in K$,
2. $\psi_n(y) = 1$ for all $y \in U_{n+1}$,
3. $\psi_n(z) = 0$ for all $z \in K - U_n$.

For these functions one can assert that $0 < \mu(U_{n+1}) \leq \int_K \psi_n(x) d\mu(x) \leq \mu(U_n) \leq \mu(K) < \infty$ for all $n \in \mathbb{N}$ because μ is a Haar measure on the compact Lie group K , cf. (p8), (p6) in Theorem 5.1.2. Setting $\phi_n := \frac{1}{\int_K \psi_n(x) d\mu(x)} \psi_n$ for $n \in \mathbb{N}$, we conclude that $\phi_n \in \mathcal{C}(K, \mathbb{C})$, $\int_K \phi_n(x) d\mu(x) = 1$, and $0 \leq \phi_n(x)$ for all $n \in \mathbb{N}$ and $x \in K$; in addition,

$$\begin{aligned} \xi - F_\xi(\phi_n) &\stackrel{(6.2.3)}{=} \xi - \int_K \phi_n(x)(\varrho(x)\xi) d\mu(x) = \int_K \phi_n(x)\xi d\mu(x) - \int_K \phi_n(x)(\varrho(x)\xi) d\mu(x) \\ &= \int_K \phi_n(x)(\xi - \varrho(x)\xi) d\mu(x) \quad (\because \text{Lemma 6.1.5-(1), Proposition 6.1.8}), \end{aligned} \quad \textcircled{2}$$

where $\xi = \int_K \phi_n(x)\xi d\mu(x)$ follows from $\int_K \phi_n(x) d\mu(x) = 1$.³ Now, let \hat{p} be an arbitrary continuous seminorm on \mathcal{V} . Since $K \ni k \mapsto \varrho(k)\xi \in \mathcal{V}$ is continuous at $e \in K$ and $\varrho(e)\xi = \xi$, there exists an open neighborhood O of $e \in K$ satisfying

$$\hat{p}(\xi - \varrho(y)\xi) < \epsilon \quad \text{for all } y \in O. \quad \textcircled{3}$$

³Indeed; suppose a sequence $\{f_m = \sum_i c_{A_m,i} \lambda_{m,i} : K \rightarrow \mathbb{R}\}_{m=1}^\infty$ of step functions to be uniformly convergent to ϕ_n on K . Then it follows from Definition 6.1.4-(2) and $\int_K \phi_n(x) d\mu(x) = 1$ that $\lim_m \int_K f_m(x) d\mu(x) = \int_K \phi_n(x) d\mu(x) = 1$. Besides, the sequence $\{f_m \xi = \sum_i c_{A_m,i} \lambda_{m,i} \xi : K \rightarrow \mathcal{V}\}_{m=1}^\infty$ consists of step functions and is uniformly convergent to $\phi_n \xi$ on K . Accordingly $\int_K \phi_n(x)\xi d\mu(x) = \lim_m \int_K f_m(x)\xi d\mu(x) \stackrel{(6.1.1)}{=} \lim_m \sum_i \mu(A_{m,i}) \lambda_{m,i} \xi = (\lim_m (\sum_i \mu(A_{m,i}) \lambda_{m,i})) \xi \stackrel{(6.1.1)}{=} (\lim_m \int_K f_m(x) d\mu(x)) \xi = \xi$. Hence $\int_K \phi_n(x)\xi d\mu(x) = \xi$.

By ① there exists an $N_{\hat{p}} \in \mathbb{N}$ such that $n \geq N_{\hat{p}}$ implies $U_n \subset O$, and then

$$\begin{aligned}
\hat{p}(\xi - F_{\xi}(\phi_n)) &\stackrel{\textcircled{2}}{=} \hat{p}\left(\int_K \phi_n(x)(\xi - \varrho(x)\xi)d\mu(x)\right) \leq \int_K \phi_n(x)\hat{p}(\xi - \varrho(x)\xi)d\mu(x) \quad (\because \text{Lemma 6.1.5-(4)}, 0 \leq \phi_n(x)) \\
&= \int_{U_n} \phi_n(y)\hat{p}(\xi - \varrho(y)\xi)d\mu(y) + \int_{K-U_n} \phi_n(z)\hat{p}(\xi - \varrho(z)\xi)d\mu(z) \quad (\because \text{Lemma 6.1.5-(2)}) \\
&= \int_{U_n} \phi_n(y)\hat{p}(\xi - \varrho(y)\xi)d\mu(y) \quad (\because \phi_n = 0 \text{ on } K - U_n) \\
&\leq \epsilon \int_{U_n} \phi_n(y)d\mu(y) \quad (\because U_n \subset O, \textcircled{3}) \\
&\leq \epsilon \int_K \phi_n(x)d\mu(x) \quad (\because 0 \leq \phi_n(x)) \\
&= \epsilon.
\end{aligned}$$

For this reason the sequence $\{\phi_n\}_{n=1}^{\infty}$ satisfies $\lim_{n \rightarrow \infty} d(\xi, F_{\xi}(\phi_n)) = 0$. □

6.2.2 Proof of Proposition 6.2.1

Now, let us prove Proposition 6.2.1.

Proof of Proposition 6.2.1. We only prove that \mathcal{V}_K is dense in $\mathcal{V} = (\mathcal{V}, d)$. Take any $\xi_0 \in \mathcal{V}$ and $\epsilon > 0$. By Lemma 6.2.6 there exists a $\psi \in \mathcal{C}(K, \mathbb{C})$ satisfying

$$d(\xi_0, F_{\xi_0}(\psi)) < \epsilon/2.$$

Since $F_{\xi_0} : \mathcal{C}(K, \mathbb{C}) \rightarrow \mathcal{V}$ is continuous at ψ (cf. Lemma 6.2.4), there exists an open neighborhood W of $\psi \in \mathcal{C}(K, \mathbb{C})$ such that

$$d(F_{\xi_0}(\psi), F_{\xi_0}(\phi)) < \epsilon/2$$

for all $\phi \in W$. Proposition 6.2.2 enables us to take an element $\varphi \in W \cap \mathcal{C}(K, \mathbb{C})_K$. Then, it follows from Lemma 6.2.5 that $F_{\xi_0}(\varphi) \in \mathcal{V}_K$, and we have

$$d(\xi_0, F_{\xi_0}(\varphi)) \leq d(\xi_0, F_{\xi_0}(\psi)) + d(F_{\xi_0}(\psi), F_{\xi_0}(\varphi)) < \epsilon.$$

This completes the proof of Proposition 6.2.1. □

Chapter 7

Elliptic elements and elliptic adjoint orbits

In this chapter we recall the definitions of elliptic element and elliptic (adjoint) orbit, and show some fundamental properties of elliptic elements and elliptic orbits. The setting of Chapter 7 is as follows:

- G is a connected, real semisimple Lie group,
- $\mathfrak{g}_{\mathbb{C}}$ is the complexification of the Lie algebra \mathfrak{g} .

Remark that the Lie group G satisfies the second countability axiom since it is connected.

7.1 Definitions of elliptic element and elliptic orbit

Here are the definitions of elliptic element and elliptic orbit.

Definition 7.1.1 (cf. Kobayashi [19]).

- An element $Z \in \mathfrak{g}$ is said to be *semisimple*, if the linear transformation $\text{ad } Z : \mathfrak{g} \rightarrow \mathfrak{g}$, $X \mapsto [Z, X]$, is semisimple.¹
- The adjoint orbit $\text{Ad } G(Z)$ of G through a semisimple element $Z \in \mathfrak{g}$ is called a *semisimple (adjoint) orbit*.
- An element $T \in \mathfrak{g}$ is said to be *elliptic*, if it is semisimple and all the eigenvalues of $\text{ad } T$ are purely imaginary.
- The adjoint orbit $\text{Ad } G(T)$ of G through an elliptic element $T \in \mathfrak{g}$ is called an *elliptic adjoint orbit* or an *elliptic orbit*.

Needless to say, 0 is an elliptic element of \mathfrak{g} .

7.2 Properties of elliptic elements

Let us clarify some properties of elliptic elements.

Lemma 7.2.1. *Let T be a non-zero, elliptic element of \mathfrak{g} , and let $S^1 := \{\exp tT : t \in \mathbb{R}\}$.*

- S^1 is a 1-dimensional connected, closed Abelian subgroup of G .
- Suppose that the center $Z(G)$ of G is finite. Then, S^1 is compact.

Remark 7.2.2. We cannot omit the supposition “the center $Z(G)$ of G is finite” from Lemma 7.2.1-(2). cf. Example 7.2.3.

From now on, we are going to prove Lemma 7.2.1.

Proof of Lemma 7.2.1. (1). S^1 coincides with the connected Lie subgroup of G corresponding to the subalgebra $\text{span}_{\mathbb{R}}\{T\}$ of \mathfrak{g} . Hence, let us only verify that S^1 is a closed subset of G . The element T is non-zero elliptic, so there exist $\lambda_1, \dots, \lambda_k > 0$ and an ordered real basis $\{X_1, Y_1, X_2, Y_2, \dots, X_k, Y_k, Z_{2k+1}, \dots, Z_N\}$ of \mathfrak{g} such that

$$\text{ad } T(X_i) = \lambda_i Y_i, \text{ad } T(Y_i) = -\lambda_i X_i \quad (1 \leq i \leq k), \quad \text{ad } T(Z_j) = 0 \quad (2k+1 \leq j \leq N = \dim_{\mathbb{R}} \mathfrak{g}).$$

¹This condition is equivalent to the condition that $\text{ad } Z : \mathfrak{g}_{\mathbb{C}} \rightarrow \mathfrak{g}_{\mathbb{C}}$ is represented by a diagonal matrix relative to some complex basis of $\mathfrak{g}_{\mathbb{C}}$.

Relative to this ordered basis, $\text{Ad}(\exp tT) = \exp t \text{ad} T : \mathfrak{g} \rightarrow \mathfrak{g}$ is represented by

$$\text{Ad}(\exp tT) = \begin{pmatrix} R_1 & & & \mathbf{O} \\ & \ddots & & \\ & & R_k & \\ \mathbf{O} & & & I_{N-2k} \end{pmatrix}, \quad R_i = \begin{pmatrix} \cos(t\lambda_i) & -\sin(t\lambda_i) \\ \sin(t\lambda_i) & \cos(t\lambda_i) \end{pmatrix}, \quad 1 \leq i \leq k,$$

where I_{N-2k} stands for the identity matrix of order $(N - 2k)$. Accordingly

$$\text{Ad} S^1 \text{ is a compact subgroup of } GL(\mathfrak{g}) = GL(N, \mathbb{R}). \quad \textcircled{1}$$

Since the adjoint representation $\text{Ad} : G \rightarrow GL(\mathfrak{g})$ is a continuous homomorphism and the Lie group G is connected, we conclude that

$$\text{Ad}^{-1}(\text{Ad} S^1) = S^1 Z(G) \text{ is a closed subgroup of } G \quad \textcircled{2}$$

by $\textcircled{1}$. Here it follows from $\dim_{\mathbb{R}} Z(G) = 0$ that S^1 is the identity component of the Lie group $S^1 Z(G)$. Therefore S^1 is closed in $S^1 Z(G)$. This and $\textcircled{2}$ assure that S^1 is a closed subset of G .

(2). Suppose that the center $Z(G)$ is finite. On the one hand; $S^1 \cap Z(G)$ is compact by the supposition. On the other hand; $S^1 / (S^1 \cap Z(G))$ is homeomorphic to $\text{Ad} S^1$ because S^1 satisfies the second countability axiom (\because (1)) and $\text{Ad} : S^1 \rightarrow \text{Ad} S^1$ is a surjective continuous homomorphism with kernel $S^1 \cap Z(G)$. Consequently, S^1 is compact due to $\textcircled{1}$. \square

Example 7.2.3. Let $\mathfrak{g} := \mathfrak{sl}(2, \mathbb{R}) = \left\{ \begin{pmatrix} x & y \\ z & -x \end{pmatrix} \mid x, y, z \in \mathbb{R} \right\}$ and let

$$T := \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

Then T belongs to \mathfrak{g} , $\left\{ E_1 := \begin{pmatrix} -i & 1 \\ 1 & i \end{pmatrix}, E_2 := \begin{pmatrix} i & 1 \\ 1 & -i \end{pmatrix}, E_3 := \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\}$ is a complex basis of $\mathfrak{g}_{\mathbb{C}} = \mathfrak{sl}(2, \mathbb{C})$, and $\text{ad} T : \mathfrak{g}_{\mathbb{C}} \rightarrow \mathfrak{g}_{\mathbb{C}}$ is represented by

$$\text{ad} T = \begin{pmatrix} 2i & 0 & 0 \\ 0 & -2i & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

relative to the basis. This implies that T is a non-zero elliptic element of \mathfrak{g} . Incidentally, $\text{ad} T : \mathfrak{g} \rightarrow \mathfrak{g}$ is represented by

$$\text{ad} T = \begin{pmatrix} 0 & -2 & 0 \\ 2 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

relative to the real basis $\left\{ X_1 := \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, Y_1 := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, Z_3 := \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\}$ of $\mathfrak{g} = \mathfrak{sl}(2, \mathbb{R})$. Now, $\text{span}_{\mathbb{R}}\{T\} = \mathfrak{so}(2)$ holds, and one can get a Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ of \mathfrak{g} by setting

$$\mathfrak{k} := \text{span}_{\mathbb{R}}\{T\}, \quad \mathfrak{p} := \left\{ \begin{pmatrix} x & y \\ y & -x \end{pmatrix} \mid x, y \in \mathbb{R} \right\}.$$

Let G be a simply connected Lie group with Lie algebra \mathfrak{g} , and let $G = KP$ denote the Cartan decomposition of G corresponding to $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. In this setting, we have $\{\exp tT : t \in \mathbb{R}\} = K$, but K is not compact because K includes the center $Z(G)$ and $Z(G)$ is infinite (more precisely, $Z(G) = \mathbb{Z}$). This implies that we cannot omit the supposition “the center $Z(G)$ of G is finite” from Lemma 7.2.1-(2).

The following lemma provides us with a criterion for judging whether an $X \in \mathfrak{g}$ is elliptic or not.

Lemma 7.2.4. *An element $X \in \mathfrak{g}$ is elliptic if and only if there exists a Cartan involution θ_* of \mathfrak{g} so that $\theta_*(X) = X$.*

Proof. Assume that $X \neq 0$ (otherwise our assertions are trivial). If X is elliptic, then Lemma 7.2.1 assures the existence of a Cartan involution θ_* of \mathfrak{g} satisfying $\theta_*(X) = X$ (because, for a given compact subalgebra $\mathfrak{s}^1 \subset \mathfrak{g}$ there always exists a maximal compact subalgebra \mathfrak{k} of \mathfrak{g} such that $\mathfrak{s}^1 \subset \mathfrak{k}$).

Conversely, suppose that a Cartan involution θ_* of \mathfrak{g} satisfies $\theta_*(X) = X$, and define an inner product $\langle \cdot, \cdot \rangle$ on \mathfrak{g} by

$$\langle Y, Z \rangle := -B_{\mathfrak{g}}(Y, \theta_*(Z)) \text{ for } Y, Z \in \mathfrak{g}, \quad (7.2.5)$$

where $B_{\mathfrak{g}}$ stands for the Killing form of \mathfrak{g} . For an arbitrary ad X -invariant vector subspace $\mathfrak{m} \subset \mathfrak{g}$, we take its orthogonal complement \mathfrak{m}^\perp in \mathfrak{g} with respect to $\langle \cdot, \cdot \rangle$. Then, $\mathfrak{g} = \mathfrak{m} \oplus \mathfrak{m}^\perp$ holds, and moreover, the vector space \mathfrak{m}^\perp is also ad X -invariant because it follows from $\theta_*(X) = X$ that

$$\langle \text{ad } X(Y), Z \rangle = -\langle Y, \text{ad } X(Z) \rangle \text{ for all } Y, Z \in \mathfrak{g}. \quad \textcircled{1}$$

Consequently the linear transformation $\text{ad } X : \mathfrak{g} \rightarrow \mathfrak{g}$ is semisimple; besides, all the eigenvalues of $\text{ad } X$ are purely imaginary by $\textcircled{1}$. Hence, X is elliptic. \square

By Lemma 7.2.4 one has

Corollary 7.2.6. *All elements of a compact semisimple Lie algebra are elliptic.*

Corollary 7.2.6 provides us with examples of elliptic orbits.

Example 7.2.7 (A complex Grassmann manifold). Let $G := SU(n) = \{g \in SL(n, \mathbb{C}) \mid {}^t \bar{g} = g^{-1}\}$, and let

$$T := \sqrt{-1} \begin{pmatrix} (n-k)I_k & \text{O} \\ \text{O} & -kI_{n-k} \end{pmatrix},$$

where $n \geq 2$ and $1 \leq k \leq n-1$. Then T is an element of \mathfrak{g} , and it is elliptic because $\mathfrak{g} = \mathfrak{su}(n)$ is a compact semisimple Lie algebra. A direct computation yields

$$C_G(T) = \left\{ \begin{pmatrix} A & \text{O} \\ \text{O} & D \end{pmatrix} \in SL(n, \mathbb{C}) \mid A \in U(k), D \in U(n-k) \right\} = S(U(k) \times U(n-k)),$$

and the elliptic orbit $\text{Ad } G(T) = G/C_G(T) = SU(n)/S(U(k) \times U(n-k))$ is a complex Grassmann manifold; in particular, it is a complex projective space in case of $k=1$ or $k=n-1$. Incidentally, the eigenvalue of $\text{ad } T$ is $\pm n\sqrt{-1}$ or zero.

The following lemma will be needed later (e.g. Chapter 8):

Lemma 7.2.8. *Let T be an elliptic element of \mathfrak{g} , and set complex vector subspaces $\mathfrak{g}^\lambda, \mathfrak{u}^\pm \subset \mathfrak{g}_{\mathbb{C}}$ as*

$$\mathfrak{g}^\lambda := \{W \in \mathfrak{g}_{\mathbb{C}} \mid \text{ad } T(W) = i\lambda W\} \text{ for } \lambda \in \mathbb{R}, \quad \mathfrak{u}^+ := \bigoplus_{\lambda > 0} \mathfrak{g}^\lambda, \quad \mathfrak{u}^- := \bigoplus_{\lambda > 0} \mathfrak{g}^{-\lambda}, \quad (7.2.9)$$

where $i := \sqrt{-1}$ and $\mathfrak{g}^\lambda = \{0\}$ in the case where $i\lambda$ is different from the eigenvalues of $\text{ad } T$. In addition, denote by $\mathfrak{l}_{\mathbb{C}}$ (resp. \mathfrak{l}) the centralizer of T in $\mathfrak{g}_{\mathbb{C}}$ (resp. \mathfrak{g}), by \mathfrak{u} the image of the linear mapping $\text{ad } T : \mathfrak{g} \rightarrow \mathfrak{g}$, and by $\bar{\sigma}$ the conjugation of $\mathfrak{g}_{\mathbb{C}}$ with respect to \mathfrak{g} . Then, it follows that

- (1) $\mathfrak{g}_{\mathbb{C}} = \bigoplus_{\lambda \in \mathbb{R}} \mathfrak{g}^\lambda = \mathfrak{u}^+ \oplus \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^-$, $\mathfrak{l}_{\mathbb{C}} = \mathfrak{g}^0$,
- (2) $\text{Ad } z(\mathfrak{g}^\lambda) \subset \mathfrak{g}^\lambda$ for all $(z, \lambda) \in C_G(T) \times \mathbb{R}$, where $C_G(T) := \{z \in G \mid \text{Ad } z(T) = T\}$,
- (2') $\text{Ad } z(\mathfrak{l}_{\mathbb{C}}) \subset \mathfrak{l}_{\mathbb{C}}$, $\text{Ad } z(\mathfrak{u}^+) \subset \mathfrak{u}^+$, $\text{Ad } z(\mathfrak{u}^-) \subset \mathfrak{u}^-$ for all $z \in C_G(T)$,
- (3) $[\mathfrak{g}^\lambda, \mathfrak{g}^\mu] \subset \mathfrak{g}^{\lambda+\mu}$ for all $\lambda, \mu \in \mathbb{R}$,
- (3') $[\mathfrak{l}_{\mathbb{C}}, \mathfrak{l}_{\mathbb{C}}] \subset \mathfrak{l}_{\mathbb{C}}$, $[\mathfrak{l}_{\mathbb{C}}, \mathfrak{u}^+] \subset \mathfrak{u}^+$, $[\mathfrak{l}_{\mathbb{C}}, \mathfrak{u}^-] \subset \mathfrak{u}^-$, $[\mathfrak{u}^+, \mathfrak{u}^+] \subset \mathfrak{u}^+$, $[\mathfrak{u}^-, \mathfrak{u}^-] \subset \mathfrak{u}^-$,
- (4) $B_{\mathfrak{g}_{\mathbb{C}}}(\mathfrak{g}^\lambda, \mathfrak{g}^\mu) = \{0\}$ if $\lambda + \mu \neq 0$, where $B_{\mathfrak{g}_{\mathbb{C}}}$ is the Killing form of $\mathfrak{g}_{\mathbb{C}}$,
- (4') $B_{\mathfrak{g}_{\mathbb{C}}}(\mathfrak{l}_{\mathbb{C}}, \mathfrak{u}^+) = \{0\}$, $B_{\mathfrak{g}_{\mathbb{C}}}(\mathfrak{l}_{\mathbb{C}}, \mathfrak{u}^-) = \{0\}$, $B_{\mathfrak{g}_{\mathbb{C}}}(\mathfrak{u}^+, \mathfrak{u}^+) = \{0\}$, $B_{\mathfrak{g}_{\mathbb{C}}}(\mathfrak{u}^-, \mathfrak{u}^-) = \{0\}$,
- (5) $\bar{\sigma}(\mathfrak{g}^\lambda) = \mathfrak{g}^{-\lambda}$ for all $\lambda \in \mathbb{R}$,
- (5') $\bar{\sigma}(\mathfrak{l}_{\mathbb{C}}) = \mathfrak{l}_{\mathbb{C}}$, $\bar{\sigma}(\mathfrak{u}^+) = \mathfrak{u}^-$, $\bar{\sigma}(\mathfrak{u}^-) = \mathfrak{u}^+$,
- (6) $\bar{\sigma}(\text{Ad } g(W)) = \text{Ad } g(\bar{\sigma}(W))$ for all $(g, W) \in G \times \mathfrak{g}_{\mathbb{C}}$,
- (i) $\mathfrak{g} = \mathfrak{l} \oplus \mathfrak{u}$, $T \in \mathfrak{l}$,

(ii) $\text{Ad } z(\mathfrak{l}) \subset \mathfrak{l}$, $\text{Ad } z(\mathfrak{u}) \subset \mathfrak{u}$ for all $z \in C_G(T)$,

(iii) $\mathfrak{l} = \{Y \in \mathfrak{l}_{\mathbb{C}} \mid \bar{\sigma}(Y) = Y\}$, $\mathfrak{u} = \{V + \bar{\sigma}(V) \mid V \in \mathfrak{u}^+\}$.

Proof. (1). Since $T \in \mathfrak{g}$ is elliptic and (7.2.9) we obtain $\mathfrak{g}_{\mathbb{C}} = \bigoplus_{\lambda \in \mathbb{R}} \mathfrak{g}^{\lambda}$. Moreover, it follows from (7.2.9) that $\mathfrak{l}_{\mathbb{C}} = \mathfrak{g}^0$ and $\bigoplus_{\lambda \in \mathbb{R}} \mathfrak{g}^{\lambda} = \bigoplus_{\lambda > 0} \mathfrak{g}^{\lambda} \oplus \mathfrak{g}^0 \oplus \bigoplus_{\mu < 0} \mathfrak{g}^{\mu} = \mathfrak{u}^+ \oplus \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^-$.

(2). For any $(z, \lambda) \in C_G(T) \times \mathbb{R}$ and $X^{\lambda} \in \mathfrak{g}^{\lambda}$, one has $[T, \text{Ad } z(X^{\lambda})] = \text{Ad } z([T, X^{\lambda}]) = i\lambda \text{Ad } z(X^{\lambda})$, and $\text{Ad } z(X^{\lambda}) \in \mathfrak{g}^{\lambda}$. Hence $\text{Ad } z(\mathfrak{g}^{\lambda}) \subset \mathfrak{g}^{\lambda}$ holds.

(3). From the Jacobi identity and (7.2.9) we deduce (3).

(4). For any $X^{\lambda} \in \mathfrak{g}^{\lambda}$, $X^{\mu} \in \mathfrak{g}^{\mu}$ we see that $i\lambda B_{\mathfrak{g}_{\mathbb{C}}}(X^{\lambda}, X^{\mu}) = B_{\mathfrak{g}_{\mathbb{C}}}([T, X^{\lambda}], X^{\mu}) = -B_{\mathfrak{g}_{\mathbb{C}}}(X^{\lambda}, [T, X^{\mu}]) = -i\mu B_{\mathfrak{g}_{\mathbb{C}}}(X^{\lambda}, X^{\mu})$, and therefore $B_{\mathfrak{g}_{\mathbb{C}}}(X^{\lambda}, X^{\mu}) = 0$ if $\lambda \neq -\mu$. This yields (4).

(5). For any $X^{\lambda} \in \mathfrak{g}^{\lambda}$ we obtain $[T, \bar{\sigma}(X^{\lambda})] = \bar{\sigma}([T, X^{\lambda}]) = \bar{\sigma}(i\lambda X^{\lambda}) = -i\lambda \bar{\sigma}(X^{\lambda})$, and $\bar{\sigma}(X^{\lambda}) \in \mathfrak{g}^{-\lambda}$. Thus $\bar{\sigma}(\mathfrak{g}^{\lambda}) \subset \mathfrak{g}^{-\lambda}$. This enables us to have $\bar{\sigma}(\mathfrak{g}^{-\lambda}) \subset \mathfrak{g}^{-(-\lambda)} = \mathfrak{g}^{\lambda}$, and moreover $\mathfrak{g}^{-\lambda} = \bar{\sigma}^2(\mathfrak{g}^{-\lambda}) \subset \bar{\sigma}(\mathfrak{g}^{\lambda}) \subset \mathfrak{g}^{-\lambda}$. Consequently we can show $\bar{\sigma}(\mathfrak{g}^{\lambda}) = \mathfrak{g}^{-\lambda}$.

(b') is a consequence of $\mathfrak{l}_{\mathbb{C}} = \mathfrak{g}^0$, (7.2.9) and (b), where $b = 2, 3, 4, 5$.

(6). Take an arbitrary $g \in G$ and $V, W \in \mathfrak{g}_{\mathbb{C}}$. On the one hand; for any $X \in \mathfrak{g}$ one has

$$\begin{aligned} \bar{\sigma}(\text{Ad exp } X(V)) &= \bar{\sigma}(\text{exp ad } X(V)) = \bar{\sigma}\left(\sum_{n \geq 0} \frac{1}{n!} (\text{ad } X)^n V\right) = \sum_{n \geq 0} \frac{1}{n!} (\text{ad } \bar{\sigma}(X))^n \bar{\sigma}(V) \\ &= \sum_{n \geq 0} \frac{1}{n!} (\text{ad } X)^n \bar{\sigma}(V) = \text{Ad exp } X(\bar{\sigma}(V)) \end{aligned}$$

because $\bar{\sigma}(X) = X$. On the other hand; since the Lie group G is connected, there exist finite elements $X_1, X_2, \dots, X_k \in \mathfrak{g}$ such that $g = \text{exp } X_1 \text{exp } X_2 \cdots \text{exp } X_k$. Accordingly

$$\begin{aligned} \bar{\sigma}(\text{Ad } g(W)) &= \bar{\sigma}(\text{Ad exp } X_1(\text{Ad exp } X_2(\cdots (\text{Ad exp } X_k(W)))))) = \text{Ad exp } X_1(\bar{\sigma}(\text{Ad exp } X_2(\cdots (\text{Ad exp } X_k(W)))))) \\ &= \cdots = \text{Ad exp } X_1(\text{Ad exp } X_2(\cdots (\text{Ad exp } X_k(\bar{\sigma}(W)))))) = \text{Ad } g(\bar{\sigma}(W)). \end{aligned}$$

(i). Since the linear transformation $\text{ad } T : \mathfrak{g} \rightarrow \mathfrak{g}$ is semisimple, we conclude that $\mathfrak{g} = \ker(\text{ad } T) \oplus \text{ad } T(\mathfrak{g}) = \mathfrak{l} \oplus \mathfrak{u}$. It is natural that $[T, T] = 0$ and $T \in \mathfrak{g}$, so that $T \in \mathfrak{l}$.

(ii). For any $z \in C_G(T)$, we show that $[T, \text{Ad } z(Y)] = \text{Ad } z([T, Y]) = 0$ for all $Y \in \mathfrak{l}$; hence $\text{Ad } z(\mathfrak{l}) \subset \mathfrak{l}$. It follows from $\mathfrak{u} = [T, \mathfrak{g}]$ and $\text{Ad } z(\mathfrak{g}) \subset \mathfrak{g}$ that $\text{Ad } z(\mathfrak{u}) = \text{Ad } z([T, \mathfrak{g}]) \subset [T, \text{Ad } z(\mathfrak{g})] \subset \mathfrak{u}$.

(iii). For a given $W \in \mathfrak{g}_{\mathbb{C}}$, $W \in \mathfrak{l}$ if and only if “[T, W] = 0 and $\bar{\sigma}(W) = W$ ” if and only if “ $W \in \mathfrak{l}_{\mathbb{C}}$ and $\bar{\sigma}(W) = W$.” This implies that

$$\mathfrak{l} = \{Y \in \mathfrak{l}_{\mathbb{C}} \mid \bar{\sigma}(Y) = Y\}. \quad \textcircled{1}$$

Now, let us prove that $\mathfrak{u} = \{V + \bar{\sigma}(V) \mid V \in \mathfrak{u}^+\}$. For any $U \in \mathfrak{u}$, there exists a $X \in \mathfrak{g}$ satisfying $U = [T, X]$ in view of $\mathfrak{u} = [T, \mathfrak{g}]$. Since $X \in \mathfrak{g} \subset \mathfrak{g}_{\mathbb{C}}$ and (1) there exists a unique $(V^+, Z, V^-) \in \mathfrak{u}^+ \times \mathfrak{g}^0 \times \mathfrak{u}^-$ such that $X = V^+ + Z + V^-$. Here $\bar{\sigma}(X) = X$ yields $\bar{\sigma}(V^+) = V^-$, and $X = V^+ + Z + \bar{\sigma}(V^+)$. Therefore

$$U = [T, X] = [T, V^+ + Z + \bar{\sigma}(V^+)] = [T, V^+] + [T, \bar{\sigma}(V^+)] = [T, V^+] + \bar{\sigma}([T, V^+]).$$

This, together with $[T, V^+] \in [\mathfrak{l}_{\mathbb{C}}, \mathfrak{u}^+] \subset \mathfrak{u}^+$, enables us to assert that

$$\mathfrak{u} \subset \{V + \bar{\sigma}(V) \mid V \in \mathfrak{u}^+\}. \quad \textcircled{2}$$

From $\{W \in \mathfrak{u}^+ \oplus \mathfrak{u}^- \mid \bar{\sigma}(W) = W\} = \{V + \bar{\sigma}(V) \mid V \in \mathfrak{u}^+\}$ we obtain

$$2 \dim_{\mathbb{R}} \{V + \bar{\sigma}(V) \mid V \in \mathfrak{u}^+\} = \dim_{\mathbb{R}} \mathfrak{u}^+ + \dim_{\mathbb{R}} \mathfrak{u}^- \stackrel{\textcircled{1}}{=} \dim_{\mathbb{R}} \mathfrak{g}_{\mathbb{C}} - \dim_{\mathbb{R}} \mathfrak{l}_{\mathbb{C}} \stackrel{\textcircled{1}}{=} 2(\dim_{\mathbb{R}} \mathfrak{g} - \dim_{\mathbb{R}} \mathfrak{l}) \stackrel{\textcircled{1}}{=} 2 \dim_{\mathbb{R}} \mathfrak{u}.$$

Hence one concludes $\mathfrak{u} = \{V + \bar{\sigma}(V) \mid V \in \mathfrak{u}^+\}$ by $\textcircled{2}$. □

7.3 The centralizer of an elliptic element

In this section we first clarify relation between the centralizer $C_G(T^r)$ of a torus subgroup T^r in G and the centralizer $C_G(T)$ of an elliptic element $T \in \mathfrak{g}$ (cf. Proposition 7.3.2), and then confirm that $C_G(T)$ is a connected, closed subgroup of G (cf. Lemma 7.3.3). Here we utilize the following notation:

- $C_G(A) := \{g \in G \mid gag^{-1} = a \text{ for all } a \in A\}$ for a subset $A \subset G$,
- $C_G(X) := \{g \in G \mid \text{Ad } g(X) = X\}$ for an element $X \in \mathfrak{g}$.

In order to prove Proposition 7.3.2 we need the following lemma:

Lemma 7.3.1. *For an $X \in \mathfrak{g}$, we put $A := \{\exp tX : t \in \mathbb{R}\}$ and denote by \bar{A} the closure of A in G . Then,*

$$C_G(X) = C_G(A) = C_G(\bar{A}).$$

Proof. We will show $C_G(\bar{A}) \subset C_G(A) \subset C_G(X) \subset C_G(\bar{A})$ and conclude this lemma.

$(C_G(\bar{A}) \subset C_G(A))$. The inclusion $C_G(\bar{A}) \subset C_G(A)$ is immediate from $A \subset \bar{A}$.

$(C_G(A) \subset C_G(X))$. Take an arbitrary $g \in C_G(A)$. Then, for all $t \in \mathbb{R}$ one has $\exp tX = g(\exp tX)g^{-1} = \exp t \text{Ad } g(X)$. Differentiating this equation at $t = 0$, we obtain $X = \text{Ad } g(X)$. Hence $g \in C_G(X)$, and so $C_G(A) \subset C_G(X)$ follows.

$(C_G(X) \subset C_G(\bar{A}))$. Take an $h \in C_G(X)$. For any $a \in A$, there exists a $t \in \mathbb{R}$ satisfying $a = \exp tX$, and it follows from $\text{Ad } h(X) = X$ that $hah^{-1} = h(\exp tX)h^{-1} = \exp t \text{Ad } h(X) = \exp tX = a$. Therefore

$$hah^{-1} = a \text{ for all } a \in A. \quad \textcircled{1}$$

The mapping $\bar{A} \ni x \mapsto h x h^{-1} \in G$ is continuous, and A is dense in \bar{A} . Hence $\textcircled{1}$ implies that $h x h^{-1} = x$ for all $x \in \bar{A}$, which allows us to show $h \in C_G(\bar{A})$, and $C_G(X) \subset C_G(\bar{A})$. \square

From Lemma 7.3.1 we deduce

Proposition 7.3.2. *For any torus subgroup $T^r \subset G$, there exists an elliptic element $X \in \mathfrak{g}$ such that $C_G(X) = C_G(T^r)$.*

Proof. Since T^r is a torus, Kronecker's approximation theorem enables us to obtain an element $X \in \text{Lie}(T^r)$ such that the closure \bar{A}^{T^r} in T^r coincides with the whole T^r , where $A := \{\exp tX : t \in \mathbb{R}\}$. This X is an elliptic element of \mathfrak{g} by Lemma 7.2.4 and $\text{Lie}(T^r)$ being a compact subalgebra of \mathfrak{g} . Furthermore, $A \subset \bar{A}^{T^r} \subset \bar{A}^G$ and $T^r = \bar{A}^{T^r}$ yield

$$C_G(\bar{A}^G) \subset C_G(T^r) \subset C_G(A).$$

Thus $C_G(X) = C_G(T^r)$ follows by Lemma 7.3.1. \square

Recalling that the Lie group G is connected, we demonstrate

Lemma 7.3.3. *For any elliptic element $T \in \mathfrak{g}$, the centralizer $C_G(T)$ is a connected, closed subgroup of G .*

Proof. Needless to say, $C_G(T)$ is a closed subgroup of G . So, we only prove that $C_G(T)$ is connected. By Lemma 7.2.4 there exists a Cartan involution θ_* of \mathfrak{g} so that $\theta_*(T) = T$. By use of this θ_* we put $\mathfrak{k} := \{X \in \mathfrak{g} \mid \theta_*(X) = X\}$, $\mathfrak{p} := \{Y \in \mathfrak{g} \mid \theta_*(Y) = -Y\}$. Denote by $G = KP$ the Cartan decomposition of G corresponding to $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Then, it turns out that

- (i) $[\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{k}$, $[\mathfrak{k}, \mathfrak{p}] \subset \mathfrak{p}$, $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{k}$,
- (ii) K is a closed subgroup of G ,
- (iii) $T \in \text{Lie}(K) = \mathfrak{k}$,
- (iv) P is a regular submanifold of G ,
- (v) $\exp : \mathfrak{p} \rightarrow P$, $Y \mapsto \exp Y$, is a real analytic diffeomorphism,
- (vi) $\varphi : K \times P \rightarrow G$, $(k, p) \mapsto kp$, is a real analytic diffeomorphism.

Let us prove that $C_G(T)$ is connected by taking three steps (S1), (S2) and (S3):

- (S1) $C_K(T) \times (P \cap C_G(T))$ is homeomorphic to $C_G(T)$ via φ , where we equip $C_K(T) \times (P \cap C_G(T))$ with the induced topology from $K \times P$;
- (S2) $P \cap C_G(T)$ is connected;
- (S3) $C_K(T)$ is connected.

(S1): Since $\varphi(C_K(T) \times (P \cap C_G(T))) \subset C_G(T)$ is clear, it suffices to confirm that for a given $x \in C_G(T)$, there exist $k \in C_K(T)$ and $p \in P \cap C_G(T)$ satisfying $kp = \varphi(k, p) = x$ by virtue of (vi). Now, let us take any $x \in C_G(T)$. In view of $T = \text{Ad}(x)T$ one sees that

$$\exp tT = \exp t \text{Ad}(x)T = x(\exp tT)x^{-1} \quad (t \in \mathbb{R}). \quad \textcircled{1}$$

For the $x \in G$ there exists a unique $(k, p) \in K \times P$ such that $kp = x$ by (vi). We want to show that both k and p belong to $C_G(T)$. It follows from $\textcircled{1}$ that $x = (\exp tT)x \exp(-tT)$, so that

$$k \cdot p = x = (\exp tT)x \exp(-tT) = ((\exp tT)k \exp(-tT)) \cdot ((\exp tT)p \exp(-tT))$$

for all $t \in \mathbb{R}$. Here (i), (iii) and (v) yield $(\exp tT)k \exp(-tT) \in K$ and $(\exp tT)p \exp(-tT) \in P$; hence we conclude

$$k = (\exp tT)k \exp(-tT), \quad p = (\exp tT)p \exp(-tT) \quad \textcircled{2}$$

by $\varphi : K \times P \rightarrow G$ being injective. This gives rise to $\exp tT = k(\exp tT)k^{-1} = \exp t \text{Ad} k(T)$ and $\exp tT = \exp t \text{Ad} p(T)$. Differentiating $\exp tT = \exp t \text{Ad} k(T) = \exp t \text{Ad} p(T)$ at $t = 0$, we have $T = \text{Ad} k(T) = \text{Ad} p(T)$. This assures that $k, p \in C_G(T)$, and accordingly we deduce $k \in (K \cap C_G(T)) = C_K(T)$ and $p \in P \cap C_G(T)$.

(S2): Let us demonstrate that $P \cap C_G(T)$ is (arcwise) connected. Take any $y \in P \cap C_G(T)$ and express it as $y = \exp Y$ ($Y \in \mathfrak{p}$). For any $t \in \mathbb{R}$, one deduces $y = (\exp tT)y \exp(-tT)$ by $y \in C_G(T)$ and arguments similar to those in (S1). Then, we have

$$\exp Y = y = (\exp tT)y \exp(-tT) = \exp \text{Ad}(\exp tT)Y.$$

Therefore, it follows from (v) and $\text{Ad}(\exp tT)Y \in \mathfrak{p}$ that $Y = \text{Ad}(\exp tT)Y = \exp t \text{ad} T(Y)$ for all $t \in \mathbb{R}$; and hence $[T, Y] = 0$ holds. By $[Y, T] = 0$ we conclude that for every $t \in \mathbb{R}$,

$$\text{Ad}(\exp tY)T = \exp t \text{ad} Y(T) = \sum_{n \geq 0} \frac{t^n}{n!} (\text{ad} Y)^n T = T.$$

This implies that the whole 1-parameter subgroup $\{\exp tY \mid t \in \mathbb{R}\}$ lies in $P \cap C_G(T)$, where $\exp tY \in P$ follows from $tY \in \mathfrak{p}$ and (v). So, one can joint $y = \exp tY|_{t=1}$ to the unite element $e = \exp tY|_{t=0} \in P \cap C_G(T)$ by an arc in $P \cap C_G(T)$.

(S3): Note that K is connected because (vi) and G is connected. Since \mathfrak{k} is compact one can decompose it as

$$\mathfrak{k} = \mathfrak{k}_{\text{ss}} \oplus \mathfrak{z}(\mathfrak{k}) \quad (\text{direct sum of Lie algebras}),$$

where \mathfrak{k}_{ss} (resp. $\mathfrak{z}(\mathfrak{k})$) stands for the semisimple part (resp. the center) of \mathfrak{k} . This and (iii) enable us to uniquely express the T as follows:

$$T = T_{\text{ss}} + T_z$$

($T_{\text{ss}} \in \mathfrak{k}_{\text{ss}}, T_z \in \mathfrak{z}(\mathfrak{k})$). Denote by K_{ss} and $Z(K)_0$ the connected Lie subgroups of K corresponding to \mathfrak{k}_{ss} and $\mathfrak{z}(\mathfrak{k})$, respectively. From now on, let us verify that $C_K(T)$ is connected. Since the Lie group K is connected, one sees that $K = K_{\text{ss}} \cdot Z(K)_0$; so that

$$C_K(T) = C_{K_{\text{ss}}}(T_{\text{ss}}) \cdot Z(K)_0 \quad \textcircled{3}$$

because $\text{Ad}(k)T_z = T_z$ for all $k \in K$, and $\text{Ad}(c)X = X$ for all $(c, X) \in Z(K)_0 \times \mathfrak{k}$. Since K_{ss} is connected and \mathfrak{k}_{ss} is compact semisimple, K_{ss} is compact. This implies that $C_{K_{\text{ss}}}(T_{\text{ss}})$ is connected, and it follows from $\textcircled{3}$ that $C_K(T)$ is connected. \square

By Lemma 7.3.3 one can conclude

Proposition 7.3.4. *For any elliptic element $T \in \mathfrak{g}$, the homogeneous space $G/C_G(T)$ is simply connected.*

Proof. Let (\tilde{G}, p) be a universal covering group of the connected Lie group G . Then, the differential homomorphism $p_* : \tilde{\mathfrak{g}} \rightarrow \mathfrak{g}$ is a Lie algebra isomorphism, and so we assume $\tilde{\mathfrak{g}} = \mathfrak{g}$ via p_* . On this assumption, T is an elliptic element of $\tilde{\mathfrak{g}}$ and $C_{\tilde{G}}(T)$ is connected by Lemma 7.3.3. Therefore $\tilde{G}/C_{\tilde{G}}(T)$ is simply connected, and hence $G/C_G(T)$ is also simply connected because $\tilde{G}/C_{\tilde{G}}(T)$ is homeomorphic to $G/C_G(T)$. \square

7.4 An appendix (semisimple orbits)

We investigate relation between semisimple (adjoint) orbits and reductive homogeneous spaces, where we refer to Nomizu [28, p.41] for the definition of reductive homogeneous space. Let Z be a semisimple element of \mathfrak{g} . Since the linear transformation $\text{ad } Z : \mathfrak{g} \rightarrow \mathfrak{g}$ is semisimple, it follows that

- (1) its image $\text{ad } Z(\mathfrak{g})$ is a vector subspace of \mathfrak{g} ,
- (2) $\mathfrak{g} = \ker(\text{ad } Z) \oplus \text{ad } Z(\mathfrak{g})$,
- (3) $\text{Ad } x(Y) \subset \text{ad } Z(\mathfrak{g})$ for all $(x, Y) \in C_G(Z) \times \text{ad } Z(\mathfrak{g})$.

Here we remark $\ker(\text{ad } Z) = \text{Lie}(C_G(Z))$. Hence, the semisimple adjoint orbit $G/C_G(Z)$ is a *reductive* homogeneous space. Moreover, one can assert that

Lemma 7.4.1 (Uniqueness). *Let X be any element of \mathfrak{g} . If \mathfrak{m} is a vector subspace of \mathfrak{g} such that (2') $\mathfrak{g} = \ker(\text{ad } X) \oplus \mathfrak{m}$ and (3') $\text{Ad } x(Y) \subset \mathfrak{m}$ for all $(x, Y) \in C_G(X) \times \mathfrak{m}$, then \mathfrak{m} coincides with $\text{ad } X(\mathfrak{g})$.*

Proof. $\text{ad } X(\mathfrak{m}) \subset \mathfrak{m}$ is a consequence of (3'). Furthermore, (2') assures that the linear transformation $\text{ad } X : \mathfrak{m} \rightarrow \mathfrak{m}$ is injective, and hence is isomorphic. From $\mathfrak{m} = \text{ad } X(\mathfrak{m})$ we obtain $\mathfrak{m} \subset \text{ad } X(\mathfrak{g})$. Therefore $\mathfrak{m} = \text{ad } X(\mathfrak{g})$ holds because of $\text{ad } X(\mathfrak{g}) = \text{ad } X(\ker(\text{ad } X) \oplus \mathfrak{m}) \subset \text{ad } X(\mathfrak{m}) \subset \mathfrak{m}$. \square

Proposition 7.4.2. *For an $X \in \mathfrak{g}$ the following (a) and (b) are equivalent:*

- (a) X is a semisimple element of \mathfrak{g} .
- (b) $G/C_G(X)$ is a reductive homogeneous space.

Proof. (a) \Rightarrow (b). cf. the beginning of this section.

(b) \Rightarrow (a). Suppose that $G/C_G(X)$ is a reductive homogeneous space. By the definition of reductive homogeneous space, there exists a vector subspace $\mathfrak{m} \subset \mathfrak{g}$ such that

$$\mathfrak{g} = \mathfrak{c}_{\mathfrak{g}}(X) \oplus \mathfrak{m}, \quad \text{Ad}(C_G(X))\mathfrak{m} \subset \mathfrak{m}. \quad \textcircled{1}$$

Then, Lemma 7.4.1 implies

$$\mathfrak{m} = \text{ad } X(\mathfrak{g}). \quad \textcircled{2}$$

Now, let \mathfrak{h} be a Cartan subalgebra of $\mathfrak{c}_{\mathfrak{g}}(X)$ —this is, \mathfrak{h} is a subalgebra of $\mathfrak{c}_{\mathfrak{g}}(X)$ such that

- (i) \mathfrak{h} is nilpotent,
- (ii) the normalizer of \mathfrak{h} in $\mathfrak{c}_{\mathfrak{g}}(X)$ coincides with \mathfrak{h} .

We will verify that this \mathfrak{h} is also a Cartan subalgebra of \mathfrak{g} . Since (ii), $X \in \mathfrak{c}_{\mathfrak{g}}(X)$ and $[\mathfrak{h}, X] = \{0\} \subset \mathfrak{h}$, one obtains

$$X \in \mathfrak{h}. \quad \textcircled{3}$$

We want to show that the normalizer $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h})$ of \mathfrak{h} in \mathfrak{g} also coincides with \mathfrak{h} . Let Y be any element of \mathfrak{g} with $[\mathfrak{h}, Y] \subset \mathfrak{h}$. On the one hand; $\textcircled{3}$ yields $\text{ad } X(Y) \in \mathfrak{h} \subset \mathfrak{c}_{\mathfrak{g}}(X)$. On the other hand; $\textcircled{2}$ yields $\text{ad } X(Y) \in \mathfrak{m}$. Thus $\text{ad } X(Y) \in (\mathfrak{c}_{\mathfrak{g}}(X) \cap \mathfrak{m}) = \{0\}$ by $\textcircled{1}$, and hence $Y \in \mathfrak{c}_{\mathfrak{g}}(X)$. Accordingly, (ii) implies that $Y \in \mathfrak{h}$, so that $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) \subset \mathfrak{h}$, and $\mathfrak{n}_{\mathfrak{g}}(\mathfrak{h}) = \mathfrak{h}$. This, together with (i), assures that \mathfrak{h} is a Cartan subalgebra of \mathfrak{g} . So, since \mathfrak{g} is a semisimple Lie algebra, $\text{ad } H : \mathfrak{g} \rightarrow \mathfrak{g}$ is semisimple for each $H \in \mathfrak{h}$. In particular, $\text{ad } X : \mathfrak{g} \rightarrow \mathfrak{g}$ is semisimple. For this reason X is a semisimple element of \mathfrak{g} . \square

Remark 7.4.3. It is known that $\text{Ad } G(X)$ is a closed subset of \mathfrak{g} if and only if X is a semisimple element of \mathfrak{g} . cf. Proposition 7.4.2.

Chapter 8

Complex flag manifolds

By a *complex flag manifold*, we mean the complex homogeneous space $G_{\mathbb{C}}/Q$ of a connected complex semisimple Lie group $G_{\mathbb{C}}$ over a connected, closed complex parabolic (Lie) subgroup $Q \subset G_{\mathbb{C}}$. Here, a complex flag manifold is also called a Kähler C-space or a generalized flag manifold. In this chapter we study complex flag manifolds. The setting of this chapter is as follows:

- $G_{\mathbb{C}}$ is a connected complex semisimple Lie group,
- T is a non-zero, elliptic element of $\mathfrak{g}_{\mathbb{C}}$,
- $L_{\mathbb{C}} := C_{G_{\mathbb{C}}}(T) = \{x \in G_{\mathbb{C}} \mid \text{Ad } x(T) = T\}$,
- $\mathfrak{g}^{\lambda} := \{X \in \mathfrak{g}_{\mathbb{C}} \mid \text{ad } T(X) = i\lambda X\}$ for $\lambda \in \mathbb{R}$,
- $\mathfrak{u}^{+} := \bigoplus_{\lambda > 0} \mathfrak{g}^{\lambda}$, $\mathfrak{u}^{-} := \bigoplus_{\lambda > 0} \mathfrak{g}^{-\lambda}$,
- $U^{+} := \exp \mathfrak{u}^{+}$, $U^{-} := \exp \mathfrak{u}^{-}$,
- $Q^{+} := N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}) = \{q \in G_{\mathbb{C}} \mid \text{Ad } q(\bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}) \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}\}$, $Q^{-} := N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu})$,

where $\mathfrak{g}^{\lambda} = \{0\}$ in the case where $i\lambda$ is different from the eigenvalues of $\text{ad } T$ and $\exp : \mathfrak{g}_{\mathbb{C}} \rightarrow G_{\mathbb{C}}$ is the exponential mapping. In addition, let $\bar{\theta}_{*}$ be a Cartan involution of $\mathfrak{g}_{\mathbb{C}}$ satisfying $\bar{\theta}_{*}(T) = T$ (cf. Lemma 7.2.4). Since $G_{\mathbb{C}}$ is semisimple, $\bar{\theta}_{*}$ can be lifted to $G_{\mathbb{C}}$. Denote its lift by $\bar{\theta}$, and set closed subgroups $G_u \subset G_{\mathbb{C}}$ and $L_u \subset G_u$ as

- $G_u := \{k \in G_{\mathbb{C}} \mid \bar{\theta}(k) = k\}$,
- $L_u := C_{G_u}(T)$,

respectively. We remark here that $T \in \mathfrak{g}_u$, that $\bar{\theta}$ is an anti-holomorphic involutive automorphism of $G_{\mathbb{C}}$, and that G_u is connected and is a maximal compact subgroup of $G_{\mathbb{C}}$.¹ One can show

Lemma 8.0.1.

- (a) $L_{\mathbb{C}}$ is a connected closed complex (Lie) subgroup of $G_{\mathbb{C}}$ with $\mathfrak{l}_{\mathbb{C}} = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T) = \mathfrak{g}^0$,
- (b) Q^s is a closed complex subgroup of $G_{\mathbb{C}}$ with $\mathfrak{q}^s = \{X \in \mathfrak{g}_{\mathbb{C}} : [X, \bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}] \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}\}$ ($s = \pm$),
- (c) L_u is a connected compact subgroup of G_u and $L_u = (G_u \cap L_{\mathbb{C}})$,
- (1) $\mathfrak{g}_{\mathbb{C}} = \bigoplus_{\lambda \in \mathbb{R}} \mathfrak{g}^{\lambda} = \mathfrak{u}^{+} \oplus \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^{-}$, $\bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu} = \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^{+}$, $\bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu} = \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^{-}$,
- (2) $\text{Ad } x(\mathfrak{g}^{\lambda}) \subset \mathfrak{g}^{\lambda}$ for all $(x, \lambda) \in L_{\mathbb{C}} \times \mathbb{R}$,
- (2') $\text{Ad } x(\mathfrak{l}_{\mathbb{C}}) \subset \mathfrak{l}_{\mathbb{C}}$, $\text{Ad } x(\mathfrak{u}^{+}) \subset \mathfrak{u}^{+}$, $\text{Ad } x(\mathfrak{u}^{-}) \subset \mathfrak{u}^{-}$ for all $x \in L_{\mathbb{C}}$,
- (2'') $L_{\mathbb{C}} \subset Q^{+}$, $L_{\mathbb{C}} \subset Q^{-}$,

¹Here we assert that the Lie group G_u is connected since so is $G_{\mathbb{C}}$; and that G_u is compact since it is a connected Lie group whose Lie algebra is compact semisimple.

- (3) $[\mathfrak{g}^\lambda, \mathfrak{g}^\mu] \subset \mathfrak{g}^{\lambda+\mu}$ for all $\lambda, \mu \in \mathbb{R}$,
- (3') $[\mathfrak{l}_\mathbb{C}, \mathfrak{l}_\mathbb{C}] \subset \mathfrak{l}_\mathbb{C}$, $[\mathfrak{l}_\mathbb{C}, \mathfrak{u}^+] \subset \mathfrak{u}^+$, $[\mathfrak{l}_\mathbb{C}, \mathfrak{u}^-] \subset \mathfrak{u}^-$, $[\mathfrak{u}^+, \mathfrak{u}^+] \subset \mathfrak{u}^+$, $[\mathfrak{u}^-, \mathfrak{u}^-] \subset \mathfrak{u}^-$,
- (3'') both \mathfrak{u}^+ and \mathfrak{u}^- are complex nilpotent subalgebras of $\mathfrak{g}_\mathbb{C}$, both $\bigoplus_{\nu \geq 0} \mathfrak{g}^\nu$ and $\bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu}$ are complex subalgebras of $\mathfrak{g}_\mathbb{C}$,
- (4) $B_{\mathfrak{g}_\mathbb{C}}(\mathfrak{g}^\lambda, \mathfrak{g}^\mu) = \{0\}$ if $\lambda + \mu \neq 0$, where $B_{\mathfrak{g}_\mathbb{C}}$ is the Killing form of $\mathfrak{g}_\mathbb{C}$,
- (4') $B_{\mathfrak{g}_\mathbb{C}}(\mathfrak{l}_\mathbb{C}, \mathfrak{u}^+) = \{0\}$, $B_{\mathfrak{g}_\mathbb{C}}(\mathfrak{l}_\mathbb{C}, \mathfrak{u}^-) = \{0\}$, $B_{\mathfrak{g}_\mathbb{C}}(\mathfrak{u}^+, \mathfrak{u}^+) = \{0\}$, $B_{\mathfrak{g}_\mathbb{C}}(\mathfrak{u}^-, \mathfrak{u}^-) = \{0\}$,
- (5) $\bar{\theta}_*(\mathfrak{g}^\lambda) = \mathfrak{g}^{-\lambda}$ for all $\lambda \in \mathbb{R}$,
- (5') $\bar{\theta}_*(\mathfrak{l}_\mathbb{C}) = \mathfrak{l}_\mathbb{C}$, $\bar{\theta}_*(\mathfrak{u}^+) = \mathfrak{u}^-$, $\bar{\theta}_*(\mathfrak{u}^-) = \mathfrak{u}^+$,
- (5'') $\bar{\theta}(L_\mathbb{C}) = L_\mathbb{C}$, $\bar{\theta}(U^+) = U^-$, $\bar{\theta}(U^-) = U^+$, $\bar{\theta}(Q^+) = Q^-$, $\bar{\theta}(Q^-) = Q^+$,
- (6) $\bar{\theta}_*(\text{Ad } k(X)) = \text{Ad } k(\bar{\theta}_*(X))$ for all $(k, X) \in G_u \times \mathfrak{g}_\mathbb{C}$,
- (i) $\mathfrak{g}_u = \mathfrak{l}_u \oplus \text{ad } T(\mathfrak{g}_u)$, $T \in \mathfrak{l}_u$,
- (ii) $\text{Ad } z(\mathfrak{l}_u) \subset \mathfrak{l}_u$, $\text{Ad } z(\text{ad } T(\mathfrak{g}_u)) \subset \text{ad } T(\mathfrak{g}_u)$ for all $z \in L_u$,
- (iii) $\mathfrak{l}_u = (\mathfrak{g}_u \cap \mathfrak{l}_\mathbb{C}) = \{Y \in \mathfrak{l}_\mathbb{C} \mid \bar{\theta}_*(Y) = Y\}$, $\text{ad } T(\mathfrak{g}_u) = \{A \in \text{ad } T(\mathfrak{g}_\mathbb{C}) \mid \bar{\theta}_*(A) = A\} = \{V + \bar{\theta}_*(V) \mid V \in \mathfrak{u}^+\}$.

Proof. cf. Lemmas 7.3.3 and 7.2.8. □

8.1 A complex flag manifold and a fundamental root system

From the next section we will prove propositions related to complex flag manifolds by taking a root system into consideration. In this section we set up a root system and give a lemma.

8.1.1 A root space decomposition

Take a maximal torus $i\mathfrak{h}_\mathbb{R}$ of the compact semisimple Lie algebra \mathfrak{g}_u containing the element T , and denote by $\Delta = \Delta(\mathfrak{g}_\mathbb{C}, \mathfrak{h}_\mathbb{C})$ the (non-zero) root system of $\mathfrak{g}_\mathbb{C}$ relative to $\mathfrak{h}_\mathbb{C}$, where $\mathfrak{h}_\mathbb{C}$ is the complex vector subspace of $\mathfrak{g}_\mathbb{C}$ generated by $i\mathfrak{h}_\mathbb{R}$. Let \mathfrak{g}_α be the root subspace of $\mathfrak{g}_\mathbb{C}$ for $\alpha \in \Delta$. In this setting $T \in i\mathfrak{h}_\mathbb{R}$, $\bar{\theta}_*(\mathfrak{h}_\mathbb{C}) = \mathfrak{h}_\mathbb{C}$, $\mathfrak{h}_\mathbb{C} = i\mathfrak{h}_\mathbb{R} \oplus \mathfrak{h}_\mathbb{R}$, $\bar{\theta}_* = \text{id}$ on $i\mathfrak{h}_\mathbb{R}$, $\bar{\theta}_* = -\text{id}$ on $\mathfrak{h}_\mathbb{R}$, and $\mathfrak{g}_\mathbb{C}$ is decomposed into a direct sum of vector subspaces: $\mathfrak{g}_\mathbb{C} = \mathfrak{h}_\mathbb{C} \oplus \bigoplus_{\alpha \in \Delta} \mathfrak{g}_\alpha$. Here $\mathfrak{h}_\mathbb{R} := i(i\mathfrak{h}_\mathbb{R})$.

8.1.2 Chevalley's canonical basis

For each root $\alpha \in \Delta$, there exists a unique $H_\alpha \in \mathfrak{h}_\mathbb{C}$ such that $\alpha(X) = B_{\mathfrak{g}_\mathbb{C}}(H_\alpha, X)$ for all $X \in \mathfrak{h}_\mathbb{C}$. Then $\mathfrak{h}_\mathbb{R} = \text{span}_\mathbb{R}\{H_\alpha \mid \alpha \in \Delta\}$, and for every $\alpha \in \Delta$ there exist vectors $E_{\pm\alpha} \in \mathfrak{g}_{\pm\alpha}$ satisfying

$$(E_\alpha - E_{-\alpha}), i(E_\alpha + E_{-\alpha}) \in \mathfrak{g}_u \text{ and } [E_\alpha, E_{-\alpha}] = (2/\alpha(H_\alpha))H_\alpha. \quad (8.1.1)$$

Here it follows that $\mathfrak{g}_u = i\mathfrak{h}_\mathbb{R} \oplus \bigoplus_{\alpha \in \Delta} \text{span}_\mathbb{R}\{E_\alpha - E_{-\alpha}\} \oplus \text{span}_\mathbb{R}\{i(E_\alpha + E_{-\alpha})\}$, and

$$\bar{\theta}_*(E_\alpha) = -E_{-\alpha} \text{ for all } \alpha \in \Delta = \Delta(\mathfrak{g}_\mathbb{C}, \mathfrak{h}_\mathbb{C}). \quad (8.1.2)$$

Remark that $\mathfrak{g}_\alpha = \text{span}_\mathbb{C}\{E_\alpha\}$ for all $\alpha \in \Delta$. Setting $H_\alpha^* := (2/\alpha(H_\alpha))H_\alpha$ for $\alpha \in \Delta$, one has $[H_\alpha^*, E_\alpha] = 2E_\alpha$, $[H_\alpha^*, E_{-\alpha}] = -2E_{-\alpha}$, $[E_\alpha, E_{-\alpha}] = H_\alpha^*$, and thus $\mathfrak{s}_\alpha := \text{span}_\mathbb{C}\{H_\alpha^*, E_\alpha, E_{-\alpha}\}$ is a complex subalgebra of $\mathfrak{g}_\mathbb{C}$ which is isomorphic to $\mathfrak{sl}(2, \mathbb{C})$ for each $\alpha \in \Delta$.

8.1.3 A Weyl group \mathcal{W}

Define a Weyl group \mathcal{W} of $G_\mathbb{C}$ and an action ζ of \mathcal{W} on the dual space $(\mathfrak{h}_\mathbb{C})^*$ by

$$\begin{cases} \mathcal{W} := N_{G_u}(i\mathfrak{h}_\mathbb{R})/C_{G_u}(i\mathfrak{h}_\mathbb{R}), \\ \zeta([w])\eta := {}^t\text{Ad } w^{-1}(\eta) \text{ for } [w] \in \mathcal{W} \text{ and } \eta \in (\mathfrak{h}_\mathbb{C})^*, \end{cases} \quad (8.1.3)$$

where $[w]$ stands for the left coset $wC_{G_u}(i\mathfrak{h}_{\mathbb{R}})$. By use of E_{α} in (8.1.1) we set

$$w_{\alpha} := \exp(\pi/2)(E_{\alpha} - E_{-\alpha}) \text{ for } \alpha \in \Delta. \quad (8.1.4)$$

Remark that $\zeta : \mathcal{W} \rightarrow GL((\mathfrak{h}_{\mathbb{C}})^*)$, $[w] \mapsto \zeta([w])$, is a group homomorphism and $\text{Ad } w(\mathfrak{g}_{\beta}) = \mathfrak{g}_{\zeta([w])\beta}$ for all $([w], \beta) \in \mathcal{W} \times \Delta$. For every root $\alpha \in \Delta$, it follows from (8.1.1) and (8.1.4) that $\text{Ad } w_{\alpha}(X) = X - \alpha(X)H_{\alpha}^*$ for all $X \in \mathfrak{h}_{\mathbb{C}}$, so that w_{α} belongs to the normalizer $N_{G_u}(i\mathfrak{h}_{\mathbb{R}})$ and so $[w_{\alpha}] \in \mathcal{W}$; besides, $\zeta([w_{\alpha}])$ is the reflection along α which leaves Δ invariant.

8.1.4 A fundamental root system, Borel subalgebras, and Iwasawa decompositions

Let Π_{Δ} be a fundamental root system of $\Delta = \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}_{\mathbb{C}})$ satisfying²

$$\alpha(-iT) \geq 0 \text{ for all } \alpha \in \Pi_{\Delta}. \quad (8.1.5)$$

Relative to this Π_{Δ} we fix the set Δ^+ of positive roots, and put $\Delta^- := -\Delta^+$. Needless to say, $\beta(-iT) \geq 0$ for all $\beta \in \Delta^+$. Setting

$$\mathfrak{n}^+ := \bigoplus_{\beta \in \Delta^+} \mathfrak{g}_{\beta}, \quad \mathfrak{n}^- := \bigoplus_{\beta \in \Delta^+} \mathfrak{g}_{-\beta}, \quad \mathfrak{b}^+ := \mathfrak{h}_{\mathbb{C}} \oplus \mathfrak{n}^+, \quad \mathfrak{b}^- := \mathfrak{h}_{\mathbb{C}} \oplus \mathfrak{n}^-, \quad (8.1.6)$$

one has Iwasawa decompositions $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g}_u \oplus \mathfrak{h}_{\mathbb{R}} \oplus \mathfrak{n}^{\pm}$ and complex Borel subalgebras \mathfrak{b}^{\pm} of $\mathfrak{g}_{\mathbb{C}}$. Moreover, $\mathfrak{g}_{\mathbb{C}} = \mathfrak{n}^+ \oplus \mathfrak{h}_{\mathbb{C}} \oplus \mathfrak{n}^-$, $\bar{\theta}_*(\mathfrak{n}^{\pm}) = \mathfrak{n}^{\mp}$, $\bar{\theta}_*(\mathfrak{b}^{\pm}) = \mathfrak{b}^{\mp}$ and

$$\begin{cases} \mathfrak{l}_{\mathbb{C}} = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T) = \mathfrak{g}^0 = \mathfrak{h}_{\mathbb{C}} \oplus \bigoplus_{\gamma \in \blacktriangle} \mathfrak{g}_{\gamma}, \\ \mathfrak{u}^+ = \bigoplus_{\lambda > 0} \mathfrak{g}^{\lambda} = \bigoplus_{\alpha \in \Delta^+ - \blacktriangle} \mathfrak{g}_{\alpha} \subset \bigoplus_{\beta \in \Delta^+} \mathfrak{g}_{\beta} = \mathfrak{n}^+ \subset \mathfrak{b}^+ \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu} = \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^+, \\ \mathfrak{u}^- = \bigoplus_{\alpha \in \Delta^+ - \blacktriangle} \mathfrak{g}_{-\alpha} \subset \mathfrak{n}^- \subset \mathfrak{b}^- \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu} = \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^-, \end{cases} \quad (8.1.7)$$

where $\blacktriangle := \{\gamma \in \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}_{\mathbb{C}}) \mid \gamma(T) = 0\}$. Denote by $G_{\mathbb{C}} = G_u H_{\mathbb{R}} N^{\pm}$ the Iwasawa decompositions of $G_{\mathbb{C}}$ corresponding to the $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g}_u \oplus \mathfrak{h}_{\mathbb{R}} \oplus \mathfrak{n}^{\pm}$, respectively.

Remark 8.1.8.

- (i) $\mathfrak{l}_{\mathbb{C}}$ is a complex reductive Lie algebra by (8.1.7).
- (ii) A complex subalgebra of $\mathfrak{g}_{\mathbb{C}}$ is said to be *parabolic*, if it includes a complex Borel subalgebra of $\mathfrak{g}_{\mathbb{C}}$.
- (iii) (8.1.7) implies that $\bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu} = \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^s$ is a complex parabolic subalgebra of $\mathfrak{g}_{\mathbb{C}}$ ($s = \pm$).
- (iv) We have constructed complex parabolic subalgebras $\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^{\pm} \subset \mathfrak{g}_{\mathbb{C}}$ from the elliptic element $T \in \mathfrak{g}_{\mathbb{C}}$. Similarly one can do so from every elliptic element of $\mathfrak{g}_{\mathbb{C}}$. This construction provides us with all complex parabolic subalgebras of $\mathfrak{g}_{\mathbb{C}}$.
- (v) Let $\{Z_a\}_{a=1}^{\ell} \subset \mathfrak{h}_{\mathbb{C}}$ be the dual basis of $\Pi_{\Delta} = \{\alpha_a\}_{a=1}^{\ell}$. Then, by (8.1.5) one can express $-iT$ as $-iT = \sum_{a=1}^{\ell} \lambda_a Z_a$ with $\lambda_1, \lambda_2, \dots, \lambda_{\ell} \geq 0$. In fact; for any elliptic element $T' \in \mathfrak{g}_{\mathbb{C}}$, there exist an inner automorphism ψ of $\mathfrak{g}_{\mathbb{C}}$ and $\lambda'_1, \lambda'_2, \dots, \lambda'_{\ell} \geq 0$ such that $\psi(-iT') = \sum_{a=1}^{\ell} \lambda'_a Z_a$.
- (vi) \blacktriangle , $\Delta^+ - \blacktriangle$ and $\Delta^- - \blacktriangle$ are closed subsets of Δ , and furthermore, \blacktriangle is symmetric (i.e., $\blacktriangle = -\blacktriangle$). Here a subset $\Gamma \subset \Delta$ is said to be *closed*, if $\alpha, \beta \in \Gamma$ and $\alpha + \beta \in \Delta$ imply $\alpha + \beta \in \Gamma$.
- (vii) $\Delta^+ - \blacktriangle = \{\alpha \in \Delta \mid \alpha(-iT) > 0\}$, $\Delta^- - \blacktriangle = \{\alpha \in \Delta \mid \alpha(-iT) < 0\}$.
- (viii) If $\alpha(-iT) > 0$ for all $\alpha \in \Pi_{\Delta}$ (cf. (8.1.5)), then $\mathfrak{l}_{\mathbb{C}} = \mathfrak{h}_{\mathbb{C}}$, $\mathfrak{u}^{\pm} = \mathfrak{n}^{\pm}$, $\bigoplus_{\nu \geq 0} \mathfrak{g}^{\pm\nu} = \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^{\pm} = \mathfrak{b}^{\pm}$ and $\blacktriangle = \emptyset$.

In addition to Remark 8.1.8 we pay attention to

Remark 8.1.9. Let

$$H_{\mathbb{C}} := C_{G_{\mathbb{C}}}(\mathfrak{h}_{\mathbb{C}}), \quad B^{\pm} := N_{G_{\mathbb{C}}}(\mathfrak{b}^{\pm}), \quad \blacktriangle^{\pm} := \blacktriangle \cap \Delta^{\pm}, \quad \mathfrak{n}_1^{\pm} := \bigoplus_{\alpha \in \blacktriangle^{\pm}} \mathfrak{g}_{\alpha}, \quad N_1^{\pm} := \exp \mathfrak{n}_1^{\pm}. \quad (8.1.10)$$

Then it turns out that

- (i) $L_{\mathbb{C}} = L_u H_{\mathbb{R}} N_1^{\pm}$ are Iwasawa decompositions of the reductive Lie group $L_{\mathbb{C}}$,

²There is such a system with (8.1.5)—for example, consider the lexicographic linear ordering on the dual space $(\mathfrak{h}_{\mathbb{R}})^*$ associated with an ordered real basis $-iT =: A_1, A_2, \dots, A_{\ell}$ of $\mathfrak{h}_{\mathbb{R}}$.

(ii) If $\alpha(-iT) > 0$ for all $\alpha \in \Pi_\Delta$, then $L_{\mathbb{C}} = H_{\mathbb{C}}$, $U^\pm = N^\pm$ and $Q^\pm = B^\pm$.

In view of (8.1.7) we see

Lemma 8.1.11. *Let $s = +$ or $-$.*

- (1) $G_{\mathbb{C}} = G_u Q^s$.
- (2) $N^s \subset L_{\mathbb{C}} U^s$.

Proof. (1). We prove $G_{\mathbb{C}} \subset G_u Q^s$ only. For any $g \in G_{\mathbb{C}}$, there exists a unique $(k, a, n) \in G_u H_{\mathbb{R}} N^s$ satisfying $g = kan$, since $G_{\mathbb{C}} = G_u H_{\mathbb{R}} N^s$. From (8.1.7) and Lemma 8.0.1-(3'') we obtain $[\mathfrak{h}_{\mathbb{R}}, \bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}] \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}$, $[\mathfrak{n}^s, \bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}] \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}$. So, both $H_{\mathbb{R}} = \exp \mathfrak{h}_{\mathbb{R}}$ and $N^s = \exp \mathfrak{n}^s$ are subsets of $N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}) = Q^s$, and thus $an \in H_{\mathbb{R}} N^s \in Q^s Q^s \subset Q^s$. This yields $g = k(an) \in G_u Q^s$, and $G_{\mathbb{C}} \subset G_u Q^s$.

(2). By a direct computation with (8.1.7) we deduce $\mathfrak{n}^s = (\mathfrak{l}_{\mathbb{C}} \cap \mathfrak{n}^s) \oplus \mathfrak{u}^s$, and both $\mathfrak{l}_{\mathbb{C}} \cap \mathfrak{n}^s$ and \mathfrak{u}^s are subalgebras of \mathfrak{n}^s . Therefore we conclude $N^s = \exp(\mathfrak{l}_{\mathbb{C}} \cap \mathfrak{n}^s) \exp \mathfrak{u}^s \subset L_{\mathbb{C}} U^s$ since the nilpotent Lie group $N^s = \exp \mathfrak{n}^s$ is simply connected. \square

8.2 Propositions related to complex flag manifolds

8.2.1 Some properties of U^s , Q^s and $G_{\mathbb{C}}/Q^s$

Let us clarify some properties of U^\pm , Q^\pm and $G_{\mathbb{C}}/Q^\pm$.

Proposition 8.2.1. *The following seven items hold for each $s = \pm$:*

- (i) U^s is a simply connected, closed complex nilpotent subgroup of $G_{\mathbb{C}}$ whose Lie algebra is \mathfrak{u}^s , and $\exp : \mathfrak{u}^s \rightarrow U^s$ is biholomorphic.
- (ii) L_u coincides with $G_u \cap Q^s$.
- (iii) $Q^s = N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu})$ is a connected, closed complex parabolic subgroup of $G_{\mathbb{C}}$ such that $Q^s = L_{\mathbb{C}} \ltimes U^s$ (semidirect) and $\mathfrak{q}^s = (\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^s) = \bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}$.
- (iv) The product mapping $U^{-s} \times Q^s \ni (u, q) \mapsto uq \in G_{\mathbb{C}}$ is a biholomorphism of $U^{-s} \times Q^s$ onto a domain in $G_{\mathbb{C}}$.³
- (v) $\iota : G_u/L_u \rightarrow G_{\mathbb{C}}/Q^s$, $kL_u \mapsto kQ^s$, is a G_u -equivariant real analytic diffeomorphism.
- (vi) Q^s includes the center $Z(G_{\mathbb{C}})$.
- (vii) $Q^{-s} \cap Q^s = L_{\mathbb{C}}$.

Proof. By Lemma 8.0.1-(5''), (5'), (1) and $\bar{\theta}(Z(G_{\mathbb{C}})) = Z(G_{\mathbb{C}})$, it suffices to investigate the case of $s = +$ only. Let us obey the setting of Section 8.1.

(i). N^+ is a closed complex nilpotent subgroup of $G_{\mathbb{C}}$ whose Lie algebra is \mathfrak{n}^+ , and $\exp : \mathfrak{n}^+ \rightarrow N^+$ is biholomorphic. Hence we conclude (i) from $\mathfrak{u}^+ \subset \mathfrak{n}^+$ and $U^+ = \exp \mathfrak{u}^+$. cf. (8.1.7).

(ii). It is immediate from $L_u = (G_u \cap L_{\mathbb{C}})$ and Lemma 8.0.1-(2'') that

$$L_u \subset (G_u \cap L_{\mathbb{C}}) \subset (G_u \cap Q^+).$$

Let us show that the converse inclusion also holds. Take an arbitrary $k \in G_u \cap Q^+$. We are going to conclude $k \in C_{G_u}(T)$. Since $Q^+ = N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^\nu)$ we have $\text{Ad } k(\bigoplus_{\nu \geq 0} \mathfrak{g}^\nu) \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^\nu$. Furthermore, $\bar{\theta}(k) = k$ and Lemma 8.0.1-(5) give rise to $\text{Ad } k(\bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu}) \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu}$. Therefore it follows from $\mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T) = \mathfrak{g}^0$ and $\mathfrak{u}^+ = \bigoplus_{\lambda > 0} \mathfrak{g}^\lambda$ that

$$\begin{cases} \text{Ad } k(\mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T)) = \text{Ad } k(\bigoplus_{\nu \geq 0} \mathfrak{g}^\nu \cap \bigoplus_{\mu \geq 0} \mathfrak{g}^{-\mu}) \subset (\bigoplus_{\nu \geq 0} \mathfrak{g}^\nu \cap \bigoplus_{\mu \geq 0} \mathfrak{g}^{-\mu}) = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T), \\ \text{Ad } k(\mathfrak{u}^+) = \text{Ad } k([T, \mathfrak{u}^+]) \subset [\text{Ad } k(T), \bigoplus_{\nu \geq 0} \mathfrak{g}^\nu] = [\text{Ad } k(T), \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T) \oplus \mathfrak{u}^+] \subset \mathfrak{u}^+, \end{cases}$$

where we note that $\text{ad } T : \mathfrak{u}^+ \rightarrow \mathfrak{u}^+$ is linear isomorphic and $\text{Ad } k(T)$ belongs to the center of $\mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T)$. From $\text{Ad } k(\mathfrak{g}_u) \subset \mathfrak{g}_u$ and $\text{Ad } k(\mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T)) \subset \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T)$ one obtains

$$\text{Ad } k(\mathfrak{c}_{\mathfrak{g}_u}(T)) = \text{Ad } k(\mathfrak{g}_u \cap \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T)) \subset \mathfrak{c}_{\mathfrak{g}_u}(T). \quad \textcircled{1}$$

³This statement will be improved later (see Corollary 8.3.16-(i)).

Here $i\mathfrak{h}_{\mathbb{R}} \subset \mathfrak{c}_{\mathfrak{g}_u}(T)$ and $i\mathfrak{h}_{\mathbb{R}}$ is a maximal torus of the compact Lie algebra $\mathfrak{c}_{\mathfrak{g}_u}(T)$. So, by ① there exists an $x \in C_{G_u}(T)$ satisfying

$$\text{Ad}(xk)(i\mathfrak{h}_{\mathbb{R}}) = i\mathfrak{h}_{\mathbb{R}}, \quad {}^t\text{Ad}(xk)^{-1}(\blacktriangle^+) \subset \blacktriangle^+,$$

where $\blacktriangle = \{\gamma \in \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}_{\mathbb{C}}) \mid \gamma(T) = 0\}$ and $\blacktriangle^+ = \blacktriangle \cap \Delta^+$. In view of $x \in C_{G_u}(T) \subset L_{\mathbb{C}}$, $\text{Ad} k(\mathfrak{u}^+) \subset \mathfrak{u}^+$ and Lemma 8.0.1-(2') we see that $\text{Ad}(xk)(\mathfrak{u}^+) \subset \mathfrak{u}^+$. This, combined with $\text{Ad}(xk)(i\mathfrak{h}_{\mathbb{R}}) = i\mathfrak{h}_{\mathbb{R}}$ and $\mathfrak{u}^+ = \bigoplus_{\alpha \in \Delta^+ - \blacktriangle} \mathfrak{g}_{\alpha}$, assures that

$${}^t\text{Ad}(xk)^{-1}(\Delta^+ - \blacktriangle) \subset \Delta^+ - \blacktriangle.$$

Consequently it follows that ${}^t\text{Ad}(xk)^{-1}(\Delta^+) \subset \Delta^+$, and so $\text{Ad}(xk) = \text{id}$ on $\mathfrak{h}_{\mathbb{C}}$. Hence we conclude $k \in C_{G_u}(T)$ by $T \in \mathfrak{h}_{\mathbb{C}}$ and $\text{Ad} x(T) = T$. For this reason we show that $(G_u \cap Q^+) \subset C_{G_u}(T) = L_u$, so that $L_u = (G_u \cap Q^+)$.

(iii). First, let us verify

$$L_{\mathbb{C}}U^+ \subset Q^+. \quad \textcircled{2}$$

It follows from (8.1.7) and Lemma 8.0.1-(3'') that $[\mathfrak{u}^+, \bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}] \subset \bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}$. Accordingly Lemma 8.0.1-(2''), together with (i), assures that both $L_{\mathbb{C}}$ and U^+ are subsets of $N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}) = Q^+$, and thus one can assert ② $L_{\mathbb{C}}U^+ \subset Q^+Q^+ \subset Q^+$. Next, let us demonstrate

$$Q^+ \subset L_{\mathbb{C}}U^+. \quad \textcircled{3}$$

Take an arbitrary $q \in Q^+$. By $q \in G_{\mathbb{C}} = G_u H_{\mathbb{R}} N^+$ there exists a unique $(k, a, n) \in G_u \times H_{\mathbb{R}} \times N^+$ satisfying $q = kan$. Then $H_{\mathbb{R}} \subset L_{\mathbb{C}}$, Lemma 8.1.11-(2) and ② tell us that

$$an \in L_{\mathbb{C}}L_{\mathbb{C}}U^+ \subset L_{\mathbb{C}}U^+ \subset Q^+.$$

This and (ii) yield $k = q(an)^{-1} \in (G_u \cap Q^+) = L_u \subset L_{\mathbb{C}}$. Accordingly, $q = k(an) \in L_{\mathbb{C}}L_{\mathbb{C}}U^+ \subset L_{\mathbb{C}}U^+$, and one has ③. At this stage we know that $Q^+ = L_{\mathbb{C}}U^+$, and that Q^+ is a connected, closed complex subgroup of $G_{\mathbb{C}}$ due to (i) and Lemma 8.0.1-(a), (b). In addition, U^+ is a normal subgroup of $Q^+ = L_{\mathbb{C}}U^+$ by virtue of Lemma 8.0.1-(2') and $U^+ = \exp \mathfrak{u}^+$. Therefore, the rest of proof is to confirm

$$L_{\mathbb{C}} \cap U^+ = \{e\} \quad \textcircled{4}$$

because ④, $Q^+ = L_{\mathbb{C}}U^+$, Lemma 8.0.1-(1) and Remark 8.1.8-(iii) assure that $\text{Lie}(Q^+) = (\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^+) = \bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}$ is parabolic. Take an arbitrary $y \in L_{\mathbb{C}} \cap U^+$. By $y \in U^+$ and (i) there exists a unique $Y \in \mathfrak{u}^+$ satisfying $y = \exp Y$. It follows from $y \in L_{\mathbb{C}} = C_{G_{\mathbb{C}}}(T)$ that $\text{Ad} y(T) = T$. So, for any $t \in \mathbb{R}$ we have $y(\exp tT)y^{-1} = \exp tT$, and then $y = (\exp tT)y \exp(-tT)$. Therefore $\exp Y = \exp \text{Ad}(\exp tT)(Y)$. This, together with $Y, \text{Ad}(\exp tT)(Y) \in \mathfrak{u}^+$ and (i), assures that $Y = \text{Ad}(\exp tT)(Y)$. Differentiating this equation at $t = 0$, we obtain $0 = [T, Y]$. Thus $Y \in (\mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T) \cap \mathfrak{u}^+) = \{0\}$, and $y = \exp Y = e$. For this reason ④ holds.

(iv). Since (i), (iii) and $\mathfrak{g}_{\mathbb{C}} = \mathfrak{u}^- \oplus (\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^+) = \mathfrak{u}^- \oplus \mathfrak{q}^+$, we only show that

$$U^- \cap Q^+ = \{e\}.$$

Take any $z \in U^- \cap Q^+$. On the one hand; by (i) there exists a unique $Z \in \mathfrak{u}^-$ such that $z = \exp Z$. On the other hand; from $z \in Q^+ = N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu})$ and $T \in \mathfrak{g}^0$ we have $\text{Ad} z(T) - T \in \bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}$. Hence

$$\bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu} \ni \text{Ad} z(T) - T = \sum_{n \geq 1} \frac{1}{n!} (\text{ad} Z)^n T \in \mathfrak{u}^- = \bigoplus_{\lambda > 0} \mathfrak{g}^{-\lambda}.$$

This implies that $\text{Ad} z(T) - T = 0$, so that $z \in (L_{\mathbb{C}} \cap U^-) = \{e\}$ by (iii). Thus $U^- \cap Q^+ = \{e\}$ follows.

(v). By (ii) and Lemma 8.1.11-(1), $\iota : G_u/L_u \rightarrow G_{\mathbb{C}}/Q^+$, $kL_u \mapsto kQ^+$, is bijective and G_u -equivariant real analytic. Hence it suffices to show that the differential $(d\iota)_{o_u}$ of ι at o_u is a real linear isomorphism of the tangent vector space $T_{o_u}(G_u/L_u)$ onto $T_{\iota(o_u)}(G_{\mathbb{C}}/Q^+)$. Here o_u denotes the origin of G_u/L_u . From (ii) we obtain $\mathfrak{l}_u = (\mathfrak{g}_u \cap \mathfrak{q}^+)$, and so the differential $(d\iota)_{o_u}$ is a linear injection. Moreover, since \mathfrak{g}_u (resp. \mathfrak{l}_u) is a real form of $\mathfrak{g}_{\mathbb{C}}$ (resp. $\mathfrak{l}_{\mathbb{C}}$), one shows

$$\begin{aligned} \dim_{\mathbb{R}} G_{\mathbb{C}}/Q^+ &= \dim_{\mathbb{R}} \mathfrak{g}_{\mathbb{C}} - \dim_{\mathbb{R}} \mathfrak{q}^+ \stackrel{\text{(iii)}}{=} \dim_{\mathbb{R}} \mathfrak{g}_{\mathbb{C}} - (\dim_{\mathbb{R}} \mathfrak{l}_{\mathbb{C}} + \dim_{\mathbb{R}} \mathfrak{u}^+) \\ &= 2 \dim_{\mathbb{R}} \mathfrak{g}_u - 2 \dim_{\mathbb{R}} \mathfrak{l}_u - \dim_{\mathbb{R}} \mathfrak{u}^+ = 2 \dim_{\mathbb{R}} \text{ad} T(\mathfrak{g}_u) - \dim_{\mathbb{R}} \mathfrak{u}^+ \\ &= \dim_{\mathbb{R}} \text{ad} T(\mathfrak{g}_u) = \dim_{\mathbb{R}} \mathfrak{g}_u - \dim_{\mathbb{R}} \mathfrak{l}_u = \dim_{\mathbb{R}} G_u/L_u, \end{aligned}$$

where we remark that $\mathfrak{g}_u = \mathfrak{l}_u \oplus \text{ad} T(\mathfrak{g}_u)$ and $\dim_{\mathbb{R}} \text{ad} T(\mathfrak{g}_u) = \dim_{\mathbb{R}} \mathfrak{u}^+$. Thus $(d\iota)_{o_u} : T_{o_u}(G_u/L_u) \rightarrow T_{\iota(o_u)}(G_{\mathbb{C}}/Q^+)$ is linear isomorphic.

(vi). $Z(G_{\mathbb{C}}) \subset C_{G_{\mathbb{C}}}(T) = L_{\mathbb{C}} \subset Q^+$ by (iii).

(vii). Lemma 8.0.1-(2'') yields $L_{\mathbb{C}} \subset Q^- \cap Q^+$. Now, let $q \in Q^- \cap Q^+$. Then, there exist elements $(l_{\pm}, u_{\pm}) \in L_{\mathbb{C}} \times U^{\pm}$ satisfying $l_- u_- = q = l_+ u_+$ due to (iii). From $u_- = l_-^{-1} l_+ u_+$, (iii) and (iv), we deduce that $u_- = e$, $l_-^{-1} l_+ = e$, $u_+ = e$. Therefore $q = l_+ \in L_{\mathbb{C}}$, and hence $Q^- \cap Q^+ \subset L_{\mathbb{C}}$. \square

Remark 8.2.2. By Proposition 8.2.1-(iii), $\text{Lie}(Q^s) = \mathfrak{q}^s$ is a complex parabolic subalgebra of $\mathfrak{g}_{\mathbb{C}}$ whose Levi factor and unipotent radical are $\mathfrak{l}_{\mathbb{C}}$ and \mathfrak{u}^s , respectively ($s = \pm$).

Propositions 7.3.4 and 8.2.1-(v) and Lemma 8.0.1-(5'') lead to

Corollary 8.2.3.

- (1) Both $G_{\mathbb{C}}/Q^+$ and $G_{\mathbb{C}}/Q^-$ are simply connected, compact complex homogeneous spaces.
- (2) $G_{\mathbb{C}}/Q^+$ is $G_{\mathbb{C}}$ -equivariant anti-biholomorphic to $G_{\mathbb{C}}/Q^-$ via the mapping $G_{\mathbb{C}}/Q^+ \ni gQ^+ \mapsto \bar{\theta}(g)Q^- \in G_{\mathbb{C}}/Q^-$.

8.2.2 A complex Grassmann manifold and an invariant Kähler metric on $G_{\mathbb{C}}/Q^s$

Now, let $M_{N,K}(\mathbb{C})$ be the set of all K -dimensional complex vector subspaces of $\mathfrak{g}_{\mathbb{C}}$, where $N = \dim_{\mathbb{C}} \mathfrak{g}_{\mathbb{C}}$, $K = \dim_{\mathbb{C}} \mathfrak{q}^s$ and $s = +$ or $-$. The special linear group $SL(\mathfrak{g}_{\mathbb{C}}) = SL(N, \mathbb{C})$ acts transitively on $M_{N,K}(\mathbb{C})$, so we put

$$M_{N,K}(\mathbb{C}) = SL(\mathfrak{g}_{\mathbb{C}})/P^s,$$

where $P^s := \{\phi \in SL(\mathfrak{g}_{\mathbb{C}}) \mid \phi(\mathfrak{q}^s) \subset \mathfrak{q}^s\}$. In this way, $M_{N,K}(\mathbb{C})$ is a complex homogeneous space, which is a complex Grassmann manifold. Since $\text{Ad } G_{\mathbb{C}} \subset SL(\mathfrak{g}_{\mathbb{C}})$ and $G_{\mathbb{C}}$ acts on $M_{N,K}(\mathbb{C})$ as a holomorphic transformation group,

$$G_{\mathbb{C}} \times M_{N,K}(\mathbb{C}) \ni (g, \mathfrak{m}) \mapsto \text{Ad } g(\mathfrak{m}) \in M_{N,K}(\mathbb{C}),$$

one can consider the orbit $\text{Ad } G_{\mathbb{C}}(\mathfrak{q}^s)$ of $G_{\mathbb{C}}$ through the point $\mathfrak{q}^s \in M_{N,K}(\mathbb{C})$, and equip $\text{Ad } G_{\mathbb{C}}(\mathfrak{q}^s) \subset M_{N,K}(\mathbb{C})$ with the relative topology. Then, it follows from $Q^s = N_{G_{\mathbb{C}}}(\mathfrak{q}^s)$ that

$$f_s : G_{\mathbb{C}}/Q^s \rightarrow \text{Ad } G_{\mathbb{C}}(\mathfrak{q}^s), \quad gQ^s \mapsto \text{Ad } g(\mathfrak{q}^s), \quad (8.2.4)$$

is a bijective continuous mapping; moreover, it is homeomorphic by Corollary 8.2.3. Providing $\text{Ad } G_{\mathbb{C}}(\mathfrak{q}^s)$ with the holomorphic structure so that $f_s : G_{\mathbb{C}}/Q^s \rightarrow \text{Ad } G_{\mathbb{C}}(\mathfrak{q}^s)$ is biholomorphic, we deduce that the orbit $\text{Ad } G_{\mathbb{C}}(\mathfrak{q}^s)$ is a simply connected, compact, regular complex submanifold of $M_{N,K}(\mathbb{C})$. Here we remark that the mapping $G_{\mathbb{C}}/Q^s \ni gQ^s \mapsto (\text{Ad } g)P^s \in SL(\mathfrak{g}_{\mathbb{C}})/P^s$ is a $G_{\mathbb{C}}$ -equivariant holomorphic embedding.

Remark 8.2.5. Via the Plücker embedding $p_{\tilde{u}} : M_{N,K}(\mathbb{C}) \rightarrow CP(\wedge^K \mathbb{C}^N)$, $\text{span}_{\mathbb{C}}\{v_1, v_2, \dots, v_K\} \mapsto [v_1 \wedge v_2 \wedge \dots \wedge v_K]$, the orbit $\text{Ad } G_{\mathbb{C}}(\mathfrak{q}^s)$ can be holomorphically embedded into the complex projective space $CP(\wedge^K \mathbb{C}^N)$ of dimension $N!/(K!(N-K)!) - 1$. Since $p_{\tilde{u}}(\text{Ad } G_{\mathbb{C}}(\mathfrak{q}^s)) = p_{\tilde{u}}(f_s(G_{\mathbb{C}}/Q^s))$ is a connected, compact, regular complex submanifold of $CP(\wedge^K \mathbb{C}^N)$, it is a projective algebraic variety by Theorem V in Chow [9, p.910].

The complex homogeneous space $G_{\mathbb{C}}/Q^s$ is a Kähler manifold. Indeed,

Proposition 8.2.6. *The simply connected, compact complex homogeneous space $G_{\mathbb{C}}/Q^s = (G_{\mathbb{C}}/Q^s, J_s)$ admits a G_u -invariant Kähler metric \mathfrak{g}_s with respect to J_s ($s = \pm$). Here we refer to Remark 1.2.3 for the $G_{\mathbb{C}}$ -invariant complex structure J_s on $G_{\mathbb{C}}/Q^s$.⁴*

Proof. The special unitary group $SU(\mathfrak{g}_u) = SU(N)$ acts transitively on the complex Grassmann manifold $M_{N,K}(\mathbb{C})$,⁵ and the complex homogeneous space $M_{N,K}(\mathbb{C}) = SL(\mathfrak{g}_{\mathbb{C}})/P^s$ admits a unique $SU(\mathfrak{g}_u)$ -invariant Kähler metric $\tilde{\mathfrak{g}}_s$ up to a positive multiplicative constant. Accordingly one can induce a G_u -invariant Kähler metric \mathfrak{g}_s on $G_{\mathbb{C}}/Q^s$ by use of the $G_{\mathbb{C}}$ -equivariant holomorphic embedding $G_{\mathbb{C}}/Q^s \ni gQ^s \mapsto (\text{Ad } g)P^s \in SL(\mathfrak{g}_{\mathbb{C}})/P^s$, where we remark that $\text{Ad } G_u \subset SU(\mathfrak{g}_u)$. \square

⁴Remark. J_s is $G_{\mathbb{C}}$ -invariant, but, in contrast, \mathfrak{g}_s is G_u -invariant.

⁵Remark. $M_{N,K}(\mathbb{C})$ may be represented as $SU(N)/S(U(K) \times U(N-K))$.

8.2.3 A complex projective space, an irreducible representation and $G_{\mathbb{C}}/Q^+$

Our goal in this subsection is to prove that $G_{\mathbb{C}}/Q^+$ can be holomorphically embedded into a complex projective space $CP(V)$. We will construct arguments by obeying the setting of Section 8.1, in particular, Subsection 8.1.4.

Let $\hat{G}_{\mathbb{C}}$ be the quotient group of the Lie group $G_{\mathbb{C}}$ modulo the center $Z(G_{\mathbb{C}})$, and set $\hat{Q}^+ := N_{\hat{G}_{\mathbb{C}}}(\mathfrak{q}^+)$, where we assume

$$\mathfrak{g}_{\mathbb{C}} = \hat{\mathfrak{g}}_{\mathbb{C}}.$$

First, let us confirm

Lemma 8.2.7. *The mapping $G_{\mathbb{C}}/Q^+ \ni gQ^+ \mapsto \pi(g)\hat{Q}^+ \in \hat{G}_{\mathbb{C}}/\hat{Q}^+$ is a $G_{\mathbb{C}}$ -equivariant biholomorphism. Here π is the projection of $G_{\mathbb{C}}$ onto $\hat{G}_{\mathbb{C}} = G_{\mathbb{C}}/Z(G_{\mathbb{C}})$.*

Proof. $\pi : G_{\mathbb{C}} \rightarrow \hat{G}_{\mathbb{C}}, g \mapsto gZ(G_{\mathbb{C}})$, is a surjective holomorphic homomorphism. So, we conclude this lemma from Proposition 8.2.1-(vi). \square

Next, let us construct a complex projective space from an irreducible representation. Denote by $\{\varpi_a\}_{a=1}^{\ell}$ the set of the fundamental dominant weights relative to $\Pi_{\Delta} = \{\alpha_a\}_{a=1}^{\ell}$. For $-iT = \sum_{a=1}^{\ell} \lambda_a Z_a$ ($\lambda_a \geq 0$) one can separate the set $\{\lambda_a\}_{a=1}^{\ell}$ into two pieces $\{\lambda_{a_j}\}_{j=1}^r$ and $\{\lambda_{a_k}\}_{k=r+1}^{\ell}$ so that $\lambda_{a_j} > 0$ for all $1 \leq j \leq r$ and $\lambda_{a_k} = 0$ for all $r+1 \leq k \leq \ell$. Namely,

$$\begin{aligned} -iT &= \lambda_1 Z_1 + \lambda_2 Z_2 + \cdots + \lambda_{\ell} Z_{\ell} \text{ with } \lambda_1, \lambda_2, \dots, \lambda_{\ell} \geq 0 \\ &= \lambda_{a_1} Z_{a_1} + \lambda_{a_2} Z_{a_2} + \cdots + \lambda_{a_r} Z_{a_r} \text{ with } \lambda_{a_1}, \lambda_{a_2}, \dots, \lambda_{a_r} > 0. \end{aligned}$$

Remark here that $\Pi_{\Delta} \cap \blacktriangle = \{\alpha_{a_k}\}_{k=r+1}^{\ell}$. Taking that into account, we define a dominant integral form ϖ on $\mathfrak{h}_{\mathbb{C}}$ as follows:

$$\varpi := \varpi_{a_1} + \varpi_{a_2} + \cdots + \varpi_{a_r}. \quad (8.2.8)$$

Here $\varpi \neq 0$ comes from $T \neq 0$. The Cartan-Weyl theorem enables us to obtain an irreducible representation ρ_* of the complex Lie algebra $\mathfrak{g}_{\mathbb{C}}$ on a finite-dimensional complex vector space V which has the above ϖ as its highest weight. Since the complex Lie group $\hat{G}_{\mathbb{C}}$ is isomorphic to the adjoint group of $\mathfrak{g}_{\mathbb{C}}$, one can take a holomorphic homomorphism $\rho : \hat{G}_{\mathbb{C}} \rightarrow GL(V)$, $\hat{g} \mapsto \rho(\hat{g})$, whose differential homomorphism accords with $\rho_* : \mathfrak{g}_{\mathbb{C}} \rightarrow \mathfrak{gl}(V)$. Now, let $u_0 \in V$ be a maximal vector of weight ϖ , let $[v] := \text{span}_{\mathbb{C}}\{v\}$ for $0 \neq v \in V$, and let $CP(V) = \{[v] : 0 \neq v \in V\}$ denote the complex projective space of dimension $d-1$, where $d := \dim_{\mathbb{C}} V$. The special linear group $SL(V) = SL(d, \mathbb{C})$ acts transitively on $CP(V)$, so we put

$$CP(V) = SL(V)/P,$$

where $P := \{\varphi \in SL(V) : [\varphi(u_0)] = [u_0]\}$. In this setting, we verify

Lemma 8.2.9. *The mapping $\hat{G}_{\mathbb{C}}/\hat{Q}^+ \ni \hat{g}\hat{Q}^+ \mapsto \rho(\hat{g})P \in SL(V)/P$ is a $\hat{G}_{\mathbb{C}}$ -equivariant holomorphic embedding.*

Proof. Remark that $\rho(\hat{G}_{\mathbb{C}}) \subset SL(V)$ follows from $\rho(\hat{G}_{\mathbb{C}}) \subset GL(V)$ and $\hat{G}_{\mathbb{C}}$ being connected semisimple.

In this proof we temporarily denote by \hat{f} the mapping $\hat{G}_{\mathbb{C}}/\hat{Q}^+ \ni \hat{g}\hat{Q}^+ \mapsto \rho(\hat{g})P \in SL(V)/P$.

(well-defined). It is necessary to confirm that the \hat{f} is well-defined. For this reason, we aim to demonstrate $\rho(\hat{Q}^+) \subset P$. From (8.1.7) and $\blacktriangle^+ = \blacktriangle \cap \Delta^+$ one has

$$\mathfrak{q}^+ = \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^+ = \mathfrak{h}_{\mathbb{C}} \oplus \mathfrak{n}^+ \oplus \bigoplus_{\gamma \in \blacktriangle^+} \mathfrak{g}_{-\gamma}.$$

So, for a given $X \in \text{Lie}(\hat{Q}^+) = \mathfrak{q}^+$ there exists a unique $(X_h, X_n, X_l) \in \mathfrak{h}_{\mathbb{C}} \times \mathfrak{n}^+ \times \bigoplus_{\gamma \in \blacktriangle^+} \mathfrak{g}_{-\gamma}$ such that

$$X = X_h + X_n + X_l.$$

By a direct computation we obtain

$$\rho_*(X_h)u_0 = \varpi(X_h)u_0 \in \text{span}_{\mathbb{C}}\{u_0\}, \quad \rho_*(X_n)u_0 = 0 \in \text{span}_{\mathbb{C}}\{u_0\} \quad (1)$$

because u_0 is a maximal vector of weight ϖ and $X_h \in \mathfrak{h}_{\mathbb{C}}, X_n \in \mathfrak{n}^+$. We want to show that

$$\rho_*(X_l)u_0 \in \text{span}_{\mathbb{C}}\{u_0\}, \quad (2)$$

which is a consequence of that $\rho_*(E_{-\gamma})u_0 = 0$ for all $\gamma \in \blacktriangle^+$. Therefore, let us show that $\rho_*(E_{-\gamma})u_0 = 0$ for all $\gamma \in \blacktriangle^+$. Take an arbitrary $\gamma \in \blacktriangle^+$. From $\Pi_{\Delta} \cap \blacktriangle = \{\alpha_{a_k}\}_{k=r+1}^{\ell}$ we deduce $\blacktriangle^+ = \text{span}_{\mathbb{Z}_{\geq 0}}\{\alpha_{a_k}\}_{k=r+1}^{\ell}$. This, together with (8.2.8) and $\gamma \in \blacktriangle^+$, gives

$$\varpi(H_{\gamma}^*) = 0. \quad (a)$$

We are going to construct a complex vector subspace of V from the $\mathfrak{s}_\gamma = \text{span}_{\mathbb{C}}\{H_\gamma^*, E_\gamma, E_{-\gamma}\}$. Set

$$\mathbf{u}_n := \rho_*(E_{-\gamma})^n \mathbf{u}_0 \quad (\text{b})$$

for $n \in \mathbb{N}$. Since $\dim_{\mathbb{C}} V < \infty$ and vectors $\mathbf{u}_0, \mathbf{u}_1, \mathbf{u}_2, \dots$ are linearly independent⁶, there exists a unique $m \in \mathbb{N}$ such that $\mathbf{u}_p \neq 0$ ($0 \leq p \leq m-1$) and $\mathbf{u}_m = \mathbf{u}_{m+1} = \dots = 0$. Here, it follows from (a) and (b) that

$$\begin{cases} \rho_*(H_\gamma^*)\mathbf{u}_p = -2p\mathbf{u}_p \text{ for all } 0 \leq p \leq m-1, \\ \rho_*(E_\gamma)\mathbf{u}_0 = 0, \rho_*(E_\gamma)\mathbf{u}_q = -q(q-1)\mathbf{u}_{q-1} \text{ for all } 1 \leq q \leq m-1, \\ \rho_*(E_{-\gamma})\mathbf{u}_{m-1} = 0, \rho_*(E_{-\gamma})\mathbf{u}_j = \mathbf{u}_{j+1} \text{ for all } 0 \leq j \leq m-2. \end{cases}$$

Then $W := \text{span}_{\mathbb{C}}\{\mathbf{u}_p\}_{p=0}^{m-1}$ is an m -dimensional, $\rho_*(\mathfrak{s}_\gamma)$ -invariant complex vector subspace of V , and moreover, $0 = \mathbf{u}_m = \rho_*(E_{-\gamma})^m \mathbf{u}_0$ and (b) yield $0 = \rho_*(E_\gamma)(\rho_*(E_{-\gamma})^m \mathbf{u}_0) = -m(m-1)\mathbf{u}_{m-1}$; therefore $m = 1$. This implies that $\mathbf{u}_1 = 0$, and thus $\rho_*(E_{-\gamma})\mathbf{u}_0 = \mathbf{u}_1 = 0$. Accordingly we conclude ②. By virtue of ①, ②, one can assert that

$$\rho_*(X)\mathbf{u}_0 \in \text{span}_{\mathbb{C}}\{\mathbf{u}_0\} \text{ for all } X \in \text{Lie}(\hat{Q}^+) = \mathfrak{q}^+. \quad (\text{3})$$

Therefore, for every $X \in \text{Lie}(\hat{Q}^+)$ there exists a $w \in \mathbb{C}$ satisfying $\rho_*(X)\mathbf{u}_0 = w\mathbf{u}_0$, and then $\rho(\exp X)\mathbf{u}_0 = e^w \mathbf{u}_0 \in [\mathbf{u}_0]$. This assures $\rho(\hat{Q}^+) \subset P$ because the Lie group \hat{Q}^+ is connected. From $\rho(\hat{Q}^+) \subset P$ we conclude that $\hat{f} : \hat{G}_{\mathbb{C}}/\hat{Q}^+ \rightarrow SL(V)/P$, $\hat{g}\hat{Q}^+ \mapsto \rho(\hat{g})P$, is well-defined.

(injective). Our aim is to prove that \hat{f} is injective. In order to accomplish the aim, we first prepare for a moment. Fix any $\alpha \in \Delta^+ - \blacktriangle$. On the one hand; one has $\varpi(H_\alpha^*) > 0$ since (8.2.8). On the other hand; it follows from $H_\alpha^* = [E_\alpha, E_{-\alpha}]$ and $\rho_*(E_\alpha)\mathbf{u}_0 = 0$ that

$$\varpi(H_\alpha^*)\mathbf{u}_0 = \rho_*([E_\alpha, E_{-\alpha}])\mathbf{u}_0 = \rho_*(E_\alpha)(\rho_*(E_{-\alpha})\mathbf{u}_0) - \rho_*(E_{-\alpha})(\rho_*(E_\alpha)\mathbf{u}_0) = \rho_*(E_\alpha)(\rho_*(E_{-\alpha})\mathbf{u}_0).$$

Consequently we can assert that

$$(i) \rho_*(E_{-\alpha})\mathbf{u}_0 \neq 0, \text{ and } (ii) \varpi - \alpha \text{ is a weight of the representation } \rho_* \text{ (relative to } \mathfrak{h}_{\mathbb{C}}) \text{ for each } \alpha \in \Delta^+ - \blacktriangle. \quad (\text{4})$$

Next, let us confirm that

$$Y \in \mathfrak{g}_{\mathbb{C}} \text{ and } \rho_*(Y)\mathbf{u}_0 \in \text{span}_{\mathbb{C}}\{\mathbf{u}_0\} \text{ imply } Y \in \mathfrak{q}^+. \quad (\text{5})$$

For $Y \in \mathfrak{g}_{\mathbb{C}}$ suppose that $\rho_*(Y)\mathbf{u}_0 \in \text{span}_{\mathbb{C}}\{\mathbf{u}_0\}$. By (8.1.7) and $\mathfrak{g}_{\mathbb{C}} = \mathfrak{q}^+ \oplus \mathfrak{u}^-$ we have $\mathfrak{g}_{\mathbb{C}} = \mathfrak{q}^+ \oplus \bigoplus_{\alpha \in \Delta^+ - \blacktriangle} \mathfrak{g}_{-\alpha}$. So, there exist a $Y_q \in \mathfrak{q}^+$ and $w_{-\alpha} \in \mathbb{C}$ such that $Y = Y_q + \sum_{\alpha \in \Delta^+ - \blacktriangle} w_{-\alpha} E_{-\alpha}$. Then the supposition, ③ and ④ yield

$$V_{\varpi} = \text{span}_{\mathbb{C}}\{\mathbf{u}_0\} \ni \rho_*(Y)\mathbf{u}_0 = \rho_*(Y_q)\mathbf{u}_0 + \sum_{\alpha \in \Delta^+ - \blacktriangle} w_{-\alpha} \rho_*(E_{-\alpha})\mathbf{u}_0 \in V_{\varpi} \oplus \bigoplus_{\alpha \in \Delta^+ - \blacktriangle} V_{\varpi - \alpha},$$

and therefore $w_{-\alpha} = 0$ for all $\alpha \in \Delta^+ - \blacktriangle$, where we denote by $V_{\varpi - \alpha}$ the wight subspace of V for $\varpi - \alpha$. Consequently it turns out that $Y = Y_q \in \mathfrak{q}^+$, and so ⑤ holds.

Now, we are in a position to accomplish the aim. For the aim, it is enough to prove that

$$\rho^{-1}(P) \subset \hat{Q}^+. \quad (\text{6})$$

For $\hat{g} \in \hat{G}_{\mathbb{C}}$ we suppose $\rho(\hat{g}) \in P$. Then $[\rho(\hat{g})\mathbf{u}_0] = [\mathbf{u}_0]$ holds, and for any $X \in \mathfrak{q}^+$ one has

$$\rho_*(\text{Ad } \hat{g}(X))\mathbf{u}_0 = \rho(\hat{g})\rho_*(X)\rho(\hat{g})^{-1}\mathbf{u}_0 \in \text{span}_{\mathbb{C}}\{\mathbf{u}_0\}$$

by ③. Accordingly it follows from ⑤ that $\text{Ad } \hat{g}(X) \in \mathfrak{q}^+$ for all $X \in \mathfrak{q}^+$. Thus $\hat{g} \in N_{\hat{G}_{\mathbb{C}}}(\mathfrak{q}^+) = \hat{Q}^+$, and one concludes ⑥. This ⑥ implies that $\hat{f} : \hat{G}_{\mathbb{C}}/\hat{Q}^+ \rightarrow SL(V)/P$, $\hat{g}\hat{Q}^+ \mapsto \rho(\hat{g})P$, is injective.

(holomorphic). Since $\rho : \hat{G}_{\mathbb{C}} \rightarrow SL(V)$, $\hat{g} \mapsto \rho(\hat{g})$, is a holomorphic homomorphism, it is now obvious that $\hat{f} : \hat{G}_{\mathbb{C}}/\hat{Q}^+ \rightarrow SL(V)/P$, $\hat{g}\hat{Q}^+ \mapsto \rho(\hat{g})P$, is a $\hat{G}_{\mathbb{C}}$ -equivariant holomorphic mapping. Moreover, we have already shown that \hat{f} is injective, which also assures that its differential $(d\hat{f})_p$ is injective at each point $p \in \hat{G}_{\mathbb{C}}/\hat{Q}^+$. Hence, the mapping \hat{f} is a $\hat{G}_{\mathbb{C}}$ -equivariant holomorphic embedding. \square

By Lemmas 8.2.7 and 8.2.9 one establishes

Theorem 8.2.10. $G_{\mathbb{C}}/Q^+$ is able to be $G_{\mathbb{C}}$ -equivariant holomorphically embedded into the complex projective space $CP(V) = SL(V)/P$, where V is a representation space of the irreducible representation of $\mathfrak{g}_{\mathbb{C}}$ with highest weight ϖ in (8.2.8).

⁶(because $\mathbf{u}_0, \mathbf{u}_1, \mathbf{u}_2, \dots$ are eigenvectors of $\rho_*(H_\gamma^*)$ for distinct eigenvalues)

8.3 Bruhat decompositions

In this section we generalize Bruhat decompositions of $G_{\mathbb{C}}$ by following Kostant's method [21, 22]. The setting of Section 8.1 remains valid in this section. Recalling that $\mathcal{W} = N_{G_u}(i\mathfrak{h}_{\mathbb{R}})/C_{G_u}(i\mathfrak{h}_{\mathbb{R}})$ and $\blacktriangle = \{\gamma \in \Delta \mid \gamma(T) = 0\}$, we set

$$\begin{cases} \Phi_{[w]} := \{\beta \in \Delta^+ \mid \zeta([w])^{-1}\beta \in \Delta^-\} \text{ for } [w] \in \mathcal{W}, \\ \mathcal{W}^1 := \{[\sigma] \in \mathcal{W} \mid \Phi_{[\sigma]} \subset \Delta^+ - \blacktriangle\}, \quad \mathcal{W}_1 := N_{L_u}(i\mathfrak{h}_{\mathbb{R}})/C_{L_u}(i\mathfrak{h}_{\mathbb{R}}). \end{cases} \quad (8.3.1)$$

Remark here that \mathcal{W}_1 is a Weyl group of $L_{\mathbb{C}}$. Hereafter, we assume \mathcal{W}_1 to be a subgroup of the Weyl group \mathcal{W} via the mapping $N_{L_u}(i\mathfrak{h}_{\mathbb{R}})/C_{L_u}(i\mathfrak{h}_{\mathbb{R}}) \ni \tau C_{L_u}(i\mathfrak{h}_{\mathbb{R}}) \mapsto \tau C_{G_u}(i\mathfrak{h}_{\mathbb{R}}) \in N_{G_u}(i\mathfrak{h}_{\mathbb{R}})/C_{G_u}(i\mathfrak{h}_{\mathbb{R}})$. In addition, we utilize the following notation:

- $[\kappa]$: the unique element of \mathcal{W} such that $\zeta([\kappa])(\Delta^-) = \Delta^+$ and $\zeta([\kappa]) = \zeta([\kappa])^{-1}$,
- $n_{[\sigma]}$: the cardinal number of the set $\Phi_{[\sigma]}$ for $[\sigma] \in \mathcal{W}^1$.

8.3.1 A proposition on the root system

We will verify Theorem 8.3.7 in the next subsection. For this reason we need

Proposition 8.3.2 (cf. Kostant [21, pp.359–361], [22, p.121]).

- (i) $\Phi_{[w]}$ is a closed subset of Δ for any $[w] \in \mathcal{W}$ (i.e., $\beta_1, \beta_2 \in \Phi_{[w]}$ and $\beta_1 + \beta_2 \in \Delta$ imply $\beta_1 + \beta_2 \in \Phi_{[w]}$).
- (ii) $\Delta^+ = \Phi_{[w]} \amalg \Phi_{[w\kappa]}$ (disjoint union) for all $[w] \in \mathcal{W}$.
- (iii) If $[\sigma] \in \mathcal{W}^1$, then $\zeta([\sigma])^{-1}(\blacktriangle^+) \subset \Delta^+$ and $\zeta([\sigma])^{-1}(\blacktriangle^-) \subset \Delta^-$.
- (iv) For each $[w] \in \mathcal{W}$, there exists a unique $([\tau], [\sigma]) \in \mathcal{W}_1 \times \mathcal{W}^1$ such that $[w] = [\tau\sigma]$.
- (v) For a given $[\sigma] \in \mathcal{W}^1$, the following items (v.1) and (v.2) hold:
 - (v.1) $n_{[\sigma]} = 0$ if and only if $[e] = [\sigma]$.
 - (v.2) $n_{[\sigma]} = 1$ if and only if there exists a $\beta \in \Pi_{\Delta} - \blacktriangle$ satisfying $[w_{\beta}] = [\sigma]$.

Here $\blacktriangle^{\pm} = \blacktriangle \cap \Delta^{\pm}$, e is the unit element of $G_{\mathbb{C}}$, and we refer to (8.1.4) for w_{β} .

The main purpose of this subsection is to prove Proposition 8.3.2. First of all, we are going to prepare three lemmas for proving it. The first lemma is

Lemma 8.3.3.

- (1) $\Phi_{[w]}$ is a closed subset of Δ for any $[w] \in \mathcal{W}$.
- (2) $\Delta^+ = \Phi_{[w]} \amalg \Phi_{[w\kappa]}$ for all $[w] \in \mathcal{W}$.
- (3) If $[\sigma] \in \mathcal{W}^1$, then $\zeta([\sigma])^{-1}(\blacktriangle^+) \subset \Delta^+$ and $\zeta([\sigma])^{-1}(\blacktriangle^-) \subset \Delta^-$.

Proof. We only prove (3), since (1) and (2) are clear from the definition (8.3.1) of $\Phi_{[w]}$ and $\zeta([\kappa])(\Delta^-) = \Delta^+$.

(3). For each $\gamma \in \blacktriangle^+$, either the case $\zeta([\sigma])^{-1}\gamma \in \Delta^-$ or $\zeta([\sigma])^{-1}\gamma \in \Delta^+$ has to occur. If $\zeta([\sigma])^{-1}\gamma \in \Delta^-$, then it follows from (8.3.1) and $[\sigma] \in \mathcal{W}^1$ that $\gamma \in \Phi_{[\sigma]} \subset \Delta^+ - \blacktriangle$, which contradicts $\gamma \in \blacktriangle^+$. Thus the remaining case $\zeta([\sigma])^{-1}\gamma \in \Delta^+$ occurs, and $\zeta([\sigma])^{-1}(\blacktriangle^+) \subset \Delta^+$ holds.

The $\zeta([\sigma])^{-1}(\blacktriangle^-) \subset \Delta^-$ comes from $\zeta([\sigma])^{-1}(\blacktriangle^+) \subset \Delta^+$, $\Delta^- = -\Delta^+$ and $\blacktriangle^- = -\blacktriangle^+$. □

The second lemma is

Lemma 8.3.4. Set $\Delta_{[w]} := \Phi_{[w]} \amalg (-\Phi_{[w\kappa]})$ for $[w] \in \mathcal{W}$. Then

- (1) $\Delta_{[w]}$ is a closed subset of Δ for any $[w] \in \mathcal{W}$.
- (2) $\Delta = \Delta_{[w]} \amalg (-\Delta_{[w]})$ for all $[w] \in \mathcal{W}$.
- (3) $\zeta([w])^{-1}(\Delta_{[w]}) = \Delta^-$ for all $[w] \in \mathcal{W}$.

Proof. (1). For $\alpha, \beta \in \Delta_{[w]}$ we suppose that $\alpha + \beta \in \Delta$. If $\alpha, \beta \in \Phi_{[w]}$ (resp. $-\Phi_{[w\kappa]}$), then $\alpha + \beta \in \Phi_{[w]}$ (resp. $-\Phi_{[w\kappa]}$) due to Lemma 8.3.3-(1). Now, let us investigate the case where $\alpha \in \Phi_{[w]}$ and $\beta \in -\Phi_{[w\kappa]}$. Then one has $\zeta([w])^{-1}(\alpha + \beta) \in \Delta^-$ in case of $\alpha + \beta \in \Delta^+$, and $\zeta([w\kappa])^{-1}(\alpha + \beta) \in \Delta^+$ in case of $\alpha + \beta \in \Delta^-$. Accordingly $\alpha + \beta \in \Phi_{[w]}$ in case of $\alpha + \beta \in \Delta^+$, and $\alpha + \beta \in -\Phi_{[w\kappa]}$ in case of $\alpha + \beta \in \Delta^-$. In any cases we obtain $\alpha + \beta \in (\Phi_{[w]} \cup (-\Phi_{[w\kappa]})) \subset \Delta_{[w]}$. Consequently $\Delta_{[w]}$ is a closed subset of Δ .

(2) follows from $\Delta = \Delta^+ \amalg (-\Delta^+)$, Lemma 8.3.3-(2) and $\Delta_{[w]} = \Phi_{[w]} \amalg (-\Phi_{[w\kappa]})$.

(3). On the one hand; by a direct computation with (8.3.1) we deduce $\zeta([w])^{-1}(\Delta_{[w]}) \subset \Delta^-$. On the other hand; the above (2) implies that the cardinal number of Δ^- is equal to that of $\Delta_{[w]}$, which is equal to that of $\zeta([w])^{-1}(\Delta_{[w]})$. Therefore one concludes $\zeta([w])^{-1}(\Delta_{[w]}) = \Delta^-$. \square

Lemmas 8.3.3 and 8.3.4 lead to

Corollary 8.3.5. $\Phi_{[w_1]} = \Phi_{[w_2]}$ with $[w_1], [w_2] \in \mathcal{W}$ implies $[w_1] = [w_2]$.

Proof. Suppose that $\Phi_{[w_1]} = \Phi_{[w_2]}$ for $[w_1], [w_2] \in \mathcal{W}$. From $\Phi_{[w_1]} = \Phi_{[w_2]}$ and Lemma 8.3.3-(2) we see that $\Phi_{[w_1\kappa]} = \Phi_{[w_2\kappa]}$. Hence it turns out that $\Delta_{[w_1]} = (\Phi_{[w_1]} \amalg (-\Phi_{[w_1\kappa]})) = \Delta_{[w_2]}$, so that

$$\zeta([w_2^{-1}w_1])(\Delta^-) = \zeta([w_2])^{-1}(\zeta([w_1])(\Delta^-)) = \zeta([w_2])^{-1}(\Delta_{[w_1]}) = \zeta([w_2])^{-1}(\Delta_{[w_2]}) = \Delta^-$$

by Lemma 8.3.4-(3). This and (8.1.3) assure that $\text{Ad}(w_2^{-1}w_1) = \text{id}$ on $\mathfrak{h}_{\mathbb{C}}$, and hence $[w_1] = [w_2]$. \square

The last lemma is

Lemma 8.3.6. Set $\Psi_{[w]} := (\zeta([w])(\Delta^-)) \cap \blacktriangle^+$, $\Psi_{[w]}^c := \blacktriangle^+ - \Psi_{[w]}$, and $\blacktriangle_{[w]} := \Psi_{[w]} \amalg (-\Psi_{[w]}^c)$ for $[w] \in \mathcal{W}$. Then

- (1) $\blacktriangle^+ = \Psi_{[w]} \amalg \Psi_{[w]}^c$ for all $[w] \in \mathcal{W}$.
- (2) $\Psi_{[w]}^c = (\zeta([w])(\Delta^+)) \cap \blacktriangle^+$ for all $[w] \in \mathcal{W}$.
- (3) Both $\Psi_{[w]}$ and $\Psi_{[w]}^c$ are closed subsets of \blacktriangle for each $[w] \in \mathcal{W}$.
- (4) $\blacktriangle_{[w]}$ is a closed subset of \blacktriangle for any $[w] \in \mathcal{W}$.
- (5) $\blacktriangle = \blacktriangle_{[w]} \amalg (-\blacktriangle_{[w]})$ for all $[w] \in \mathcal{W}$.
- (6) For a given $[w] \in \mathcal{W}$, there exists a unique $[\tau] \in \mathcal{W}_1$ such that $\zeta([\tau])^{-1}(\blacktriangle_{[w]}) = \blacktriangle^-$.

Proof. (1) is obvious.

(2). Since $\Psi_{[w]}^c = \blacktriangle^+ - \Psi_{[w]}$ and $\Psi_{[w]} = (\zeta([w])(\Delta^-)) \cap \blacktriangle^+$, the following six conditions (i) through (vi) are equivalent for $\gamma \in \Delta$:

- (i) $\gamma \in \Psi_{[w]}^c$,
- (ii) $\gamma \in \blacktriangle^+$ and $\gamma \notin \Psi_{[w]}$,
- (iii) $\gamma \in \blacktriangle^+$ and $\gamma \notin \zeta([w])(\Delta^-)$,
- (iv) $\gamma \in \blacktriangle^+$ and $\zeta([w])^{-1}\gamma \notin \Delta^-$,
- (v) $\gamma \in \blacktriangle^+$ and $\zeta([w])^{-1}\gamma \in \Delta^+$,
- (vi) $\gamma \in (\zeta([w])(\Delta^+)) \cap \blacktriangle^+$.

Hence $\Psi_{[w]}^c = (\zeta([w])(\Delta^+)) \cap \blacktriangle^+$ follows.

(3). By $\Psi_{[w]} = (\zeta([w])(\Delta^-)) \cap \blacktriangle^+$ and $\Psi_{[w]}^c = (\zeta([w])(\Delta^+)) \cap \blacktriangle^+$ we conclude that $\Psi_{[w]}$ and $\Psi_{[w]}^c$ are closed subsets of \blacktriangle , respectively.

(4). We conclude (4) by arguments similar to those in the proof of Lemma 8.3.4-(1) together with the above (3), (2).

(5) follows from $\blacktriangle = \blacktriangle^+ \amalg (-\blacktriangle^+)$, the above (1) and $\blacktriangle_{[w]} = \Psi_{[w]} \amalg (-\Psi_{[w]}^c)$.

(6) is a consequence of the above (4), (5). \square

Now, let us start with proving the proposition.

Proof of Proposition 8.3.2. By virtue of Lemma 8.3.3 it suffices to confirm the items (iv) and (v) only.

(iv). First, let us verify the uniqueness of $([\tau], [\sigma]) \in \mathcal{W}_1 \times \mathcal{W}^1$.

(Uniqueness). For $[\sigma_1], [\sigma_2] \in \mathcal{W}^1$ we suppose that $[\tau_1] := [\sigma_1\sigma_2^{-1}]$ belongs to \mathcal{W}_1 . We are going to prove $[\sigma_1] = [\sigma_2]$. In terms of $[\tau_1] \in \mathcal{W}_1$, $[\mathfrak{c}, \mathfrak{u}^-] \subset \mathfrak{u}^-$ and $\mathfrak{u}^- = \bigoplus_{\alpha \in \Delta^- - \blacktriangle} \mathfrak{g}_{\alpha}$ one has

$$\zeta([\tau_1])(\Delta^- - \blacktriangle) \subset \Delta^- - \blacktriangle. \quad \textcircled{1}$$

Let us show that

$$\Phi_{[\sigma_2^{-1}]} \subset \Phi_{[\sigma_1^{-1}]}. \quad \textcircled{2}$$

For any $\beta \in \Phi_{[\sigma_2^{-1}]}$ we obtain $\beta \in \Delta^+$, $\zeta([\sigma_2])\beta \in \Delta^-$ from (8.3.1). Hence it turns out that

$$\zeta([\sigma_1])\beta = \zeta([\tau_1\sigma_2])\beta \in \zeta([\tau_1])(\Delta^-).$$

So, either the case $\zeta([\sigma_1])\beta \in \zeta([\tau_1])(\blacktriangle^-)$ or $\zeta([\sigma_1])\beta \in \zeta([\tau_1])(\Delta^- - \blacktriangle)$ has to occur. If $\zeta([\sigma_1])\beta \in \zeta([\tau_1])(\blacktriangle^-)$, then we conclude $\beta \in \zeta([\sigma_1^{-1}\tau_1])(\blacktriangle^-) = \zeta([\sigma_2]^{-1})(\blacktriangle^-) \subset \Delta^-$ by Lemma 8.3.3-(3) and $[\sigma_2] \in \mathcal{W}^1$. However, this $\beta \in \Delta^-$ contradicts $\beta \in \Delta^+$. For this reason, the remaining case $\zeta([\sigma_1])\beta \in \zeta([\tau_1])(\Delta^- - \blacktriangle)$ occurs. Accordingly ① implies $\zeta([\sigma_1])\beta \in \Delta^-$, and moreover (8.3.1) yields $\beta \in \Phi_{[\sigma_1^{-1}]}$. Hence ② $\Phi_{[\sigma_2^{-1}]} \subset \Phi_{[\sigma_1^{-1}]}$ holds. We have deduced $\Phi_{[\sigma_2^{-1}]} \subset \Phi_{[\sigma_1^{-1}]}$ from $[\sigma_1\sigma_2^{-1}] \in \mathcal{W}_1$. Thus one can show $\Phi_{[\sigma_1^{-1}]} \subset \Phi_{[\sigma_2^{-1}]}$ from $[\sigma_2\sigma_1^{-1}] = [\sigma_1\sigma_2^{-1}]^{-1} \in \mathcal{W}_1$. Consequently $\Phi_{[\sigma_1^{-1}]} = \Phi_{[\sigma_2^{-1}]}$. This and Corollary 8.3.5 allow us to have $[\sigma_1] = [\sigma_2]$.

Next, we are going to confirm the existence of $([\tau], [\sigma]) \in \mathcal{W}_1 \times \mathcal{W}^1$.

(Existence). Take an arbitrary $[w] \in \mathcal{W}$. By Lemma 8.3.6-(6) there exists a unique $[\tau] \in \mathcal{W}_1$ such that

$$\zeta([\tau])^{-1}(\blacktriangle_{[w]}) = \blacktriangle^-.$$

Then, it is enough to confirm that $[\sigma] := [\tau^{-1}w]$ belongs to \mathcal{W}^1 . In order to show $[\sigma] \in \mathcal{W}^1$, we first prove

$$(\zeta([\sigma])(\Delta^-)) \cap \blacktriangle^+ = \emptyset. \quad \textcircled{3}$$

Let us use proof by contradiction. Suppose that there exists a $\gamma \in (\zeta([\sigma])(\Delta^-)) \cap \blacktriangle^+$. Then $\gamma \in \blacktriangle^+$ and $\zeta([\tau])\gamma \in \zeta([w])(\Delta^-)$. From $\gamma \in \blacktriangle^+$ and $[\tau] \in \mathcal{W}_1$ we deduce $\zeta([\tau])\gamma \in \blacktriangle$. So, $\zeta([\tau])\gamma \in \blacktriangle^+$ or $\zeta([\tau])\gamma \in \blacktriangle^-$.

1. If $\zeta([\tau])\gamma \in \blacktriangle^+$, then $\zeta([\tau])\gamma \in (\zeta([w])(\Delta^-)) \cap \blacktriangle^+ = \Psi_{[w]} \subset \blacktriangle_{[w]} = \zeta([\tau])(\blacktriangle^-)$.
2. If $\zeta([\tau])\gamma \in \blacktriangle^-$, then Lemma 8.3.6-(2) tells us that $\zeta([\tau])\gamma \in (\zeta([w])(\Delta^-)) \cap \blacktriangle^- = -\Psi_{[w]}^c \subset \blacktriangle_{[w]} = \zeta([\tau])(\blacktriangle^-)$.

These contradict $\gamma \in \blacktriangle^+$. Hence ③ holds. Now, let us show $[\sigma] \in \mathcal{W}^1$. We need to demonstrate that $\Phi_{[\sigma]} \subset \Delta^+ - \blacktriangle$. For any $\alpha \in \Phi_{[\sigma]}$, it follows from (8.3.1) that $\alpha \in \Delta^+$ and $\alpha \in \zeta([\sigma])(\Delta^-)$. This and ③ give $\alpha \in \Delta^+ - \blacktriangle$, and $\Phi_{[\sigma]} \subset \Delta^+ - \blacktriangle$. For this reason we assert $[\sigma] \in \mathcal{W}^1$.

From now on, we are going to prove (v).

(v.1). In view of (8.3.1) we see that $n_{[\sigma]} = 0$ if and only if $\zeta([\sigma])(\Delta^+) = \Delta^+$ if and only if $\text{Ad } \sigma = \text{id}$ on $\mathfrak{h}_{\mathbb{C}}$ if and only if $[\sigma] = [e]$. Hence one has (v.1).

(v.2). Suppose that a $\beta \in \Pi_{\Delta} - \blacktriangle$ satisfies $[w_{\beta}] = [\sigma]$. Since $\beta \in \Pi_{\Delta}$ one knows that $\{\beta\} = \{\alpha \in \Delta^+ \mid \zeta([w_{\beta}])\alpha \in \Delta^-\} = \Phi_{[w_{\beta}^{-1}]} = \Phi_{[w_{\beta}]} = \Phi_{[\sigma]}$. Therefore $n_{[\sigma]} = 1$.

Conversely, suppose that $n_{[\sigma]} = 1$. By Lemma 8.3.3-(2), $\Pi_{\Delta} \subset \Delta^+ = \Phi_{[\sigma]} \amalg \Phi_{[\sigma\kappa]}$. If $\Pi_{\Delta} \subset \Phi_{[\sigma\kappa]}$, then we conclude that $\Phi_{[\sigma\kappa]} = \Delta^+$ (because $\Phi_{[\sigma\kappa]}$ is a closed subset of Δ), which contradicts $\Phi_{[\sigma]} \neq \emptyset$. Therefore there exists a $\gamma \in \Pi_{\Delta}$ such that $\gamma \notin \Phi_{[\sigma\kappa]}$. Then we deduce $\gamma \in \Phi_{[\sigma]}$, and hence the supposition assures

$$\Phi_{[\sigma]} = \{\gamma\}.$$

Here $\gamma \in \Pi_{\Delta} - \blacktriangle$ follows from $\Phi_{[\sigma]} \subset \Delta^+ - \blacktriangle$. Since $\gamma \in \Pi_{\Delta}$ one knows that $\Phi_{[w_{\gamma}]} = \{\gamma\} = \Phi_{[\sigma]}$. This and Corollary 8.3.5 provide $[w_{\gamma}] = [\sigma]$. Consequently (v.2) holds. \square

8.3.2 The generalized Bruhat decomposition by Kostant

Proposition 8.3.2 enables us to establish the following theorem which is a result of Kostant [22, p.123, Proposition 6.1] with some slight modifications:

Theorem 8.3.7. *Let $r = \dim_{\mathbb{C}} \mathfrak{u}^+$.*

(1) *For each $[\sigma] \in \mathcal{W}^1$ we set*

$$\Gamma_{[\sigma]} := \{\gamma \in \Phi_{[\sigma^{-1}\kappa]} \mid \zeta([\sigma])\gamma \in \Delta^+ - \blacktriangle\}, \quad \mathfrak{u}_{[\sigma]}^+ := \bigoplus_{\gamma \in \Gamma_{[\sigma]}} \mathfrak{g}_{\zeta([\sigma])\gamma}, \quad U_{[\sigma]}^+ := \exp \mathfrak{u}_{[\sigma]}^+. \quad (8.3.8)$$

Then, $U_{[\sigma]}^+$ is a simply connected closed complex nilpotent subgroup of U^+ and it is biholomorphic to the $(r - n_{[\sigma]})$ -dimensional complex Euclidean space $\mathfrak{u}_{[\sigma]}^+$ ($\subset \mathfrak{u}^+$) via the exponential mapping $\exp : \mathfrak{u}_{[\sigma]}^+ \rightarrow U_{[\sigma]}^+$. Furthermore,

$$N^+ \sigma^{-1} Q^- = \sigma^{-1} U_{[\sigma]}^+ Q^-.$$

(2) For a given $[\sigma] \in \mathcal{W}^1$, the following items (2.i) and (2.ii) hold:

(2.i) $\dim_{\mathbb{C}} U_{[\sigma]}^+ = r = \dim_{\mathbb{C}} U^+$ if and only if $[e] = [\sigma]$.

(2.ii) $\dim_{\mathbb{C}} U_{[\sigma]}^+ = r - 1$ if and only if there exists a $\beta \in \Pi_{\Delta} - \blacktriangle$ satisfying $[w_{\beta}] = [\sigma]$.

(3) $G_{\mathbb{C}} = \prod_{[\sigma] \in \mathcal{W}^1} N^+ \sigma^{-1} Q^- = \prod_{[\sigma] \in \mathcal{W}^1} \sigma^{-1} U_{[\sigma]}^+ Q^-$.

Proof. (1). Since both $\Phi_{[\sigma^{-1}\kappa]}$ and $\Delta^+ - \blacktriangle$ are closed subsets of Δ , it follows from (8.3.8) that $\Gamma_{[\sigma]}$ is a closed subset of Δ . Therefore we see that $\mathfrak{u}_{[\sigma]}^+ = \bigoplus_{\gamma \in \Gamma_{[\sigma]}} \mathfrak{g}_{\zeta([\sigma])\gamma}$ is a complex subalgebra of the nilpotent Lie algebra $\mathfrak{u}^+ = \bigoplus_{\alpha \in \Delta^+ - \blacktriangle} \mathfrak{g}_{\alpha}$. Consequently $U_{[\sigma]}^+ = \exp \mathfrak{u}_{[\sigma]}^+$ is a simply connected closed complex nilpotent subgroup of $U^+ = \exp \mathfrak{u}^+$ and is biholomorphic to $\mathfrak{u}_{[\sigma]}^+$ via \exp , and $\dim_{\mathbb{C}} \mathfrak{u}_{[\sigma]}^+$ accords with the cardinal number $|\Gamma_{[\sigma]}|$. Moreover,

$$\begin{aligned} \zeta([\sigma])(\Gamma_{[\sigma]}) &\stackrel{(8.3.8)}{=} \{\zeta([\sigma])\gamma \in \Delta^+ - \blacktriangle \mid \gamma \in \Phi_{[\sigma^{-1}\kappa]}\} \stackrel{(8.3.1)}{=} \{\zeta([\sigma])\gamma \in \Delta^+ - \blacktriangle \mid \gamma \in \Delta^+, \zeta([\sigma^{-1}\kappa])^{-1}\gamma \in \Delta^-\} \\ &= \{\zeta([\sigma])\gamma \in \Delta^+ - \blacktriangle \mid \gamma \in \Delta^+\} \quad (\because \zeta([\kappa])(\Delta^-) = \Delta^+, \Delta^+ - \blacktriangle \subset \Delta^+) \\ &= \{\zeta([\sigma])\gamma \in \Delta^+ - \blacktriangle \mid \zeta([\sigma])^{-1}(\zeta([\sigma])\gamma) \in \Delta^+\} \stackrel{(8.3.1)}{=} (\Delta^+ - \blacktriangle) - \Phi_{[\sigma]}. \end{aligned}$$

This implies that the number $|\Gamma_{[\sigma]}|$ is equal to $r - n_{[\sigma]}$ because of $|\Delta^+ - \blacktriangle| = \dim_{\mathbb{C}} \mathfrak{u}^+ = r$ and $\Phi_{[\sigma]} \subset \Delta^+ - \blacktriangle$. Hence one has $\dim_{\mathbb{C}} \mathfrak{u}_{[\sigma]}^+ = r - n_{[\sigma]}$. Now, the rest of proof is to confirm that $N^+ \sigma^{-1} Q^- = \sigma^{-1} U_{[\sigma]}^+ Q^-$. Proposition 8.3.2-(i), (ii) implies that $N^+ \stackrel{(8.1.6)}{=} \exp(\bigoplus_{\alpha \in \Delta^+} \mathfrak{g}_{\alpha}) = \exp(\bigoplus_{\gamma \in \Phi_{[\sigma^{-1}\kappa]}} \mathfrak{g}_{\gamma}) \exp(\bigoplus_{\beta \in \Phi_{[\sigma^{-1}]}} \mathfrak{g}_{\beta})$, so that

$$\begin{aligned} N^+ \sigma^{-1} Q^- &= \sigma^{-1} \exp(\bigoplus_{\gamma \in \Phi_{[\sigma^{-1}\kappa]}} \mathfrak{g}_{\zeta([\sigma])\gamma}) \exp(\bigoplus_{\beta \in \Phi_{[\sigma^{-1}]}} \mathfrak{g}_{\zeta([\sigma])\beta}) Q^- \\ &= \sigma^{-1} \exp(\bigoplus_{\gamma \in \Phi_{[\sigma^{-1}\kappa]}} \mathfrak{g}_{\zeta([\sigma])\gamma}) Q^- \\ &= \sigma^{-1} \exp(\bigoplus_{\gamma_1 \in \Gamma_{[\sigma]}} \mathfrak{g}_{\zeta([\sigma])\gamma_1}) \exp(\bigoplus_{\gamma_2 \in \{\gamma \in \Phi_{[\sigma^{-1}\kappa]} \mid \zeta([\sigma])\gamma \in \blacktriangle^+\}} \mathfrak{g}_{\zeta([\sigma])\gamma_2}) Q^- \\ &= \sigma^{-1} \exp(\bigoplus_{\gamma_1 \in \Gamma_{[\sigma]}} \mathfrak{g}_{\zeta([\sigma])\gamma_1}) Q^- \stackrel{(8.3.8)}{=} \sigma^{-1} U_{[\sigma]}^+ Q^-, \end{aligned} \tag{8.3.9}$$

where we note that $\bigoplus_{\beta \in \Phi_{[\sigma^{-1}]}} \mathfrak{g}_{\zeta([\sigma])\beta} \subset \mathfrak{n}^- \subset \mathfrak{q}^-$ and $\Phi_{[\sigma^{-1}\kappa]} = \Gamma_{[\sigma]} \amalg \{\gamma \in \Phi_{[\sigma^{-1}\kappa]} \mid \zeta([\sigma])\gamma \in \blacktriangle^+\}$.

(2) is immediate from (1) and Proposition 8.3.2-(v).

(3). By (1), it is enough to prove $G_{\mathbb{C}} = \prod_{[\sigma] \in \mathcal{W}^1} N^+ \sigma^{-1} Q^-$. In terms of $B^+ = N_{G_{\mathbb{C}}}(\mathfrak{b}^+)$ we fix a Bruhat decomposition $G_{\mathbb{C}} = \prod_{[w] \in \mathcal{W}} N^+ w^{-1} B^+$. Then, $\zeta([\kappa])(\Delta^-) = \Delta^+$ yields $G_{\mathbb{C}} = \kappa^{-1} G_{\mathbb{C}} = \prod_{[w] \in \mathcal{W}} N^-(w\kappa)^{-1} B^+ = \prod_{[w] \in \mathcal{W}} N^- w^{-1} B^+$, namely

$$G_{\mathbb{C}} = \prod_{[w] \in \mathcal{W}} N^- w^{-1} B^+. \tag{8.3.10}$$

In a similar way, one can obtain

$$L_{\mathbb{C}} = \prod_{[\tau] \in \mathcal{W}_1} N_1^- \tau^{-1} B_1^+$$

from (8.1.10) and $B_1^+ := N_{L_{\mathbb{C}}}(\mathfrak{h}_{\mathbb{C}} \oplus \mathfrak{n}_1^+)$. This, together with $Q^+ = L_{\mathbb{C}} U^+$ and $B^+ = B_1^+ U^+$, assures that for any $[\sigma] \in \mathcal{W}^1$,

$$N^- \sigma^{-1} Q^+ = N^- \sigma^{-1} L_{\mathbb{C}} U^+ = \bigcup_{[\tau] \in \mathcal{W}_1} N^- \sigma^{-1} (N_1^- \tau^{-1} B_1^+) U^+ = \bigcup_{[\tau] \in \mathcal{W}_1} N^- \sigma^{-1} N_1^- \tau^{-1} B^+ = \prod_{[\tau] \in \mathcal{W}_1} N^- (\tau\sigma)^{-1} B^+, \tag{8.3.11}$$

where $\sigma^{-1} N_1^- \subset N^- \sigma^{-1}$ follows from $[\sigma] \in \mathcal{W}^1$ and Proposition 8.3.2-(iii). Consequently, (8.3.10) and Proposition 8.3.2-(iv) allow us to assert that

$$G_{\mathbb{C}} = \prod_{[\sigma] \in \mathcal{W}^1} N^- \sigma^{-1} Q^+.$$

Thus $G_{\mathbb{C}} = \prod_{[\sigma] \in \mathcal{W}^1} N^+ \sigma^{-1} Q^-$ because of $\bar{\theta}(G_{\mathbb{C}}) = G_{\mathbb{C}}$, $\bar{\theta}(N^-) = N^+$, $\bar{\theta}(\sigma) = \sigma$ and $\bar{\theta}(Q^+) = Q^-$. \square

Remark 8.3.12.

(1) In the proof of Theorem 8.3.7-(3) we gave Bruhat decompositions $G_{\mathbb{C}} = \prod_{[w] \in \mathcal{W}} N^+ w^{-1} B^+$ and $G_{\mathbb{C}} = \prod_{[w] \in \mathcal{W}} N^- w^{-1} B^+$, and generalized Bruhat decompositions $G_{\mathbb{C}} = \prod_{[\sigma] \in \mathcal{W}^1} N^- \sigma^{-1} Q^+$ and $G_{\mathbb{C}} = \prod_{[\sigma] \in \mathcal{W}^1} N^+ \sigma^{-1} Q^-$.

(2) Theorem 8.3.7-(1) and Proposition 8.2.1-(iii), (iv) imply that $N^+ \sigma^{-1} Q^- = \sigma^{-1} U_{[\sigma]}^+ Q^-$ is a connected regular complex submanifold of $G_{\mathbb{C}}$ whose dimension is $\dim_{\mathbb{C}} U^+ - n_{[\sigma]} + \dim_{\mathbb{C}} Q^- (= \dim_{\mathbb{C}} G_{\mathbb{C}} - n_{[\sigma]})$ for each $[\sigma] \in \mathcal{W}^1$.

Taking the proof of Theorem 8.3.7 into consideration, we prove

Lemma 8.3.13. *For every $[\sigma] \in \mathcal{W}^1$, the following three items hold:*

- (1) $N^+\sigma^{-1}Q^- = \coprod_{[\tau] \in \mathcal{W}_1} N^+(\tau\sigma)^{-1}B^-$, where $B^- = N_{G_{\mathbb{C}}}(\mathfrak{b}^-)$.
- (2) $\zeta([\sigma])^{-1}(\blacktriangle^+) = \{\gamma \in \Phi_{[\sigma^{-1}\kappa]} \mid \zeta([\sigma])\gamma \in \blacktriangle^+\}$.
- (3) $\dim_{\mathbb{C}} N^+\sigma^{-1}Q^- \geq \dim_{\mathbb{C}} N^+(\tau\sigma)^{-1}B^-$ for all $[\tau] \in \mathcal{W}_1$, and $\dim_{\mathbb{C}} N^+\sigma^{-1}Q^- = \dim_{\mathbb{C}} N^+\sigma^{-1}B^-$.

Proof. (1) comes from (8.3.11), $\bar{\theta}(N^-) = N^+$, $\bar{\theta}(Q^+) = Q^-$, $\bar{\theta}(B^+) = B^-$ and $\bar{\theta}(w) = w$ ($w \in N_{G_u}(i\mathfrak{h}_{\mathbb{R}})$).

(2). On the one hand; by Proposition 8.3.2-(iii) and $[\sigma] \in \mathcal{W}^1$ we have $\zeta([\sigma])^{-1}(\blacktriangle^+) \subset \Delta^+$. Besides, a direct computation yields $\zeta([\sigma^{-1}\kappa])^{-1}(\zeta([\sigma])^{-1}(\blacktriangle^+)) \subset \zeta([\kappa])^{-1}(\blacktriangle^+) \subset \Delta^-$. Hence we obtain $\zeta([\sigma])^{-1}(\blacktriangle^+) \subset \Phi_{[\sigma^{-1}\kappa]}$ from (8.3.1). Therefore

$$\zeta([\sigma])^{-1}(\blacktriangle^+) \subset \{\gamma \in \Phi_{[\sigma^{-1}\kappa]} \mid \zeta([\sigma])\gamma \in \blacktriangle^+\}.$$

On the other hand; since $\zeta([\sigma]) : \Delta \rightarrow \Delta$ is bijective, the cardinal number $|\{\gamma \in \Phi_{[\sigma^{-1}\kappa]} \mid \zeta([\sigma])\gamma \in \blacktriangle^+\}|$ is less than or equal to $|\blacktriangle^+|$. Consequently (2) follows from $|\blacktriangle^+| = |\zeta([\sigma])^{-1}(\blacktriangle^+)|$.

(3). For any $[\tau] \in \mathcal{W}_1$, both $N^+(\tau\sigma)^{-1}B^-$ and $N^+\sigma^{-1}Q^-$ are regular submanifolds of $G_{\mathbb{C}}$, and moreover, $N^+(\tau\sigma)^{-1}B^- \subset N^+\sigma^{-1}Q^-$ due to (1). Hence we conclude that $\dim_{\mathbb{C}} N^+(\tau\sigma)^{-1}B^- \leq \dim_{\mathbb{C}} N^+\sigma^{-1}Q^-$ for all $[\tau] \in \mathcal{W}_1$. At this stage, the rest of proof is to deduce

$$\dim_{\mathbb{C}} N^+\sigma^{-1}B^- = \dim_{\mathbb{C}} N^+\sigma^{-1}Q^-.$$

In a similar way to (8.3.9) one has

$$\begin{aligned} N^+\sigma^{-1}B^- &= \sigma^{-1} \exp\left(\bigoplus_{\gamma \in \Phi_{[\sigma^{-1}\kappa]}} \mathfrak{g}_{\zeta([\sigma])\gamma}\right) \exp\left(\bigoplus_{\beta \in \Phi_{[\sigma^{-1}]}} \mathfrak{g}_{\zeta([\sigma])\beta}\right) B^- \\ &= \sigma^{-1} \exp\left(\bigoplus_{\gamma \in \Phi_{[\sigma^{-1}\kappa]}} \mathfrak{g}_{\zeta([\sigma])\gamma}\right) B^- \\ &= \sigma^{-1} \exp\left(\bigoplus_{\gamma_1 \in \Gamma_{[\sigma]}} \mathfrak{g}_{\zeta([\sigma])\gamma_1}\right) \exp\left(\bigoplus_{\gamma_2 \in \{\gamma \in \Phi_{[\sigma^{-1}\kappa]} \mid \zeta([\sigma])\gamma \in \blacktriangle^+\}} \mathfrak{g}_{\zeta([\sigma])\gamma_2}\right) B^- \\ &\stackrel{(2)}{=} \sigma^{-1} \exp\left(\bigoplus_{\gamma_1 \in \Gamma_{[\sigma]}} \mathfrak{g}_{\zeta([\sigma])\gamma_1}\right) \exp\left(\bigoplus_{\alpha \in \blacktriangle^+} \mathfrak{g}_{\alpha}\right) B^- \stackrel{(8.3.8)}{=} \sigma^{-1} U_{[\sigma]}^+ N_1^+ B^-, \end{aligned}$$

where $N_1^+ = \exp \mathfrak{n}_1^+$. Therefore it follows from $(U_{[\sigma]}^+ \cap N_1^+ B^-) \subset (U^+ \cap Q^-) = \{e\}$ and $(N_1^+ \cap B^-) \subset (N^+ \cap B^-) = \{e\}$ that

$$\begin{aligned} \dim_{\mathbb{C}} N^+\sigma^{-1}B^- &= \dim_{\mathbb{C}} U_{[\sigma]}^+ N_1^+ B^- = \dim_{\mathbb{C}} U_{[\sigma]}^+ + (\dim_{\mathbb{C}} N_1^+ + \dim_{\mathbb{C}} B^-) \\ &= (\dim_{\mathbb{C}} U^+ - n_{[\sigma]}) + \dim_{\mathbb{C}} Q^- = \dim_{\mathbb{C}} N^+\sigma^{-1}Q^-. \end{aligned}$$

cf. Remark 8.3.12-(2). □

Lemma 8.3.13 provides us with

Proposition 8.3.14. *Let $[\sigma], [\theta] \in \mathcal{W}^1$. If $N^+\theta^{-1}Q^- \subset \overline{N^+\sigma^{-1}Q^-} - N^+\sigma^{-1}Q^-$, then $\dim_{\mathbb{C}} N^+\theta^{-1}Q^- < \dim_{\mathbb{C}} N^+\sigma^{-1}Q^-$ and $n_{[\theta]} > n_{[\sigma]}$. Here we denote by $\overline{N^+\sigma^{-1}Q^-}$ the closure of $N^+\sigma^{-1}Q^-$ in $G_{\mathbb{C}}$.*

Proof. By Lemma 8.3.13-(1) and $[\sigma], [\theta] \in \mathcal{W}^1$ we have

$$\begin{aligned} N^+\theta^{-1}B^- &\subset N^+\theta^{-1}Q^- \subset \overline{N^+\sigma^{-1}Q^-} - N^+\sigma^{-1}Q^- = \overline{\bigcup_{[\tau] \in \mathcal{W}_1} N^+(\tau\sigma)^{-1}B^-} - \bigcup_{[\tau_1] \in \mathcal{W}_1} N^+(\tau_1\sigma)^{-1}B^- \\ &= \bigcup_{[\tau] \in \mathcal{W}_1} \overline{N^+(\tau\sigma)^{-1}B^-} - \bigcup_{[\tau_1] \in \mathcal{W}_1} N^+(\tau_1\sigma)^{-1}B^- \subset \bigcup_{[\tau] \in \mathcal{W}_1} (\overline{N^+(\tau\sigma)^{-1}B^-} - N^+(\tau\sigma)^{-1}B^-) \end{aligned} \quad \textcircled{1}$$

because \mathcal{W}_1 is a finite set. Here each $N^+w^{-1}B^-$ is a Bruhat cell in $G_{\mathbb{C}}$ ($[w] \in \mathcal{W}$), so one knows that⁷

1. for any $[w] \in \mathcal{W}$, $\overline{N^+w^{-1}B^-} - N^+w^{-1}B^-$ is a disjoint union of Bruhat cells of strictly lower dimension,
2. for $[w_1], [w_2] \in \mathcal{W}$, $(N^+w_1^{-1}B^- \cap N^+w_2^{-1}B^-) \neq \emptyset$ if and only if $N^+w_1^{-1}B^- = N^+w_2^{-1}B^-$

(e.g. Theorem 27.4 in Bump [8, p.252]). These, together with $\textcircled{1}$, enable us to see that

$$\overline{N^+(\tau\sigma)^{-1}B^-} - N^+(\tau\sigma)^{-1}B^- \subset \prod_{[w_{[\tau]}] \in \mathcal{W} \text{ with } \dim_{\mathbb{C}} N^+w_{[\tau]}^{-1}B^- < \dim_{\mathbb{C}} N^+(\tau\sigma)^{-1}B^-} N^+w_{[\tau]}^{-1}B^-$$

⁷Remark. One can assert these statements without the supposition that the group $G_{\mathbb{C}}$ is algebraic or simply connected.

for all $[\tau] \in \mathcal{W}_1$; besides, there exist $[\tau_2] \in \mathcal{W}_1$ and $[w_{[\tau_2]}] \in \mathcal{W}$ satisfying

$$N^+\theta^{-1}B^- = N^+w_{[\tau_2]}^{-1}B^-, \quad \dim_{\mathbb{C}} N^+w_{[\tau_2]}^{-1}B^- < \dim_{\mathbb{C}} N^+(\tau_2\sigma)^{-1}B^-.$$

Consequently Lemma 8.3.13-(3) and $[\tau_2] \in \mathcal{W}_1$ yield

$$\dim_{\mathbb{C}} N^+\theta^{-1}Q^- = \dim_{\mathbb{C}} N^+\theta^{-1}B^- < \dim_{\mathbb{C}} N^+(\tau_2\sigma)^{-1}B^- \leq \dim_{\mathbb{C}} N^+\sigma^{-1}Q^-.$$

From $\dim_{\mathbb{C}} N^+\theta^{-1}Q^- < \dim_{\mathbb{C}} N^+\sigma^{-1}Q^-$ we obtain $n_{[\theta]} > n_{[\sigma]}$. cf. Remark 8.3.12-(2). \square

The direct product group $N^+ \times Q^-$ acts on $G_{\mathbb{C}}$ by

$$(N^+ \times Q^-) \times G_{\mathbb{C}} \ni ((n, q), x) \mapsto nxq^{-1} \in G_{\mathbb{C}}.$$

Theorem 8.3.7-(3) tells us that this orbit space coincides with $\{N^+\sigma^{-1}Q^- : [\sigma] \in \mathcal{W}^1\}$. In addition, since the action is continuous and \mathcal{W}^1 is finite, one can show that for any $[\sigma] \in \mathcal{W}^1$ there exist finite elements $[\theta_1], [\theta_2], \dots, [\theta_k] \in \mathcal{W}^1$ such that

$$\overline{N^+\sigma^{-1}Q^-} = N^+\sigma^{-1}Q^- \amalg N^+\theta_1^{-1}Q^- \amalg N^+\theta_2^{-1}Q^- \amalg \dots \amalg N^+\theta_k^{-1}Q^-.$$

Furthermore, Proposition 8.3.14 leads to

Corollary 8.3.15. *For any $[\sigma] \in \mathcal{W}^1$ there exist finite elements $[\theta_1], [\theta_2], \dots, [\theta_k] \in \mathcal{W}^1$ such that $\overline{N^+\sigma^{-1}Q^-} - N^+\sigma^{-1}Q^- = N^+\theta_1^{-1}Q^- \amalg N^+\theta_2^{-1}Q^- \amalg \dots \amalg N^+\theta_k^{-1}Q^-$ and $n_{[\theta_i]} > n_{[\sigma]}$ for all $1 \leq i \leq k$.*

Theorem 8.3.7 leads to the following corollary which is an improvement of Proposition 8.2.1-(iv):

Corollary 8.3.16.

- (i) *The product mapping $U^+ \times Q^- \ni (u, q) \mapsto uq \in G_{\mathbb{C}}$ is a biholomorphism of $U^+ \times Q^-$ onto a dense domain in $G_{\mathbb{C}}$.*
- (ii) *N^+Q^- is a dense domain in $G_{\mathbb{C}}$.*

Proof. (i). We only verify that the image U^+Q^- is dense in $G_{\mathbb{C}}$. For every $[\sigma] \in \mathcal{W}^1 - \{[e]\}$, it follows from Theorem 8.3.7 that $\sigma^{-1}U_{[\sigma]}^+Q^-$ is a submanifold of $G_{\mathbb{C}}$ whose dimension is strictly lower than $\dim_{\mathbb{C}} G_{\mathbb{C}}$, so that it has measure 0 in the manifold $G_{\mathbb{C}}$. Hence, the finite union $\amalg_{[\sigma] \in \mathcal{W}^1 - \{[e]\}} \sigma^{-1}U_{[\sigma]}^+Q^-$ has measure 0 in $G_{\mathbb{C}}$ also, and therefore its complement $G_{\mathbb{C}} - \amalg_{[\sigma] \in \mathcal{W}^1 - \{[e]\}} \sigma^{-1}U_{[\sigma]}^+Q^- = e^{-1}U_{[e]}^+Q^- = U^+Q^-$ is dense in $G_{\mathbb{C}}$.

(ii). By Theorem 8.3.7-(1) one has $N^+Q^- = U^+Q^-$. Hence (ii) comes from (i). \square

8.3.3 An analytic continuation related to Bruhat decompositions

Our aim in this subsection to demonstrate

Theorem 8.3.17. *Let*

$$O := \amalg_{[\sigma] \in \mathcal{W}^1 \text{ with } n_{[\sigma]} \leq 1} N^+\sigma^{-1}Q^-. \quad (8.3.18)$$

Then, it follows that

- (i) *O is a dense domain in $G_{\mathbb{C}}$,*
- (ii) *any holomorphic function f on O can be continued analytically to the whole $G_{\mathbb{C}}$.*

For the aim we first prepare some lemmas and a proposition. We will conclude Theorem 8.3.17 by Hartogs's continuation theorem and $G_{\mathbb{C}} - O$ being of complex codimension 2 or more.

Lemma 8.3.19. *Let M be a topological manifold, let A be a subset of M , and let D be a dense domain in M . Suppose that the subset $A \cup D$ of M is open. Then, $A \cup D$ is a dense domain in M .*

Proof. We only confirm that $A \cup D$ is arcwise connected. Fix a point $p_0 \in D$, and take any $p \in A \cup D$. By the supposition there exists an arcwise connected, open subset $U \subset M$ such that $p \in U \subset A \cup D$. Since $D \subset M$ is dense, there exists a $d \in U \cap D$. Then, p_0 and d (resp. d and p) can be joined by an arc in D (resp. U), and therefore p_0 and p can be joined by an arc in $A \cup D$. \square

Lemma 8.3.20 (Hartogs's continuation theorem). *Let P be an open subset of \mathbb{C}^N defined by $|z^1| < R, |z^2| < R, \dots, |z^N| < R$ for some $R > 0$, and set*

$$A := \{(z^1, \dots, z^k, z^{k+1}, \dots, z^N) \in P \mid z^1 = z^2 = \dots = z^k = 0\},$$

where $2 \leq k \leq N$. Then, for an arbitrary holomorphic function $f : (P - A) \rightarrow \mathbb{C}$, there exists a unique holomorphic function $\tilde{f} : P \rightarrow \mathbb{C}$ such that $f = \tilde{f}$ on $P - A$.

Proof. (Uniqueness). The uniqueness of \tilde{f} comes from P being a domain, $P - A$ being a non-empty open subset of P and the theorem of identity.

(Existence). Let us confirm the existence of \tilde{f} . Take an arbitrary $0 < r_1 < R$, and fix a point $(z^1, z^2, \dots, z^N) \in P$ with $|z^1| < r_1$. Then, for any $|z^1| < |w| < R$ it turns out that $(w, z^2, \dots, z^N) \in P - A$, and hence the definition

$$g(w) := \frac{f(w, z^2, \dots, z^N)}{w - z^1} \text{ for } w \in \{w \in \mathbb{C} : |z^1| < |w| < R\}$$

is well-defined. Furthermore, $g(w)$ is holomorphic on the annular domain $|z^1| < |w| < R$ which includes the circle $C_0 : |w| = r_1$, and consequently

$$\int_{C_0} g(w)dw = \int_{|w|=r_1} \frac{f(w, z^2, \dots, z^N)}{w - z^1} dw$$

exists in \mathbb{C} for every $(z^1, z^2, \dots, z^N) \in D_{r_1} \times D_R \times \dots \times D_R$, where $D_r := \{z \in \mathbb{C} : |z| < r\}$. Now, let us prove that

$$\text{the function } \int_{|w|=r_1} \frac{f(w, z^2, \dots, z^N)}{w - z^1} dw \text{ is holomorphic on } D_{r_1} \times D_R \times \dots \times D_R. \quad \textcircled{1}$$

Taking Hartogs's theorem of holomorphy into account, we will only conclude that the function is holomorphic with respect to each variable z^j ($1 \leq j \leq N$). Let us demonstrate that the function is holomorphic with respect to z^1 . For any $w \in \mathbb{C}$ with $|w| = r_1$, we see that

$$F_w(z^1, z^2, \dots, z^N) := f(w, z^2, \dots, z^N)/(w - z^1) \text{ is holomorphic on } D_{r_1} \times D_R \times \dots \times D_R. \quad \textcircled{a}$$

Hence for a given piecewise differentiable closed curve $C = \sum_{n=1}^m C_n$, $C_n : z^1 = z_n^1(s)$ ($a_n \leq s \leq b_n$) of class C^1 which is contained in D_{r_1} , Cauchy's integral theorem enables us to deduce that for any $w \in \mathbb{C}$ with $|w| = r_1$ and any $(z^2, \dots, z^N) \in D_R \times \dots \times D_R$,

$$\int_C F_w(z^1, z^2, \dots, z^N) dz^1 = 0 \quad \textcircled{b}$$

because D_{r_1} is a star region. Therefore it follows from $f(w, z^2, \dots, z^N)/(w - z^1) = F_w(z^1, z^2, \dots, z^N)$ and $C = \sum_{n=1}^m C_n$, $C_n : z^1 = z_n^1(s)$ ($a_n \leq s \leq b_n$) that

$$\begin{aligned} \int_C \left(\int_{|w|=r_1} \frac{f(w, z^2, \dots, z^N)}{w - z^1} dw \right) dz^1 &= \int_C \left(\int_{|w|=r_1} F_w(z^1, z^2, \dots, z^N) dw \right) dz^1 \\ &= \sum_{n=1}^m \int_{a_n}^{b_n} \left(\int_0^{2\pi} F_{r_1 e^{it}}(z_n^1(s), z^2, \dots, z^N) \frac{dr_1 e^{it}}{dt} dt \right) \frac{dz_n^1(s)}{ds} ds = \int_{|w|=r_1} \left(\int_C F_w(z^1, z^2, \dots, z^N) dz^1 \right) dw \stackrel{\textcircled{b}}{=} 0. \end{aligned}$$

Here we applied Fubini's theorem to the continuous function $[0, 2\pi] \times [a_n, b_n] \ni (t, s) \mapsto \frac{f(r_1 e^{it}, z^2, \dots, z^N)}{r_1 e^{it} - z_n^1(s)} \frac{dr_1 e^{it}}{dt} \frac{dz_n^1(s)}{ds} \in \mathbb{C}$.

The above and Morera's theorem allow us to assert that $\int_{|w|=r_1} (f(w, z^2, \dots, z^N)/(w - z^1)) dw$ is a holomorphic function with respect to the variable $z^1 \in D_{r_1}$. In a similar way, one can assert that with respect to the other variables (because of (a)). Hence $\textcircled{1}$ holds. So, one can define a holomorphic function $\tilde{f} : D_{r_1} \times D_R \times \dots \times D_R \rightarrow \mathbb{C}$ by

$$\tilde{f}(z^1, z^2, \dots, z^N) := \frac{1}{2\pi i} \int_{|w|=r_1} \frac{f(w, z^2, \dots, z^N)}{w - z^1} dw \text{ for } (z^1, z^2, \dots, z^N) \in D_{r_1} \times D_R \times \dots \times D_R. \quad \textcircled{2}$$

The function f coincides with this \tilde{f} on $(D_{r_1} \times D_R \times \dots \times D_R) - A$. Indeed, since $D_R \times (D_R - \{0\}) \times D_R \times \dots \times D_R \subset P - A$, $f(z^1, z^2, z^3, \dots, z^N)$ is holomorphic on $D_R \times (D_R - \{0\}) \times D_R \times \dots \times D_R$. From Cauchy's integral formula for $z^1 \in D_R$ we obtain

$$f(z^1, z^2, z^3, \dots, z^N) = \frac{1}{2\pi i} \int_{|w|=r_1} \frac{f(w, z^2, z^3, \dots, z^N)}{w - z^1} dw \text{ on } D_{r_1} \times (D_R - \{0\}) \times D_R \times \dots \times D_R.$$

This and $\textcircled{2}$ assure that $f = \tilde{f}$ on $D_{r_1} \times (D_R - \{0\}) \times D_R \times \dots \times D_R$. Therefore the theorem of identity enables us to show

$$f = \tilde{f} \text{ on } (D_{r_1} \times D_R \times D_R \times \dots \times D_R) - A$$

because $D_{r_1} \times (D_R - \{0\}) \times D_R \times \cdots \times D_R$ is a non-empty open subset of the domain $(D_{r_1} \times D_R \times D_R \times \cdots \times D_R) - A$. Letting $r_1 \nearrow R$ one can get the conclusion. Here we remark that $P = D_R \times D_R \times \cdots \times D_R$. \square

Lemma 8.3.21. $O = \coprod_{[\sigma] \in \mathcal{W}^1 \text{ with } n_{[\sigma]} \leq 1} N^+ \sigma^{-1} Q^-$ is a dense, domain in $G_{\mathbb{C}}$.

Proof. Corollary 8.3.16-(ii) tells us that $N^+ e^{-1} Q^-$ is a dense domain in $G_{\mathbb{C}}$. By that, Proposition 8.3.2-(v.1) and Lemma 8.3.19, it suffices to conclude that $O \subset G_{\mathbb{C}}$ is open, which is equivalent to that $G_{\mathbb{C}} - O$ is closed in $G_{\mathbb{C}}$. Since \mathcal{W}^1 is a finite set, we deduce

$$\overline{\bigcup_{[\theta] \in \mathcal{W}^1 \text{ with } 2 \leq n_{[\theta]}} N^+ \theta^{-1} Q^-} = \bigcup_{[\theta] \in \mathcal{W}^1 \text{ with } 2 \leq n_{[\theta]}} \overline{N^+ \theta^{-1} Q^-} = \coprod_{[\theta] \in \mathcal{W}^1 \text{ with } 2 \leq n_{[\theta]}} N^+ \theta^{-1} Q^- = G_{\mathbb{C}} - O$$

by Corollary 8.3.15 and Theorem 8.3.7-(3). Accordingly $G_{\mathbb{C}} - O$ is closed in $G_{\mathbb{C}}$. \square

Lemma 8.3.22. The following two items hold for a given $[\sigma] \in \mathcal{W}^1$:

- (1) $\sigma^{-1} U^+ Q^-$ is a dense, domain in $G_{\mathbb{C}}$.
- (2) $\sigma^{-1} U^+_{[\sigma]} Q^-$ is an analytic subset of $\sigma^{-1} U^+ Q^-$ having complex codimension $n_{[\sigma]}$, that is to say, there exist holomorphic functions $f_1, f_2, \dots, f_{n_{[\sigma]}} : \sigma^{-1} U^+ Q^- \rightarrow \mathbb{C}$ such that (2.i) $df_1 \wedge df_2 \wedge \cdots \wedge df_{n_{[\sigma]}} \neq 0$ on $\sigma^{-1} U^+ Q^-$ and (2.ii) $\sigma^{-1} U^+_{[\sigma]} Q^- = \{x \in \sigma^{-1} U^+ Q^- \mid f_1(x) = f_2(x) = \cdots = f_{n_{[\sigma]}}(x) = 0\}$.
- (3) $\sigma^{-1} N^+ Q^-$ is a dense domain in $G_{\mathbb{C}}$, and $N^+ \sigma^{-1} Q^-$ is an analytic subset of $\sigma^{-1} N^+ Q^-$ having complex codimension $n_{[\sigma]}$.

Proof. (1). Since the left translation $L_{\sigma^{-1}} : G_{\mathbb{C}} \rightarrow G_{\mathbb{C}}$ is homeomorphic, we conclude (1) by Corollary 8.3.16-(i).

(2). By Theorem 8.3.7-(1) one can choose a complex basis $\{E_j\}_{j=1}^r$ of \mathfrak{u}^+ so that $\mathfrak{u}^+_{[\sigma]} = \text{span}_{\mathbb{C}}\{E_{n_{[\sigma]}+k}\}_{k=1}^{r-n_{[\sigma]}}$. Let us consider the canonical coordinates z^1, z^2, \dots, z^r of the first kind associated with this basis $\{E_j\}_{j=1}^r \subset \mathfrak{u}^+$. Then,

$$dz^1 \wedge \cdots \wedge dz^{n_{[\sigma]}} \wedge dz^{n_{[\sigma]}+1} \wedge \cdots \wedge dz^r \neq 0 \text{ on } U^+, \quad U^+_{[\sigma]} = \{u \in U^+ \mid z^1(u) = z^2(u) = \cdots = z^{n_{[\sigma]}}(u) = 0\}. \quad \textcircled{1}$$

For each $x \in \sigma^{-1} U^+ Q^-$, Proposition 8.2.1-(iv) assures that there exists a unique $(u, q) \in U^+ \times Q^-$ satisfying $x = \sigma^{-1} u q$, and then one can get a holomorphic function $f_i : \sigma^{-1} U^+ Q^- \rightarrow \mathbb{C}$ by setting $f_i(x) := z^i(u)$ for $1 \leq i \leq n_{[\sigma]}$. These $f_1, f_2, \dots, f_{n_{[\sigma]}}$ are desired functions due to $\textcircled{1}$.

(3) is immediate from (1), (2), $\sigma^{-1} N^+ Q^- = \sigma^{-1} U^+ Q^-$ and $N^+ \sigma^{-1} Q^- = \sigma^{-1} U^+_{[\sigma]} Q^-$. \square

For $[\sigma] \in \mathcal{W}^1$ we set

$$O_{[\sigma]} := \sigma^{-1} N^+ Q^- - \bigcup_{[\tau] \in \mathcal{W}^1 \text{ with } [\sigma] \neq [\tau] \ \& \ n_{[\sigma]} \leq n_{[\tau]}} \overline{N^+ \tau^{-1} Q^-} \quad (8.3.23)$$

and demonstrate

Proposition 8.3.24. For any $[\sigma] \in \mathcal{W}^1$, it follows that

- (i) $O_{[\sigma]}$ is an open subset of $G_{\mathbb{C}}$,
- (ii) $N^+ \sigma^{-1} Q^- \subset O_{[\sigma]} \subset \sigma^{-1} N^+ Q^-$,
- (iii) $O_{[\sigma]} - N^+ \sigma^{-1} Q^- \subset \coprod_{[\eta] \in \mathcal{W}^1 \text{ with } n_{[\eta]} \leq n_{[\sigma]} - 1} N^+ \eta^{-1} Q^-$.

Proof. (i). Since $\sigma^{-1} N^+ Q^- \subset G_{\mathbb{C}}$ is open and \mathcal{W}^1 is finite, we have (i) by (8.3.23).

(ii). It is natural from (8.3.23) that $O_{[\sigma]} \subset \sigma^{-1} N^+ Q^-$. So, let us show $N^+ \sigma^{-1} Q^- \subset O_{[\sigma]}$, namely

$$N^+ \sigma^{-1} Q^- \subset \left(\sigma^{-1} N^+ Q^- - \bigcup_{[\tau] \in \mathcal{W}^1 \text{ with } [\sigma] \neq [\tau] \ \& \ n_{[\sigma]} \leq n_{[\tau]}} \overline{N^+ \tau^{-1} Q^-} \right).$$

Since $N^+ \sigma^{-1} Q^- \subset \sigma^{-1} N^+ Q^-$ it is enough to confirm that

$$x \notin \bigcup_{[\tau] \in \mathcal{W}^1 \text{ with } [\sigma] \neq [\tau] \ \& \ n_{[\sigma]} \leq n_{[\tau]}} \overline{N^+ \tau^{-1} Q^-} \text{ for all } x \in N^+ \sigma^{-1} Q^-. \quad \textcircled{1}$$

Let us use proof by contradiction. Suppose a $y \in N^+ \sigma^{-1} Q^-$ to satisfy $y \in \bigcup_{[\tau] \in \mathcal{W}^1 \text{ with } [\sigma] \neq [\tau] \ \& \ n_{[\sigma]} \leq n_{[\tau]}} \overline{N^+ \tau^{-1} Q^-}$. Then, there exists a $[\tau] \in \mathcal{W}^1$ such that $[\sigma] \neq [\tau]$, $n_{[\sigma]} \leq n_{[\tau]}$ and $y \in \overline{N^+ \tau^{-1} Q^-}$. From $y \in N^+ \sigma^{-1} Q^-$ and $y \in \overline{N^+ \tau^{-1} Q^-}$ one obtains

$$N^+ \sigma^{-1} Q^- \subset \overline{N^+ \tau^{-1} Q^-}.$$

Here we recall that $N^+\sigma^{-1}Q^-$ is an orbit of the group $N^+ \times Q^-$ and $N^+\tau^{-1}Q^-$ is also. In case of $N^+\sigma^{-1}Q^- \cap N^+\tau^{-1}Q^- = \emptyset$ Corollary 8.3.15 and $N^+\sigma^{-1}Q^- \subset \overline{N^+\tau^{-1}Q^-}$ cause $n_{[\tau]} < n_{[\sigma]}$, which is a contradiction to $n_{[\sigma]} \leq n_{[\tau]}$. Even if $N^+\sigma^{-1}Q^- \cap N^+\tau^{-1}Q^- \neq \emptyset$, we have $[\sigma] = [\tau]$, which contradicts $[\sigma] \neq [\tau]$. Hence ① holds.

(iii). By a direct computation we obtain

$$\begin{aligned} O_{[\sigma]} - N^+\sigma^{-1}Q^- &\stackrel{(8.3.23)}{=} \left(\sigma^{-1}N^+Q^- - \bigcup_{[\tau] \in \mathcal{W}^1 \text{ with } [\sigma] \neq [\tau] \text{ \& } n_{[\sigma]} \leq n_{[\tau]}} \overline{N^+\tau^{-1}Q^-} \right) - N^+\sigma^{-1}Q^- \\ &\subset \left(\sigma^{-1}N^+Q^- - \bigcup_{[\tau] \in \mathcal{W}^1 \text{ with } [\sigma] \neq [\tau] \text{ \& } n_{[\sigma]} \leq n_{[\tau]}} N^+\tau^{-1}Q^- \right) - N^+\sigma^{-1}Q^- \\ &\subset \sigma^{-1}N^+Q^- - \bigcup_{[\theta] \in \mathcal{W}^1 \text{ with } n_{[\sigma]} \leq n_{[\theta]}} N^+\theta^{-1}Q^- \\ &\subset G_{\mathbb{C}} - \prod_{[\theta] \in \mathcal{W}^1 \text{ with } n_{[\sigma]} \leq n_{[\theta]}} N^+\theta^{-1}Q^-. \end{aligned}$$

This, combined with Theorem 8.3.7-(3), gives rise to (iii). \square

Utilizing the notation $O_{[\sigma]}$ in (8.3.23) we show

Lemma 8.3.25. *Let D be a dense domain in $G_{\mathbb{C}}$. For each $[\sigma] \in \mathcal{W}^1$, the following two items hold:*

- (1) $O_{[\sigma]} \cup D$ be a dense domain in $G_{\mathbb{C}}$.
- (2) Suppose that (s1) $2 \leq n_{[\sigma]}$ and (s2) $O_{[\sigma]} - N^+\sigma^{-1}Q^- \subset D$. Then, for a given holomorphic function $f : D \rightarrow \mathbb{C}$, there exists a unique holomorphic function $\tilde{f} : O_{[\sigma]} \cup D \rightarrow \mathbb{C}$ such that $f = \tilde{f}$ on D .

Proof. (1) is a consequence of Lemma 8.3.19 and Proposition 8.3.24-(i).

(2). The uniqueness of $\tilde{f} : O_{[\sigma]} \cup D \rightarrow \mathbb{C}$ follows by (1) and the theorem of identity. We are going to verify its existence. First, let us establish the following:

For each $x \in O_{[\sigma]} \cup D$, there exist an open neighborhood P_x of $x \in O_{[\sigma]} \cup D$ and a holomorphic function $\tilde{f}_x : P_x \rightarrow \mathbb{C}$ such that $f = \tilde{f}_x$ on $P_x - N^+\sigma^{-1}Q^-$. $\textcircled{1}$

Here we note that (s2) assures $P_x - N^+\sigma^{-1}Q^- \subset D$, so f exists on $P_x - N^+\sigma^{-1}Q^-$. Now, fix any $x \in O_{[\sigma]} \cup D$. In either of the cases $x \in D$ and $x \in O_{[\sigma]} - N^+\sigma^{-1}Q^-$, it follows from (s2) that $x \in D$, and hence we deduce ① by putting

$$P_x := D, \quad \tilde{f}_x := f.$$

Let us consider the remaining case

$$x \in N^+\sigma^{-1}Q^-$$

from now on. By Proposition 8.3.24-(i), (ii) and Lemma 8.3.22-(3), there exist holomorphic functions $h_1, \dots, h_{n_{[\sigma]}} : O_{[\sigma]} \rightarrow \mathbb{C}$ which satisfy

$$dh_1 \wedge \cdots \wedge dh_{n_{[\sigma]}} \neq 0 \text{ on } O_{[\sigma]}, \quad N^+\sigma^{-1}Q^- = \{y \in O_{[\sigma]} \mid h_1(y) = \cdots = h_{n_{[\sigma]}}(y) = 0\}.$$

Then, the inverse mapping theorem enables us to take a holomorphic coordinate neighborhood (P_x, ψ) of $x \in O_{[\sigma]}$ such that (i) $z^j(\psi(x)) = 0$ for all $1 \leq j \leq N = \dim_{\mathbb{C}} O_{[\sigma]}$, (ii) ψ is a homeomorphism of P_x onto an open subset of \mathbb{C}^N defined by $|z^1| < R, \dots, |z^N| < R$ for some $R > 0$ and (iii) $z^i \circ \psi = h_i$ for all $1 \leq i \leq n_{[\sigma]}$. Consequently Lemma 8.3.20 and (s1) imply that for the holomorphic function $f : (P_x - N^+\sigma^{-1}Q^-) \rightarrow \mathbb{C}$, there exists a unique holomorphic function $\tilde{f}_x : P_x \rightarrow \mathbb{C}$ such that $f = \tilde{f}_x$ on $P_x - N^+\sigma^{-1}Q^-$. Thus ① holds. One can construct a holomorphic function $\tilde{f} : O_{[\sigma]} \cup D \rightarrow \mathbb{C}$ from ① and

$$\tilde{f} := \tilde{f}_x|_{P_x} \text{ for } x \in O_{[\sigma]} \cup D. \quad \textcircled{2}$$

Here, it is necessary to confirm that ② is well-defined. If $P_x \cap P_y \neq \emptyset$ ($x, y \in O_{[\sigma]} \cup D$), then one can show that

$$\tilde{f}_x = \tilde{f}_y \text{ on } P_x \cap P_y$$

because (a) both \tilde{f}_x, \tilde{f}_y are continuous on $P_x \cap P_y$, (b) $\tilde{f}_x = f = \tilde{f}_y$ on $P_x \cap P_y - N^+\sigma^{-1}Q^-$, and (c) $P_x \cap P_y - N^+\sigma^{-1}Q^-$ is dense in $P_x \cap P_y$ ($\because 1 \leq n_{[\sigma]}$). Accordingly ② is well-defined. For the function \tilde{f} in ②, we conclude that $f = \tilde{f}$ on D . \square

We are in a position to accomplish the aim.

Proof of Theorem 8.3.17. (i). cf. Lemma 8.3.21.

(ii). Take any holomorphic function f on O . First, let $k_2 := \min\{n_{[\sigma]} \in \mathbb{N} : [\sigma] \in \mathcal{W}^1, 2 \leq n_{[\sigma]}\}$. For every $[\sigma] \in \mathcal{W}^1$ with $n_{[\sigma]} = k_2$, Proposition 8.3.24-(iii) implies

$$O_{[\sigma]} - N^+ \sigma^{-1} Q^- \subset \prod_{[\eta] \in \mathcal{W}^1 \text{ with } n_{[\eta]} \leq k_2 - 1} N^+ \eta^{-1} Q^- = \prod_{[\theta] \in \mathcal{W}^1 \text{ with } n_{[\theta]} \leq 1} N^+ \theta^{-1} Q^- \stackrel{(8.3.18)}{=} O.$$

Hence for every $[\sigma] \in \mathcal{W}^1$ with $n_{[\sigma]} = k_2$, the function f can be continued analytically from O to the dense domain $O \cup O_{[\sigma]}$ by virtue of (i), $2 \leq k_2 = n_{[\sigma]}$ and Lemma 8.3.25. Furthermore, the theorem of identity assures that f can be continued analytically from $O \cup O_{[\sigma]}$ to the dense domain $O_2 := O \cup (\bigcup_{[\sigma] \in \mathcal{W}^1 \text{ with } n_{[\sigma]} = k_2} O_{[\sigma]})$. Here Proposition 8.3.24-(ii) and (8.3.18) yield

$$\prod_{[\theta] \in \mathcal{W}^1 \text{ with } n_{[\theta]} \leq k_2} N^+ \theta^{-1} Q^- \subset O_2. \quad (\text{a})$$

Next, let $k_3 := \min\{n_{[\rho]} \in \mathbb{N} : [\rho] \in \mathcal{W}^1, k_2 + 1 \leq n_{[\rho]}\}$. For any $[\rho] \in \mathcal{W}^1$ with $n_{[\rho]} = k_3$, we obtain

$$O_{[\rho]} - N^+ \rho^{-1} Q^- \subset \prod_{[\eta] \in \mathcal{W}^1 \text{ with } n_{[\eta]} \leq k_3 - 1} N^+ \eta^{-1} Q^- = \prod_{[\theta] \in \mathcal{W}^1 \text{ with } n_{[\theta]} \leq k_2} N^+ \theta^{-1} Q^- \subset O_2$$

from Proposition 8.3.24-(iii) and (a). Accordingly, we conclude that f can be continued analytically from O_2 to the dense domain $O_3 := O_2 \cup (\bigcup_{[\rho] \in \mathcal{W}^1 \text{ with } n_{[\rho]} = k_3} O_{[\rho]})$ and $\prod_{[\theta] \in \mathcal{W}^1 \text{ with } n_{[\theta]} \leq k_3} N^+ \theta^{-1} Q^- \subset O_3$ by arguments similar to those stated above and (a). Now, let $k_4 := \min\{n_{[\varsigma]} \in \mathbb{N} : [\varsigma] \in \mathcal{W}^1, k_3 + 1 \leq n_{[\varsigma]}\}$. Then, f can be continued analytically from O_3 to the dense domain $O_4 := O_3 \cup (\bigcup_{[\varsigma] \in \mathcal{W}^1 \text{ with } n_{[\varsigma]} = k_4} O_{[\varsigma]})$ and $\prod_{[\theta] \in \mathcal{W}^1 \text{ with } n_{[\theta]} \leq k_4} N^+ \theta^{-1} Q^- \subset O_4$. By inductive arguments we can get the conclusion. \square

Remark 8.3.26. The O in Theorem 8.3.17 is also expressed as

$$\begin{aligned} O &= \prod_{[\sigma] \in \mathcal{W}^1 \text{ with } n_{[\sigma]} \leq 1} N^+ \sigma^{-1} Q^- = N^+ Q^- \amalg \left(\prod_{\beta \in \Pi_{\Delta} - \blacktriangle} N^+ w_{\beta}^{-1} Q^- \right) \\ &= \prod_{[\sigma] \in \mathcal{W}^1 \text{ with } n_{[\sigma]} \leq 1} \sigma^{-1} U_{[\sigma]}^+ Q^- = U^+ Q^- \amalg \left(\prod_{\beta \in \Pi_{\Delta} - \blacktriangle} w_{\beta}^{-1} U_{[w_{\beta}]}^+ Q^- \right). \end{aligned}$$

Here $\blacktriangle = \{\gamma \in \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}_{\mathbb{C}}) \mid \gamma(T) = 0\}$. cf. Theorem 8.3.7-(1), Proposition 8.3.2-(v).

The following lemma will be needed in the next chapter:

Lemma 8.3.27. *For each $\beta \in \Pi_{\Delta} - \blacktriangle$, the following three items hold:*

- (1) $N^+ Q^- \cap w_{\beta}^{-1} N^+ Q^- = (\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\alpha}) w_{\beta}^{-1} \exp(\mathfrak{g}_{\beta} - \{0\}) Q^-$.
- (2) $N^+ Q^- \cap w_{\beta}^{-1} N^+ Q^-$ is a dense domain in $G_{\mathbb{C}}$.
- (3) $U^+ Q^- \cap w_{\beta}^{-1} U^+ Q^-$ is a dense domain in $G_{\mathbb{C}}$.

Proof. (1). First, let us demonstrate that $(\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\alpha}) w_{\beta}^{-1} \exp(\mathfrak{g}_{\beta} - \{0\}) Q^- \subset N^+ Q^- \cap w_{\beta}^{-1} N^+ Q^-$. Since $\beta \in \Pi_{\Delta}$ one has $\zeta([w_{\beta}])(\Delta^+ - \{\beta\}) = \Delta^+ - \{\beta\}$. Hence

$$(\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\alpha}) w_{\beta}^{-1} = w_{\beta}^{-1} (\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\zeta([w_{\beta}])\alpha}) = w_{\beta}^{-1} (\exp \bigoplus_{\delta \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\delta}) \subset w_{\beta}^{-1} N^+.$$

This, together with $\mathfrak{g}_{\beta} \subset \mathfrak{n}^+$, gives rise to

$$\left((\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\alpha}) w_{\beta}^{-1} \right) \exp(\mathfrak{g}_{\beta} - \{0\}) Q^- \subset (w_{\beta}^{-1} N^+) N^+ Q^- \subset w_{\beta}^{-1} N^+ Q^-. \quad (\text{a})$$

Since $\mathfrak{s}_{\beta} = \text{span}_{\mathbb{C}}\{H_{\beta}^*, E_{\beta}, E_{-\beta}\}$ is a complex subalgebra of $\mathfrak{g}_{\mathbb{C}}$ which is isomorphic to $\mathfrak{sl}(2, \mathbb{C})$, the connected Lie subgroup $S_{\beta} \subset G_{\mathbb{C}}$ corresponding to \mathfrak{s}_{β} is isomorphic to either $SL(2, \mathbb{C})$ or $SL(2, \mathbb{C})/\mathbb{Z}_2$. Accordingly, for any $z \in \mathbb{C} - \{0\}$ we obtain

$$w_{\beta}^{-1} \exp(z E_{\beta}) = \exp((-1/z) E_{\beta}) \exp(-(\log r + i\theta) H_{\beta}^*) \exp((1/z) E_{-\beta}) \quad (8.3.28)$$

from (8.1.4), where $z = r e^{i\theta}$, $r > 0$, $-\pi < \theta \leq \pi$. Then, it turns out that $w_{\beta}^{-1} \exp(\mathfrak{g}_{\beta} - \{0\}) \subset (\exp \mathfrak{g}_{\beta}) L_{\mathbb{C}} U^- \subset N^+ Q^-$, and so

$$(\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\alpha}) (w_{\beta}^{-1} \exp(\mathfrak{g}_{\beta} - \{0\})) Q^- \subset N^+ (N^+ Q^-) Q^- \subset N^+ Q^-. \quad (\text{b})$$

From (a) and (b) we conclude that $(\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\alpha}) w_{\beta}^{-1} \exp(\mathfrak{g}_{\beta} - \{0\}) Q^- \subset N^+ Q^- \cap w_{\beta}^{-1} N^+ Q^-$. Next, let us show that the converse inclusion also holds. Take an arbitrary $x \in N^+ Q^- \cap w_{\beta}^{-1} N^+ Q^-$. Proposition 8.3.2-(i), $\Phi_{[w_{\beta}]} = \{\beta\}$ and $\Phi_{[w_{\beta} \kappa]} = \Delta^+ - \{\beta\}$ imply that $N^+ = (\exp \bigoplus_{\delta \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\delta}) \exp \mathfrak{g}_{\beta}$, and moreover

$$w_{\beta}^{-1} N^+ Q^- = w_{\beta}^{-1} (\exp \bigoplus_{\delta \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\delta}) (\exp \mathfrak{g}_{\beta}) Q^- = (\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_{\alpha}) w_{\beta}^{-1} (\exp \mathfrak{g}_{\beta}) Q^-.$$

Hence there exist $n \in \exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_\alpha$, $z_1 \in \mathbb{C}$ and $q \in Q^-$ satisfying $x = nw_\beta^{-1} \exp(z_1 E_\beta) q$. Then, we can assert that $x \in (\exp \bigoplus_{\alpha \in \Delta^+ - \{\beta\}} \mathfrak{g}_\alpha) w_\beta^{-1} \exp(\mathfrak{g}_\beta - \{0\}) Q^-$, if

$$z_1 \neq 0. \quad (c)$$

For this reason, the rest of proof is to confirm (c). Let us use proof by contradiction. Suppose that $z_1 = 0$. Then, it follows that $x = nw_\beta^{-1} \exp(z_1 E_\beta) q = nw_\beta^{-1} q \in N^+ w_\beta^{-1} Q^-$, and $x \in N^+ Q^- \cap N^+ w_\beta^{-1} Q^-$. This is a contradiction to Theorem 8.3.7-(3). Therefore (c) holds.

(2). (1) implies that $N^+ Q^- \cap w_\beta^{-1} N^+ Q^-$ is connected; furthermore, it is a dense domain in $G_{\mathbb{C}}$ by Corollary 8.3.16-(ii).

(3) is an easy consequence of (2) and $N^+ Q^- = U^+ Q^-$. \square

Chapter 9

Homogeneous symplectic manifolds

In this chapter we first study homogeneous symplectic manifolds and afterwards investigate relation between homogeneous symplectic manifolds and adjoint orbits of semisimple Lie groups.

9.1 Invariant symplectic forms on homogeneous spaces and skew-symmetric bilinear forms on Lie algebras

Let us establish the following theorem which will play a role in the next section:

Theorem 9.1.1. *Let G be a (real) Lie group which satisfies the second countability axiom, let H be a closed subgroup of G , let π denote the projection of G onto G/H , and let $o := \pi(e)$. Then, the following two items (I) and (II) hold:*

(I) *Suppose the homogeneous space G/H to admit a G -invariant symplectic form Ω . Then, there exists a unique skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfying the following four conditions:*

$$(s.1) \quad \omega([X_1, X_2], X_3) + \omega([X_2, X_3], X_1) + \omega([X_3, X_1], X_2) = 0 \text{ for all } X_1, X_2, X_3 \in \mathfrak{g},$$

$$(s.2) \quad \mathfrak{h} = \{Z \in \mathfrak{g} \mid \omega(Z, X) = 0 \text{ for all } X \in \mathfrak{g}\},$$

$$(s.3) \quad \omega(\text{Ad } z(X), \text{Ad } z(Y)) = \omega(X, Y) \text{ for all } z \in H \text{ and } X, Y \in \mathfrak{g},$$

$$(s.4) \quad \omega(X, Y) = \Omega_o((d\pi)_e X_e, (d\pi)_e Y_e) \text{ for all } X, Y \in \mathfrak{g}.$$

(II) *Suppose that there exists a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfying the above three conditions (s.1), (s.2) and (s.3). Then, G/H admits a unique G -invariant symplectic form Ω so that ω is related to Ω by (s.4).*

Here G/H is an n -dimensional real analytic manifold in view of Theorem 1.1.2, and we identify the real constants with the real-valued constant functions on G .

Proof. (I). Let Ω be a G -invariant symplectic form on G/H . Define a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ by

$$\omega(X, Y) := \Omega_o((d\pi)_e X_e, (d\pi)_e Y_e) \text{ for } X, Y \in \mathfrak{g}. \quad (9.1.2)$$

Needless to say, (s.4) holds for this ω . For any $z \in H$ and $X, Y \in \mathfrak{g}$, we obtain

$$\begin{aligned} \omega(\text{Ad } z(X), \text{Ad } z(Y)) &\stackrel{(9.1.2)}{=} \Omega_o((d\pi)_e(\text{Ad } z(X))_e, (d\pi)_e(\text{Ad } z(Y))_e) = \Omega_o((d\tau_z)_o((d\pi)_e X_e), (d\tau_z)_o((d\pi)_e Y_e)) \\ &= \Omega_o((d\pi)_e X_e, (d\pi)_e Y_e) \stackrel{(9.1.2)}{=} \omega(X, Y) \end{aligned}$$

because Ω is G -invariant and $\pi(z) = o$ (see Corollary 1.1.7 for τ_z). Hence (s.3) holds for ω . We are going to confirm that the rest of conditions (s.1) and (s.2) holds for ω .

(s.1). Set

$$\hat{\omega}_g(u, v) := \Omega_{\pi(g)}((d\pi)_g u, (d\pi)_g v) \text{ for } g \in G \text{ and } u, v \in T_g G,$$

namely $\hat{\omega}$ is the pullback of Ω by $\pi : G \rightarrow G/H$. Then $\hat{\omega}_g(X_g, Y_g) = \hat{\omega}_e(X_e, Y_e)$ for all $g \in G$ and $X, Y \in \mathfrak{g}$, since Ω is G -invariant. Accordingly we see that for each $X, Y \in \mathfrak{g}$,

$$\text{the mapping } G \ni g \mapsto \hat{\omega}_g(X_g, Y_g) \in \mathbb{R} \text{ is the constant function with the value } \hat{\omega}_e(X_e, Y_e). \quad \textcircled{1}$$

Identifying the real constants with the real-valued constant functions on G , one may assume that

$$\omega(X, Y) = \hat{\omega}(X, Y) \text{ for all } X, Y \in \mathfrak{g}$$

by (9.1.2). Hence it suffices to confirm that (s.1) holds for the $\hat{\omega}$. Moreover, it follows from $d\Omega = 0$ and $\hat{\omega} = \pi^*\Omega$ that $d\hat{\omega} = 0$, so that for all $X_1, X_2, X_3 \in \mathfrak{g}$,

$$\begin{aligned} 0 &= (d\hat{\omega})(X_1, X_2, X_3) \\ &= X_1(\hat{\omega}(X_2, X_3)) - X_2(\hat{\omega}(X_1, X_3)) + X_3(\hat{\omega}(X_1, X_2)) - \hat{\omega}([X_1, X_2], X_3) + \hat{\omega}([X_1, X_3], X_2) - \hat{\omega}([X_2, X_3], X_1) \\ &\stackrel{\textcircled{1}}{=} -\hat{\omega}([X_1, X_2], X_3) - \hat{\omega}([X_3, X_1], X_2) - \hat{\omega}([X_2, X_3], X_1). \end{aligned}$$

Thus (s.1) holds.

(s.2). Let $\mathfrak{h}_\omega := \{Z \in \mathfrak{g} \mid \omega(Z, X) = 0 \text{ for all } X \in \mathfrak{g}\}$. We want to show $\mathfrak{h} = \mathfrak{h}_\omega$. First, let us show $\mathfrak{h} \subset \mathfrak{h}_\omega$. Take any $Z \in \mathfrak{h}$. Then, it is immediate from (9.1.2) and $(d\pi)_e Z_e = 0$ that $\omega(Z, X) = \Omega_o((d\pi)_e Z_e, (d\pi)_e X_e) = 0$ for all $X \in \mathfrak{g}$. Therefore the inclusion $\mathfrak{h} \subset \mathfrak{h}_\omega$ follows. Next, let us prove that the converse inclusion also holds. For $Y \in \mathfrak{g}$ we suppose that $\omega(Y, X) = 0$ for all $X \in \mathfrak{g}$. Then,

$$\Omega_o((d\pi)_e Y_e, (d\pi)_e X_e) \stackrel{(9.1.2)}{=} \omega(Y, X) = 0$$

for all $X \in \mathfrak{g}$. This yields $(d\pi)_e Y_e = 0$ because Ω_o is non-degenerate on the vector space $T_o(G/H)$ and the mapping $\mathfrak{g} \ni X \mapsto (d\pi)_e X_e \in T_o(G/H)$ is surjective. By $(d\pi)_e Y_e = 0$ and Lemma 1.1.13 we conclude $Y \in \mathfrak{h}$, and $\mathfrak{h}_\omega \subset \mathfrak{h}$. Therefore $\mathfrak{h} = \mathfrak{h}_\omega$, and (s.2) holds. Thus one can conclude (I) since (s.4) assures the uniqueness of ω .

(II). Now, suppose that a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfies the following three conditions:

$$(s.1) \quad \omega([X_1, X_2], X_3) + \omega([X_2, X_3], X_1) + \omega([X_3, X_1], X_2) = 0 \text{ for all } X_1, X_2, X_3 \in \mathfrak{g},$$

$$(s.2) \quad \mathfrak{h} = \{Z \in \mathfrak{g} \mid \omega(Z, X) = 0 \text{ for all } X \in \mathfrak{g}\},$$

$$(s.3) \quad \omega(\text{Ad } z(X), \text{Ad } z(Y)) = \omega(X, Y) \text{ for all } z \in H \text{ and } X, Y \in \mathfrak{g}.$$

Our aim is to construct a G -invariant symplectic form Ω on G/H , on this supposition. First, let us construct a closed differential form $\tilde{\omega}$ of degree 2 on G from the ω . Let $\{E_r\}_{r=1}^N$ be a real basis of \mathfrak{g} , and set

$$\mathcal{C}^\infty(G) := \{\tilde{f} : G \rightarrow \mathbb{R} \mid \tilde{f} \text{ is of class } C^\infty\}.$$

Since $\{(E_r)_g\}_{r=1}^N$ is a real basis of the vector space $T_g G$ for each $g \in G$, an arbitrary vector $U \in \mathfrak{X}(G)$ is uniquely expressed as $U = \sum_{r=1}^N \tilde{f}_r E_r$, $\tilde{f}_r \in \mathcal{C}^\infty(G)$. Then, for $V = \sum_{s=1}^N \tilde{h}_s E_s \in \mathfrak{X}(G)$ ($\tilde{h}_s \in \mathcal{C}^\infty(G)$) we put

$$\tilde{\omega}_g(U_g, V_g) := \sum_{r,s=1}^N \tilde{f}_r(g) \tilde{h}_s(g) \omega(E_r, E_s) \text{ for } g \in G. \quad (\text{a})$$

This (a) is independent of the choice of $\{E_r\}_{r=1}^N$ because ω is \mathbb{R} -bilinear. So, $\tilde{\omega}$ is a differential form of degree 2 on G . From now on, we are going to verify that the $\tilde{\omega}$ is closed. One can express $[E_r, E_s]$ as $[E_r, E_s] = \sum_{\ell=1}^N c_{rs}^\ell E_\ell$, $c_{rs}^\ell \in \mathbb{R}$. For any $X, Y, Z \in \mathfrak{g}$ there uniquely exist $a^r, b^s, c^t \in \mathbb{R}$ satisfying $X = \sum_{r=1}^N a^r E_r, Y = \sum_{s=1}^N b^s E_s, Z = \sum_{t=1}^N c^t E_t$; then all $\tilde{\omega}(Y, Z)$, $\tilde{\omega}(X, Z)$ and $\tilde{\omega}(X, Y)$ are constant functions on G due to (a), and moreover,

$$\begin{aligned} (d\tilde{\omega})(X, Y, Z) &= X(\tilde{\omega}(Y, Z)) - Y(\tilde{\omega}(X, Z)) + Z(\tilde{\omega}(X, Y)) - \tilde{\omega}([X, Y], Z) + \tilde{\omega}([X, Z], Y) - \tilde{\omega}([Y, Z], X) \\ &= -\tilde{\omega}([X, Y], Z) - \tilde{\omega}([Z, X], Y) - \tilde{\omega}([Y, Z], X) \\ &\stackrel{\text{(a)}}{=} - \sum_{r,s,t,\ell=1}^N a^r b^s c^t c_{rs}^\ell \omega(E_\ell, E_t) - \sum_{r,s,t,\ell=1}^N a^r b^s c^t c_{tr}^\ell \omega(E_\ell, E_s) - \sum_{r,s,t,\ell=1}^N a^r b^s c^t c_{st}^\ell \omega(E_\ell, E_r) \\ &= -\omega([X, Y], Z) - \omega([Z, X], Y) - \omega([Y, Z], X) \quad (\because \omega \text{ is } \mathbb{R}\text{-bilinear}) \\ &\stackrel{\text{(s.1)}}{=} 0, \end{aligned}$$

namely $(d\tilde{\omega})(X, Y, Z) = 0$ for all $X, Y, Z \in \mathfrak{g}$. This gives rise to

$$(d\tilde{\omega})(U, V, W) = 0 \text{ for all } U, V, W \in \mathfrak{X}(G)$$

because $d\tilde{\omega}$ is $\mathcal{C}^\infty(G)$ -multilinear and $\mathfrak{X}(G)$ is generated by smooth functions $\tilde{f} : G \rightarrow \mathbb{R}$ and elements $X \in \mathfrak{g}$. Thus $\tilde{\omega}$ is closed. Consequently $\tilde{\omega}$ is a closed, differential form of degree 2 on G . Identifying the real constants with the real-valued constant functions on G , one may assume that

$$\omega(X, Y) = \tilde{\omega}(X, Y) \text{ for all } X, Y \in \mathfrak{g} \quad (\text{b})$$

by (a). From now on, let us construct a G -invariant symplectic form Ω on G/H . For given vectors $u, v \in T_o(G/H)$, we choose $X, Y \in \mathfrak{g}$ so that $u = (d\pi)_e X_e, v = (d\pi)_e Y_e$, and set

$$\Omega_o(u, v) = \Omega_o((d\pi)_e X_e, (d\pi)_e Y_e) := \omega(X, Y). \quad (c)$$

Lemma 1.1.13 and (s.2) assure that (c) is independent of the choice of X and Y , and that Ω_o is non-degenerate. Therefore Ω_o is a symplectic form on the vector space $T_o(G/H)$. Then, one defines a symplectic form $\Omega_{\pi(g)}$ on $T_{\pi(g)}(G/H)$ ($g \in G$) by

$$\Omega_{\pi(g)}(w_1, w_2) := \Omega_o((d\tau_{g^{-1}})_{\pi(g)} w_1, (d\tau_{g^{-1}})_{\pi(g)} w_2) \text{ for } w_1, w_2 \in T_{\pi(g)}(G/H). \quad (d)$$

Here we remark that (d) is well-defined by virtue of (s.3) and (c). From (d) it follows that Ω is G -invariant. If we show that Ω is of class C^∞ and $d\Omega = 0$, then one can assert that Ω is a G -invariant symplectic form on G/H .

(class C^∞). We are going to show that Ω is of class C^∞ . For any point $p \in G/H$, there exist coordinate neighborhoods $(U, (y^1, \dots, y^n))$ of class C^ω of G/H and $(\pi^{-1}(U), (x^1, \dots, x^n, x^{n+1}, \dots, x^N))$ of class C^ω of G such that $p \in U$ and $x^i = y^i \circ \pi$ on $\pi^{-1}(U)$ for all $1 \leq i \leq n$; moreover, there exists a real analytic mapping $\sigma : U \rightarrow G$ such that $\pi(\sigma(q)) = q$ for all $q \in U$ (cf. Section 1.3). Therefore, for any $g \in \pi^{-1}(U)$ and $1 \leq i, j \leq n$ we obtain

$$\begin{aligned} \Omega_{\pi(g)}\left(\left(\frac{\partial}{\partial y^i}\right)_{\pi(g)}, \left(\frac{\partial}{\partial y^j}\right)_{\pi(g)}\right) &\stackrel{(d)}{=} \Omega_o\left((d\tau_{g^{-1}})_{\pi(g)}\left(\frac{\partial}{\partial y^i}\right)_{\pi(g)}, (d\tau_{g^{-1}})_{\pi(g)}\left(\frac{\partial}{\partial y^j}\right)_{\pi(g)}\right) \\ &= \Omega_o\left((d\tau_{g^{-1}})_{\pi(g)}\left((d\pi)_g\left(\frac{\partial}{\partial x^i}\right)_g\right), (d\tau_{g^{-1}})_{\pi(g)}\left((d\pi)_g\left(\frac{\partial}{\partial x^j}\right)_g\right)\right) \quad (\because x^i = y^i \circ \pi) \\ &= \Omega_o\left((d\pi)_e\left((dL_{g^{-1}})_g\left(\frac{\partial}{\partial x^i}\right)_g\right), (d\pi)_e\left((dL_{g^{-1}})_g\left(\frac{\partial}{\partial x^j}\right)_g\right)\right). \end{aligned}$$

Temporarily we express $(dL_{g^{-1}})_g(\partial/\partial x^k)_g \in T_e G$ as $(dL_{g^{-1}})_g(\partial/\partial x^k)_g = X_e^k$ with $X^k \in \mathfrak{g}$, and then the last term is

$$\begin{aligned} \Omega_o\left((d\pi)_e\left((dL_{g^{-1}})_g\left(\frac{\partial}{\partial x^i}\right)_g\right), (d\pi)_e\left((dL_{g^{-1}})_g\left(\frac{\partial}{\partial x^j}\right)_g\right)\right) &= \Omega_o((d\pi)_e X_e^i, (d\pi)_e X_e^j) \stackrel{(c)}{=} \omega(X^i, X^j) \stackrel{(b)}{=} \tilde{\omega}_g(X_g^i, X_g^j) \\ &= \tilde{\omega}_g((dL_g)_e X_e^i, (dL_g)_e X_e^j) = \tilde{\omega}_g\left(\left(\frac{\partial}{\partial x^i}\right)_g, \left(\frac{\partial}{\partial x^j}\right)_g\right). \end{aligned}$$

Therefore, it turns out that $\Omega_{ij} \circ \pi = \tilde{\omega}_{ij}$ on $\pi^{-1}(U)$ ($1 \leq i, j \leq n$), where $\Omega_{ij} := \Omega(\partial/\partial y^i, \partial/\partial y^j)$, $\tilde{\omega}_{ij} := \tilde{\omega}(\partial/\partial x^i, \partial/\partial x^j)$. Furthermore, $\pi \circ \sigma = \text{id}$ yields

$$\Omega_{ij} = \tilde{\omega}_{ij} \circ \sigma \text{ on } U \quad (1 \leq i, j \leq n). \quad (e)$$

This (e) implies that Ω is of class C^∞ because $\sigma : U \rightarrow \pi^{-1}(U)$ is real analytic and $\tilde{\omega}_{ij} : \pi^{-1}(U) \rightarrow \mathbb{R}$ is smooth.

($d\Omega = 0$). It follows from (e) and $d\tilde{\omega} = 0$ that for all $1 \leq k \leq n$

$$\frac{\partial \Omega_{ij}}{\partial y^k} = \frac{\partial(\tilde{\omega}_{ij} \circ \sigma)}{\partial y^k} = \sum_{s=1}^N \frac{\partial \tilde{\omega}_{ij}}{\partial x^s} \frac{\partial(x^s \circ \sigma)}{\partial y^k} = 0,$$

and thus $d\Omega = 0$ holds.

We have proven that the Ω in (d) is a G -invariant symplectic form on G/H . From (c) it is natural that ω is related to this Ω by (s.4). Now, the uniqueness of Ω follows from (s.4), G -invariability and Lemma 1.1.13. Hence we complete the proof of Theorem 9.1.1. \square

Let \mathfrak{g} be a real Lie algebra. Suppose that ω is a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfying

$$(s.1) \quad \omega([X_1, X_2], X_3) + \omega([X_2, X_3], X_1) + \omega([X_3, X_1], X_2) = 0 \text{ for all } X_1, X_2, X_3 \in \mathfrak{g}.$$

In this setting, one can get a subalgebra $\mathfrak{h}_\omega \subset \mathfrak{g}$ by putting

$$\mathfrak{h}_\omega := \{Z \in \mathfrak{g} \mid \omega(Z, X) = 0 \text{ for all } X \in \mathfrak{g}\}, \quad (9.1.3)$$

and deduce the following proposition from the proof of Theorem 2 in Chu [10, p.149]:

Proposition 9.1.4. *Let G be a simply connected Lie group with the Lie algebra \mathfrak{g} , and let H_ω be the connected Lie subgroup of G corresponding to the subalgebra $\mathfrak{h}_\omega \subset \mathfrak{g}$ in (9.1.3). Then,*

- (i) H_ω is a connected, closed subgroup of G ,
- (ii) $\omega(\text{Ad } z(X), \text{Ad } z(Y)) = \omega(X, Y)$ for all $z \in H_\omega$ and $X, Y \in \mathfrak{g}$,

- (iii) G/H_ω is a simply connected homogeneous space, and there exists a unique G -invariant symplectic form Ω on G/H_ω such that $\omega(X, Y) = \Omega_{\pi(e)}((d\pi)_e X_e, (d\pi)_e Y_e)$ for all $X, Y \in \mathfrak{g}$.

Here π is the projection of G onto G/H_ω , and we identify the real constants with the real-valued constant functions on G .

Proof. (i). It is enough to prove that H_ω is closed in G . Since (s.1) holds for the ω , one can define a closed differential form $\tilde{\omega}$ of degree 2 on G by a similar way to (a) in the proof of Theorem 9.1.1-(II). Then one can assert that

$$\omega(X, Y) = \tilde{\omega}(X, Y) \text{ for all } X, Y \in \mathfrak{g} \quad \textcircled{1}$$

where we identify the real constants with the real-valued constant functions on G . For the real vector space \mathfrak{g}^* of left invariant differential forms of degree 1 on G ,¹ the group of affine transformations of the vector space \mathfrak{g}^* is $GL(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ (semidirect). Moreover, its Lie algebra is $\mathfrak{gl}(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ and the exponential mapping $\exp : \mathfrak{gl}(\mathfrak{g}^*) \ltimes \mathfrak{g}^* \rightarrow GL(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ is expressed as

$$(\exp(B, \eta))(\xi) = (\exp B)(\xi) + \left(\sum_{n=1}^{\infty} (1/n!) B^{n-1}\right)(\eta), \quad \textcircled{2}$$

where $(B, \eta) \in \mathfrak{gl}(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ and $\xi \in \mathfrak{g}^*$. Besides, the bracket product of Lie algebra $\mathfrak{gl}(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ is expressed as²

$$[(B_1, \eta_1), (B_2, \eta_2)] = ([B_1, B_2], B_1(\eta_2) - B_2(\eta_1)). \quad \textcircled{3}$$

Now, for any $X, Y \in \mathfrak{g}$ it follows from $\textcircled{1}$ that $\tilde{\omega}(X, Y)$ is a real-valued constant function on G . Accordingly one can define a mapping $\phi_* : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ by

$$\phi_*(X) := (L_X, \iota(X)\tilde{\omega}) \text{ for } X \in \mathfrak{g}, \quad \textcircled{4}$$

where L_X and $\iota(X)\tilde{\omega}$ stand for the Lie derivative with respect to the vector field X and the interior product of $\tilde{\omega}$ with X , respectively. Here for any $X, Y \in \mathfrak{g}$ one has

$$\begin{aligned} L_Y(\iota(X)\tilde{\omega}) &= (d \circ \iota(Y) + \iota(Y) \circ d)(\iota(X)\tilde{\omega}) = \iota(Y)(d(\iota(X)\tilde{\omega})) \quad (\because \iota(Y)(\iota(X)\tilde{\omega}) = \tilde{\omega}(X, Y) \text{ is constant}) \\ &= \iota(Y)((L_X - \iota(X) \circ d)\tilde{\omega}) = \iota(Y)(L_X\tilde{\omega}) \quad (\because d\tilde{\omega} = 0), \end{aligned}$$

since $L_W = d \circ \iota(W) + \iota(W) \circ d$ for all $W \in \mathfrak{X}(G)$. This shows

$$L_Y(\iota(X)\tilde{\omega}) = \iota(Y)(L_X\tilde{\omega}) \text{ for all } X, Y \in \mathfrak{g}. \quad \textcircled{5}$$

From now on, let us confirm that the mapping $\phi_* : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ in $\textcircled{4}$ is a Lie algebra homomorphism. It is obvious that $\phi_* : X \mapsto (L_X, \iota(X)\tilde{\omega})$ is linear. For any $X, Y \in \mathfrak{g}$, we obtain

$$\begin{aligned} [\phi_*(X), \phi_*(Y)] &\stackrel{\textcircled{4}}{=} [(L_X, \iota(X)\tilde{\omega}), (L_Y, \iota(Y)\tilde{\omega})] \stackrel{\textcircled{3}}{=} ([L_X, L_Y], L_X(\iota(Y)\tilde{\omega}) - L_Y(\iota(X)\tilde{\omega})) \\ &= (L_{[X, Y]}, L_X(\iota(Y)\tilde{\omega}) - L_Y(\iota(X)\tilde{\omega})) \stackrel{\textcircled{5}}{=} (L_{[X, Y]}, L_X(\iota(Y)\tilde{\omega}) - \iota(Y)(L_X\tilde{\omega})) = (L_{[X, Y]}, \iota([X, Y]\tilde{\omega}) \stackrel{\textcircled{4}}{=} \phi_*([X, Y]). \end{aligned}$$

Thus $\phi_* : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$, $X \mapsto (L_X, \iota(X)\tilde{\omega})$, is a Lie algebra homomorphism. Since $\phi_* : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ is a homomorphism and G is a simply connected, there uniquely exists a Lie group homomorphism ϕ of G into the identity component of $GL(\mathfrak{g}^*) \ltimes \mathfrak{g}^*$ such that its differential homomorphism accords with ϕ_* . Then one can take a real analytic action of G on \mathfrak{g}^* ,

$$G \times \mathfrak{g}^* \ni (g, \eta) \mapsto \phi(g)(\eta) \in \mathfrak{g}^*,$$

and take the isotropy subgroup H of G at $0 \in \mathfrak{g}^*$ into consideration. Needless to say, $H = \{g \in G \mid \phi(g)(0) = 0\}$ is a closed subgroup of G . If

$$\mathfrak{h}_\omega = \text{Lie}(H), \quad \textcircled{6}$$

then $\textcircled{6}$ implies that H_ω coincides with the identity component of H , so that H_ω is closed in H ; and therefore H_ω is closed in G . For this reason, the rest of proof is to demonstrate $\textcircled{6}$. For any $Z \in \mathfrak{h}_\omega$ and $t \in \mathbb{R}$ we see that

$$\phi(\exp tZ)(0) = (\exp t\phi_*(Z))(0) \stackrel{\textcircled{4}}{=} (\exp t(L_Z, \iota(Z)\tilde{\omega}))(0) \stackrel{\textcircled{2}}{=} (\exp tL_Z)(0) + \sum_{n=1}^{\infty} \frac{1}{n!} (tL_Z)^{n-1}(\iota(Z)\tilde{\omega}) = 0$$

¹Remark. $\dim_{\mathbb{R}} \mathfrak{g}^* = \dim_{\mathbb{R}} \mathfrak{g} < \infty$.

²e.g. 命題 5.8.2 in 杉浦 [34, p.406].

because $\iota(tZ)\tilde{\omega} = 0$ comes from $tZ \in \mathfrak{h}_\omega$, (9.1.3) and ①. Hence $Z \in \text{Lie}(H)$, and so $\mathfrak{h}_\omega \subset \text{Lie}(H)$. Let us show that the converse inclusion also holds. For any $A \in \text{Lie}(H)$ and $t \in \mathbb{R}$, one has

$$\begin{aligned} 0 &= \phi(\exp tA)(0) \quad (\because tA \in \text{Lie}(H)) \\ &= (\exp t\phi_*(A))(0) \stackrel{\text{④, ②}}{=} \sum_{n=1}^{\infty} \frac{1}{n!} (tL_A)^{n-1} (\iota(tA)\tilde{\omega}) = \sum_{n=1}^{\infty} \frac{t^n}{n!} (L_A)^{n-1} (\iota(A)\tilde{\omega}). \end{aligned}$$

Differentiating this equation at $t = 0$ we obtain $0 = \iota(A)\tilde{\omega}$, and therefore $A \in \mathfrak{h}_\omega$ due to (9.1.3) and ①. Hence $\text{Lie}(H) \subset \mathfrak{h}_\omega$ holds. This completes the proof of ⑥.

(ii). Since ω is skew-symmetric, it follows from (s.1) and (9.1.3) that $\omega([Z, X], Y) + \omega(X, [Z, Y]) = 0$ for all $Z \in \mathfrak{h}_\omega$, $X, Y \in \mathfrak{g}$. Therefore (ii) holds because H_ω is connected.

(iii). G/H_ω is a simply connected homogeneous space by (i) and G being simply connected. Hence we can conclude (iii) by Theorem 9.1.1-(II) together with (s.1), (9.1.3) and (ii). \square

9.2 Homogeneous symplectic manifolds of semisimple Lie groups

We want to first show

Lemma 9.2.1. *Let \mathfrak{g} be a real semisimple Lie algebra, and let ω be a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfying*

$$(s.1) \quad \omega([X_1, X_2], X_3) + \omega([X_2, X_3], X_1) + \omega([X_3, X_1], X_2) = 0 \text{ for all } X_1, X_2, X_3 \in \mathfrak{g}.$$

Then, there exists a unique $S \in \mathfrak{g}$ such that

$$(1) \quad \omega(X, Y) = B_{\mathfrak{g}}(S, [X, Y]) \text{ for all } X, Y \in \mathfrak{g}, \quad (2) \quad \mathfrak{c}_{\mathfrak{g}}(S) = \{Z \in \mathfrak{g} \mid \omega(Z, X) = 0 \text{ for all } X \in \mathfrak{g}\}.$$

Here $B_{\mathfrak{g}}$ is the Killing form of \mathfrak{g} and $\mathfrak{c}_{\mathfrak{g}}(S) = \{Y \in \mathfrak{g} \mid \text{ad } S(Y) = 0\}$.

Proof. (Uniqueness). The uniqueness of S follows by (1), $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$ and $B_{\mathfrak{g}}$ being non-degenerate.

(Existence). Let us confirm that there exists an $S \in \mathfrak{g}$ satisfying the conditions (1) and (2). Consider the cohomology group $H^k(\mathfrak{g}) = Z^k(\mathfrak{g})/B^k(\mathfrak{g})$ for the trivial representation of \mathfrak{g} on the vector space \mathbb{R} . On the one hand; (s.1) implies that $\omega \in Z^2(\mathfrak{g})$. On the other hand; by the Whitehead lemma one knows $\dim_{\mathbb{R}} H^1(\mathfrak{g}) = \dim_{\mathbb{R}} H^2(\mathfrak{g}) = 0$, since \mathfrak{g} is real semisimple. Hence there exists a unique linear mapping $\alpha : \mathfrak{g} \rightarrow \mathbb{R}$ such that

$$\alpha([X, Y]) = \omega(X, Y) \text{ for all } X, Y \in \mathfrak{g}.$$

Furthermore, there exists a unique $S \in \mathfrak{g}$ such that $\alpha(V) = B_{\mathfrak{g}}(S, V)$ for all $V \in \mathfrak{g}$ because $B_{\mathfrak{g}}$ is non-degenerate. Then, this S satisfies (1). By (1) we deduce that

$$\omega(Z, X) = B_{\mathfrak{g}}(S, [Z, X]) = B_{\mathfrak{g}}(\text{ad } S(Z), X)$$

for all $X, Z \in \mathfrak{g}$. This implies that $\omega(Z, X) = 0$ for all $X \in \mathfrak{g}$ if and only if $\text{ad } S(Z) = 0$. Therefore S satisfies (2) also. \square

From Theorem 9.1.1 and Lemma 9.2.1 we conclude

Proposition 9.2.2 (cf. Matsushima [26]³). *Let G be a real semisimple Lie group which satisfies the second countability axiom, let H be a closed subgroup of G , let π denote the projection of G onto G/H , and let $o := \pi(e)$. Suppose that the homogeneous space G/H admits a G -invariant symplectic form Ω . Then, there exists a unique $S \in \mathfrak{g}$ such that*

$$(i) \quad B_{\mathfrak{g}}(S, [X, Y]) = \Omega_o((d\pi)_e X_e, (d\pi)_e Y_e) \text{ for all } X, Y \in \mathfrak{g},$$

$$(ii) \quad C_G(S)_0 \subset H \subset C_G(S).$$

Here G/H is a real analytic manifold in view of Theorem 1.1.2, $C_G(S)_0$ is the identity component of $C_G(S) = \{g \in G \mid \text{Ad } g(S) = S\}$, and we identify the real constants with the real-valued constant functions on G .

³Remark. Théorème 1 in Matsushima [26, p.54] and its proof enable one to make a more excellent assertion.

Proof. By virtue of Theorem 9.1.1-(I) and Lemma 9.2.1, it suffices to verify that (ii) $C_G(S)_0 \subset H \subset C_G(S)$. From Lemma 9.2.1-(2) and Theorem 9.1.1-(I)-(s.2) we obtain $\text{Lie}(C_G(S)) = \text{Lie}(H)$, and therefore

$$C_G(S)_0 = H_0 \subset H.$$

Hence, the rest of proof is to confirm $H \subset C_G(S)$. For any $z \in H$ and $X, Y \in \mathfrak{g}$, Lemma 9.2.1-(1) and Theorem 9.1.1-(I)-(s.3) imply that

$$B_{\mathfrak{g}}(S - \text{Ad } z(S), [X, Y]) = B_{\mathfrak{g}}(S, [X, Y]) - B_{\mathfrak{g}}(S, [\text{Ad } z^{-1}(X), \text{Ad } z^{-1}(Y)]) = \omega(X, Y) - \omega(\text{Ad } z^{-1}(X), \text{Ad } z^{-1}(Y)) = 0.$$

Accordingly one has $S - \text{Ad } z(S) = 0$ because $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$ and $B_{\mathfrak{g}}$ is non-degenerate. Thus it turns out that $z \in C_G(S)$, and $H \subset C_G(S)$. \square

Proposition 9.2.2 tells us that homogeneous symplectic manifolds of semisimple Lie groups are essentially adjoint orbits. The converse also holds:

Lemma 9.2.3. *Let G be a real semisimple Lie group which satisfies the second countability axiom, let S be a given element of \mathfrak{g} , and let H be a subgroup of G such that*

$$C_G(S)_0 \subset H \subset C_G(S).$$

Then, H is a closed subgroup of G , and there exists a unique G -invariant symplectic form Ω on G/H such that $B_{\mathfrak{g}}(S, [X, Y]) = \Omega_o((d\pi)_e X_e, (d\pi)_e Y_e)$ for all $X, Y \in \mathfrak{g}$. Here we identify the real constants with the real-valued constant functions on G .

Proof. First, we confirm that the subgroup H is a closed subset of G . Since $C_G(S)_0$ is an open subset of $C_G(S)$ and $H = \bigcup_{h \in H} L_h(C_G(S)_0)$, we see that H is an open subgroup of $C_G(S)$. Hence H is closed in $C_G(S)$; besides, $C_G(S)$ is closed in G . So, H is a closed subset of G . At this stage $\mathfrak{h} = \mathfrak{c}_{\mathfrak{g}}(S)$ follows from $C_G(S)_0 \subset H \subset C_G(S)$.

Next, we show the existence of Ω . Define a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ by

$$\omega(X, Y) := B_{\mathfrak{g}}(S, [X, Y]) \text{ for } X, Y \in \mathfrak{g}.$$

Then, this ω satisfies the (s.1), (s.2) and (s.3) in Theorem 9.1.1, because of the Jacobi identity, $\mathfrak{h} = \mathfrak{c}_{\mathfrak{g}}(S)$ and $H \subset C_G(S)$. Consequently, Theorem 9.1.1-(II) provides us with a unique G -invariant symplectic form Ω so that $B_{\mathfrak{g}}(S, [X, Y]) = \omega(X, Y) = \Omega_o((d\pi)_e X_e, (d\pi)_e Y_e)$ for $X, Y \in \mathfrak{g}$. \square

9.3 An appendix (an orbit space)

The direct product group $GL(1, \mathbb{R}) \times SL(2, \mathbb{R})$ acts on $\mathfrak{sl}(2, \mathbb{R})$ by

$$(GL(1, \mathbb{R}) \times SL(2, \mathbb{R})) \times \mathfrak{sl}(2, \mathbb{R}) \ni ((\lambda, g), X) \mapsto \lambda \text{Ad } g(X) \in \mathfrak{sl}(2, \mathbb{R}).$$

First, let us calculate this orbit space $\mathfrak{sl}(2, \mathbb{R}) / (GL(1, \mathbb{R}) \times SL(2, \mathbb{R}))$. For a non-zero, element $X = \begin{pmatrix} a & b \\ c & -a \end{pmatrix} \in \mathfrak{sl}(2, \mathbb{R})$ we investigate the following three cases individually:

$$(k) 0 < \det X, \quad (a) 0 > \det X, \quad (n) 0 = \det X.$$

Case (k) $0 < \det X = -a^2 - bc$. Setting

$$(\lambda, g) := \begin{cases} \left(1/\sqrt{-a^2 - bc}, \begin{pmatrix} \sqrt{c}/\sqrt[4]{-a^2 - bc} & -a/(\sqrt{c}\sqrt[4]{-a^2 - bc}) \\ 0 & \sqrt[4]{-a^2 - bc}/\sqrt{c} \end{pmatrix} \right) & \text{if } c > 0, \\ \left(-1/\sqrt{-a^2 - bc}, \begin{pmatrix} \sqrt{-c}/\sqrt[4]{-a^2 - bc} & a/(\sqrt{-c}\sqrt[4]{-a^2 - bc}) \\ 0 & \sqrt[4]{-a^2 - bc}/\sqrt{-c} \end{pmatrix} \right) & \text{if } c < 0, \end{cases}$$

we have $(\lambda, g) \in GL(1, \mathbb{R}) \times SL(2, \mathbb{R})$ and $\lambda \text{Ad } g(X) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$.

Case (a) $0 > \det X = -a^2 - bc$. Setting

$$(\lambda, g) := \left(-1/\sqrt{a^2 + bc}, \begin{pmatrix} 1 & -(a + \sqrt{a^2 + bc})/c \\ c/(2\sqrt{a^2 + bc}) & (\sqrt{a^2 + bc} - a)/(2\sqrt{a^2 + bc}) \end{pmatrix} \right),$$

we have $(\lambda, g) \in GL(1, \mathbb{R}) \times SL(2, \mathbb{R})$ and $\lambda \text{Ad } g(X) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$.

Case (n) $0 = \det X = -a^2 - bc$. Setting

$$(\lambda, g) := \begin{cases} \left(-1/c, \begin{pmatrix} c & 1-a \\ -1 & a/c \end{pmatrix} \right) & \text{if } c \neq 0, \\ \left(1/b, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right) & \text{if } c = 0, \end{cases}$$

we see that $(\lambda, g) \in GL(1, \mathbb{R}) \times SL(2, \mathbb{R})$ and $\lambda \text{Ad } g(X) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$. Remark here that $a = 0$ and $b \neq 0$ if $c = 0$.

Consequently the orbit space $\mathfrak{sl}(2, \mathbb{R})/(GL(1, \mathbb{R}) \times SL(2, \mathbb{R}))$ is as follows:

$$\mathfrak{sl}(2, \mathbb{R})/(GL(1, \mathbb{R}) \times SL(2, \mathbb{R})) = \{[K], [A], [N], [O_2]\}, \quad (9.3.1)$$

where $K := \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$, $A := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, $N := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ and $O_2 := \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$.

Now, the centralizers of the above K , A , N and O_2 in $SL(2, \mathbb{R})$ are

$$C_{SL(2, \mathbb{R})}(K) = SO(2), \quad C_{SL(2, \mathbb{R})}(A) = S(GL(1, \mathbb{R}) \times GL(1, \mathbb{R})), \quad C_{SL(2, \mathbb{R})}(N) = \mathbb{R} \times \mathbb{Z}_2, \quad C_{SL(2, \mathbb{R})}(O_2) = SL(2, \mathbb{R}),$$

respectively. Accordingly (9.3.1), Proposition 9.2.2 and Lemma 9.2.3 ensure that a homogeneous symplectic manifold of $SL(2, \mathbb{R})$ is one of the following:

- (1) $SL(2, \mathbb{R})/SO(2) * \text{the open unit disk in } \mathbb{C}$,
- (2) $SL(2, \mathbb{R})/S(GL(1, \mathbb{R}) \times GL(1, \mathbb{R})) * \text{a hyperboloid of one sheet}$,
- (3) $SL(2, \mathbb{R})/S(GL(1, \mathbb{R}) \times GL(1, \mathbb{R}))_0 * \text{a covering space of (2)}$,
- (4) $SL(2, \mathbb{R})/(\mathbb{R} \times \mathbb{Z}_2) * \text{the light cone in the 3-dimensional Lorentz-Minkowski space } \mathbb{R}_1^3$,
- (5) $SL(2, \mathbb{R})/\mathbb{R} * \text{a covering space of (4)}$,
- (6) $SL(2, \mathbb{R})/SL(2, \mathbb{R}) * \text{0-dimensional manifold}$.

Chapter 10

Homogeneous pseudo-Kähler manifolds

It is known that elliptic (adjoint) orbits can be geometrically characterized as follows:

Any elliptic orbit $G/C_G(T)$ is a homogeneous pseudo-Kähler manifold of G . Conversely, a homogeneous pseudo-Kähler manifold M of G is an elliptic orbit. cf. Dorfmeister-Guan [12], [13].

In this chapter we confirm this fact.

Remark 10.0.1. We consider a Kähler manifold to be one of the pseudo-Kähler manifolds.

10.1 Projectable vector fields

The setting of Section 10.1 is as follows:

- G is a Lie group which satisfies the second countability axiom,
- H is a closed subgroup of G ,
- π is the projection of G onto G/H .

The homogeneous space G/H is an n -dimensional real analytic manifold in view of Theorem 1.1.2.

In the next section we will prove Theorem 10.2.2. For this reason we need to know some properties of projectable vector fields. Here, a smooth vector field V on G is said to be *projectable*, if there exists an $A \in \mathfrak{X}(G/H)$ such that

$$(d\pi)_g V_g = A_{\pi(g)} \text{ for all } g \in G$$

(i.e., V is π -related to A), where $\mathfrak{X}(G/H)$ stands for the real Lie algebra of smooth vector fields on G/H . This A is uniquely determined by V since $\pi : G \rightarrow G/H$ is surjective. So, we write $\pi_* V$ for A .

Lemma 10.1.1.

(i) Let $V, W \in \mathfrak{X}(G)$ be projectable, and let $\lambda, \mu \in \mathbb{R}$. Then,

$$(i.1) \quad \lambda V + \mu W \text{ is a projectable vector field on } G, \text{ and } \pi_*(\lambda V + \mu W) = \lambda(\pi_* V) + \mu(\pi_* W),$$

$$(i.2) \quad [V, W] \text{ is a projectable vector field on } G, \text{ and } \pi_*[V, W] = [\pi_* V, \pi_* W].$$

(ii) For any $A \in \mathfrak{X}(G/H)$ there exists a projectable vector field V on G satisfying $A = \pi_* V$.

(iii) All right invariant vector fields on G are projectable.

Proof. (i) follows from V (resp. W) being π -related to $\pi_* V$ (resp. $\pi_* W$).

(ii). Let $A \in \mathfrak{X}(G/H)$. Our aim is to construct a $V \in \mathfrak{X}(G)$ which is π -related to A . There exist coordinate neighborhoods $(U_a, (y_a^1, \dots, y_a^n))$ of class C^ω of G/H and $(\pi^{-1}(U_a), (x_a^1, \dots, x_a^n, x_a^{n+1}, \dots, x_a^N))$ of class C^ω of G ($a \in \Lambda$) such that

$$(1) \quad G/H = \bigcup_{a \in \Lambda} U_a,$$

$$(2) \quad x_a^i = y_a^i \circ \pi \text{ on } \pi^{-1}(U_a) \text{ } (a \in \Lambda, 1 \leq i \leq n),$$

$$(3) \quad \frac{\partial}{\partial x_b^j} = \sum_{i=1}^n \frac{\partial x_a^i}{\partial x_b^j} \frac{\partial}{\partial x_a^i} \quad (1 \leq j \leq n), \quad \frac{\partial}{\partial x_b^r} = \sum_{s=n+1}^N \frac{\partial x_a^s}{\partial x_b^r} \frac{\partial}{\partial x_a^s} \quad (n+1 \leq r \leq N) \text{ whenever } \pi^{-1}(U_a) \cap \pi^{-1}(U_b) \neq \emptyset,$$

because $(G, \pi, G/H)$ is a real analytic principal fiber bundle (cf. Section 1.3). For $a \in \Lambda$ and $1 \leq i \leq n$, we put $A_a^i := A(y_a^i)$. Then, the vector field A is expressed as

$$A = \sum_{i=1}^n A_a^i \frac{\partial}{\partial y_a^i}$$

on each U_a , and so we define a smooth vector field V_a on $\pi^{-1}(U_a)$ by

$$V_a := \sum_{i=1}^n (A_a^i \circ \pi) \frac{\partial}{\partial x_a^i}$$

for each $a \in \Lambda$. Now, suppose that $\pi^{-1}(U_a) \cap \pi^{-1}(U_b) \neq \emptyset$ ($a, b \in \Lambda$). Then, one has

$$\begin{aligned} (V_a)_g &= \sum_{i=1}^n A_a^i(\pi(g)) \left(\frac{\partial}{\partial x_a^i} \right)_g = \sum_{i,j=1}^n A_b^j(\pi(g)) \frac{\partial y_a^i}{\partial y_b^j}(\pi(g)) \left(\frac{\partial}{\partial x_a^i} \right)_g \\ &= \sum_{i,j=1}^n A_b^j(\pi(g)) \frac{\partial x_a^i}{\partial x_b^j}(g) \left(\frac{\partial}{\partial x_a^i} \right)_g \stackrel{(3)}{=} \sum_{j=1}^n A_b^j(\pi(g)) \left(\frac{\partial}{\partial x_b^j} \right)_g = (V_b)_g \end{aligned}$$

for all $g \in \pi^{-1}(U_a) \cap \pi^{-1}(U_b)$, since it follows from $\sum_{i=1}^n A_a^i(\partial/\partial y_a^i) = A = \sum_{j=1}^n A_b^j(\partial/\partial y_b^j)$ that $A_a^i = \sum_{j=1}^n A_b^j(\partial y_a^i/\partial y_b^j)$ and it follows from (2) $x_a^i = y_a^i \circ \pi$ that

$$\frac{\partial x_a^i}{\partial x_b^j}(g) = \frac{\partial (y_a^i \circ \pi)}{\partial x_b^j}(g) = \sum_{k=1}^n \frac{\partial y_a^i}{\partial y_b^k}(\pi(g)) \frac{\partial (y_b^k \circ \pi)}{\partial x_b^j}(g) = \sum_{k=1}^n \frac{\partial y_a^i}{\partial y_b^k}(\pi(g)) \delta_j^k = \frac{\partial y_a^i}{\partial y_b^j}(\pi(g)).$$

Consequently one can construct a smooth vector field V on the whole $G = \bigcup_{a \in \Lambda} \pi^{-1}(U_a)$ from $V|_{\pi^{-1}(U_a)} := V_a$ for $a \in \Lambda$. Besides, this V is π -related to A by virtue of (2).

(iii). Denote by \mathfrak{g}' the real Lie algebra of right invariant vector fields on G . For $X \in \mathfrak{g}$ we define a right invariant vector field X' on G and a smooth vector field X^* on G/H by

$$\begin{aligned} X'_g \tilde{f} &:= \left. \frac{d}{dt} \right|_{t=0} \tilde{f}(\exp(-tX)g) \text{ for } g \in G \text{ and } \tilde{f} \in C^\infty(G), \\ X_p^* f &:= \left. \frac{d}{dt} \right|_{t=0} f(\tau_{\exp(-tX)}(p)) \text{ for } p \in G/H \text{ and } f \in C^\infty(G/H), \end{aligned}$$

respectively (see Corollary 1.1.7 for $\tau_{\exp(-tX)}$). Then, the mapping $\mathfrak{g} \ni X \mapsto X' \in \mathfrak{g}'$ is a Lie algebra isomorphism, and the mapping $\mathfrak{g} \ni X \mapsto X^* \in \mathfrak{X}(G/H)$ is a Lie algebra homomorphism. Moreover, X' is π -related to X^* for every $X \in \mathfrak{g}$. \square

10.2 Invariant complex structures on homogeneous spaces and linear transformations of Lie algebras

We first prove the following lemma, and afterwards demonstrate Theorem 10.2.2:

Lemma 10.2.1. *Let G be a Lie group, let j be a linear transformation of \mathfrak{g} , and let \hat{j} be a tensor field of type $(1,1)$ on G . Suppose that $(\hat{j}X)_g = \hat{j}_g X_g = (jX)_g$ for all $(g, X) \in G \times \mathfrak{g}$. Then, the tensor \hat{j} is of class C^∞ .*

Proof. Take a real basis $\{E_k\}_{k=1}^N$ of \mathfrak{g} and express $jE_k \in \mathfrak{g}$ as $jE_k = \sum_{\ell=1}^N c_k^\ell E_\ell$, $c_k^\ell \in \mathbb{R}$. Any vector $U \in \mathfrak{X}(G)$ is expressed as $U = \sum_{k=1}^N \tilde{f}_k E_k$ ($\tilde{f}_k \in C^\infty(G)$), and then the supposition enables us to show that for all $g \in G$ and $\tilde{h} \in C^\infty(G)$,

$$\begin{aligned} ((jU)\tilde{h})(g) &= (jU)_g \tilde{h} = \left(\sum_{k=1}^N \tilde{f}_k(g) (jE_k)_g \right) \tilde{h} = \left(\sum_{k,\ell=1}^N \tilde{f}_k(g) c_k^\ell (E_\ell)_g \right) \tilde{h} \\ &= \sum_{k,\ell=1}^N \tilde{f}_k(g) c_k^\ell (E_\ell \tilde{h})(g) = \left(\sum_{k,\ell=1}^N \tilde{f}_k \cdot c_k^\ell \cdot (E_\ell \tilde{h}) \right)(g). \end{aligned}$$

The last term is a smooth function on G , so the tensor \hat{j} is of class C^∞ . \square

Now, let us demonstrate

Theorem 10.2.2 (cf. Koszul [23, Paragraph 2]). *Let G be a (real) Lie group which satisfies the second countability axiom, let H be a closed subgroup of G , let π denote the projection of G onto G/H , and let $o := \pi(e)$. Then, the following two items (I) and (II) hold:*

(I) Suppose the homogeneous space G/H to admit a G -invariant complex structure J . Then, there exists a linear transformation $j : \mathfrak{g} \rightarrow \mathfrak{g}$ satisfying the following five conditions:

- (c.1) $jZ = 0$ for all $Z \in \mathfrak{h}$,
- (c.2) $j^2X = -X \pmod{\mathfrak{h}}$ for all $X \in \mathfrak{g}$,
- (c.3) $j(\text{Ad } z(X)) = \text{Ad } z(jX) \pmod{\mathfrak{h}}$ for all $(z, X) \in H \times \mathfrak{g}$,
- (c.4) $[jX, jY] - [X, Y] - j[jX, Y] - j[X, jY] = 0 \pmod{\mathfrak{h}}$ for all $X, Y \in \mathfrak{g}$,
- (c.5) $(d\pi)_e(jX)_e = J_o((d\pi)_eX_e)$ for all $X \in \mathfrak{g}$.

(II) Suppose that there exists a linear transformation $j : \mathfrak{g} \rightarrow \mathfrak{g}$ satisfying the above four conditions (c.1) through (c.4). Then, G/H admits a unique G -invariant complex structure J so that j is related to J by (c.5).

Here G/H is an n -dimensional real analytic manifold in view of Theorem 1.1.2.

Proof. (I). Let J be a G -invariant complex structure on G/H . Take a real vector subspace $\mathfrak{m} \subset \mathfrak{g}$ so that

$$\mathfrak{g} = \mathfrak{m} \oplus \mathfrak{h}, \quad \textcircled{1}$$

and define a surjective linear mapping $F : \mathfrak{g} \rightarrow T_o(G/H)$ by

$$F(X) := (d\pi)_eX_e \text{ for } X \in \mathfrak{g}. \quad \textcircled{2}$$

Then Lemma 1.1.13 implies that

$$\mathfrak{h} = \ker(F). \quad \textcircled{3}$$

From $\textcircled{1}$ and $\textcircled{3}$ we deduce that the linear mapping $F : \mathfrak{m} \rightarrow T_o(G/H)$ is injective, so that

$$F : \mathfrak{m} \rightarrow T_o(G/H) \text{ is a linear isomorphism}$$

by virtue of $\dim_{\mathbb{R}} \mathfrak{m} = \dim_{\mathbb{R}} T_o(G/H)$. For this reason one can define a linear mapping $j : \mathfrak{g} \rightarrow \mathfrak{m} (\subset \mathfrak{g})$ as follows:

$$jX := (F|_{\mathfrak{m}})^{-1}(J_o(F(X))) \text{ for } X \in \mathfrak{g}. \quad \textcircled{4}$$

Let us prove that this j satisfies the five conditions (c.1) through (c.5), from now on.

(c.1) is immediate from $\textcircled{4}$ and $\textcircled{3}$.

(c.2). For any $X \in \mathfrak{g}$ we obtain

$$\begin{aligned} j^2X &\stackrel{\textcircled{4}}{=} (((F|_{\mathfrak{m}})^{-1} \circ J_o \circ F) \circ ((F|_{\mathfrak{m}})^{-1} \circ J_o \circ F))(X) \\ &= (F|_{\mathfrak{m}})^{-1}(F(-X)) \quad (\because F \circ (F|_{\mathfrak{m}})^{-1} = \text{id}, J_o^2 = -\text{id} \text{ on } T_o(G/H)) \\ &= (F|_{\mathfrak{m}})^{-1}(F(-X_m - X_h)) \stackrel{\textcircled{3}}{=} (F|_{\mathfrak{m}})^{-1}(F(-X_m)) = -X_m = -X \pmod{\mathfrak{h}} \end{aligned}$$

by a direct computation. Here we have expressed the $X \in \mathfrak{g} = \mathfrak{m} \oplus \mathfrak{h}$ as $X = X_m + X_h$ ($X_m \in \mathfrak{m}$, $X_h \in \mathfrak{h}$).

(c.3). For any $(z, u) \in H \times T_o(G/H)$ one has

$$\begin{aligned} F((F|_{\mathfrak{m}})^{-1}((d\tau_z)_o u) - \text{Ad } z((F|_{\mathfrak{m}})^{-1}u)) &= (d\tau_z)_o u - F(\text{Ad } z((F|_{\mathfrak{m}})^{-1}u)) \stackrel{\textcircled{2}}{=} (d\tau_z)_o u - (d\pi)_e(\text{Ad } z((F|_{\mathfrak{m}})^{-1}u))_e \\ &= (d\tau_z)_o u - (d\tau_z)_o((d\pi)_e((F|_{\mathfrak{m}})^{-1}u))_e \stackrel{\textcircled{2}}{=} (d\tau_z)_o u - (d\tau_z)_o u = 0. \end{aligned}$$

Accordingly it follows from $\textcircled{3}$ that

$$(F|_{\mathfrak{m}})^{-1}((d\tau_z)_o u) = \text{Ad } z((F|_{\mathfrak{m}})^{-1}u) \pmod{\mathfrak{h}} \text{ for all } (z, u) \in H \times T_o(G/H). \quad \textcircled{5}$$

Therefore for any $(z, X) \in H \times \mathfrak{g}$ we conclude

$$\begin{aligned} j(\text{Ad } z(X)) &\stackrel{\textcircled{4}}{=} (F|_{\mathfrak{m}})^{-1}(J_o(F(\text{Ad } z(X)))) \stackrel{\textcircled{2}}{=} (F|_{\mathfrak{m}})^{-1}(J_o((d\pi)_e(\text{Ad } z(X))_e)) = (F|_{\mathfrak{m}})^{-1}(J_o((d\tau_z)_o((d\pi)_eX_e))) \\ &= (F|_{\mathfrak{m}})^{-1}((d\tau_z)_o(J_o((d\pi)_eX_e))) \stackrel{\textcircled{5}}{=} \text{Ad } z((F|_{\mathfrak{m}})^{-1}(J_o((d\pi)_eX_e))) \pmod{\mathfrak{h}} \\ &\stackrel{\textcircled{2}, \textcircled{4}}{=} \text{Ad } z(jX) \pmod{\mathfrak{h}} \end{aligned}$$

since J is G -invariant and $\pi(z) = o$.

(c.5). Let us verify (c.5) before proving (c.4). For any $X \in \mathfrak{g}$ one shows that

$$\begin{aligned} (d\pi)_e(jX)_e &\stackrel{\textcircled{4}}{=} (d\pi)_e((F|_{\mathfrak{m}})^{-1}(J_o(F(X))))_e \stackrel{\textcircled{2}}{=} F((F|_{\mathfrak{m}})^{-1}(J_o(F(X)))) = J_o(F(X)) \quad (\because F \circ (F|_{\mathfrak{m}})^{-1} = \text{id on } T_o(G/H)) \\ &\stackrel{\textcircled{2}}{=} J_o((d\pi)_e X_e). \end{aligned}$$

Hence (c.5) holds.

(c.4). First, let us construct a smooth tensor field \hat{j} of type $(1, 1)$ on G . Define a linear isomorphism $\alpha : \mathfrak{g} \rightarrow T_e G$ by

$$\alpha(X) := X_e \text{ for } X \in \mathfrak{g}.$$

Using this α and the j in $\textcircled{4}$, we define a linear mapping $\hat{j}_g : T_g G \rightarrow T_g G$ ($g \in G$) by

$$\hat{j}_g u := ((dL_g)_e \circ \alpha \circ j \circ \alpha^{-1} \circ (dL_{g^{-1}})_g)(u) \text{ for } u \in T_g G.$$

From this \hat{j}_g we construct a tensor field \hat{j} of type $(1, 1)$ on G as follows:

$$(\hat{j}U)_g := \hat{j}_g U_g \text{ for } g \in G \text{ and } U \in \mathfrak{X}(G).$$

Then, it turns out that

$$(\hat{j}X)_g = \hat{j}_g X_g = (jX)_g \text{ for all } (g, X) \in G \times \mathfrak{g}, \quad \textcircled{6}$$

so that the tensor \hat{j} is of class C^∞ in terms of Lemma 10.2.1. Next, let us clarify a property of this \hat{j} . For any $g \in G$ and $U \in \mathfrak{X}(G)$, there exists a unique $X \in \mathfrak{g}$ such that $U_g = X_g$, and then we have

$$\begin{aligned} (d\pi)_g(\hat{j}U)_g &= (d\pi)_g(\hat{j}_g X_g) \stackrel{\textcircled{6}}{=} (d\pi)_g((dL_g)_e(jX)_e) = (d\tau_g)_o((d\pi)_e(jX)_e) \stackrel{\textcircled{\text{c.5}}}{=} (d\tau_g)_o(J_o((d\pi)_e X_e)) \\ &= J_{\pi(g)}((d\pi)_g((dL_g)_e X_e)) = J_{\pi(g)}((d\pi)_g U_g) \end{aligned}$$

(because $jX \in \mathfrak{g}$ and J is G -invariant). That is to say,

$$(d\pi)_g(\hat{j}U)_g = J_{\pi(g)}((d\pi)_g U_g) \text{ for all } (g, U) \in G \times \mathfrak{X}(G).$$

Accordingly, for an arbitrary $V \in \mathfrak{P}(G)$ it follows that

$$\hat{j}V \text{ is a projectable vector field on } G, \text{ and } \pi_*(\hat{j}V) = J(\pi_* V), \quad \textcircled{7}$$

where $\mathfrak{P}(G)$ denotes the Lie subalgebra of $\mathfrak{X}(G)$ generated by projectable vector fields on G . Now, let us define a skew-symmetric, smooth tensor field S of type $(1, 2)$ on G/H and a skew-symmetric (real) bilinear mapping $\hat{s} : \mathfrak{X}(G) \times \mathfrak{X}(G) \rightarrow \mathfrak{X}(G)$ by

$$\begin{cases} S(A_1, A_2) := [JA_1, JA_2] - [A_1, A_2] - J[JA_1, A_2] - J[A_1, JA_2] \text{ for } A_1, A_2 \in \mathfrak{X}(G/H), \\ \hat{s}(U_1, U_2) := [\hat{j}U_1, \hat{j}U_2] - [U_1, U_2] - \hat{j}[\hat{j}U_1, U_2] - \hat{j}[U_1, \hat{j}U_2] \text{ for } U_1, U_2 \in \mathfrak{X}(G), \end{cases}$$

respectively. Then, $\textcircled{7}$ and Lemma 10.1.1-(i) enable us to assert that

$$S(\pi_* V, \pi_* W) = \pi_*(\hat{s}(V, W)) \text{ for all } V, W \in \mathfrak{P}(G).$$

Furthermore, since the Nijenhuis tensor S of J vanishes we have $\pi_*(\hat{s}(V, W)) = 0$, and then

$$\hat{s}(V, W) \in \mathcal{C}^\infty(G)\mathfrak{h} \quad \textcircled{8}$$

for all $V, W \in \mathfrak{P}(G)$.¹ Here $\mathcal{C}^\infty(G)\mathfrak{h}$ stands for the submodule of $\mathfrak{X}(G)$ generated by smooth functions $\tilde{f} : G \rightarrow \mathbb{R}$ and vectors $Y \in \mathfrak{h}$. From $\textcircled{8}$ we are going to conclude that $\hat{s}(U_1, U_2) \in \mathcal{C}^\infty(G)\mathfrak{h}$ for all $U_1, U_2 \in \mathfrak{X}(G)$. Denote by \mathfrak{g}' the Lie algebra of right invariant vector fields on G , and recall that $\mathfrak{g}' \subset \mathfrak{P}(G)$ (cf. Lemma 10.1.1-(iii)). On the one hand; $\textcircled{8}$ yields

$$\hat{s}(X'_1, X'_2) \in \mathcal{C}^\infty(G)\mathfrak{h} \text{ for all } X'_1, X'_2 \in \mathfrak{g}'.$$

¹Let us show $\textcircled{8}$ for the sake of completeness. Take real bases $\{E_i\}_{i=1}^n$ of \mathfrak{m} and $\{E_s\}_{s=n+1}^N$ of \mathfrak{h} . Then, $\{(E_k)_g\}_{k=1}^N$ is a real basis of $T_g G$ for each $g \in G$; and any vector $U \in \mathfrak{X}(G)$ is expressed as $U = \sum_{k=1}^N \tilde{f}_k E_k$ ($\tilde{f}_k \in \mathcal{C}^\infty(G)$). If it is projectable and $\pi_* U = 0$, then it follows that $0 = (d\pi)_g U_g = \sum_{k=1}^N \tilde{f}_k(g)((d\pi)_g(E_k)_g) = \sum_{i=1}^n \tilde{f}_i(g)((d\pi)_g(E_i)_g)$ for all $g \in G$, so that $\tilde{f}_1 = \cdots = \tilde{f}_n = 0$ because $(d\pi)_g(E_1)_g, \dots, (d\pi)_g(E_n)_g$ is linearly independent for each $g \in G$. Hence $U = \sum_{s=n+1}^N \tilde{f}_s E_s \in \mathcal{C}^\infty(G)\mathfrak{h}$ if it is projectable and $\pi_* U = 0$.

On the other hand; for any $X' \in \mathfrak{g}'$ it follows from ⑦ and $J^2 = -\text{id}$ that $\pi_*(j^2 X') = \pi_*(-X')$, so that $j^2 X' + X' \in \mathcal{C}^\infty(G)\mathfrak{h}$. Thus for given $X'_1, X'_2 \in \mathfrak{g}'$ and smooth function $\tilde{f} : G \rightarrow \mathbb{R}$ we have

$$\hat{\mathfrak{s}}(\tilde{f}X'_1, X'_2) = \tilde{f}\hat{\mathfrak{s}}(X'_1, X'_2) + (X'_2\tilde{f})(X'_1 + j^2 X'_1) = \tilde{f}\hat{\mathfrak{s}}(X'_1, X'_2) \pmod{\mathcal{C}^\infty(G)\mathfrak{h}}.$$

Consequently we show that

$$\hat{\mathfrak{s}}(U_1, U_2) \in \mathcal{C}^\infty(G)\mathfrak{h} \text{ for all } U_1, U_2 \in \mathfrak{X}(G) \quad \textcircled{9}$$

because $\hat{\mathfrak{s}} : \mathfrak{X}(G) \times \mathfrak{X}(G) \rightarrow \mathfrak{X}(G)$ is skew-symmetric bilinear and $\mathfrak{X}(G)$ is generated by smooth functions $\tilde{f} : G \rightarrow \mathbb{R}$ and elements $X' \in \mathfrak{g}'$. For any $X, Y \in \mathfrak{g} (\subset \mathfrak{X}(G))$, in view of ⑨ one sees that

$$\mathcal{C}^\infty(G)\mathfrak{h} \ni \hat{\mathfrak{s}}(X, Y) = [jX, jY] - [X, Y] - j[jX, Y] - j[X, jY] \stackrel{\textcircled{6}}{=} [jX, jY] - [X, Y] - j[jX, Y] - j[X, jY] \in \mathfrak{g},$$

and therefore $[jX, jY] - [X, Y] - j[jX, Y] - j[X, jY] \in (\mathfrak{g} \cap \mathcal{C}^\infty(G)\mathfrak{h}) \subset \mathfrak{h}$. Hence (c.4) holds. This completes the proof of (I).

(II). Now, let us suppose that a linear transformation $j : \mathfrak{g} \rightarrow \mathfrak{g}$ satisfies the following four conditions:

$$(c.1) \quad jZ = 0 \text{ for all } Z \in \mathfrak{h},$$

$$(c.2) \quad j^2 X = -X \pmod{\mathfrak{h}} \text{ for all } X \in \mathfrak{g},$$

$$(c.3) \quad j(\text{Ad } z(X)) = \text{Ad } z(jX) \pmod{\mathfrak{h}} \text{ for all } (z, X) \in H \times \mathfrak{g},$$

$$(c.4) \quad [jX, jY] - [X, Y] - j[jX, Y] - j[X, jY] = 0 \pmod{\mathfrak{h}} \text{ for all } X, Y \in \mathfrak{g}.$$

We want to construct a G -invariant complex structure J on G/H from this j . For a vector $u \in T_o(G/H)$, we choose an $X \in \mathfrak{g}$ so that $u = (d\pi)_e X_e$, and put

$$J_o u = J_o((d\pi)_e X_e) := (d\pi)_e(jX)_e. \quad (a)$$

Lemma 1.1.13 and (c.1) assure that this (a) is independent of the choice of X because $j : \mathfrak{g} \rightarrow \mathfrak{g}$ is linear. Thus J_o is a linear transformation of the vector space $T_o(G/H)$. Moreover, (c.2) and Lemma 1.1.13 imply that $(J_o)^2 = -\text{id}$ on $T_o(G/H)$. Using this J_o we define a complex structure $J_{\pi(g)}$ on $T_{\pi(g)}(G/H)$ ($g \in G$) by

$$J_{\pi(g)} w := (d\tau_g)_o(J_o((d\tau_{g^{-1}})_{\pi(g)} w)) \text{ for } w \in T_{\pi(g)}(G/H). \quad (b)$$

This is well-defined in terms of (a), (c.3) and Lemma 1.1.13; and besides, it is immediate from (b) that J is G -invariant. Therefore one can assert that the J is a G -invariant complex structure on G/H , if J is of class C^∞ and its Nijenhuis tensor S is vanishes.

(class C^∞). Let us prove that the tensor J is of class C^∞ . The arguments below will be similar to the arguments in the latter half of the proof of (I). Define a linear mapping $\tilde{j}_g : T_g G \rightarrow T_g G$ ($g \in G$) by

$$\tilde{j}_g u := ((dL_g)_e \circ \alpha \circ j \circ \alpha^{-1} \circ (dL_{g^{-1}})_g)(u) \text{ for } u \in T_g G,$$

and define a tensor field \tilde{j} of type (1, 1) on G by

$$(\tilde{j}U)_g := \tilde{j}_g U_g \text{ for } g \in G \text{ and } U \in \mathfrak{X}(G).$$

Then, it turns out that

$$(\tilde{j}X)_g = \tilde{j}_g X_g = (jX)_g \text{ for all } (g, X) \in G \times \mathfrak{g}, \quad (c)$$

so that the tensor \tilde{j} is of class C^∞ due to Lemma 10.2.1. Moreover, it follows from (c) and (c.2) that $\tilde{j}^2 X = -X \pmod{\mathfrak{h}}$ for all $X \in \mathfrak{g}$, and hence

$$\tilde{j}^2 U = -U \pmod{\mathcal{C}^\infty(G)\mathfrak{h}} \text{ for all } U \in \mathfrak{X}(G) \quad (d)$$

because $\mathfrak{X}(G)$ is generated by smooth functions $\tilde{f} : G \rightarrow \mathbb{R}$ and vectors $X \in \mathfrak{g}$. For any $g \in G$ and $U \in \mathfrak{X}(G)$, there exists a unique $X \in \mathfrak{g}$ such that $U_g = X_g$, and then

$$\begin{aligned} (d\pi)_g(\tilde{j}U)_g &= (d\pi)_g(\tilde{j}_g X_g) \stackrel{(c)}{=} (d\pi)_g((dL_g)_e(jX)_e) = (d\tau_g)_o((d\pi)_e(jX)_e) \stackrel{(a)}{=} (d\tau_g)_o(J_o((d\pi)_e X_e)) \\ &= (d\tau_g)_o(J_o((d\tau_{g^{-1}})_{\pi(g)}((d\pi)_g X_g))) \stackrel{(b)}{=} J_{\pi(g)}((d\pi)_g X_g) = J_{\pi(g)}((d\pi)_g U_g) \end{aligned}$$

because $jX \in \mathfrak{g}$. Accordingly, for an arbitrary $V \in \mathfrak{X}(G)$ we assert that

$$\tilde{j}V \text{ is a projectable vector field on } G, \text{ and } \pi_*(\tilde{j}V) = J(\pi_* V). \quad (e)$$

Now, for each point $p \in G/H$, one can find a coordinate neighborhood $(U, (y^1, \dots, y^n))$ of class C^ω of G/H and a coordinate neighborhood $(\pi^{-1}(U), (x^1, \dots, x^n, x^{n+1}, \dots, x^N))$ of class C^ω of G such that $p \in U$ and $x^i = y^i \circ \pi$ on $\pi^{-1}(U)$ for all $1 \leq i \leq n$; moreover, there exists a real analytic mapping $\sigma : U \rightarrow G$ such that $\pi(\sigma(q)) = q$ for all $q \in U$. Then, $x^i = y^i \circ \pi$ and (e) yield

$$J\left(\frac{\partial}{\partial y^i}\right) = J\left(\pi_*\left(\frac{\partial}{\partial x^i}\right)\right) = \pi_*\left(\tilde{j}\left(\frac{\partial}{\partial x^i}\right)\right)$$

for all $1 \leq i \leq n$. This and $x^i = y^i \circ \pi$ imply that $J_i^j \circ \pi = \tilde{j}_i^j$ on $\pi^{-1}(U)$ for all $1 \leq i, j \leq n$, where $J(\partial/\partial y^i) = \sum_{j=1}^n J_i^j(\partial/\partial y^j)$ and $\tilde{j}(\partial/\partial x^i) = \sum_{k=1}^N \tilde{j}_i^k(\partial/\partial x^k)$. Furthermore, it follows from $\pi \circ \sigma = \text{id}$ that

$$J_i^j = \tilde{j}_i^j \circ \sigma \text{ on } U \text{ (} 1 \leq i, j \leq n \text{)}.$$

Consequently the tensor J is of class C^∞ , since $\sigma : U \rightarrow \pi^{-1}(U)$ is real analytic and $\tilde{j}_i^j : \pi^{-1}(U) \rightarrow \mathbb{R}$ is smooth.

($S = 0$). Let us show that the Nijenhuis tensor S of J vanishes. For any $X_1, X_2 \in \mathfrak{g}$ we obtain

$$[\tilde{j}X_1, \tilde{j}X_2] - [X_1, X_2] - \tilde{j}[\tilde{j}X_1, X_2] - \tilde{j}[X_1, \tilde{j}X_2] \stackrel{(c)}{=} [jX_1, jX_2] - [X_1, X_2] - j[jX_1, X_2] - j[X_1, jX_2] \in \mathfrak{h}$$

from (c.4). Accordingly (d) implies that

$$[\tilde{j}U_1, \tilde{j}U_2] - [U_1, U_2] - \tilde{j}[\tilde{j}U_1, U_2] - \tilde{j}[U_1, \tilde{j}U_2] \in C^\infty(G)\mathfrak{h} \text{ for all } U_1, U_2 \in \mathfrak{X}(G) \quad (f)$$

because $\mathfrak{X}(G)$ is generated by smooth functions $\tilde{f} : G \rightarrow \mathbb{R}$ and vectors $X \in \mathfrak{g}$. For given $A, B \in \mathfrak{X}(G/H)$, Lemma 10.1.1-(ii) enables us to find $V, W \in \mathfrak{P}(G)$ satisfying $A = \pi_*V, B = \pi_*W$, respectively. Then Lemma 10.1.1-(i), combined with (e) and (f), yields

$$S(A, B) = [JA, JB] - [A, B] - J[JA, B] - J[A, JB] = \pi_*([\tilde{j}V, \tilde{j}W] - [V, W] - \tilde{j}[\tilde{j}V, W] - \tilde{j}[V, \tilde{j}W]) = 0.$$

Consequently the J in (b) is a G -invariant complex structure on G/H . Besides, j is related to J by (c.5); indeed (a) assures that $(d\pi)_e(jX)_e = J_o((d\pi)_eX_e)$ for all $X \in \mathfrak{g}$. The uniqueness of J follows from (c.5), G -invariability and Lemma 1.1.13. This completes the proof of Theorem 10.2.2. \square

Remark 10.2.3. Theorem 10.2.2-(II) assures the uniqueness of J for each j ; but in contrast, (I) does not assure the uniqueness of j for any J .

Modifying Theorem 10.2.2 slightly, one can assure the uniqueness of j in Theorem 10.2.2-(I).

Proposition 10.2.4. *In the setting of Theorem 10.2.2; let \mathfrak{m} be a real vector subspace of \mathfrak{g} so that*

$$\mathfrak{g} = \mathfrak{m} \oplus \mathfrak{h}.$$

Then, the following two items (I) and (II) hold:

(I) *Suppose the homogeneous space G/H to admit a G -invariant complex structure J . Then, there exists a unique linear mapping $j : \mathfrak{g} \rightarrow \mathfrak{m}$ satisfying the following five conditions:*

$$(c.1) \quad jZ = 0 \text{ for all } Z \in \mathfrak{h},$$

$$(c.2) \quad j^2X = -X \pmod{\mathfrak{h}} \text{ for all } X \in \mathfrak{g},$$

$$(c.3) \quad j(\text{Ad } z(X)) = \text{Ad } z(jX) \pmod{\mathfrak{h}} \text{ for all } (z, X) \in H \times \mathfrak{g},$$

$$(c.4) \quad [jX, jY] - [X, Y] - j[jX, Y] - j[X, jY] = 0 \pmod{\mathfrak{h}} \text{ for all } X, Y \in \mathfrak{g},$$

$$(c.5) \quad (d\pi)_e(jX)_e = J_o((d\pi)_eX_e) \text{ for all } X \in \mathfrak{g}.$$

(II) *Suppose that there exists a linear mapping $j : \mathfrak{g} \rightarrow \mathfrak{m}$ satisfying the above four conditions (c.1) through (c.4). Then, G/H admits a unique G -invariant complex structure J so that j is related to J by (c.5).*

Proof. cf. the proof of Theorem 10.2.2. \square

10.3 Invariant pseudo-Kählerian structures on homogeneous spaces

By Theorems 10.2.2 and 9.1.1 we conclude

Theorem 10.3.1 (cf. Dorfmeister-Guan [14]). *Let G be a (real) Lie group which satisfies the second countability axiom, let H be a closed subgroup of G , let π denote the projection of G onto G/H , and let $o := \pi(e)$. Then, the following two items (I) and (II) hold:*

(I) *Suppose the homogeneous space G/H to admit a G -invariant complex structure J and a G -invariant symplectic form Ω such that*

$$\Omega(JA, JB) = \Omega(A, B) \text{ for all } A, B \in \mathfrak{X}(G/H). \quad (10.3.2)$$

Then, there exist a linear transformation $j : \mathfrak{g} \rightarrow \mathfrak{g}$ and a unique skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfying the following ten conditions:

- (c.1) $jZ = 0$ for all $Z \in \mathfrak{h}$,
- (c.2) $j^2X = -X \pmod{\mathfrak{h}}$ for all $X \in \mathfrak{g}$,
- (c.3) $j(\text{Ad } z(X)) = \text{Ad } z(jX) \pmod{\mathfrak{h}}$ for all $(z, X) \in H \times \mathfrak{g}$,
- (c.4) $[jX, jY] - [X, Y] - j[jX, Y] - j[X, jY] = 0 \pmod{\mathfrak{h}}$ for all $X, Y \in \mathfrak{g}$,
- (c.5) $(d\pi)_e(jX)_e = J_o((d\pi)_eX_e)$ for all $X \in \mathfrak{g}$;
- (s.1) $\omega([X_1, X_2], X_3) + \omega([X_2, X_3], X_1) + \omega([X_3, X_1], X_2) = 0$ for all $X_1, X_2, X_3 \in \mathfrak{g}$,
- (s.2) $\mathfrak{h} = \{Z \in \mathfrak{g} \mid \omega(Z, X) = 0 \text{ for all } X \in \mathfrak{g}\}$,
- (s.3) $\omega(\text{Ad } z(X), \text{Ad } z(Y)) = \omega(X, Y)$ for all $z \in H$ and $X, Y \in \mathfrak{g}$,
- (s.4) $\omega(X, Y) = \Omega_o((d\pi)_eX_e, (d\pi)_eY_e)$ for all $X, Y \in \mathfrak{g}$;
- (c.s) $\omega(jX, jY) = \omega(X, Y)$ for all $X, Y \in \mathfrak{g}$.

(II) *Suppose that there exist a linear transformation $j : \mathfrak{g} \rightarrow \mathfrak{g}$ and a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfying the above eight conditions (c.1) through (c.4), (s.1) through (s.3), and (c.s). Then, G/H admits a unique G -invariant complex structure J and a unique G -invariant symplectic form Ω so that (10.3.2) holds, j is related to J by (c.5), and ω is related to Ω by (s.4).*

Here G/H is a real analytic manifold in view of Theorem 1.1.2, and we identify the real constants with the real-valued constant functions on G .

Here are comments on Theorem 10.3.1.

Remark 10.3.3.

1. By virtue of (10.3.2) one can construct a G -invariant pseudo-Kähler metric \mathfrak{g} on G/H from

$$\mathfrak{g}(A, B) := \Omega(A, JB) \text{ for } A, B \in \mathfrak{X}(G/H).$$

2. We refer to Dorfmeister-Guan [14, Section 1.2] for Theorem 10.3.1. Remark that the paper [14] has been created earlier than the paper [12], but [14] is published later than [12].

10.4 Elliptic orbits and homogeneous pseudo-Kähler manifolds of semisimple Lie groups

In this section we will confirm that there is no essential difference between elliptic orbits and homogeneous pseudo-Kähler manifolds of semisimple Lie groups. The setting of Section 10.4 is as follows:

- G is a connected, real semisimple Lie group,
- $\mathfrak{g}_{\mathbb{C}}$ is the complexification of the (real) Lie algebra $\mathfrak{g} = \text{Lie}(G)$,
- $\bar{\sigma}$ is the conjugation of $\mathfrak{g}_{\mathbb{C}}$ with respect to \mathfrak{g} .

10.4.1 A pseudo-Kählerian structure on an elliptic adjoint orbit

The main purpose of this subsection is to prove

Proposition 10.4.1. *Let T be any elliptic element of \mathfrak{g} , and let $L := C_G(T)$. Then, the homogeneous space G/L admits a G -invariant complex structure J and a G -invariant symplectic form Ω such that*

$$\Omega(JA, JB) = \Omega(A, B) \text{ for all } A, B \in \mathfrak{X}(G/L).$$

Therefore G/L is a simply connected, homogeneous pseudo-Kähler manifold of G . Here G/L is a real analytic manifold in view of Theorem 1.1.2, and we identify the real constants with the real-valued constant functions on G .

Proof. By Proposition 7.3.4 and Theorem 10.3.1-(II), it is enough to show that there exist a linear transformation $j : \mathfrak{g} \rightarrow \mathfrak{g}$ and a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfying the eight conditions (c.1) through (c.4), (s.1) through (s.3), and (c.s) in Theorem 10.3.1. Taking the Killing form $B_{\mathfrak{g}}$ of \mathfrak{g} we define a skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ by

$$\omega(X, Y) := B_{\mathfrak{g}}(T, [X, Y]) \text{ for } X, Y \in \mathfrak{g}. \quad \textcircled{1}$$

Then, one knows that this ω satisfies the conditions (s.1) through (s.3) by the proof of Lemma 9.2.3. For this reason, the rest of proof is to construct a linear transformation $j : \mathfrak{g} \rightarrow \mathfrak{g}$ satisfying the (c.1) through (c.4) and (c.s). We quote the notation $\mathfrak{l}_{\mathbb{C}}$, \mathfrak{u}^{\pm} and \mathfrak{l} from Lemma 7.2.8; and first define a complex linear transformation $j_{\mathbb{C}}$ of $\mathfrak{g}_{\mathbb{C}} = \mathfrak{u}^+ \oplus \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^-$ by

$$j_{\mathbb{C}}(V^+ + Z + V^-) := iV^+ + (-i)V^- \text{ for } V^{\pm} \in \mathfrak{u}^{\pm}, Z \in \mathfrak{l}_{\mathbb{C}},$$

where $i = \sqrt{-1}$. Then, we deduce

$$\bar{\sigma} \circ j_{\mathbb{C}} = j_{\mathbb{C}} \circ \bar{\sigma} \quad \textcircled{2}$$

by Lemma 7.2.8-(5'). Moreover,

(c.1)' $j_{\mathbb{C}}(Z) = 0$ for all $Z \in \mathfrak{l}_{\mathbb{C}}$.

(c.2)' For any $V^{\pm} \in \mathfrak{u}^{\pm}$ and $Z \in \mathfrak{l}_{\mathbb{C}}$ we see that $j_{\mathbb{C}}^2(V^+ + Z + V^-) = -(V^+ + V^-) = -(V^+ + Z + V^-) \pmod{\mathfrak{l}_{\mathbb{C}}}$, and thus $j_{\mathbb{C}}^2(W) = -W \pmod{\mathfrak{l}_{\mathbb{C}}}$ for all $W \in \mathfrak{g}_{\mathbb{C}}$.

(c.3)' Lemma 7.2.8-(2') implies that for every $z \in L$, $V^{\pm} \in \mathfrak{u}^{\pm}$ and $Z \in \mathfrak{l}_{\mathbb{C}}$,

$$j_{\mathbb{C}}(\text{Ad } z(V^+ + Z + V^-)) = i \text{Ad } z(V^+) - i \text{Ad } z(V^-) = \text{Ad } z(iV^+ - iV^-) = \text{Ad } z(j_{\mathbb{C}}(V^+ + Z + V^-));$$

and $j_{\mathbb{C}}(\text{Ad } z(W)) = \text{Ad } z(j_{\mathbb{C}}(W))$ for all $(z, W) \in L \times \mathfrak{g}_{\mathbb{C}}$.

(c.4)' For given $V_a^{\pm} \in \mathfrak{u}^{\pm}$ and $Z_a \in \mathfrak{l}_{\mathbb{C}}$ ($a = 1, 2$), we obtain

$$\begin{aligned} & [j_{\mathbb{C}}(V_1^+ + Z_1 + V_1^-), j_{\mathbb{C}}(V_2^+ + Z_2 + V_2^-)] - [V_1^+ + Z_1 + V_1^-, V_2^+ + Z_2 + V_2^-] \\ & - j_{\mathbb{C}}([j_{\mathbb{C}}(V_1^+ + Z_1 + V_1^-), V_2^+ + Z_2 + V_2^-]) - j_{\mathbb{C}}([V_1^+ + Z_1 + V_1^-, j_{\mathbb{C}}(V_2^+ + Z_2 + V_2^-)]) \\ & = -[Z_1, Z_2] \in \mathfrak{l}_{\mathbb{C}} \end{aligned}$$

by a direct computation with Lemma 7.2.8-(3'). So, we conclude that $[j_{\mathbb{C}}(W_1), j_{\mathbb{C}}(W_2)] - [W_1, W_2] - j_{\mathbb{C}}([j_{\mathbb{C}}(W_1), W_2]) - j_{\mathbb{C}}([W_1, j_{\mathbb{C}}(W_2)]) \in \mathfrak{l}_{\mathbb{C}}$ for all $W_1, W_2 \in \mathfrak{g}_{\mathbb{C}}$.

(c.s)' Fix any $V_a^{\pm} \in \mathfrak{u}^{\pm}$ and $Z_a \in \mathfrak{l}_{\mathbb{C}}$ ($a = 1, 2$). On the one hand; Lemma 7.2.8-(3'), (4') and $T \in \mathfrak{l}_{\mathbb{C}}$ allow us to have

$$\begin{aligned} B_{\mathfrak{g}_{\mathbb{C}}}(T, [j_{\mathbb{C}}(V_1^+ + Z_1 + V_1^-), j_{\mathbb{C}}(V_2^+ + Z_2 + V_2^-)]) &= B_{\mathfrak{g}_{\mathbb{C}}}(T, [iV_1^+ - iV_1^-, iV_2^+ - iV_2^-]) \\ &= B_{\mathfrak{g}_{\mathbb{C}}}(T, [V_1^+, V_2^-] + [V_1^-, V_2^+]) = B_{\mathfrak{g}_{\mathbb{C}}}(T, [V_1^+ + V_1^-, V_2^+ + V_2^-]). \end{aligned}$$

On the other hand; $[T, Z_a] = 0$ and $B_{\mathfrak{g}_{\mathbb{C}}}([P, Q], R) = -B_{\mathfrak{g}_{\mathbb{C}}}(Q, [P, R])$ yield

$$\begin{aligned} B_{\mathfrak{g}_{\mathbb{C}}}(T, [V_1^+ + Z_1 + V_1^-, V_2^+ + Z_2 + V_2^-]) &= -B_{\mathfrak{g}_{\mathbb{C}}}([V_1^+ + Z_1 + V_1^-, T], V_2^+ + Z_2 + V_2^-) \\ &= -B_{\mathfrak{g}_{\mathbb{C}}}([V_1^+ + V_1^-, T], V_2^+ + Z_2 + V_2^-) = B_{\mathfrak{g}_{\mathbb{C}}}(T, [V_1^+ + V_1^-, V_2^+ + Z_2 + V_2^-]) \\ &= B_{\mathfrak{g}_{\mathbb{C}}}([V_2^+ + Z_2 + V_2^-, T], V_1^+ + V_1^-) = B_{\mathfrak{g}_{\mathbb{C}}}([V_2^+ + V_2^-, T], V_1^+ + V_1^-) = B_{\mathfrak{g}_{\mathbb{C}}}(T, [V_1^+ + V_1^-, V_2^+ + V_2^-]). \end{aligned}$$

Consequently $B_{\mathfrak{g}_{\mathbb{C}}}(T, [j_{\mathbb{C}}(V_1^+ + Z_1 + V_1^-), j_{\mathbb{C}}(V_2^+ + Z_2 + V_2^-)]) = B_{\mathfrak{g}_{\mathbb{C}}}(T, [V_1^+ + Z_1 + V_1^-, V_2^+ + Z_2 + V_2^-])$; and it follows that $B_{\mathfrak{g}_{\mathbb{C}}}(T, [j_{\mathbb{C}}(W_1), j_{\mathbb{C}}(W_2)]) = B_{\mathfrak{g}_{\mathbb{C}}}(T, [W_1, W_2])$ for all $W_1, W_2 \in \mathfrak{g}_{\mathbb{C}}$.

Accordingly $j := j_{\mathbb{C}}|_{\mathfrak{g}}$ is a real linear transformation of \mathfrak{g} and satisfies the conditions (c.1) through (c.4) and (c.s), because of $\mathfrak{g} = \{X \in \mathfrak{g}_{\mathbb{C}} \mid \bar{\sigma}(X) = X\}$ and $\mathfrak{l} = \{Y \in \mathfrak{l}_{\mathbb{C}} \mid \bar{\sigma}(Y) = Y\}$. \square

Remark 10.4.2. Here are comments on the proof of Proposition 10.4.1. One can realize the linear transformation $j = j_{\mathbb{C}}|_{\mathfrak{g}}$ of $\mathfrak{g} = \mathfrak{l} \oplus \mathfrak{u}$ by setting

$$j(Y + V + \bar{\sigma}(V)) := iV - i\bar{\sigma}(V) \text{ for } Y \in \mathfrak{l} \text{ and } V \in \mathfrak{u}^+.$$

cf. Lemma 7.2.8-(i), (iii).

10.4.2 A realization of homogeneous pseudo-Kähler manifolds as elliptic adjoint orbits

We are going to inductively prove that any homogeneous pseudo-Kähler manifold of G is an elliptic orbit of G (see Theorem 10.4.7).

Let H be a closed subgroup of the connected real semisimple Lie group G . Suppose that the homogeneous space G/H admits a G -invariant complex structure J and a G -invariant symplectic form Ω such that

$$\Omega(JA, JB) = \Omega(A, B) \text{ for all } A, B \in \mathfrak{X}(G/H).$$

Then, there exist a linear transformation $j : \mathfrak{g} \rightarrow \mathfrak{g}$ and a unique skew-symmetric bilinear form $\omega : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ satisfying the ten conditions in Theorem 10.3.1-(I). Moreover, there exists a unique $S \in \mathfrak{g}$ such that

- (i) $\omega(X, Y) = B_{\mathfrak{g}}(S, [X, Y])$ for all $X, Y \in \mathfrak{g}$,
- (ii) $C_G(S)_0 \subset H \subset C_G(S)$

by Lemma 9.2.1 and Proposition 9.2.2. Here $B_{\mathfrak{g}}$ is the Killing form of \mathfrak{g} . Let us remark $\mathfrak{h} = \mathfrak{c}_{\mathfrak{g}}(S)$, denote by $j_{\mathbb{C}}$ the complex linear extension of j to $\mathfrak{g}_{\mathbb{C}}$, and prove

Lemma 10.4.3. *Let $\mathfrak{h}_{\mathbb{C}} := \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S)$, $\mathfrak{q}^+ := \{V \in \mathfrak{g}_{\mathbb{C}} \mid j_{\mathbb{C}}(V) = iV \pmod{\mathfrak{h}_{\mathbb{C}}}\}$ and $\mathfrak{q}^- := \{V \in \mathfrak{g}_{\mathbb{C}} \mid j_{\mathbb{C}}(V) = -iV \pmod{\mathfrak{h}_{\mathbb{C}}}\}$. Then, it follows that for each $s = \pm$,*

- (1) $[\mathfrak{h}_{\mathbb{C}}, \mathfrak{q}^s] \subset \mathfrak{q}^s$; $\text{Ad } z(\mathfrak{q}^s) \subset \mathfrak{q}^s$ for all $z \in H$,
- (2) \mathfrak{q}^s is a complex subalgebra of $\mathfrak{g}_{\mathbb{C}}$,
- (3) $\mathfrak{q}^+ \cap \mathfrak{q}^- = \mathfrak{h}_{\mathbb{C}}$,
- (4) $\bar{\sigma}(\mathfrak{h}_{\mathbb{C}}) \subset \mathfrak{h}_{\mathbb{C}}$, $\bar{\sigma}(\mathfrak{q}^+) \subset \mathfrak{q}^-$ and $\bar{\sigma}(\mathfrak{q}^-) \subset \mathfrak{q}^+$,
- (5) $\mathfrak{q}^+ + \mathfrak{q}^- = \mathfrak{g}_{\mathbb{C}}$,
- (6) $\dim_{\mathbb{C}} \mathfrak{q}^s - \dim_{\mathbb{C}} \mathfrak{h}_{\mathbb{C}} = \dim_{\mathbb{C}} \mathfrak{g}_{\mathbb{C}} - \dim_{\mathbb{C}} \mathfrak{q}^s$,
- (7) $\mathfrak{h}_{\mathbb{C}} = \{Z \in \mathfrak{g}_{\mathbb{C}} \mid B_{\mathfrak{g}_{\mathbb{C}}}(S, [Z, W]) = 0 \text{ for all } W \in \mathfrak{g}_{\mathbb{C}}\}$,
- (8) $B_{\mathfrak{g}_{\mathbb{C}}}(S, [\mathfrak{q}^s, \mathfrak{q}^s]) = \{0\}$.

Proof. Since $j : \mathfrak{g} \rightarrow \mathfrak{g}$ satisfies the conditions in Theorem 10.3.1-(I), we conclude that the complex linear transformation $j_{\mathbb{C}} : \mathfrak{g}_{\mathbb{C}} \rightarrow \mathfrak{g}_{\mathbb{C}}$ satisfies

- (c.1)' $j_{\mathbb{C}}(Z) = 0$ for all $Z \in \mathfrak{h}_{\mathbb{C}}$,
- (c.2)' $j_{\mathbb{C}}^2(W) = -W \pmod{\mathfrak{h}_{\mathbb{C}}}$ for all $W \in \mathfrak{g}_{\mathbb{C}}$,
- (c.3)' $j_{\mathbb{C}}(\text{ad } Z(W)) = \text{ad } Z(j_{\mathbb{C}}(W)) \pmod{\mathfrak{h}_{\mathbb{C}}}$ for all $(Z, W) \in \mathfrak{h}_{\mathbb{C}} \times \mathfrak{g}_{\mathbb{C}}$,
 $j_{\mathbb{C}}(\text{Ad } z(W)) = \text{Ad } z(j_{\mathbb{C}}(W)) \pmod{\mathfrak{h}_{\mathbb{C}}}$ for all $(z, W) \in H \times \mathfrak{g}_{\mathbb{C}}$,
- (c.4)' $[j_{\mathbb{C}}(W_1), j_{\mathbb{C}}(W_2)] - [W_1, W_2] - j_{\mathbb{C}}([j_{\mathbb{C}}(W_1), W_2]) - j_{\mathbb{C}}([W_1, j_{\mathbb{C}}(W_2)]) \in \mathfrak{h}_{\mathbb{C}}$ for all $W_1, W_2 \in \mathfrak{g}_{\mathbb{C}}$,
- (c.s)' $B_{\mathfrak{g}_{\mathbb{C}}}(S, [j_{\mathbb{C}}(W_1), j_{\mathbb{C}}(W_2)]) = B_{\mathfrak{g}_{\mathbb{C}}}(S, [W_1, W_2])$ for all $W_1, W_2 \in \mathfrak{g}_{\mathbb{C}}$.

Note that $\mathfrak{h}_{\mathbb{C}}$ is a complex subalgebra of $\mathfrak{g}_{\mathbb{C}}$ and $\bar{\sigma} \circ j_{\mathbb{C}} = j_{\mathbb{C}} \circ \bar{\sigma}$.

(1) is a consequence of (c.3)'.

(2). It is clear that \mathfrak{q}^s is a complex vector subspace of $\mathfrak{g}_{\mathbb{C}}$. From (1) and (c.4)' we obtain $[\mathfrak{q}^s, \mathfrak{q}^s] \subset \mathfrak{q}^s$. Thus \mathfrak{q}^s is a complex subalgebra of $\mathfrak{g}_{\mathbb{C}}$.

(3). For each $V \in \mathfrak{q}^+ \cap \mathfrak{q}^-$ there exist $Z_+, Z_- \in \mathfrak{h}_{\mathbb{C}}$ such that $iV + Z_+ = j_{\mathbb{C}}(V) = -iV + Z_-$. Therefore one shows $V = (i/2)(Z_+ - Z_-) \in \mathfrak{h}_{\mathbb{C}}$, and $\mathfrak{q}^+ \cap \mathfrak{q}^- \subset \mathfrak{h}_{\mathbb{C}}$. The converse inclusion $\mathfrak{h}_{\mathbb{C}} \subset \mathfrak{q}^+ \cap \mathfrak{q}^-$ follows from (c.1)'.

(4). From $\mathfrak{h}_{\mathbb{C}} = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S)$ and $\bar{\sigma}(S) = S$ we deduce that $\bar{\sigma}(\mathfrak{h}_{\mathbb{C}}) \subset \mathfrak{h}_{\mathbb{C}}$, which leads to $\bar{\sigma}(\mathfrak{q}^s) \subset \mathfrak{q}^{-s}$ since $\bar{\sigma} \circ j_{\mathbb{C}} = j_{\mathbb{C}} \circ \bar{\sigma}$ and $\mathfrak{q}^s = \{V \in \mathfrak{g}_{\mathbb{C}} \mid j_{\mathbb{C}}(V) = siV \pmod{\mathfrak{h}_{\mathbb{C}}}\}$.

(5). For an arbitrary $W \in \mathfrak{g}_{\mathbb{C}}$, it follows from (c.2)' that $W = (1/2)((W - ij_{\mathbb{C}}(W)) + (W + ij_{\mathbb{C}}(W))) \in \mathfrak{q}^+ + \mathfrak{q}^-$, so that $\mathfrak{g}_{\mathbb{C}} \subset \mathfrak{q}^+ + \mathfrak{q}^-$. Hence $\mathfrak{q}^+ + \mathfrak{q}^- = \mathfrak{g}_{\mathbb{C}}$.

(6). A direct computation yields $\dim_{\mathbb{C}} \mathfrak{g}_{\mathbb{C}} \stackrel{(5)}{=} \dim_{\mathbb{C}}(\mathfrak{q}^+ + \mathfrak{q}^-) = \dim_{\mathbb{C}} \mathfrak{q}^+ + \dim_{\mathbb{C}} \mathfrak{q}^- - \dim_{\mathbb{C}} \mathfrak{q}^+ \cap \mathfrak{q}^- \stackrel{(3)}{=} \dim_{\mathbb{C}} \mathfrak{q}^+ + \dim_{\mathbb{C}} \mathfrak{q}^- - \dim_{\mathbb{C}} \mathfrak{h}_{\mathbb{C}} \stackrel{(4)}{=} 2 \dim_{\mathbb{C}} \mathfrak{q}^s - \dim_{\mathbb{C}} \mathfrak{h}_{\mathbb{C}}$.

(7). For a given $Z \in \mathfrak{g}_{\mathbb{C}}$, $Z \in \mathfrak{h}_{\mathbb{C}} = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S)$ if and only if $\text{ad } S(Z) = 0$ if and only if $0 = B_{\mathfrak{g}_{\mathbb{C}}}([S, Z], W) = B_{\mathfrak{g}_{\mathbb{C}}}(S, [Z, W])$ for all $W \in \mathfrak{g}_{\mathbb{C}}$ (because $B_{\mathfrak{g}_{\mathbb{C}}}$ is non-degenerate). Thus (7) holds.

(8). For any $V_1, V_2 \in \mathfrak{q}^s$ ($s = \pm$), there exist $Z_1, Z_2 \in \mathfrak{h}_{\mathbb{C}}$ such that $j_{\mathbb{C}}(V_1) = siV_1 + Z_1$, $j_{\mathbb{C}}(V_2) = siV_2 + Z_2$. Then

$$\begin{aligned} B_{\mathfrak{g}_{\mathbb{C}}}(S, [V_1, V_2]) &\stackrel{(c.s)'}{=} B_{\mathfrak{g}_{\mathbb{C}}}(S, [j_{\mathbb{C}}(V_1), j_{\mathbb{C}}(V_2)]) = B_{\mathfrak{g}_{\mathbb{C}}}(S, -s^2[V_1, V_2] + si[V_1, Z_2] + si[Z_1, V_2] + [Z_1, Z_2]) \\ &\stackrel{(7)}{=} B_{\mathfrak{g}_{\mathbb{C}}}(S, -s^2[V_1, V_2]) = -B_{\mathfrak{g}_{\mathbb{C}}}(S, [V_1, V_2]). \end{aligned}$$

This implies that $B_{\mathfrak{g}_{\mathbb{C}}}(S, [V_1, V_2]) = 0$, and so $B_{\mathfrak{g}_{\mathbb{C}}}(S, [\mathfrak{q}^s, \mathfrak{q}^s]) = \{0\}$. \square

Set $\mathfrak{h}_{\mathbb{C}}, \mathfrak{q}^{\pm}$ as in Lemma 10.4.3. In view of Lemma 10.4.3-(2), (6), (7), (8) we see that \mathfrak{q}^+ is a complex subalgebra of the complex semisimple Lie algebra $\mathfrak{g}_{\mathbb{C}}$ and is a weak polarization of S . Thus,

1. \mathfrak{q}^+ is a complex parabolic subalgebra of $\mathfrak{g}_{\mathbb{C}}$ and includes a complex Borel subalgebra \mathfrak{b}' of $\mathfrak{g}_{\mathbb{C}}$,
2. $[S, \mathfrak{q}^+]$ is an ideal of \mathfrak{q}^+ .

cf. Theorem 2.2 in Ozeki-Wakimoto [30, p.447]. In general, the intersection of two complex Borel subalgebras is not empty and includes a Cartan subalgebra in a complex semisimple Lie algebra. Hence there exists a Cartan subalgebra $\mathfrak{c}'_{\mathbb{C}}$ of $\mathfrak{g}_{\mathbb{C}}$ such that $\mathfrak{c}'_{\mathbb{C}} \subset \mathfrak{b}' \cap \bar{\sigma}(\mathfrak{b}')$, and then

$$\mathfrak{c}'_{\mathbb{C}} \subset (\mathfrak{b}' \cap \bar{\sigma}(\mathfrak{b}')) \subset (\mathfrak{q}^+ \cap \mathfrak{q}^-) = \mathfrak{h}_{\mathbb{C}} = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S) \quad (10.4.4)$$

by Lemma 10.4.3-(4), (3). This enables us to assert that $S \in \mathfrak{c}'_{\mathbb{C}}$ and S is a semisimple element of \mathfrak{g} . Moreover,

Proposition 10.4.5. *There exists an elliptic element $T \in \mathfrak{g}$ such that $\mathfrak{h} = \mathfrak{c}_{\mathfrak{g}}(T)$ and*

$$\mathfrak{h}_{\mathbb{C}} = \mathfrak{g}^0, \quad [S, \mathfrak{q}^+] = \bigoplus_{\lambda > 0} \mathfrak{g}^{\lambda}, \quad [S, \mathfrak{q}^-] = \bigoplus_{\lambda > 0} \mathfrak{g}^{-\lambda}, \quad \mathfrak{q}^+ = \bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}, \quad \mathfrak{q}^- = \bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu},$$

where $\mathfrak{g}^{\lambda} := \{W \in \mathfrak{g}_{\mathbb{C}} \mid \text{ad } T(W) = i\lambda W\}$ for $\lambda \in \mathbb{R}$. Here we refer to Lemma 10.4.3 for $\mathfrak{h}_{\mathbb{C}}, \mathfrak{q}^{\pm}$.

Proof. We are going to prepare some notation first. Since $S \in \mathfrak{g}$ is semisimple, there exists a (real) Cartan subalgebra $\mathfrak{c} \subset \mathfrak{g}$ containing S . Denote by $\mathfrak{c}_{\mathbb{C}}$ the complex vector subspace of $\mathfrak{g}_{\mathbb{C}}$ generated by \mathfrak{c} , by $\Delta = \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{c}_{\mathbb{C}})$ the root system of $\mathfrak{g}_{\mathbb{C}}$ relative to $\mathfrak{c}_{\mathbb{C}}$, by \mathfrak{g}_{α} the root subspace of $\mathfrak{g}_{\mathbb{C}}$ for $\alpha \in \Delta$, and by H_{α} ($\alpha \in \Delta$) the unique element of $\mathfrak{c}_{\mathbb{C}}$ such that $\alpha(X) = B_{\mathfrak{g}_{\mathbb{C}}}(H_{\alpha}, X)$ for all $X \in \mathfrak{c}_{\mathbb{C}}$. Taking $S \in \mathfrak{c}_{\mathbb{C}}$ and $\bar{\sigma}(\mathfrak{c}_{\mathbb{C}}) = \mathfrak{c}_{\mathbb{C}}$ into account, we define a symmetric closed subset $\blacktriangle \subset \Delta$ and an involutive transformation $\bar{\sigma}^* : \Delta \rightarrow \Delta$ by

$$\blacktriangle := \{\gamma \in \Delta \mid \gamma(S) = 0\}, \quad (\bar{\sigma}^* \alpha)(X) := \overline{\alpha(\bar{\sigma}(X))} \text{ for } \alpha \in \Delta \text{ and } X \in \mathfrak{c}_{\mathbb{C}}, \quad \textcircled{0}$$

respectively. Then it turns out that $\mathfrak{g}_{\mathbb{C}} = \mathfrak{c}_{\mathbb{C}} \oplus \bigoplus_{\alpha \in \Delta} \mathfrak{g}_{\alpha}$, $\mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S) = \mathfrak{c}_{\mathbb{C}} \oplus \bigoplus_{\gamma \in \blacktriangle} \mathfrak{g}_{\gamma}$, and $\mathfrak{h}_{\mathbb{C}} = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S)$ is a complex reductive Lie algebra including $\mathfrak{c}_{\mathbb{C}}$. In addition, since S is semisimple and $[S, \mathfrak{q}^{\pm}] \subset \mathfrak{q}^{\pm}$ we have $\mathfrak{q}^{\pm} = \mathfrak{c}_{\mathfrak{q}^{\pm}}(S) \oplus \text{ad } S(\mathfrak{q}^{\pm}) = \mathfrak{h}_{\mathbb{C}} \oplus [S, \mathfrak{q}^{\pm}]$, and there exist subsets $\Delta_q^+, \Delta_q^- \subset \Delta$ such that

$$\Delta = \Delta_q^+ \amalg \blacktriangle \amalg \Delta_q^-, \quad [S, \mathfrak{q}^+] = \bigoplus_{\delta \in \Delta_q^+} \mathfrak{g}_{\delta}, \quad [S, \mathfrak{q}^-] = \bigoplus_{\beta \in \Delta_q^-} \mathfrak{g}_{\beta} \quad \textcircled{1}$$

by $[\mathfrak{c}_{\mathbb{C}}, \mathfrak{q}^{\pm}] \subset \mathfrak{q}^{\pm}$ and Lemma 10.4.3-(5), (3). Remark that the cardinal number $|\Delta_q^+|$ is equal to $|\Delta_q^-|$ (because $\bigoplus_{\delta \in \Delta_q^+} \mathfrak{g}_{\delta} = [S, \mathfrak{q}^+] = \bar{\sigma}([S, \mathfrak{q}^-]) = \bigoplus_{\beta \in \Delta_q^-} \mathfrak{g}_{\bar{\sigma}^* \beta}$ follows from $\textcircled{1}$, $\bar{\sigma}(S) = S$ and Lemma 10.4.3-(4)), and that $\bar{\sigma}^*(\Delta_q^-) = \Delta_q^+$, $\bar{\sigma}^*(\Delta_q^+) = \Delta_q^-$ and $\bar{\sigma}^*(\blacktriangle) = \blacktriangle$.

Now, $\mathfrak{c}'_{\mathbb{C}}, \mathfrak{c}_{\mathbb{C}}$ are Cartan subalgebras of $\mathfrak{h}_{\mathbb{C}}$ and $\mathfrak{h}_{\mathbb{C}}$ is a complex reductive Lie algebra. cf. (10.4.4). For this reason there exists an inner automorphism ψ of $\mathfrak{h}_{\mathbb{C}}$ such that $\psi(\mathfrak{c}'_{\mathbb{C}}) = \mathfrak{c}_{\mathbb{C}}$. One can regard this ψ as an inner automorphism of $\mathfrak{g}_{\mathbb{C}}$, so $\mathfrak{b} := \psi(\mathfrak{b}')$ is a complex Borel subalgebra of $\mathfrak{g}_{\mathbb{C}}$. Besides, it follows from $[\mathfrak{h}_{\mathbb{C}}, \mathfrak{b}'] \subset [\mathfrak{h}_{\mathbb{C}}, \mathfrak{q}^+] \subset \mathfrak{q}^+$ that $\psi(\mathfrak{b}') \subset \mathfrak{q}^+$, so that

$$\mathfrak{c}_{\mathbb{C}} \subset \mathfrak{b} \subset \mathfrak{q}^+ \quad (2)$$

by $\mathfrak{c}_{\mathbb{C}} = \psi(\mathfrak{c}'_{\mathbb{C}}) \subset \psi(\mathfrak{b}')$. Relative to this Borel subalgebra $\mathfrak{b} \subset \mathfrak{g}_{\mathbb{C}}$, we fix the set Δ^+ ($\subset \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{c}_{\mathbb{C}})$) of positive roots and put $\Delta^- := -\Delta^+$. Then $\mathfrak{b} \subset \mathfrak{q}^+ = \mathfrak{h}_{\mathbb{C}} \oplus [S, \mathfrak{q}^+]$ and $\textcircled{1}$ yield $\Delta^+ - \blacktriangle \subset \Delta_q^+$. Accordingly we conclude

$$\Delta^+ - \blacktriangle = \Delta_q^+, \quad \Delta^- - \blacktriangle = \Delta_q^-$$

from $\Delta = (\Delta^+ - \blacktriangle) \amalg \blacktriangle \amalg (\Delta^- - \blacktriangle)$, $\textcircled{1}$, $|\Delta^+ - \blacktriangle| = |\Delta^- - \blacktriangle|$ and $|\Delta_q^+| = |\Delta_q^-|$. Summarizing the statements above we show that

$$\begin{cases} \mathfrak{h}_{\mathbb{C}} = \mathfrak{c}_{\mathbb{C}} \oplus \bigoplus_{\gamma \in \blacktriangle} \mathfrak{g}_{\gamma}, & [S, \mathfrak{q}^+] = \bigoplus_{\delta \in \Delta^+ - \blacktriangle} \mathfrak{g}_{\delta}, & [S, \mathfrak{q}^-] = \bigoplus_{\beta \in \Delta^- - \blacktriangle} \mathfrak{g}_{\beta}, \\ \mathfrak{g}_{\mathbb{C}} = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S) \oplus \text{ad } S(\mathfrak{g}_{\mathbb{C}}), & \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S) = \mathfrak{h}_{\mathbb{C}}, & \text{ad } S(\mathfrak{g}_{\mathbb{C}}) = [S, \mathfrak{q}^+] \oplus [S, \mathfrak{q}^-], & \mathfrak{q}^+ = \mathfrak{h}_{\mathbb{C}} \oplus [S, \mathfrak{q}^+], & \mathfrak{q}^- = \mathfrak{h}_{\mathbb{C}} \oplus [S, \mathfrak{q}^-], \\ \bar{\sigma}^*(\blacktriangle) = \blacktriangle, & \bar{\sigma}^*(\Delta^+ - \blacktriangle) = \Delta^- - \blacktriangle, & \bar{\sigma}^*(\Delta^- - \blacktriangle) = \Delta^+ - \blacktriangle. \end{cases} \quad (3)$$

Let us put $Z := \sum_{\delta \in \Delta^+ - \blacktriangle} H_{\delta}$. Then Z belongs to $\mathfrak{c}_{\mathbb{C}}$ and it follows from $[\mathfrak{h}_{\mathbb{C}}, [S, \mathfrak{q}^+]] \subset [S, \mathfrak{q}^+]$, $[[S, \mathfrak{q}^+], [S, \mathfrak{q}^+]] \subset [S, \mathfrak{q}^+]$ that for each $\alpha \in \Delta$,

$$\alpha(Z) \text{ is } \begin{cases} \text{the zero} & \text{if } \alpha \in \blacktriangle, \\ \text{a positive real number} & \text{if } \alpha \in \Delta^+ - \blacktriangle, \\ \text{a negative real number} & \text{if } \alpha \in \Delta^- - \blacktriangle, \end{cases}$$

cf. Corollary 5.101 in Knapp [17, p.330].² Therefore $\textcircled{3}$ and $\textcircled{1}$ assure that for each $\alpha \in \Delta$, $\overline{(\bar{\sigma}^*\alpha)(Z)} = (\bar{\sigma}^*\alpha)(Z)$ and

$$\alpha(Z - \bar{\sigma}(Z)) \text{ is } \begin{cases} = 0 & \text{if } \alpha \in \blacktriangle, \\ > 0 & \text{if } \alpha \in \Delta^+ - \blacktriangle, \\ < 0 & \text{if } \alpha \in \Delta^- - \blacktriangle. \end{cases} \quad (4)$$

Setting $T := i(Z - \bar{\sigma}(Z)) \in \mathfrak{c}_{\mathbb{C}}$, we demonstrate that $T = iZ + \bar{\sigma}(iZ)$ is an element of $\mathfrak{g} = \{W \in \mathfrak{g}_{\mathbb{C}} \mid \bar{\sigma}(W) = W\}$; moreover, T is elliptic, $\mathfrak{h}_{\mathbb{C}} = \mathfrak{g}^0$, $[S, \mathfrak{q}^{\pm}] = \bigoplus_{\lambda > 0} \mathfrak{g}^{\pm\lambda}$ and $\mathfrak{q}^{\pm} = \bigoplus_{\nu > 0} \mathfrak{g}^{\pm\nu}$ by $\textcircled{4}$, $\textcircled{3}$. In addition, it follows from $\mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S) = \mathfrak{h}_{\mathbb{C}} = \mathfrak{g}^0 = \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T)$ that $\mathfrak{h} = \mathfrak{c}_{\mathfrak{g}}(S) = (\mathfrak{g} \cap \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(S)) = (\mathfrak{g} \cap \mathfrak{c}_{\mathfrak{g}_{\mathbb{C}}}(T)) = \mathfrak{c}_{\mathfrak{g}}(T)$. \square

We will state Theorem 10.4.7 after proving

Lemma 10.4.6. *Let T have the properties in Proposition 10.4.5. Then, H coincides with $C_G(T)$.*

Proof. At the beginning of this subsection one has known $C_G(S)_0 \subset H \subset C_G(S)$. Therefore Proposition 10.4.5 and Lemma 7.3.3 give rise to

$$C_G(T) = C_G(S)_0 \subset H \subset C_G(S).$$

Hence, the rest of proof is to confirm that $H \subset C_G(T)$. Let us denote by \hat{G} the adjoint group of \mathfrak{g} , set $\hat{H} := \text{Ad } H$, and identify \mathfrak{g} with $\hat{\mathfrak{g}}$ via $\mathfrak{g} \ni X \mapsto \text{ad } X \in \hat{\mathfrak{g}}$. Our first aim is to prove

$$\hat{H} \subset C_{\hat{G}}(T). \quad (1)$$

²Indeed; let $\langle \alpha, \beta \rangle := B_{\mathfrak{g}_{\mathbb{C}}}(H_{\alpha}, H_{\beta})$ for $\alpha, \beta \in \Delta$. Define ζ (resp. w_{α}) in a similar way to (8.1.3) (resp. (8.1.4)).

• In case of $\alpha \in \blacktriangle$, it follows from $E_{\alpha} - E_{-\alpha} \in \mathfrak{h}_{\mathbb{C}}$ and $[\mathfrak{h}_{\mathbb{C}}, [S, \mathfrak{q}^+]] \subset [S, \mathfrak{q}^+]$ that $\text{Ad } w_{\alpha}(\mathfrak{g}_{\delta}) = \mathfrak{g}_{\zeta([w_{\alpha}]\delta)}$, $\zeta([w_{\alpha}]\delta) \in \Delta^+ - \blacktriangle$ for all $\delta \in \Delta^+ - \blacktriangle$. Therefore $\alpha(Z) = \alpha(\sum_{\delta \in \Delta^+ - \blacktriangle} H_{\delta}) = \sum_{\delta \in \Delta^+ - \blacktriangle} \langle \alpha, \delta \rangle = \sum_{\delta \in \Delta^+ - \blacktriangle} \langle \zeta([w_{\alpha}]\alpha), \zeta([w_{\alpha}]\delta) \rangle = -\sum_{\delta \in \Delta^+ - \blacktriangle} \langle \alpha, \zeta([w_{\alpha}]\delta) \rangle = -\alpha(Z)$. This implies that $\alpha(Z) = 0$.

• Suppose that $\alpha \in \Delta^+ - \blacktriangle$. If $\delta' \in \Delta^+ - \blacktriangle$ and $\langle \delta', \alpha \rangle < 0$, then one has $2\langle \delta', \alpha \rangle / \langle \alpha, \alpha \rangle = -1, -2$ or -3 (because of $\delta' \neq -\alpha$) and accordingly $\zeta([w_{\alpha}]\delta') = \delta' + \alpha, \delta' + 2\alpha$ or $\delta' + 3\alpha$. At any rate $\zeta([w_{\alpha}]\delta')$ belongs to $\Delta^+ - \blacktriangle$ since $E_{\alpha}, E_{\delta'} \in [S, \mathfrak{q}^+]$ and $[[S, \mathfrak{q}^+], [S, \mathfrak{q}^+]] \subset [S, \mathfrak{q}^+]$. Besides, one shows $\langle \zeta([w_{\alpha}]\delta'), \alpha \rangle = -\langle \delta', \alpha \rangle > 0$. Consequently it turns out that

$$\begin{aligned} \alpha(Z) &= \sum_{\delta' \in \Delta^+ - \blacktriangle} \text{with } \langle \delta', \alpha \rangle < 0 \langle \delta', \alpha \rangle + \sum_{\delta_0 \in \Delta^+ - \blacktriangle} \text{with } \langle \delta_0, \alpha \rangle = 0 \langle \delta_0, \alpha \rangle + \sum_{\delta_a \in \Delta^+ - \blacktriangle} \text{with } \langle \delta_a, \alpha \rangle > 0 \langle \delta_a, \alpha \rangle \\ &= \sum_{\delta' \in \Delta^+ - \blacktriangle} \text{with } \langle \delta', \alpha \rangle < 0 \langle \delta' + \zeta([w_{\alpha}]\delta'), \alpha \rangle + \sum_{\delta \in \Delta^+ - \blacktriangle} \text{with } \langle \delta, \alpha \rangle > 0, \zeta([w_{\alpha}]\delta) \notin \Delta^+ - \blacktriangle \langle \delta, \alpha \rangle \\ &= \sum_{\delta \in \Delta^+ - \blacktriangle} \text{with } \langle \delta, \alpha \rangle > 0, \zeta([w_{\alpha}]\delta) \notin \Delta^+ - \blacktriangle \langle \delta, \alpha \rangle > 0. \end{aligned}$$

Here we remark that $\alpha \in \Delta^+ - \blacktriangle$, $\langle \alpha, \alpha \rangle > 0$, $\zeta([w_{\alpha}]\alpha) \notin \Delta^+ - \blacktriangle$.

• If $\alpha \in \Delta^- - \blacktriangle$, then we conclude $\alpha(Z) < 0$ from $-\alpha \in \Delta^+ - \blacktriangle$.

Set $\hat{G}_{\mathbb{C}}$ as the adjoint group of $\mathfrak{g}_{\mathbb{C}}$. In view of Lemma 10.4.3-(1) and Proposition 10.4.5 we see that

$$\hat{H} \subset (N_{\hat{G}_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{\nu}) \cap N_{\hat{G}_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu})),$$

where we identify $\mathfrak{g}_{\mathbb{C}} = \hat{\mathfrak{g}}_{\mathbb{C}}$ in a similar way. That, together with Proposition 8.2.1-(vii), yields $\hat{H} \subset C_{\hat{G}_{\mathbb{C}}}(T)$, and hence $\hat{H} \subset (\hat{G} \cap C_{\hat{G}_{\mathbb{C}}}(T)) = C_{\hat{G}}(T)$. Thus $\textcircled{1}$ holds. From now on, let us confirm that $H \subset C_G(T)$. For any $h \in H$, it follows that $\text{Ad } h \in \hat{H}$, and $\textcircled{1}$ implies $\text{Ad } h \circ \text{ad } T \circ (\text{Ad } h)^{-1} = \text{ad } T$. Hence we have $\text{Ad } h(T) = T$, and $H \subset C_G(T)$. \square

By summarizing the statements above and by Proposition 7.3.4 we conclude

Theorem 10.4.7 (cf. Dorfmeister-Guan [12, p.335]). *Let G be a connected real semisimple Lie group, and let H be a closed subgroup of G . Suppose the homogeneous space G/H to admit a G -invariant complex structure J and a G -invariant symplectic form Ω such that*

$$\Omega(JA, JB) = \Omega(A, B) \text{ for all } A, B \in \mathfrak{X}(G/H).$$

Then, there exists an elliptic element $T \in \mathfrak{g}$ satisfying

$$H = C_G(T).$$

Therefore any homogeneous pseudo-Kähler manifold of G is an elliptic adjoint orbit, and it is always simply connected.

10.5 Invariant complex structures on an elliptic orbit

It is known that there are several kinds of invariant complex structures on an elliptic adjoint orbit. One can understand that from the following example:

Example 10.5.1. Let $G := SU(2, 1) = \{X \in SL(3, \mathbb{C}) \mid {}^t X I_{2,1} \bar{X} = I_{2,1}\}$ and

$$T := \left(\begin{array}{cc|c} i & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -i \end{array} \right),$$

where $I_{2,1} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$. Then it turns out that

$$\mathfrak{g} = \mathfrak{su}(2, 1) = \left\{ \left(\begin{array}{cc|c} ia_1 & b+ic & ix-y \\ -b+ic & ia_2 & iz-w \\ -ix-y & -iz-w & ia_3 \end{array} \right) \mid \begin{array}{l} a_1, a_2, a_3, b, c, x, y, z, w \in \mathbb{R}, \\ a_1 + a_2 + a_3 = 0 \end{array} \right\}$$

and T is an elliptic element of \mathfrak{g} ; besides,

$$C_G(T) = \left\{ \left(\begin{array}{cc|c} \epsilon_1 & 0 & 0 \\ 0 & \epsilon_2 & 0 \\ 0 & 0 & \epsilon_3 \end{array} \right) \in G \right\} = S(U(1) \times U(1) \times U(1)),$$

$$\text{ad } T(\mathfrak{g}) = \left\{ \left(\begin{array}{cc|c} 0 & b+ic & ix-y \\ -b+ic & 0 & iz-w \\ -ix-y & -iz-w & 0 \end{array} \right) \mid b, c, x, y, z, w \in \mathbb{R} \right\}$$

and $\mathfrak{g} = \mathfrak{c}_{\mathfrak{g}}(T) \oplus \text{ad } T(\mathfrak{g})$. Now, let us define linear mappings $J_1, J_2, J_3, J_4, J_5, J_6 : \mathfrak{g} \rightarrow \text{ad } T(\mathfrak{g})$ by

$$J_1 \left(\begin{array}{cc|c} ia_1 & b+ic & ix-y \\ -b+ic & ia_2 & iz-w \\ -ix-y & -iz-w & ia_3 \end{array} \right) := \left(\begin{array}{cc|c} 0 & ib-c & -x-iy \\ ib+c & 0 & -z-iw \\ -x+iy & -z+iw & 0 \end{array} \right), \quad J_2 := -J_1,$$

$$J_3 \left(\begin{array}{cc|c} ia_1 & b+ic & ix-y \\ -b+ic & ia_2 & iz-w \\ -ix-y & -iz-w & ia_3 \end{array} \right) := \left(\begin{array}{cc|c} 0 & ib-c & -x-iy \\ ib+c & 0 & z+iw \\ -x+iy & z-iw & 0 \end{array} \right), \quad J_4 := -J_3,$$

$$J_5 \left(\begin{array}{cc|c} ia_1 & b+ic & ix-y \\ -b+ic & ia_2 & iz-w \\ -ix-y & -iz-w & ia_3 \end{array} \right) := \left(\begin{array}{cc|c} 0 & ib-c & x+iy \\ ib+c & 0 & z+iw \\ x-iy & z-iw & 0 \end{array} \right), \quad J_6 := -J_5,$$

respectively. By a direct computation we see that all the mappings J_a ($1 \leq a \leq 6$) satisfy the conditions (c.1) through (c.4) in Proposition 10.2.4, and therefore the elliptic orbit $G/C_G(T) = SU(2, 1)/S(U(1) \times U(1) \times U(1))$ admits six G -invariant complex structures J_a . Incidentally, if Ω is a G -invariant symplectic form on $G/C_G(T)$ constructed from $\omega(X, Y) := B_{\mathfrak{g}}(T, [X, Y])$ ($X, Y \in \mathfrak{g}$), then all (J_a, Ω) are G -invariant pseudo-Kählerian structures on $G/C_G(T)$ and the signatures of pseudo-Kähler metrics $\mathfrak{g}_a(A, B) := \Omega(A, J_a B)$ ($A, B \in \mathfrak{X}(G/C_G(T))$) are as follows:

Signature	(+, +, +, +, +, +)	(-, -, +, +, +, +)	(-, -, -, -, +, +)	(-, -, -, -, -, -)
	\mathfrak{g}_6	$\mathfrak{g}_1, \mathfrak{g}_4$	$\mathfrak{g}_2, \mathfrak{g}_3$	\mathfrak{g}_5

This implies that \mathfrak{g}_6 is a G -invariant Kähler metric on $G/C_G(T)$.

Chapter 11

Homogeneous holomorphic vector bundles over elliptic orbits

In this chapter we deal with continuous representations of real semisimple Lie groups concerning homogeneous holomorphic vector bundles over elliptic orbits. Here the definition of continuous representation is as follows:

Definition 11.0.1. Let G be a Lie group, \mathcal{V} a Fréchet space over \mathbb{C} , and $\varrho : G \rightarrow GL(\mathcal{V})$, $g \mapsto \varrho(g)$, a homomorphism, where $GL(\mathcal{V})$ is the general linear group on \mathcal{V} and it does not matter whether ϱ is continuous here. Then, ϱ is called a *continuous representation* of G on \mathcal{V} , if the mapping $\pi_\varrho : G \times \mathcal{V} \rightarrow \mathcal{V}$, $(g, \xi) \mapsto \varrho(g)\xi$, is continuous.

11.1 A realization of elliptic orbits as domains in complex flag manifolds

In this section we realize elliptic (adjoint) orbits as domains in complex flag manifolds.

Let $G_{\mathbb{C}}$ be a connected complex semisimple Lie group, let G be a connected closed subgroup of $G_{\mathbb{C}}$ such that \mathfrak{g} is a real form of $\mathfrak{g}_{\mathbb{C}}$, and let T be a non-zero elliptic element of \mathfrak{g} . Let us define closed subgroups $L \subset G$ and $L_{\mathbb{C}} \subset G_{\mathbb{C}}$ by $L := C_G(T)$ and $L_{\mathbb{C}} := C_{G_{\mathbb{C}}}(T)$, respectively, and set

$$\mathfrak{g}^\lambda := \{X \in \mathfrak{g}_{\mathbb{C}} \mid \text{ad } T(X) = i\lambda X\} \text{ for } \lambda \in \mathbb{R}, \quad \mathfrak{u}^\pm := \bigoplus_{\lambda > 0} \mathfrak{g}^{\pm\lambda}, \quad U^\pm := \exp \mathfrak{u}^\pm, \quad Q^\pm := N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{\pm\nu}), \quad (11.1.1)$$

where $\exp : \mathfrak{g}_{\mathbb{C}} \rightarrow G_{\mathbb{C}}$ is the exponential mapping. Then $\text{Ad } G(T) = G/L$ is an elliptic orbit, and $G_{\mathbb{C}}/Q^\pm$ are complex flag manifolds due to Proposition 8.2.1-(iii). By use of the mapping ι in Lemma 11.1.2-(2) below, we realize G/L as a simply connected domain in $G_{\mathbb{C}}/Q^\pm$.

Lemma 11.1.2. *Let $s = +$ or $-$.*

- (1) L coincides with $G \cap Q^s$.
- (2) $\iota : G/L \rightarrow G_{\mathbb{C}}/Q^s$, $gL \mapsto gQ^s$, is a G -equivariant real analytic diffeomorphism of G/L onto a simply connected domain in $G_{\mathbb{C}}/Q^s$.
- (3) GQ^s is a domain in $G_{\mathbb{C}}$.

Proof. (1). By Lemma 7.2.8-(2) and $L = C_G(T)$ one has $L \subset N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{s\nu}) = Q^s$; thus $L \subset G \cap Q^s$. Let us confirm that the converse inclusion also holds. Take any $x \in G \cap Q^s$. Proposition 8.2.1-(iii), (i) and $x \in Q^s$ imply that $Q^s = N_{G_{\mathbb{C}}}(\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^s)$ and there exists a unique $(z, Y) \in L_{\mathbb{C}} \times \mathfrak{u}^s$ satisfying

$$x = z \exp Y.$$

We want to show that $\exp Y = e$ (the unit element of $G_{\mathbb{C}}$). Let $\bar{\sigma}$ denote the conjugation of $\mathfrak{g}_{\mathbb{C}}$ with respect to \mathfrak{g} . On the one hand, $x \in G$, $T \in \mathfrak{l} = \mathfrak{l}_{\mathbb{C}} \cap \mathfrak{g}$, $L_{\mathbb{C}} = C_{G_{\mathbb{C}}}(T)$ and $Q^s = N_{G_{\mathbb{C}}}(\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^s)$ yield

$$\mathfrak{g} \ni \text{Ad } x^{-1}(T) = (\text{Ad } \exp(-Y)z^{-1})T = \text{Ad } \exp(-Y)T \in \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^s.$$

On the other hand, $\text{Ad } x^{-1}(T) \in \mathfrak{g}$ implies that $\text{Ad } x^{-1}(T) = \bar{\sigma}(\text{Ad } x^{-1}(T))$, so that $\text{Ad } \exp(-Y)T = \bar{\sigma}(\text{Ad } \exp(-Y)T) \in \bar{\sigma}(\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^s) \subset \mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^{-s}$ by Lemma 7.2.8-(5'). Consequently we assert that

$$\text{Ad } \exp(-Y)T \in (\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^s) \cap (\mathfrak{l}_{\mathbb{C}} \oplus \mathfrak{u}^{-s}) = \mathfrak{l}_{\mathbb{C}}.$$

Therefore $\mathfrak{l}_{\mathbb{C}} \ni -T + \text{Ad exp}(-Y)T = \sum_{n=1}^{\infty} (1/n!) (-\text{ad } Y)^n T \in \mathfrak{u}^s$, and hence

$$\text{Ad exp}(-Y)T = T.$$

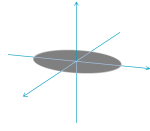
This yields $\exp Y \in (L_{\mathbb{C}} \cap U^s) = \{e\}$. From $\exp Y = e$ we obtain $x = z \exp Y = z \in (L_{\mathbb{C}} \cap G) = L$, and $G \cap Q^s \subset L$. For this reason $L = G \cap Q^s$ holds.

(2). We conclude (2) from (1), $\dim_{\mathbb{R}} G/L = \dim_{\mathbb{R}} \mathfrak{u}^+ = \dim_{\mathbb{R}} G_{\mathbb{C}}/Q^s$ and Proposition 7.3.4.

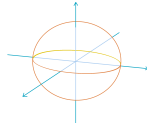
(3). Denote by $\pi_{\mathbb{C}}$ the projection of $G_{\mathbb{C}}$ onto $G_{\mathbb{C}}/Q^s$. It is immediate from (2) that $GQ^s = \pi_{\mathbb{C}}^{-1}(\iota(G/L))$ is an open subset of $G_{\mathbb{C}}$. Moreover, GQ^s is connected because the product mapping $G \times Q^s \ni (g, q) \mapsto gq \in GQ^s$ is surjective continuous and both G and Q^s are connected. \square

Remark 11.1.3.

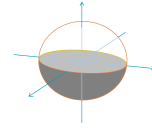
(i) Henceforth, we assume that the elliptic orbit G/L is a simply connected domain in the complex flag manifold $G_{\mathbb{C}}/Q^-$ via the G -equivariant mapping $\iota : G/L \rightarrow G_{\mathbb{C}}/Q^-$, $gL \mapsto gQ^-$. By inducing a G -invariant complex structure J on $G/L = \iota(G/L)$ from $G_{\mathbb{C}}/Q^- = (G_{\mathbb{C}}/Q^-, J_-)$, we consider G/L as a homogeneous complex manifold of G . Here we refer to Remark 1.2.3 for the $G_{\mathbb{C}}$ -invariant complex structure J_- on $G_{\mathbb{C}}/Q^-$.



the open unit disk
 G/L



the unit sphere
 $G_{\mathbb{C}}/Q^-$



the hemisphere (without boundary)
 $\iota(G/L)$

(ii) In general, there are several kinds of invariant complex structures on the elliptic orbit G/L (e.g. Example 10.5.1). In this chapter we deal with the complex structure J on G/L induced by $\iota : G/L \rightarrow G_{\mathbb{C}}/Q^-$, $gL \mapsto gQ^-$.

11.2 Homogeneous holomorphic vector bundles over elliptic orbits

The setting of Section 11.1 remains valid in this section.

Let \mathbb{V} be a finite-dimensional complex vector space, and let $\rho : Q^- \rightarrow GL(\mathbb{V})$, $q \mapsto \rho(q)$, be a holomorphic homomorphism. Then, one can take the homogeneous holomorphic vector bundle $G_{\mathbb{C}} \times_{\rho} \mathbb{V}$ over the complex flag manifold $G_{\mathbb{C}}/Q^-$ associated with ρ , and its restriction $\iota^{\sharp}(G_{\mathbb{C}} \times_{\rho} \mathbb{V})$ to the domain $G/L \subset G_{\mathbb{C}}/Q^-$. Moreover, one may assume that

$$\begin{aligned} \mathcal{V}_{G_{\mathbb{C}}/Q^-} &= \left\{ h : G_{\mathbb{C}} \rightarrow \mathbb{V} \left| \begin{array}{l} \text{(i) } h \text{ is holomorphic,} \\ \text{(ii) } h(aq) = \rho(q)^{-1}(h(a)) \text{ for all } (a, q) \in G_{\mathbb{C}} \times Q^- \end{array} \right. \right\}, \\ \mathcal{V}_{G/L} &= \left\{ \psi : GQ^- \rightarrow \mathbb{V} \left| \begin{array}{l} \text{(i) } \psi \text{ is holomorphic,} \\ \text{(ii) } \psi(xq) = \rho(q)^{-1}(\psi(x)) \text{ for all } (x, q) \in GQ^- \times Q^- \end{array} \right. \right\} \end{aligned} \quad (11.2.1)$$

are the complex vector spaces of holomorphic cross-sections of the bundles $G_{\mathbb{C}} \times_{\rho} \mathbb{V}$ and $\iota^{\sharp}(G_{\mathbb{C}} \times_{\rho} \mathbb{V})$, respectively (cf. Chapter 3). Let us define a homomorphism $\varrho : G \rightarrow GL(\mathcal{V}_{G/L})$, $g \mapsto \varrho(g)$, as follows:

$$(\varrho(g)\psi)(x) := \psi(g^{-1}x) \text{ for } \psi \in \mathcal{V}_{G/L} \text{ and } x \in GQ^-. \quad (11.2.2)$$

In this section, we first prove that this ϱ is a continuous representation of the Lie group G on $\mathcal{V}_{G/L}$, next show that every K -finite vector $\varphi \in \mathcal{V}_{G/L}$ (for the continuous representation ϱ) can be continued analytically from $U^+ \cap GQ^-$ to U^+ , and finally provide a sufficient condition for the vector space $\mathcal{V}_{G/L}$ to be finite-dimensional.

Remark 11.2.3.

- (1) For the sake of simplicity, we write $\iota^{\sharp}(G_{\mathbb{C}} \times_{\rho} \mathbb{V})$, $\mathcal{V}_{G_{\mathbb{C}}/Q^-}$, and $\mathcal{V}_{G/L}$ for $(G_{\mathbb{C}} \times_{\rho} \mathbb{V})_{G/L}$, $\mathcal{V}(G_{\mathbb{C}} \times_{\rho} \mathbb{V})$, and $\mathcal{V}(G_{\mathbb{C}} \times_{\rho} \mathbb{V})_{G/L}$, respectively. cf. (2.5.1), (3.2.3), (3.2.6).
- (2) Corollary 8.2.3-(1) implies that $G_{\mathbb{C}}/Q^-$ is a connected compact complex manifold. Thus, one knows $\dim_{\mathbb{C}} \mathcal{V}_{G_{\mathbb{C}}/Q^-} < \infty$. e.g. Kodaira [20, p.161].

11.2.1 A continuous representation of a Lie group

We equip the complex vector space $\mathcal{V}_{G/L}$ with the Fréchet metric d in (4.1.3), and hereafter consider $\mathcal{V}_{G/L}$ as a Fréchet space over \mathbb{C} (cf. Proposition 4.3.1). Our goal in this subsection is to prove that $\pi_\varrho : G \times \mathcal{V}_{G/L} \rightarrow \mathcal{V}_{G/L}$, $(g, \psi) \mapsto \varrho(g)\psi$, is continuous (see Proposition 11.2.8). We are going to verify three lemmas first, and then obtain the goal.

Lemma 11.2.4. *The following two items hold for a given $\psi \in \mathcal{V}_{G/L}$:*

- (1) *For any $\epsilon > 0$ and any non-empty compact subset $E \subset GQ^-$, there exists an open neighborhood U of the unit $e \in G$ such that $g \in U$ implies $d_E(\varrho(g)\psi, \psi) < \epsilon$. Here we refer to (4.1.2) for d_E .*
- (2) *The mapping $G \ni g \mapsto \varrho(g)\psi \in \mathcal{V}_{G/L}$ is continuous at $e \in G$, namely, for every $\epsilon > 0$ there exists an open neighborhood U of $e \in G$ such that $g \in U$ implies $d(\varrho(g)\psi, \psi) < \epsilon$.*

Proof. (1). The mapping $G \times GQ^- \ni (g, q) \mapsto g^{-1}q \in GQ^-$ is continuous, $\psi : GQ^- \rightarrow \mathbb{V}$ is continuous, and so the mapping $f : G \times GQ^- \rightarrow \mathbb{V}$, $(g, q) \mapsto \psi(g^{-1}q)$, is continuous. Therefore, for each $y \in E$ there exist an open neighborhood U_y of $e \in G$ and an open neighborhood O'_y of $y \in GQ^-$ such that $(g, z') \in U_y \times O'_y$ implies

$$\|\psi(g^{-1}z') - \psi(y)\| = \|f(g, z') - f(e, y)\| < \epsilon/4 \quad \textcircled{1}$$

because f is continuous at (e, y) . Here $\|\cdot\|$ is a norm on the vector space \mathbb{V} . Since O'_y is an open neighborhood of $y \in GQ^-$ and $\psi : GQ^- \rightarrow \mathbb{V}$ is continuous at the y , one can choose an open neighborhood O_y of $y \in O'_y$ so that $z \in O_y$ implies

$$\|\psi(y) - \psi(z)\| < \epsilon/4. \quad \textcircled{2}$$

Since $E \subset \bigcup_{y \in E} O_y$ and $E \subset GQ^-$ is compact, there exist finite elements $y_1, y_2, \dots, y_k \in E$ satisfying $E \subset \bigcup_{j=1}^k O_{y_j}$. In this setting, we put $U := \bigcap_{j=1}^k U_{y_j}$, and see that U is an open neighborhood of $e \in G$. Furthermore, for an arbitrary $(g, w) \in U \times E$, there exists a $1 \leq i \leq k$ such that $w \in O_{y_i} \subset O'_{y_i}$, and it follows from $g \in (\bigcap_{j=1}^k U_{y_j}) \subset U_{y_i}$, $\textcircled{1}$ and $\textcircled{2}$ that

$$\|(\varrho(g)\psi)(w) - \psi(w)\| \stackrel{(11.2.2)}{=} \|\psi(g^{-1}w) - \psi(w)\| \leq \|\psi(g^{-1}w) - \psi(y_i)\| + \|\psi(y_i) - \psi(w)\| < \epsilon/4 + \epsilon/4 = \epsilon/2.$$

This and (4.1.2) assure that $d_E(\varrho(g)\psi, \psi) \leq \epsilon/2 < \epsilon$ for all $g \in U$. Hence (1) holds.

(2) follows by (1) and Proposition 4.3.1-(3). □

Lemma 11.2.4-(2) leads to

Corollary 11.2.5. *For each $\psi \in \mathcal{V}_{G/L}$, the mapping $G \ni g \mapsto \varrho(g)\psi \in \mathcal{V}_{G/L}$ is continuous.*

Proof. Fix any $g_0 \in G$ and $\epsilon > 0$. Since $\psi_0 := \varrho(g_0)\psi$ is an element of $\mathcal{V}_{G/L}$, Lemma 11.2.4-(2) enables us to obtain an open neighborhood U' of $e \in G$ such that $g' \in U'$ implies $d(\varrho(g')\psi_0, \psi_0) < \epsilon$. Setting $U := R_{g_0}(U')$ one can assert that U is an open neighborhood of $g_0 \in G$; moreover, $g \in U$ implies

$$d(\varrho(g)\psi, \varrho(g_0)\psi) = d(\varrho(gg_0^{-1})\psi_0, \psi_0) < \epsilon$$

because of $gg_0^{-1} \in U'$. Thus the mapping $G \ni g \mapsto \varrho(g)\psi \in \mathcal{V}_{G/L}$ is continuous at the point g_0 . □

The following lemma, together with Corollary 11.2.5, tells us that $\pi_\varrho : (g, \psi) \mapsto \varrho(g)\psi$ is a separately continuous linear action of G on $\mathcal{V}_{G/L}$:

Lemma 11.2.6. *For each $g \in G$, the linear mapping $\varrho(g) : \mathcal{V}_{G/L} \rightarrow \mathcal{V}_{G/L}$, $\psi \mapsto \varrho(g)\psi$, is uniformly continuous.*

Proof. By virtue of Proposition 4.3.1-(3) it suffices to prove that $\mathcal{V}_{G/L} \ni \psi \mapsto \varrho(g)\psi \in \mathcal{V}_{G/L}$ is uniformly continuous in the topology of uniform convergence on compact sets. For any $\epsilon > 0$ and any non-empty compact subset $E \subset GQ^-$, we set $E' := g^{-1}E$ and $\delta := \epsilon$. Then, E' is a non-empty compact subset of GQ^- and $\delta > 0$. In addition, it follows from (4.1.2) and (11.2.2) that $d_{E'}(\psi_1, \psi_2) < \delta$ and $\psi_1, \psi_2 \in \mathcal{V}_{G/L}$ imply

$$\begin{aligned} d_E(\varrho(g)\psi_1, \varrho(g)\psi_2) &= \sup \{ \|\psi_1(g^{-1}y) - \psi_2(g^{-1}y)\| : y \in E \} \\ &= \sup \{ \|\psi_1(z) - \psi_2(z)\| : z \in g^{-1}E \} = d_{E'}(\psi_1, \psi_2) < \delta = \epsilon. \end{aligned}$$

Hence, the mapping $\mathcal{V}_{G/L} \ni \psi \mapsto \varrho(g)\psi \in \mathcal{V}_{G/L}$ is uniformly continuous. □

We will show Proposition 11.2.8 after proving

Lemma 11.2.7. *For any non-empty compact subset C of G and any open neighborhood \mathcal{B} of $0 \in \mathcal{V}_{G/L}$, there exists an open neighborhood \mathcal{A} of $0 \in \mathcal{V}_{G/L}$ such that $\varrho(g)\psi \in \mathcal{B}$ for all $(g, \psi) \in C \times \mathcal{A}$.*

Proof. For $\epsilon > 0$ we set an open neighborhood \mathcal{B}_ϵ of $0 \in \mathcal{V}_{G/L}$ as $\mathcal{B}_\epsilon := \{\psi \in \mathcal{V}_{G/L} \mid d(0, \psi) < \epsilon\}$, and put $\mathcal{D}_\epsilon := \overline{\mathcal{B}_\epsilon}$ (the closure of \mathcal{B}_ϵ in $\mathcal{V}_{G/L}$).

Since \mathcal{B} is an open neighborhood of $0 \in \mathcal{V}_{G/L}$ and the addition $\mathcal{V}_{G/L} \times \mathcal{V}_{G/L} \ni (\psi_1, \psi_2) \mapsto \psi_1 + \psi_2 \in \mathcal{V}_{G/L}$ is continuous at $(0, 0)$, there exists an $r > 0$ such that

$$\mathcal{D}_r + \mathcal{D}_r \subset \mathcal{B}. \quad (\text{a})$$

Lemma 4.1.4-(3) assures that

$$t\mathcal{B}_r \subset \mathcal{B}_r, t\mathcal{D}_r \subset \mathcal{D}_r \text{ for all } -1 \leq t \leq 1, \quad (\text{b})$$

and it follows from (b) that

$$\mathcal{B}_r \subset 2\mathcal{B}_r \subset \cdots \subset n\mathcal{B}_r \subset (n+1)\mathcal{B}_r \subset \cdots. \quad (\text{c})$$

Here $\lambda\mathcal{B}_r$ means $\{\lambda\psi \mid \psi \in \mathcal{B}_r\}$ for $\lambda \in \mathbb{R}$. Furthermore, one can show

$$\mathcal{V}_{G/L} = \bigcup_{n=1}^{\infty} n\mathcal{B}_r. \quad (\text{d})$$

Indeed; for any $\psi_0 \in \mathcal{V}_{G/L}$, the mapping $\mathbb{C} \ni \alpha \mapsto \alpha\psi_0 \in \mathcal{V}_{G/L}$ is continuous at $0 \in \mathbb{C}$, and therefore there exists an $m \in \mathbb{N}$ such that $(1/m)\psi_0 \in \mathcal{B}_r$, since \mathcal{B}_r is an open neighborhood of $0 \in \mathcal{V}_{G/L}$ and $\lim_{n \rightarrow \infty} (1/n) = 0$. Hence $\psi_0 = m((1/m)\psi_0) \in m\mathcal{B}_r$. This yields $\mathcal{V}_{G/L} \subset \bigcup_{n=1}^{\infty} n\mathcal{B}_r$, and (d) follows.

Now, let us define

$$\mathcal{F}_n := \bigcap_{g \in C} \{\psi \in \mathcal{V}_{G/L} \mid \varrho(g)\psi \in n\mathcal{D}_r\} \quad (\text{1})$$

for $n \in \mathbb{N}$. For each $g \in C$, Lemma 11.2.6 ensures that $\{\psi \in \mathcal{V}_{G/L} \mid \varrho(g)\psi \in n\mathcal{D}_r\} = \varrho(g)^{-1}(n\mathcal{D}_r)$ is a closed subset of $\mathcal{V}_{G/L}$ because $n\mathcal{D}_r \subset \mathcal{V}_{G/L}$ is closed. Thus it follows from (1) that

$$\mathcal{F}_n \text{ is a closed subset of } \mathcal{V}_{G/L} \text{ for each } n \in \mathbb{N}. \quad (\text{2})$$

We want to show $\mathcal{V}_{G/L} = \bigcup_{n=1}^{\infty} \mathcal{F}_n$. For an arbitrary $\psi_0 \in \mathcal{V}_{G/L}$, the mapping $G \ni g \mapsto \varrho(g)\psi_0 \in \mathcal{V}_{G/L}$ is continuous by Corollary 11.2.5. Accordingly $\{\varrho(g)\psi_0 \mid g \in C\}$ is a compact subset of $\mathcal{V}_{G/L}$. This, combined with (d) and (c), enables us to find a $k \in \mathbb{N}$ such that $\{\varrho(g)\psi_0 \mid g \in C\} \subset k\mathcal{B}_r$. Then, $\mathcal{B}_r \subset \mathcal{D}_r$ and (1) give rise to $\psi_0 \in \mathcal{F}_k \subset \bigcup_{n=1}^{\infty} \mathcal{F}_n$. For this reason we conclude

$$\mathcal{V}_{G/L} = \bigcup_{n=1}^{\infty} \mathcal{F}_n. \quad (\text{3})$$

By (2), (3) and Proposition 4.4.1, there exist an $N \in \mathbb{N}$, a $\psi_N \in \mathcal{F}_N$ and an open subset $\mathcal{O}_N \subset \mathcal{V}_{G/L}$ which satisfy

$$\psi_N \in \mathcal{O}_N \subset \mathcal{F}_N. \quad (\text{4})$$

Setting $\mathcal{A}' := \mathcal{O}_N - \psi_N$, we see that \mathcal{A}' is an open neighborhood of $0 \in \mathcal{V}_{G/L}$. Moreover, for any $(g, \psi') \in C \times \mathcal{A}'$, it follows from (4), (1) and (a) that

$$\varrho(g)\psi' = \varrho(g)(\psi' + \psi_N) + \varrho(g)(-\psi_N) \in \varrho(g)(\mathcal{F}_N) + \varrho(g)(-\psi_N) \subset N\mathcal{D}_r + N\mathcal{D}_r \subset N\mathcal{B},$$

where we note that $\varrho(g)(-\psi_N) = -\varrho(g)(\psi_N) \in -\varrho(g)(\mathcal{F}_N) \subset -N\mathcal{D}_r = N(-\mathcal{D}_r) \subset N\mathcal{D}_r$ due to (b). Hence we can deduce the conclusion from $\mathcal{A} := (1/N)\mathcal{A}'$. \square

Let us show

Proposition 11.2.8. *The ϱ in (11.2.2) is a continuous representation of the Lie group G on the Fréchet space $\mathcal{V}_{G/L}$. Here we refer to (11.2.1) for $\mathcal{V}_{G/L}$, and equip $\mathcal{V}_{G/L}$ with the Fréchet metric d in (4.1.3).*

Proof. Let us prove that $\pi_\varrho : G \times \mathcal{V}_{G/L} \rightarrow \mathcal{V}_{G/L}$, $(g, \psi) \mapsto \varrho(g)\psi$, is continuous. Take any element $(g_0, \psi_0) \in G \times \mathcal{V}_{G/L}$ and any open neighborhood \mathcal{O} of $\pi_\varrho(g_0, \psi_0) = \varrho(g_0)\psi_0 \in \mathcal{V}_{G/L}$. Since the addition $\mathcal{V}_{G/L} \times \mathcal{V}_{G/L} \ni (\psi_1, \psi_2) \mapsto \psi_1 + \psi_2 \in \mathcal{V}_{G/L}$ is continuous at $(0, \varrho(g_0)\psi_0)$, there exist open neighborhoods \mathcal{B} of $0 \in \mathcal{V}_{G/L}$ and \mathcal{U} of $\varrho(g_0)\psi_0 \in \mathcal{V}_{G/L}$ such that

$$\mathcal{B} + \mathcal{U} \subset \mathcal{O}. \quad (\text{a})$$

Corollary 11.2.5 assures that $G \ni g \mapsto \varrho(g)\psi_0 \in \mathcal{V}_{G/L}$ is continuous at g_0 , so there exists an open neighborhood U' of $g_0 \in G$ such that

$$\varrho(g')\psi_0 \in \mathcal{U} \text{ for all } g' \in U'. \quad (\text{b})$$

Besides, since G is a locally compact Hausdorff space, there exists an open neighborhood U of $g_0 \in G$ so that

$$\bar{U} \subset U' \text{ and the closure } \bar{U} \text{ is a compact subset of } G. \quad (\text{c})$$

By (c) and Lemma 11.2.7, there exists an open neighborhood \mathcal{A} of $0 \in \mathcal{V}_{G/L}$ such that

$$\varrho(g)\psi \in \mathcal{B} \text{ for all } (g, \psi) \in \bar{U} \times \mathcal{A}. \quad (\text{d})$$

Putting $\mathcal{V} := \mathcal{A} + \psi_0$, we assert that \mathcal{V} is an open neighborhood of $\psi_0 \in \mathcal{V}_{G/L}$. In addition, for any $(g, \psi) \in U \times \mathcal{V}$ we obtain

$$\pi_\varrho(g, \psi) = \varrho(g)\psi = \varrho(g)(\psi - \psi_0) + \varrho(g)\psi_0 \in \varrho(U)(\mathcal{A}) + \varrho(U)\psi_0 \subset \mathcal{B} + \mathcal{U} \subset \mathcal{O}$$

from (d), (c), (b) and (a). Consequently, π_ϱ is continuous at (g_0, ψ_0) . \square

11.2.2 K -finite vectors

Since the element $T \in \mathfrak{g}$ is elliptic, Lemma 7.2.4 enables us to have a Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ such that

$$T \in \mathfrak{k},$$

where \mathfrak{k} is a maximal compact subalgebra of \mathfrak{g} . Noting that the center $Z(G)$ of G is finite due to $Z(G) \subset Z(G_{\mathbb{C}})$ and that $\mathfrak{g}_u := \mathfrak{k} \oplus i\mathfrak{p}$ is a compact real form of $\mathfrak{g}_{\mathbb{C}}$, we denote by K and G_u the maximal compact subgroups of G and $G_{\mathbb{C}}$ corresponding to the subalgebras $\mathfrak{k} \subset \mathfrak{g}$ and $\mathfrak{g}_u \subset \mathfrak{g}_{\mathbb{C}}$, respectively. In addition, let $\bar{\theta}$ be the (anti-holomorphic) Cartan involution of $G_{\mathbb{C}}$ so that

$$G_u = \{g_u \in G_{\mathbb{C}} \mid \bar{\theta}(g_u) = g_u\}.$$

Fix a maximal torus $i\mathfrak{h}_{\mathbb{R}}$ of the compact semisimple Lie algebra \mathfrak{g}_u containing the T , and take the (non-zero) root system Δ of $\mathfrak{g}_{\mathbb{C}}$ relative to $\mathfrak{h}_{\mathbb{C}}$, where $\mathfrak{h}_{\mathbb{C}}$ is the complex vector subspace of $\mathfrak{g}_{\mathbb{C}}$ generated by $i\mathfrak{h}_{\mathbb{R}}$. For each $\alpha \in \Delta$, we denote by \mathfrak{g}_{α} the root subspace of $\mathfrak{g}_{\mathbb{C}}$, and suppose vectors $E_{\pm\alpha} \in \mathfrak{g}_{\pm\alpha}$ to satisfy (8.1.1). Letting $\blacktriangle = \{\gamma \in \Delta \mid \gamma(T) = 0\}$, we are going to demonstrate three lemmas and two propositions.

Lemma 11.2.9. *Let $\mathfrak{k}_{\mathbb{C}}$ be the complex subalgebra of $\mathfrak{g}_{\mathbb{C}}$ generated by \mathfrak{k} . For a root $\beta \in \Delta - \blacktriangle$, the following (a), (b) and (c) are equivalent:*

$$\text{(a) } \mathfrak{g}_{\beta} \subset \mathfrak{k}_{\mathbb{C}}, \quad \text{(b) } E_{\beta} \in \mathfrak{k}_{\mathbb{C}}, \quad \text{(c) } (E_{\beta} - E_{-\beta}) \in \mathfrak{k}.$$

Therefore, $w_{\beta} = \exp(\pi/2)(E_{\beta} - E_{-\beta})$ belongs to $K \cap N_{G_u}(i\mathfrak{h}_{\mathbb{R}})$ whenever one of the conditions (a), (b) and (c) holds.

Proof. Since (a) \Leftrightarrow (b) is obvious, we only confirm (b) \Leftrightarrow (c). cf. Subsection 8.1.3 for $w_{\beta} \in N_{G_u}(i\mathfrak{h}_{\mathbb{R}})$.

(b) \Rightarrow (c). This follows by (8.1.2), $\bar{\theta}_*(\mathfrak{k}_{\mathbb{C}}) \subset \mathfrak{k}_{\mathbb{C}}$ and $\mathfrak{k} = \{X \in \mathfrak{k}_{\mathbb{C}} \mid \bar{\theta}_*(X) = X\}$. Here we remark that (8.1.2) always holds for any vector E_{α} with (8.1.1).

(c) \Rightarrow (b). Suppose that $(E_{\beta} - E_{-\beta}) \in \mathfrak{k}$. Then, from $T \in \mathfrak{k}$ one obtains

$$\beta(T)(E_{\beta} + E_{-\beta}) = [T, E_{\beta} - E_{-\beta}] \in [\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{k};$$

and so $0 \neq \beta(T) \in i\mathbb{R}$ yields $(E_{\beta} + E_{-\beta}) \in i\mathfrak{k}$. Hence $E_{\beta} = (1/2)(E_{\beta} - E_{-\beta} + E_{\beta} + E_{-\beta}) \in \mathfrak{k} + i\mathfrak{k} \subset \mathfrak{k}_{\mathbb{C}}$. \square

Let Π_{Δ} be a fundamental root system of Δ satisfying (8.1.5), and let Δ^+ be the set of positive roots relative to Π_{Δ} . Let us suppose that $\Delta^+ - \blacktriangle$ consists of r -roots $\beta_1, \beta_2, \dots, \beta_r$ ($r = \dim_{\mathbb{C}} \mathfrak{u}^+$). Then, it turns out that $\{E_{\beta_j}\}_{j=1}^r$ is a complex basis of $\mathfrak{u}^+ = \bigoplus_{\alpha \in \Delta^+ - \blacktriangle} \mathfrak{g}_{\alpha} = \bigoplus_{j=1}^r \mathfrak{g}_{\beta_j}$, and Proposition 8.2.1-(i) allows us to identify U^+ with \mathbb{C}^r via

$$U^+ \ni \exp(z^1 E_{\beta_1} + z^2 E_{\beta_2} + \dots + z^r E_{\beta_r}) \leftrightarrow (z^1, z^2, \dots, z^r) \in \mathbb{C}^r. \quad (11.2.10)$$

Remark that z^1, z^2, \dots, z^r is the canonical coordinates of the first kind associated with $\{E_{\beta_j}\}_{j=1}^r \subset \mathfrak{u}^+$. Setting $\omega_j := \beta_j(-iT)$ for $1 \leq j \leq r$, one has $\beta_j(T) = i\omega_j$, $\omega_j > 0$ and

$$\text{Ad}(\exp tT)E_{\beta_j} = e^{i\omega_j t} E_{\beta_j} \quad (1 \leq j \leq r) \quad (11.2.11)$$

for all $t \in \mathbb{R}$. About these $\omega_1, \omega_2, \dots, \omega_r > 0$ we assert

Lemma 11.2.12. For a given $\vartheta \in \mathbb{R}$, the number of non-negative integer solutions (n_1, n_2, \dots, n_r) to the equation

$$\vartheta = \omega_1 n_1 + \omega_2 n_2 + \dots + \omega_r n_r$$

is only finite or zero.

Proof. If (n_1, n_2, \dots, n_r) is a non-negative integer solution to the equation, then it follows from $\omega_j > 0$ ($1 \leq j \leq r$) that $\vartheta - \omega_k n_k = \omega_1 n_1 + \dots + \omega_{k-1} n_{k-1} + \omega_{k+1} n_{k+1} + \dots + \omega_r n_r \geq 0$, so that $0 \leq n_k \leq \vartheta / \omega_k$, $n_k \in \mathbb{Z}$ for all $1 \leq k \leq r$. \square

Now, let $(\mathcal{V}_{G/L})_K$ be the set of K -finite vectors in $\mathcal{V}_{G/L}$ for the continuous representation ϱ of G on $\mathcal{V}_{G/L}$, that is,

$$(\mathcal{V}_{G/L})_K := \{\varphi \in \mathcal{V}_{G/L} \mid \dim_{\mathbb{C}} \text{span}_{\mathbb{C}}\{\varrho(k)\varphi : k \in K\} < \infty\}. \quad (11.2.13)$$

Note that $(\mathcal{V}_{G/L})_K$ is a $\varrho(K)$ -invariant complex vector subspace of $\mathcal{V}_{G/L}$. With this notation (11.2.13) we show

Lemma 11.2.14.

(1) For each $\varphi \in (\mathcal{V}_{G/L})_K$ we set a $\varrho(K)$ -invariant complex vector subspace $\mathcal{V}_{\varphi} \subset \mathcal{V}_{G/L}$ as

$$\mathcal{V}_{\varphi} := \text{span}_{\mathbb{C}}\{\varrho(k)\varphi : k \in K\}.$$

Then, there exist a complex basis $\{\varphi_a\}_{a=1}^{k_{\varphi}}$ of \mathcal{V}_{φ} and $\mu_1, \mu_2, \dots, \mu_{k_{\varphi}} \in \mathbb{R}$ such that

$$\varrho(\exp tT)\varphi_a = e^{i\mu_a t}\varphi_a$$

for all $1 \leq a \leq k_{\varphi} = \dim_{\mathbb{C}} \mathcal{V}_{\varphi}$ and $t \in \mathbb{R}$.

(2) There exist a complex basis $\{\mathbf{v}_b\}_{b=1}^m$ of \mathbf{V} and $\theta_1, \theta_2, \dots, \theta_m \in \mathbb{R}$ such that

$$\rho(\exp tT)\mathbf{v}_b = e^{i\theta_b t}\mathbf{v}_b$$

for all $1 \leq b \leq m = \dim_{\mathbb{C}} \mathbf{V}$ and $t \in \mathbb{R}$.

Proof. Since the center $Z(G)$ is finite and $T \neq 0$, Lemma 7.2.1 implies that $S^1 = \{\exp tT : t \in \mathbb{R}\}$ is a 1-dimensional torus.

(1). It follows from $T \in \mathfrak{k}$ that $S^1 \subset K$. Therefore, since \mathcal{V}_{φ} is $\varrho(K)$ -invariant and $k_{\varphi} = \dim_{\mathbb{C}} \mathcal{V}_{\varphi} < \infty$, one can decompose \mathcal{V}_{φ} into a direct sum of 1-dimensional $\varrho(S^1)$ -invariant complex vector subspaces: $\mathcal{V}_{\varphi} = \mathcal{V}_1 \oplus \mathcal{V}_2 \oplus \dots \oplus \mathcal{V}_{k_{\varphi}}$. Hence there exist a complex basis $\{\varphi_a\}_{a=1}^{k_{\varphi}}$ of \mathcal{V}_{φ} and $\mu_1, \mu_2, \dots, \mu_{k_{\varphi}} \in \mathbb{R}$ such that $\varphi_a \in \mathcal{V}_a$ and

$$\varrho(\exp tT)\varphi_a = e^{i\mu_a t}\varphi_a$$

for all $1 \leq a \leq k_{\varphi} = \dim_{\mathbb{C}} \mathcal{V}_{\varphi}$ and $t \in \mathbb{R}$.

(2). One can conclude (2) by arguments similar to those above, $S^1 \subset L \subset Q^-$, \mathbf{V} being $\rho(Q^-)$ -invariant and $m = \dim_{\mathbb{C}} \mathbf{V} < \infty$. \square

We are in a position to demonstrate

Proposition 11.2.15. Let $\varphi \in (\mathcal{V}_{G/L})_K$ and $\mathcal{V}_{\varphi} = \text{span}_{\mathbb{C}}\{\varrho(k)\varphi : k \in K\}$.

(i) Let $\{\varphi_a\}_{a=1}^{k_{\varphi}}$ and $\{\mathbf{v}_b\}_{b=1}^m$ be the bases of \mathcal{V}_{φ} and \mathbf{V} in Lemma 11.2.14, respectively. For $x \in GQ^-$ we express $\varphi_a(x) \in \mathbf{V}$ as

$$\varphi_a(x) = \varphi_a^1(x)\mathbf{v}_1 + \varphi_a^2(x)\mathbf{v}_2 + \dots + \varphi_a^m(x)\mathbf{v}_m.$$

Then, for each $1 \leq a \leq k_{\varphi}$ and $1 \leq b \leq m$, there exists a unique polynomial (holomorphic) function $\varphi_a^{b'} = \varphi_a^{b'}(z^1, \dots, z^r)$ on $U^+ = \mathbb{C}^r$ of finite degree such that

$$\varphi_a^b = \varphi_a^{b'} \text{ on } U^+ \cap GQ^-.$$

Here U^+ is identified with \mathbb{C}^r via (11.2.10), and z^1, \dots, z^r is the canonical coordinates of the first kind associated with the basis $\{E_{\beta_j}\}_{j=1}^r \subset \mathfrak{u}^+$.

(ii) For a given $\phi \in \mathcal{V}_{\varphi}$ there exists a unique holomorphic mapping $\phi' : U^+ \rightarrow \mathbf{V}$ such that $\phi = \phi'$ on $U^+ \cap GQ^-$.

Proof. (i). By Lemma 11.1.2-(3), $U^+ \cap GQ^-$ is an open neighborhood of $e \in U^+$. Hence the theorem of identity assures the uniqueness of $\varphi_a^{b'}$, where we remark that the restriction $\varphi_a^b|_{U^+ \cap GQ^-}$ is holomorphic since U^+ is a regular complex submanifold of $G_{\mathbb{C}}$. From now on, let us confirm the existence of $\varphi_a^{b'}$. Since $\varphi_a^b : U^+ \cap GQ^- \rightarrow \mathbb{C}$ is holomorphic, we can find an $R > 0$ so that the following (a1) and (a2) hold for $P := \{u \in U^+ : |z^j(u)| < R, 1 \leq j \leq r\}$:

(a1) P is an open subset of $U^+ \cap GQ^-$ containing e , and

(a2) on P we can express $\varphi_a^b|_{U^+ \cap GQ^-}$ as

$$\varphi_a^b(z^1, z^2, \dots, z^r) = \sum_{n_1, n_2, \dots, n_r \geq 0} \alpha_{n_1 n_2 \dots n_r} (z^1)^{n_1} (z^2)^{n_2} \dots (z^r)^{n_r}$$

(the Taylor expansion of $\varphi_a^b|_{U^+ \cap GQ^-}$ at $e = (0, 0, \dots, 0)$).

Remark, it follows from (11.2.11) that $sPs^{-1} \subset P$ for all $s \in S^1 = \{\exp tT : t \in \mathbb{R}\}$. For any $t \in \mathbb{R}$ and $u \in P$ we obtain

$$\begin{aligned} \sum_{b=1}^m e^{i\theta_b t} \varphi_a^b(u) \mathbf{v}_b &= \rho(\exp tT) \left(\sum_{b=1}^m \varphi_a^b(u) \mathbf{v}_b \right) \quad (\because \text{Lemma 11.2.14-(2)}) \\ &= \rho(\exp tT) (\varphi_a(u)) = \varphi_a(u \exp(-tT)) \quad (\because \varphi_a \in \mathcal{V}_{G/L}, (11.2.1)\text{-(ii)}) \\ &\stackrel{(11.2.2)}{=} (\varrho(\exp tT) \varphi_a)((\exp tT)u \exp(-tT)) = (e^{i\mu_a t} \varphi_a)((\exp tT)u \exp(-tT)) \quad (\because \text{Lemma 11.2.14-(1)}) \\ &= \sum_{b=1}^m e^{i\mu_a t} \varphi_a^b((\exp tT)u \exp(-tT)) \mathbf{v}_b. \end{aligned}$$

This provides us with

$$e^{i(\theta_b - \mu_a)t} \varphi_a^b(u) = \varphi_a^b((\exp tT)u \exp(-tT)). \quad \textcircled{1}$$

If $u = \exp(z^1 E_{\beta_1} + z^2 E_{\beta_2} + \dots + z^r E_{\beta_r})$, then it follows from (a2), $\textcircled{1}$ and (11.2.11) that

$$\begin{aligned} \sum_{n_1, n_2, \dots, n_r \geq 0} e^{i(\theta_b - \mu_a)t} \alpha_{n_1 n_2 \dots n_r} (z^1)^{n_1} (z^2)^{n_2} \dots (z^r)^{n_r} &= e^{i(\theta_b - \mu_a)t} \varphi_a^b(z^1, z^2, \dots, z^r) \\ &= e^{i(\theta_b - \mu_a)t} \varphi_a^b(u) = \varphi_a^b((\exp tT)u \exp(-tT)) = \varphi_a^b(e^{i\omega_1 t} z^1, e^{i\omega_2 t} z^2, \dots, e^{i\omega_r t} z^r) \\ &= \sum_{n_1, n_2, \dots, n_r \geq 0} e^{i(\omega_1 n_1 + \omega_2 n_2 + \dots + \omega_r n_r)t} \alpha_{n_1 n_2 \dots n_r} (z^1)^{n_1} (z^2)^{n_2} \dots (z^r)^{n_r}. \end{aligned}$$

Therefore we see that

$$e^{i(\theta_b - \mu_a)t} \alpha_{n_1 n_2 \dots n_r} = e^{i(\omega_1 n_1 + \omega_2 n_2 + \dots + \omega_r n_r)t} \alpha_{n_1 n_2 \dots n_r}$$

for all $t \in \mathbb{R}$ and $n_1, n_2, \dots, n_r \geq 0$. Differentiating this equation at $t = 0$ we deduce

$$(\theta_b - \mu_a) \alpha_{n_1 n_2 \dots n_r} = (\omega_1 n_1 + \omega_2 n_2 + \dots + \omega_r n_r) \alpha_{n_1 n_2 \dots n_r}. \quad \textcircled{2}$$

Here Lemma 11.2.12 implies that the number of non-negative integer solutions (n_1, n_2, \dots, n_r) to the equation

$$\theta_b - \mu_a = \omega_1 n_1 + \omega_2 n_2 + \dots + \omega_r n_r$$

is only finite or zero, so that the number of the non-zero coefficients $\alpha_{n_1 n_2 \dots n_r}$ is only finite. Consequently $\varphi_a^b(z^1, z^2, \dots, z^r) = \sum_{n_1, n_2, \dots, n_r \geq 0} \alpha_{n_1 n_2 \dots n_r} (z^1)^{n_1} (z^2)^{n_2} \dots (z^r)^{n_r}$ must be a polynomial function on the open subset $P \subset U^+$ of finite degree. Moreover, one can extend it as a polynomial function on U^+ of finite degree, since z^1, z^2, \dots, z^r is a global coordinate system in U^+ .

(ii). For any $\phi \in \mathcal{V}_{\varphi}$ there exist $\alpha_1, \dots, \alpha_{k_{\varphi}} \in \mathbb{C}$ such that $\phi = \sum_{a=1}^{k_{\varphi}} \alpha_a \varphi_a$. Hence (ii) follows from (i). \square

Proposition 11.2.15-(ii) leads to

Corollary 11.2.16. *For any $\varphi \in (\mathcal{V}_{G/L})_K$, there exists a unique holomorphic mapping $\varphi' : U^+ \rightarrow \mathbf{V}$ such that $\varphi = \varphi'$ on $U^+ \cap GQ^-$. Here we refer to (11.2.13) for $(\mathcal{V}_{G/L})_K$.*

Recalling that $\blacktriangle = \{\gamma \in \Delta \mid \gamma(T) = 0\}$, we establish the following proposition which will play a role in the next subsection:

Proposition 11.2.17. *Suppose that the fundamental root system Π_{Δ} satisfies not only (8.1.5) but also*

$$\mathfrak{g}_{\beta} \subset \mathfrak{k}_{\mathbb{C}} \text{ for all } \beta \in \Pi_{\Delta} - \blacktriangle.$$

Then, for each $\varphi \in (\mathcal{V}_{G/L})_K$ there exists a unique $h \in \mathcal{V}_{G_{\mathbb{C}}/Q^-}$ such that $\varphi = h$ on GQ^- .

Proof. The uniqueness of h comes from the theorem of identity, Lemma 11.1.2-(3) and $G_{\mathbb{C}}$ being connected. So, let us prove the existence of h . Fix an arbitrary $\varphi \in (\mathcal{V}_{G/L})_K$. By Corollary 11.2.16 there exists a unique holomorphic mapping $\varphi' : U^+ \rightarrow \mathbb{V}$ so that $\varphi = \varphi'$ on $U^+ \cap GQ^-$. Then, Proposition 8.2.1-(iv) enables us to construct a holomorphic mapping $\varphi'' : U^+Q^- \rightarrow \mathbb{V}$ from

$$\varphi''(uq) := \rho(q)^{-1}(\varphi'(u)) \text{ for } (u, q) \in U^+ \times Q^-.$$

Here it follows from $\varphi \in \mathcal{V}_{G/L}$, (11.2.1)-(ii) and $(U^+Q^- \cap GQ^-) = (U^+ \cap GQ^-)Q^-$ that

$$\varphi = \varphi'' \text{ on } U^+Q^- \cap GQ^-. \quad \textcircled{1}$$

For every $\beta \in \Pi_{\Delta} - \blacktriangle$, the supposition and Lemma 11.2.9 assure $w_{\beta} \in K$, and thus $\varrho(w_{\beta})\varphi$ belongs to $(\mathcal{V}_{G/L})_K$ since $(\mathcal{V}_{G/L})_K$ is $\varrho(K)$ -invariant. Consequently, for each $\beta \in \Pi_{\Delta} - \blacktriangle$ there exists a unique holomorphic mapping $(\varrho(w_{\beta})\varphi)'' : U^+Q^- \rightarrow \mathbb{V}$ such that

$$\varrho(w_{\beta})\varphi = (\varrho(w_{\beta})\varphi)'' \text{ on } U^+Q^- \cap GQ^-, \quad \textcircled{2}$$

where we remark that U^+Q^- is connected (cf. Proposition 8.2.1-(iv)). Taking these φ'' , $(\varrho(w_{\beta})\varphi)'' : U^+Q^- \rightarrow \mathbb{V}$ ($\beta \in \Pi_{\Delta} - \blacktriangle$) into account, we define a holomorphic mapping $\hat{\varphi}$ of $D := U^+Q^- \cup (\bigcup_{\beta \in \Pi_{\Delta} - \blacktriangle} w_{\beta}^{-1}U^+Q^-)$ into \mathbb{V} as follows:

$$\hat{\varphi}(x) := \begin{cases} \varphi''(x) & \text{if } x \in U^+Q^-, \\ (\varrho(w_{\beta})\varphi)''(w_{\beta}x) & \text{if } x \in w_{\beta}^{-1}U^+Q^- \ (\beta \in \Pi_{\Delta} - \blacktriangle). \end{cases} \quad \textcircled{3}$$

Here $D = U^+Q^- \cup (\bigcup_{\beta \in \Pi_{\Delta} - \blacktriangle} w_{\beta}^{-1}U^+Q^-)$ is a dense domain in $G_{\mathbb{C}}$ by Corollary 8.3.16-(i) and Lemma 8.3.19. We need to confirm that $\textcircled{3}$ is well-defined. For any $y \in GQ^- \cap U^+Q^- \cap (\bigcap_{\beta \in \Pi_{\Delta} - \blacktriangle} w_{\beta}^{-1}U^+Q^-)$ one has $w_{\beta}y \in U^+Q^-$, $w_{\beta}y \in KGQ^- \subset GQ^-$, and

$$(\varrho(w_{\beta})\varphi)''(w_{\beta}y) \stackrel{\textcircled{2}}{=} (\varrho(w_{\beta})\varphi)(w_{\beta}y) \stackrel{(11.2.2)}{=} \varphi(y) \stackrel{\textcircled{1}}{=} \varphi''(y).$$

Thus $\textcircled{3}$ is well-defined by the theorem of identity and Lemma 8.3.27-(3). In addition, from the above computation we deduce

$$\varphi = \hat{\varphi} \text{ on } D \cap GQ^-. \quad \textcircled{4}$$

Now, Lemma 8.3.22-(2) and Remark 8.3.26 imply that the domain D of $\hat{\varphi}$ includes the O in Theorem 8.3.17. Therefore there exists a unique holomorphic mapping $h : G_{\mathbb{C}} \rightarrow \mathbb{V}$ such that

$$\hat{\varphi} = h \text{ on } D$$

by Theorem 8.3.17-(ii). This h satisfies

$$\varphi = h \text{ on } GQ^-, \quad h(aq) = \rho(q)^{-1}(h(a)) \text{ for all } (a, q) \in G_{\mathbb{C}} \times Q^-. \quad \textcircled{5}$$

Indeed; since GQ^- is connected, it follows from $\hat{\varphi} = h|_D$, $\textcircled{4}$ and the theorem of identity that $\varphi = h$ on GQ^- . Furthermore, it follows from $\varphi = h|_{GQ^-}$ that for all $(x, q) \in GQ^- \times Q^-$

$$\begin{aligned} h(xq) &= \varphi(xq) = \rho(q)^{-1}(\varphi(x)) \quad (\because \varphi \in \mathcal{V}_{G/L}, (11.2.1)\text{-(ii)}) \\ &= \rho(q)^{-1}(h(x)). \end{aligned}$$

This and the theorem of identity imply that $h(aq) = \rho(q)^{-1}(h(a))$ for all $(a, q) \in G_{\mathbb{C}} \times Q^-$, since $GQ^- \subset G_{\mathbb{C}}$ is open. Accordingly $\textcircled{5}$ holds. By (11.2.1) and $\textcircled{5}$ we conclude $h \in \mathcal{V}_{G_{\mathbb{C}}/Q^-}$ and this proposition. \square

11.2.3 A sufficient condition for $\mathcal{V}_{G/L}$ to be finite-dimensional

In order to state Theorem 11.2.18, let us fix its setting.

- $G_{\mathbb{C}}$ is a connected complex semisimple Lie group,
- G is a connected closed subgroup of $G_{\mathbb{C}}$ such that \mathfrak{g} is a real form of $\mathfrak{g}_{\mathbb{C}}$,
- T is a non-zero elliptic element of \mathfrak{g} ,
- $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ is a Cartan decomposition of \mathfrak{g} with $T \in \mathfrak{k}$,

- $i\mathfrak{h}_{\mathbb{R}}$ is a maximal torus of $\mathfrak{g}_u := \mathfrak{k} \oplus i\mathfrak{p}$ containing T ,
- $\Delta = \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}_{\mathbb{C}})$ is the root system of $\mathfrak{g}_{\mathbb{C}}$ relative to $\mathfrak{h}_{\mathbb{C}}$, where $\mathfrak{h}_{\mathbb{C}}$ is the complex vector subspace of $\mathfrak{g}_{\mathbb{C}}$ generated by $i\mathfrak{h}_{\mathbb{R}}$,
- \mathfrak{g}_{α} is the root subspace of $\mathfrak{g}_{\mathbb{C}}$ for $\alpha \in \Delta$,
- $L = C_G(T)$,
- $Q^- = N_{G_{\mathbb{C}}}(\bigoplus_{\nu \geq 0} \mathfrak{g}^{-\nu})$, where $\mathfrak{g}^{\lambda} = \{X \in \mathfrak{g}_{\mathbb{C}} \mid \text{ad} T(X) = i\lambda X\}$ for $\lambda \in \mathbb{R}$,
- $\mathfrak{k}_{\mathbb{C}}$ is the complex subalgebra of $\mathfrak{g}_{\mathbb{C}}$ generated by \mathfrak{k} ,
- \mathbb{V} is a finite-dimensional complex vector space,
- $\rho : Q^- \rightarrow GL(\mathbb{V})$, $q \mapsto \rho(q)$, is a holomorphic homomorphism,
- $\mathcal{V}_{G_{\mathbb{C}}/Q^-}$ and $\mathcal{V}_{G/L}$ are the complex vector spaces defined by

$$\mathcal{V}_{G_{\mathbb{C}}/Q^-} := \left\{ h : G_{\mathbb{C}} \rightarrow \mathbb{V} \left| \begin{array}{l} \text{(i) } h \text{ is holomorphic,} \\ \text{(ii) } h(aq) = \rho(q)^{-1}(h(a)) \text{ for all } (a, q) \in G_{\mathbb{C}} \times Q^- \end{array} \right. \right\},$$

$$\mathcal{V}_{G/L} := \left\{ \psi : GQ^- \rightarrow \mathbb{V} \left| \begin{array}{l} \text{(i) } \psi \text{ is holomorphic,} \\ \text{(ii) } \psi(xq) = \rho(q)^{-1}(\psi(x)) \text{ for all } (x, q) \in GQ^- \times Q^- \end{array} \right. \right\},$$

respectively.

In the setting above we establish

Theorem 11.2.18 (cf. [5]¹). *Suppose that (S) there exists a fundamental root system Π_{Δ} of Δ satisfying*

- (s1) $\alpha(-iT) \geq 0$ for all $\alpha \in \Pi_{\Delta}$, and
- (s2) $\mathfrak{g}_{\beta} \subset \mathfrak{k}_{\mathbb{C}}$ for every $\beta \in \Pi_{\Delta}$ with $\beta(T) \neq 0$.

Then, the complex vector space $\mathcal{V}_{G_{\mathbb{C}}/Q^-}$ is linear isomorphic to $\mathcal{V}_{G/L}$ via

$$F : \mathcal{V}_{G_{\mathbb{C}}/Q^-} \rightarrow \mathcal{V}_{G/L}, h \mapsto h|_{GQ^-};$$

and therefore $\dim_{\mathbb{C}} \mathcal{V}_{G/L} = \dim_{\mathbb{C}} \mathcal{V}_{G_{\mathbb{C}}/Q^-} < \infty$. Here $h|_{GQ^-}$ stands for the restriction of h to $GQ^- (\subset G_{\mathbb{C}})$.

Proof. Needless to say, the mapping $F : \mathcal{V}_{G_{\mathbb{C}}/Q^-} \rightarrow \mathcal{V}_{G/L}$, $h \mapsto h|_{GQ^-}$, is complex linear. Lemma 11.1.2-(3) and the theorem of identity imply that F is injective because $G_{\mathbb{C}}$ is connected. Consequently, the rest of proof is to demonstrate that F is surjective, cf. Remark 11.2.3-(2). Fix an arbitrary $\psi \in \mathcal{V}_{G/L}$. By Propositions 11.2.8 and 6.2.1, and by (11.2.13) we deduce that $(\mathcal{V}_{G/L})_K$ is a dense subset of $\mathcal{V}_{G/L} = (\mathcal{V}_{G/L}, d)$. So, there exists a sequence $\{\varphi_n\}_{n=1}^{\infty} \subset (\mathcal{V}_{G/L})_K$ satisfying

$$\lim_{n \rightarrow \infty} d(\psi, \varphi_n) = 0.$$

On the one hand; the supposition (S) and Proposition 11.2.17 assure that $(\mathcal{V}_{G/L})_K \subset F(\mathcal{V}_{G_{\mathbb{C}}/Q^-})$, and thus

$$\{\varphi_n\}_{n=1}^{\infty} \subset F(\mathcal{V}_{G_{\mathbb{C}}/Q^-}).$$

On the other hand; since $F : \mathcal{V}_{G_{\mathbb{C}}/Q^-} \rightarrow \mathcal{V}_{G/L}$ is injective linear, Proposition 4.1.8-(2) and $\dim_{\mathbb{C}} \mathcal{V}_{G_{\mathbb{C}}/Q^-} < \infty$ enable us to see that

$$F(\mathcal{V}_{G_{\mathbb{C}}/Q^-}) \text{ is a closed subset of } \mathcal{V}_{G/L}.$$

Therefore $\psi = \lim_{n \rightarrow \infty} \varphi_n \in F(\mathcal{V}_{G_{\mathbb{C}}/Q^-})$, and hence F is surjective. □

Remark 11.2.19. If the supposition (S) in Theorem 11.2.18 holds for the elliptic orbit G/L , then one can clarify several properties of G/L —for example,

1. any holomorphic function on G/L is constant,
2. the group $\text{Hol}(G/L)$ of holomorphic automorphisms of G/L is a (finite-dimensional) Lie group,

¹We improve the proof of Theorem 3.1 in [5].

and so on.

Let us give examples which satisfy the supposition (S) in Theorem 11.2.18, and give examples which do not so.

The first example is

Example 11.2.20 ($G/L = G_{2(2)}/(SL(2, \mathbb{R}) \cdot T^1)$). Let $\mathfrak{g}_{\mathbb{C}}$ be the exceptional complex simple Lie algebra $(\mathfrak{g}_2)_{\mathbb{C}}$ of the type G_2 . Assume that the Dynkin diagram of $\Delta = \Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}_{\mathbb{C}})$ is as follows (cf. Bourbaki [6, p.289]):

$$\mathfrak{g}_{\mathbb{C}}: \begin{array}{ccc} & \alpha_1 & \alpha_2 \\ & \swarrow & \longleftarrow \\ \circ & & \circ \\ & \searrow & \longleftarrow \\ & 3 & 2 \end{array}$$

Then $\Pi_{\Delta} = \{\alpha_1, \alpha_2\}$, and the set Δ^+ of positive roots is

$$\Delta^+ = \{3\alpha_1 + 2\alpha_2, 3\alpha_1 + \alpha_2, 2\alpha_1 + \alpha_2, \alpha_1 + \alpha_2, \alpha_1, \alpha_2\}. \quad \textcircled{1}$$

Let us fix a non-compact real form $\mathfrak{g} \subset \mathfrak{g}_{\mathbb{C}}$. Taking Chevalley's canonical basis $\{H_{\alpha_1}^*, H_{\alpha_2}^*\} \amalg \{E_{\alpha} \mid \alpha \in \Delta\}$ of $\mathfrak{g}_{\mathbb{C}}$ we first construct a compact real form $\mathfrak{g}_u \subset \mathfrak{g}_{\mathbb{C}}$ from

$$\mathfrak{h}_{\mathbb{R}} := \text{span}_{\mathbb{R}}\{H_{\alpha_1}^*, H_{\alpha_2}^*\}, \quad \mathfrak{g}_u := i\mathfrak{h}_{\mathbb{R}} \oplus \bigoplus_{\alpha \in \Delta} \text{span}_{\mathbb{R}}\{E_{\alpha} - E_{-\alpha}\} \oplus \text{span}_{\mathbb{R}}\{i(E_{\alpha} + E_{-\alpha})\},$$

and denote by $\{Z_1, Z_2\} (\subset \mathfrak{h}_{\mathbb{R}})$ the dual basis of $\Pi_{\Delta} = \{\alpha_1, \alpha_2\}$. By use of this Z_2 we next construct an involutive automorphism θ of the complex Lie algebra $\mathfrak{g}_{\mathbb{C}}$ from

$$\theta := \exp \pi \text{ad}(iZ_2). \quad \textcircled{2}$$

Since $\theta(\mathfrak{g}_u) \subset \mathfrak{g}_u$ one can get a non-compact real form $\mathfrak{g} \subset \mathfrak{g}_{\mathbb{C}}$ by setting

$$\mathfrak{k} := \{X \in \mathfrak{g}_u \mid \theta(X) = X\}, \quad i\mathfrak{p} := \{Y \in \mathfrak{g}_u \mid \theta(Y) = -Y\}, \quad \mathfrak{g} := \mathfrak{k} \oplus i\mathfrak{p}.$$

Here we remark that $\mathfrak{g}_u = \mathfrak{k} \oplus i\mathfrak{p}$, $\mathfrak{k} = \mathfrak{sp}(1) \oplus \mathfrak{sp}(1)$, $\mathfrak{g} = \mathfrak{g}_{2(2)}$ and

$$\mathfrak{k}_{\mathbb{C}} = \{V \in \mathfrak{g}_{\mathbb{C}} \mid \theta(V) = V\}, \quad \textcircled{3}$$

where $\mathfrak{k}_{\mathbb{C}}$ stands for the complex subalgebra of $\mathfrak{g}_{\mathbb{C}}$ generated by \mathfrak{k} .

$$\mathfrak{k}_{\mathbb{C}}: \begin{array}{ccc} \alpha_1 & & -3\alpha_1 - 2\alpha_2 \\ \circ & \longleftarrow & \circ \end{array}$$

In this setting, each $T \in i\mathfrak{h}_{\mathbb{R}}$ is an elliptic element of \mathfrak{g} and we know that for $\mathfrak{l} := \mathfrak{c}_{\mathfrak{g}}(T)$,

$$(A) \mathfrak{l} = \mathfrak{sl}(2, \mathbb{R}) \oplus \mathfrak{t}^1 \text{ in case of } T = i(Z_1 - 2Z_2), \quad (B) \mathfrak{l} = \mathfrak{sl}(2, \mathbb{R}) \oplus \mathfrak{t}^1 \text{ in case of } T = i(Z_1 - 3Z_2).$$

cf. Proposition 5.5 in [4, p.1157].

Case (A). Let $T := i(Z_1 - 2Z_2)$ and $\Pi_A := \{2\alpha_1 + \alpha_2, -3\alpha_1 - 2\alpha_2\}$. Then Π_A is a fundamental root system of Δ by $\textcircled{1}$.

$$\Pi_A: \begin{array}{ccc} 2\alpha_1 + \alpha_2 & & -3\alpha_1 - 2\alpha_2 \\ \circ & \longleftarrow & \circ \end{array}$$

From a direct computation with $\alpha_k(Z_j) = \delta_{k,j}$ we obtain

$$(2\alpha_1 + \alpha_2)(-iT) = 0, \quad (-3\alpha_1 - 2\alpha_2)(-iT) = 1 \geq 0.$$

This assures that Π_A satisfies the condition (s1) in Theorem 11.2.18. Moreover, $\textcircled{2}$ yields $\theta(E_{-3\alpha_1 - 2\alpha_2}) = E_{-3\alpha_1 - 2\alpha_2}$, and so $\textcircled{3}$ yields $\mathfrak{g}_{-3\alpha_1 - 2\alpha_2} \subset \mathfrak{k}_{\mathbb{C}}$. Therefore Π_A satisfies the condition (s2) also. Hence, the supposition (S) in Theorem 11.2.18 holds in this case.

Case (B). Let $T := i(Z_1 - 3Z_2)$ and $\Pi_B := \{\alpha_1, -3\alpha_1 - \alpha_2\}$. Then, one can conclude that the supposition (S) in Theorem 11.2.18 holds, by arguments similar to those above,

$$\Pi_B: \begin{array}{ccc} \alpha_1 & & -3\alpha_1 - \alpha_2 \\ \circ & \longleftarrow & \circ \end{array}$$

and $\alpha_1(-iT) = 1$, $(-3\alpha_1 - \alpha_2)(-iT) = 0$.

Remark 11.2.21. In case of Example 11.2.20-(A), $\Pi' := \{-2\alpha_1 - \alpha_2, 3\alpha_1 + \alpha_2\}$ is another fundamental root system of Δ , and

$$(-2\alpha_1 - \alpha_2)(-iT) = 0, \quad (3\alpha_1 + \alpha_2)(-iT) = 1.$$

Thus Π' satisfies the condition (s1) in Theorem 11.2.18. However, it follows from ② that $\theta(E_{3\alpha_1 + \alpha_2}) = -E_{3\alpha_1 + \alpha_2}$, so that the condition (s2) cannot hold for this Π' .

The second example is

Example 11.2.22 ($G/L = SU(2, 1)/S(U(1) \times U(1, 1))$). Let

$$G_{\mathbb{C}} := SL(3, \mathbb{C}) = \{g \in GL(3, \mathbb{C}) \mid \det g = 1\}, \quad G := SU(2, 1) = \{X \in G_{\mathbb{C}} \mid {}^t X I_{2,1} \bar{X} = I_{2,1}\},$$

where $I_{2,1} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$. Then one has

$$\mathfrak{g} = \left\{ \left(\begin{array}{cc|c} ia_1 & b+ic & ix-y \\ -b+ic & ia_2 & iz-w \\ -ix-y & -iz-w & ia_3 \end{array} \right) \mid \begin{array}{l} a_1, a_2, a_3, b, c, x, y, z, w \in \mathbb{R}, \\ a_1 + a_2 + a_3 = 0 \end{array} \right\}$$

and obtains a Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$,

$$\mathfrak{k} = \left\{ \left(\begin{array}{cc|c} ia_1 & b+ic & 0 \\ -b+ic & ia_2 & 0 \\ 0 & 0 & ia_3 \end{array} \right) \in \mathfrak{g} \right\} = \mathfrak{s}(\mathfrak{u}(2) \oplus \mathfrak{u}(1)), \quad \mathfrak{p} = \left\{ \left(\begin{array}{cc|c} 0 & 0 & ix-y \\ 0 & 0 & iz-w \\ -ix-y & -iz-w & 0 \end{array} \right) \in \mathfrak{g} \right\}.$$

Setting $\mathfrak{g}_u := \mathfrak{k} \oplus i\mathfrak{p}$ and

$$\mathfrak{h}_{\mathbb{R}} := \left\{ \left(\begin{array}{cc|c} a_1 & 0 & 0 \\ 0 & a_2 & 0 \\ 0 & 0 & a_3 \end{array} \right) \mid \begin{array}{l} a_1, a_2, a_3 \in \mathbb{R}, \\ a_1 + a_2 + a_3 = 0 \end{array} \right\},$$

we assert that \mathfrak{g}_u is a compact real form of $\mathfrak{g}_{\mathbb{C}} = \mathfrak{sl}(3, \mathbb{C})$ and

$$\mathfrak{g}_u = \left\{ \left(\begin{array}{cc|c} ia_1 & b+ic & x+iy \\ -b+ic & ia_2 & z+iw \\ -x+iy & -z+iw & ia_3 \end{array} \right) \mid \begin{array}{l} a_1, a_2, a_3, b, c, x, y, z, w \in \mathbb{R}, \\ a_1 + a_2 + a_3 = 0 \end{array} \right\} = \mathfrak{su}(3);$$

besides, $i\mathfrak{h}_{\mathbb{R}}$ is a maximal torus of \mathfrak{g}_u . Remark that each $T \in i\mathfrak{h}_{\mathbb{R}}$ is an elliptic element of $\mathfrak{g} = \mathfrak{su}(2, 1)$ due to $i\mathfrak{h}_{\mathbb{R}} \subset \mathfrak{k}$.

Now, let us define complex linear mappings $\alpha_1, \alpha_2 : \mathfrak{h}_{\mathbb{C}} \rightarrow \mathbb{C}$ by

$$\alpha_1 \left(\left(\begin{array}{cc|c} \epsilon_1 & 0 & 0 \\ 0 & \epsilon_2 & 0 \\ 0 & 0 & \epsilon_3 \end{array} \right) \right) := \epsilon_1 - \epsilon_2, \quad \alpha_2 \left(\left(\begin{array}{cc|c} \epsilon_1 & 0 & 0 \\ 0 & \epsilon_2 & 0 \\ 0 & 0 & \epsilon_3 \end{array} \right) \right) := \epsilon_2 - \epsilon_3,$$

respectively. Then $\Pi_{\Delta} := \{\alpha_1, \alpha_2\}$ is a fundamental root system of $\Delta(\mathfrak{g}_{\mathbb{C}}, \mathfrak{h}_{\mathbb{C}})$.

$$\mathfrak{g}_{\mathbb{C}}: \begin{array}{ccc} & \alpha_1 & \alpha_2 \\ \circ & \text{---} & \circ \\ 1 & & 1 \end{array}$$

By setting

$$Z_1 := \frac{1}{3} \left(\begin{array}{cc|c} 2 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{array} \right), \quad Z_2 := \frac{1}{3} \left(\begin{array}{cc|c} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{array} \right),$$

we have $\mathfrak{h}_{\mathbb{R}} = \text{span}_{\mathbb{R}}\{Z_1, Z_2\}$ and $\alpha_k(Z_j) = \delta_{k,j}$ ($k, j = 1, 2$).

• Case $T = iZ_1$. Let $T := iZ_1$. Then it follows from $T \in i\mathfrak{h}_{\mathbb{R}}$ that T is an elliptic element of $\mathfrak{g} = \mathfrak{su}(2, 1)$. From a direct computation with $\alpha_k(Z_j) = \delta_{k,j}$ we obtain

$$\alpha_1(-iT) = 1, \quad \alpha_2(-iT) = 0.$$

Hence $\Pi_\Delta = \{\alpha_1, \alpha_2\}$ satisfies the condition (s1) in Theorem 11.2.18. Since

$$\mathfrak{g}_{\alpha_1} = \left\{ \left(\begin{array}{cc|c} 0 & \epsilon & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{array} \right) \middle| \epsilon \in \mathbb{C} \right\} \subset \mathfrak{k}_{\mathbb{C}},$$

it satisfies the condition (s2) also. For this reason the supposition (S) in Theorem 11.2.18 holds for the $T = iZ_1$. Incidentally, $L := C_G(T) = S(U(1) \times U(1, 1))$ and $G/L = SU(2, 1)/S(U(1) \times U(1, 1))$.

- Case $T = iZ_2$. Let $T := iZ_2$. Then T is an elliptic element of \mathfrak{g} , and one has

$$\alpha_1(-iT) = 0, \quad \alpha_2(-iT) = 1,$$

so $\Pi_\Delta = \{\alpha_1, \alpha_2\}$ satisfies the condition (s1) in Theorem 11.2.18. However, the condition (s2) cannot hold for this $T = iZ_2$ because

$$\mathfrak{g}_{\alpha_2} = \left\{ \left(\begin{array}{cc|c} 0 & 0 & 0 \\ 0 & 0 & \epsilon \\ 0 & 0 & 0 \end{array} \right) \middle| \epsilon \in \mathbb{C} \right\} \subset \mathfrak{p}_{\mathbb{C}}.$$

Incidentally, $G/L = SU(2, 1)/S(U(2) \times U(1))$ and is a symmetric bounded domain in \mathbb{C}^2 .

The third example is

Example 11.2.23. The supposition (S) in Theorem 11.2.18 cannot hold for any symmetric bounded domain D in \mathbb{C}^n at all.

Let us explain the reason why. In order to do so, we take an elliptic orbit $G/L = G/C_G(T)$ in the setting of Theorem 11.2.18, and put $\mathfrak{u} := \text{ad}T(\mathfrak{g})$. Since $\text{ad}T : \mathfrak{g} \rightarrow \mathfrak{g}$ is semisimple, \mathfrak{g} is decomposed into $\mathfrak{g} = \mathfrak{l} \oplus \mathfrak{u}$; and furthermore, it is decomposed into

$$\mathfrak{g} = (\mathfrak{k} \cap \mathfrak{l}) \oplus (\mathfrak{p} \cap \mathfrak{l}) \oplus (\mathfrak{k} \cap \mathfrak{u}) \oplus (\mathfrak{p} \cap \mathfrak{u})$$

because of $T \in \mathfrak{k}$. Then, Lemma 11.2.9 implies that

$$\mathfrak{k} \cap \mathfrak{u} \neq \{0\}$$

is a necessary condition for the (s2) to hold. However, if G/L is a symmetric bounded domain in \mathbb{C}^n (where G is the identity component of $\text{Hol}(G/L)$), then it follows that

$$(\mathfrak{k} \cap \mathfrak{l}) = \mathfrak{k}, \quad (\mathfrak{p} \cap \mathfrak{l}) = \{0\}, \quad (\mathfrak{k} \cap \mathfrak{u}) = \{0\}, \quad (\mathfrak{p} \cap \mathfrak{u}) = \mathfrak{p}.$$

For this reason the supposition (S) cannot hold.

We end this chapter with stating

Remark 11.2.24. For each complex flag manifold $G_{\mathbb{C}}/Q^-$ one can determine the complex Lie algebra $\mathcal{O}(T^{1,0}(G_{\mathbb{C}}/Q^-))$ of holomorphic vector fields on $G_{\mathbb{C}}/Q^-$ by Theorem 7.1 in Onishchik [29, pp.52–53]. Accordingly we deduce that

1. $G/L = G_{2(2)}/(SL(2, \mathbb{R}) \cdot T^1)$ and $\mathcal{O}(T^{1,0}(G/L)) = (\mathfrak{g}_2)_{\mathbb{C}}$ in case of Example 11.2.20-(A),
2. $G/L = G_{2(2)}/(SL(2, \mathbb{R}) \cdot T^1)$ and $\mathcal{O}(T^{1,0}(G/L)) = \mathfrak{so}(7, \mathbb{C})$ in case of Example 11.2.20-(B),
3. $G/L = SU(2, 1)/S(U(1) \times U(1, 1))$ and $\mathcal{O}(T^{1,0}(G/L)) = \mathfrak{sl}(3, \mathbb{C})$ in case of Example 11.2.22 with $T = iZ_1$

from Theorem 11.2.18.

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