# Representation theory of the general linear groups after Riche and Williamson \*

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This is a set of notes for my lecture 代数学特論 IV delivered in the second semester of 2018-19 school year. The lecture was meant to give an introduction/survey of the first 2 parts of a recent monumental work by Riche and Williamson [RW]. Appendix A is a class note for 数学 概論 II on July 17, 2018, and Appendix B is a set of notes for my lectures at 東大 during the final week of May 2019.

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We will consider the representation theory of  $\operatorname{GL}_n(\Bbbk)$  over an algebraically closed field  $\Bbbk$  of positive characteristic p.

## 1° Preliminaries

(1.1) Set  $G = \operatorname{GL}_n(\Bbbk)$ . We will consider only algebraic representations of G, that is, group homomorphisms  $\phi: G \to \operatorname{GL}(M)$  with M a finite dimensional k-linear space such that, choosing a basis of M and identifying  $\operatorname{GL}(M)$  with  $\operatorname{GL}_r(\Bbbk)$ ,  $r = \dim M$ , the functions  $y_{\nu\mu} \circ \phi$  on G,  $\nu, \mu \in [1, r]$ , all belong to  $\Bbbk[x_{ij}, \det^{-1} | i, j \in [1, n]]$ , where  $y_{\nu\mu}(g') = g'_{\nu\mu}$  is the  $(\nu, \mu)$ -th element of  $g' \in \operatorname{GL}_r(\Bbbk)$  and  $x_{ij}(g) = g_{ij}$  is the (i, j)-th element of  $g \in \operatorname{GL}_n(\Bbbk)$  [J, I.2.7, 2.9]. Given a representation  $\phi$  we also say that M affords a G-module, and write gm for  $\phi(g)m, g \in G, m \in M$ . Set  $\Bbbk[G] = \Bbbk[x_{ij}, \det^{-1} | i, j \in [1, n]]$ .

A basic problem of the representation theory of G is the determination of simple representations. A nonzero G-module M is called simple/irreducible iff M admits no proper subspace M' such that  $gm \in M' \,\forall g \in G \,\forall m \in M'$ .

(1.2) Let *B* denote a Borel subgroup of *G* consisting of the lower triangular matrices and *T* a maximal torus of *B* consisting of the diagonals. Let  $\Lambda = \mathbf{Grp}_{\Bbbk}(T, \mathbf{GL}_1(\Bbbk))$ , called the character group of *T*. Recall that  $\Lambda$  is a free abelian group of basis  $\varepsilon_1, \ldots, \varepsilon_n$  such that  $\varepsilon_i$ : diag $(a_1, \ldots, a_n) \mapsto a_i$ . We write the group operation on  $\Lambda$  additively; for  $m_1, \ldots, m_n \in \mathbb{Z}$ ,

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$$\begin{split} \sum_{i=1}^{n} m_i \varepsilon_i : \operatorname{diag}(a_1, \dots, a_n) &\mapsto a_1^{m_1} \dots a_n^{m_n}. \text{ Let } R = \{\varepsilon_i - \varepsilon_j | i, j \in [1, n], i \neq j\} \text{ be the set of roots, and put } R^+ = \{\varepsilon_i - \varepsilon_j | i, j \in [1, n], i < j\}, \text{ the set of positive roots such that the roots of } B \text{ are } -R^+: B = T \ltimes U \text{ with } U = \prod_{\alpha \in R^+} U_{-\alpha}, U_{-\alpha} = \{x_{-\alpha}(a) | a \in \Bbbk\} \text{ such that if } -\alpha = \varepsilon_i - \varepsilon_j, \forall \nu, \mu \in [1, n], \end{split}$$

$$x_{-\alpha}(a)_{\nu\mu} = \begin{cases} 1 & \text{if } \nu = \mu, \\ a & \text{if } \nu = i \text{ and } \mu = j, \\ 0 & \text{else.} \end{cases}$$

If  $\alpha_i := \varepsilon_i - \varepsilon_{i+1}$ ,  $i \in [1, n[, R^s = \{\alpha_1, \dots, \alpha_{n-1}\}$  forms a set of all simple roots of  $R^+$ . For  $\alpha = \varepsilon_i - \varepsilon_j \in R$  let  $\alpha^{\vee} \in \Lambda^{\vee}$  denote the coroot of  $\alpha$  such that

$$\langle \varepsilon_k, \alpha^{\vee} \rangle = \begin{cases} 1 & \text{if } k = i, \\ -1 & \text{if } k = j, \\ 0 & \text{else.} \end{cases}$$

Let  $\Lambda^+ = \{\lambda \in \Lambda | \langle \lambda, \alpha^{\vee} \rangle \ge 0 \, \forall \alpha \in R^+ \}$ , called the set of dominant weights of T. We introduce a partial order on  $\Lambda$  such that  $\lambda \ge \mu$  iff  $\lambda - \mu \in \sum_{\alpha \in R^+} \mathbb{N}\alpha$ .

(1.3) Any *T*-module *M* is simultaneously diagonalizable:

$$M = \prod_{\lambda \in \Lambda} M_{\lambda} \quad \text{with} \quad M_{\lambda} = \{ m \in M | tm = \lambda(t)m \, \forall t \in T \}.$$

We call  $M_{\lambda}$  the  $\lambda$ -weight space of M,  $\lambda$  a weight of M iff  $M_{\lambda} \neq 0$ , and the coproduct the weight space decomposition of M. Let  $\mathbb{Z}[\Lambda]$  be the group ring of  $\Lambda$  with a basis  $e^{\lambda}$ ,  $\lambda \in \Lambda$ . We call

$$\operatorname{ch} M = \sum_{\lambda \in \Lambda} (\dim M_{\lambda}) e^{\lambda} \in \mathbb{Z}[\Lambda]$$

the (formal) character of M; if M is a G-module, for  $g \in G$  write  $g = g_u g_s$  is the Jordan-Chevalley decomposition of  $g \in G$ . Then the trace Tr(g) on M is given by

$$Tr(g) = Tr(g_u g_s) = Tr(g_s)$$
  
= Tr(t) if  $g_s$  is conjugate to some  $t \in T$   
=  $\sum_{\lambda} \lambda(t) \dim M_{\lambda}$ ,

which does not make much sense in positive characterstic.

(1.4) Assume for the moment that k is of characteristic 0. Here the representation theory of G is well-understood. Any G-module is semisimple, i.e., a direct sum of simple G-modules [J, II.5.6.6]. For  $\lambda \in \Lambda$  regard  $\lambda$  as a 1-dimensional B-module via the projection  $B = T \ltimes U \to T$ , and let  $\nabla(\lambda) = \{f \in \Bbbk[G] | f(gb) = \lambda(b)^{-1}f(g) \forall g \in G \forall b \in B\}$  with G-action defined by  $g \cdot f = f(g^{-1}?)$ . The Borel-Weil theorem asserts that  $\nabla(\lambda) \neq 0$  iff  $\lambda \in \Lambda^+$  [J, II.2.6]. Any simple G-module is isomorphic to a unique  $\nabla(\lambda), \lambda \in \Lambda^+$ , and  $\operatorname{ch} \nabla(\lambda)$  is given by Weyl's character formula. To describe the formula, we have to recall the Weyl group  $\mathcal{W} = \mathrm{N}_G(T)/T$  of G and its action on  $\Lambda$ :  $\forall w \in \mathcal{W}, \forall \mu \in \Lambda$ , we define  $w\mu \in \Lambda$  by setting  $(w\mu)(t) = \mu(w^{-1}tw) \forall t \in T$ . More concretely, identify  $\Lambda$  with  $\mathbb{Z}^{\oplus_n}$  via  $\sum_{i=1}^n \mu_i \varepsilon_i \mapsto (\mu_1, \ldots, \mu_n)$ . Then  $\mathcal{W} \simeq \mathfrak{S}_n$  such that  $w\varepsilon_i = \varepsilon_{wi}$ , i.e.,  $w\mu = (\mu_{w^{-1}1}, \ldots, \mu_{w^{-1}n})$ . Let also  $\zeta = (0, -1, \ldots, -n+1) \in \Lambda$ , and

set  $w \bullet \lambda = w(\lambda + \zeta) - \zeta$ ; we replace the usual choice of  $\rho = \frac{1}{2} \sum_{\alpha \in R^+} \alpha$ , which may not live in  $\Lambda$ , e.g., in the case of  $\operatorname{GL}_2(\Bbbk)$ , by  $\zeta$ . Then [J, II.5.10] for  $\lambda \in \Lambda^+$ 

$$\operatorname{ch} \nabla(\lambda) = \frac{\sum_{w \in \mathcal{W}} \det(w) e^{w(\lambda+\zeta)}}{\sum_{w \in \mathcal{W}} \det(w) e^{w\zeta}} = \frac{\sum_{w \in \mathcal{W}} \det(w) e^{w \cdot \lambda}}{\sum_{w \in \mathcal{W}} \det(w) e^{w \cdot 0}}.$$

In particular,  $\nabla(\lambda)$  has highest weight  $\lambda$  of multiplicity 1: any weight of  $\nabla(\lambda)$  is  $\leq \lambda$ , and  $\dim \nabla(\lambda)_{\lambda} = 1$ .

(1.5) Back to our original setting, each  $\nabla(\lambda)$  in (1.4) is defined over  $\mathbb{Z}$  and gives us a standard module, denoted by the same letter, having the same character [J, II.8.8]; this is a highly nontrivial result requiring the universal coefficient theorem [J, I.4.18] on induction and Kempf's vanishing theorem [J, II.4] among other things. In particular, the ambient space V of our Gis  $\nabla(\varepsilon_1)$ ; if  $v_1, \ldots, v_n$  is the standard basis of V, each  $v_i$  is of weight  $\varepsilon_i$ . More generally, let  $S(V) = \Bbbk[v_1, \ldots, v_n]$  denote the symmetric algebra of V, and  $S^m(V)$  its homogeneous part of degree m. Then  $S^m(V) \simeq \nabla(m\varepsilon_1)$  [J, II.2.16]. Note, however, that  $S^p(V)$  has a proper G-submodule  $\sum_{i=1}^n \Bbbk v_i^p$ , and hence  $\nabla(\lambda)$  is no longer simple in general; for information on when  $\nabla(\lambda)$  remains simple see [J, II.6.24, 8.11]. Nonetheless, each  $\nabla(\lambda)$  has a unique simple submodule, which we denote by  $L(\lambda)$  [J, II.2.3]. It has highest weight  $\lambda$ , and any simple Gmodule is isomorphic to a unique  $L(\mu)$ ,  $\mu \in \Lambda^+$  [J, II.2.4]. Thus, our basic problem is to find all ch  $L(\mu)$ .

For that, as any composition factor of  $\nabla(\lambda)$  is of the form  $L(\mu)$ ,  $\mu \leq \lambda$ , with  $L(\lambda)$  appearing just once, the finite matrix  $[\![\nabla(\nu) : L(\mu)]\!]$  of the composition factor multiplicities for  $\nu, \mu \leq \lambda$  is unipotent, from which ch  $L(\lambda)$  can be obtained as a  $\mathbb{Z}$ -linear combinations of ch  $\nabla(\nu)$ 's.

(1.6) To find the irreducible characters, some reductions are in order. First, let  $\Lambda_1 = \{\lambda \in \Lambda^+ | \langle \lambda, \alpha^{\vee} \rangle . If <math>\varpi_i := \varepsilon_1 + \cdots + \varepsilon_i$ ,  $i \in [1, n]$ ,  $\Lambda = \coprod_{i=1}^n \mathbb{Z} \varpi_i$ ,  $\varpi_n = \det$ , and  $\Lambda^+ = \mathbb{Z} \det + \sum_{i=1}^{n-1} \mathbb{N} \varpi_i$ . Thus,  $\Lambda_1 = \mathbb{Z} \det + \{\sum_{i=1}^{n-1} a_i \varpi_i | a_i \in [0, p]\}$ . One can write any  $\lambda \in \Lambda^+$  in the form  $\lambda = \sum_{i=0}^r p^i \lambda^i$ ,  $\lambda^i \in \Lambda_1$ . Then

## Steinberg's tensor product theorem [J, II.3.17]:

$$L(\lambda) \simeq L(\lambda^0) \otimes L(\lambda^1)^{[1]} \otimes \cdots \otimes L(\lambda^r)^{[r]},$$

where  $L(\lambda^k)^{[k]}$  is  $L(\lambda^k)$  with G acting through the k-th Frobenius  $F^k: G \to G$  via  $[g_{ij}] \mapsto [g_{ij}^{p^k}]$ .

Thus, if  $\operatorname{ch} L(\lambda^k) = \sum_{\mu} m_{\mu} e^{\mu}$ ,  $\operatorname{ch} L(\lambda^k)^{[k]} = \sum_{\mu} m_{\mu} e^{p^k \mu}$ , and our problem is reduced to finding  $\operatorname{ch} L(\lambda)$  for  $\lambda \in \Lambda_1$  or  $\operatorname{ch} L(\sum_{i=1}^{n-1} \lambda_i \overline{\omega}_i)$  for  $\lambda_i \in [0, p[; \forall m \in \mathbb{Z}, \nabla(m \det + \sum_{i=1}^{n-1} \lambda_i \overline{\omega}_i) \simeq \det^{\otimes_m} \otimes \nabla(\sum_{i=1}^{n-1} \lambda_i \overline{\omega}_i)$  by the tensor identity [J, I.3.6], and hence also  $L(m \det + \sum_{i=1}^{n-1} \lambda_i \overline{\omega}_i) \simeq \det^{\otimes_m} \otimes L(\sum_{i=1}^{n-1} \lambda_i \overline{\omega}_i)$ .

(1.7) There is a direct way to compute  $\operatorname{ch} L(\lambda)$ ,  $\lambda \in \Lambda_1$ , due to Burgoyne, which goes as follows [HMR, 4.2]: let  $\mu \in \Lambda$  with  $L(\lambda)_{\mu} \neq 0$ . Thus  $\lambda - \mu \in \sum_{\alpha \in R^+} \mathbb{N}\alpha$ . Let  $\operatorname{Dist}(G_1)$  (resp.  $\operatorname{Dist}(U_1)$ ,  $\operatorname{Dist}(B_1^+)$ ) be the algebra of distributions of the Frobenius kernel  $G_1$  of G (resp. U,  $B^+$  the Borel subgroup of G opposite to B consisting of the upper triangular matrices) [J, I.7-9]. Then  $\operatorname{Dist}(G_1)$  admits a k-linear triangular decomposition  $\operatorname{Dist}(G_1) \simeq \operatorname{Dist}(U_1) \otimes \operatorname{Dist}(B_1^+)$ . Regarding  $\lambda$  as a  $B^+$ -module by the projection  $B^+ = U^+ \rtimes T \to T$  with  $U^+ = \prod_{\alpha \in B^+} U_{\alpha}$ , put  $\hat{\Delta}(\lambda) = \text{Dist}(G_1) \otimes_{\text{Dist}(B_1^+)} \lambda. \text{ It comes equipped with a structure of } G_1T\text{-module } [\text{J}, \text{II.3.6}], \\ \text{called the } G_1T\text{-Verma module of highest weight } \lambda, \text{ and } L(\lambda) \text{ is the head of } \hat{\Delta}(\lambda): L(\lambda) \simeq \\ \hat{\Delta}(\lambda)/\text{rad}\hat{\Delta}(\lambda) \text{ [J, II.3.15]}. \text{ For } \alpha \in R \text{ let } z_\alpha = x_\alpha(1) - \text{id} = (\text{d}x_\alpha)(1) \in M_n(\mathbb{k}) = \mathfrak{g} = \text{Lie}(G). \\ \text{Fix an order of the positive roots } \beta_1, \ldots, \beta_N, N = |R^+|. \text{ For } \mathbf{m} = (m_1, \ldots, m_N) \in [0, p]^N \text{ put } \\ Y_{\mathbf{m}} = z_{-\beta_1}^{m_1} \ldots z_{-\beta_N}^{m_N} \text{ and } X_{\mathbf{m}} = z_{\beta_1}^{m_1} \ldots z_{\beta_N}^{m_N}. \text{ Then } Y_{\mathbf{m}} \text{ (resp. } X_{\mathbf{m}}), \mathbf{m} \in [0, p[^N, \text{ forms a } \mathbb{k}\text{-linear basis of Dist}(U_1) \text{ (resp. Dist}(U_1^+), U_1^+ \text{ denoting the Frobenius kernel of } U^+). \\ \text{Put } v^+ = 1 \otimes 1 \in \\ \hat{\Delta}(\lambda) \text{ and } \mathcal{P} = \{\mathbf{m} = (m_1, \ldots, m_N) \in [0, p[^N| \sum_{i=1}^N m_i \beta_i = \lambda - \mu\}. \text{ Then } \hat{\Delta}(\lambda) \text{ admits a basis } \\ Y_{\mathbf{m}}v^+ \text{ of weight } \lambda - \sum_{i=1}^N m_i \beta_i, \mathbf{m} \in [0, p[^N, \text{ and hence } Y_{\mathbf{m}}v^+, \mathbf{m} \in \mathcal{P}, \text{ forms a } \mathbb{k}\text{-linear basis of } \hat{\Delta}(\lambda)_{\mu}. \text{ Now let } c(\mathbf{m}, \mathbf{m}') \in \mathbb{k} \text{ such that } X_{\mathbf{m}'}Y_{\mathbf{m}}v^+ = c(\mathbf{m}, \mathbf{m}')v^+ \text{ for } \mathbf{m}, \mathbf{m}' \in \mathcal{P}, \text{ which one can compute using the commutator relations among the } \\ z_\beta's; X_{\mathbf{m}'}Y_{\mathbf{m}} \in \text{Dist}(U_1)\text{Dist}(B_1^+) \text{ and } \\ \text{Dist}(B_1^+)v^+ \in \mathbb{k}. \text{ In fact, as the structure constants of the commutation lie in } \mathbb{F}_p, c(\mathbf{m}, \mathbf{m}') \in \mathbb{F}_p. \\ \text{Define a } \mathbb{k}\text{-linear map } \phi: \hat{\Delta}(\lambda)_\mu \to \mathbb{k}^{|\mathcal{P}|} \text{ via } Y_{\mathbf{m}}v^+ \mapsto (c(\mathbf{m}, \mathbf{m}')|\mathbf{m}' \in \mathcal{P}). \text{ As } v^+ \text{ is a Dist}(G_1)\text{ generator of } \hat{\Delta}(\lambda), \end{cases}$ 

$$\ker \phi = \{ v \in \hat{\Delta}(\lambda)_{\mu} | (\operatorname{Dist}(U_1^+)v) \cap \Bbbk v^+ = 0 \} = \hat{\Delta}(\lambda)_{\mu} \cap \operatorname{rad} \hat{\Delta}(\lambda),$$

and hence

$$\operatorname{im} \phi \simeq \hat{\Delta}(\lambda)_{\mu} / \operatorname{ker} \phi = \hat{\Delta}(\lambda)_{\mu} / \{ \hat{\Delta}(\lambda)_{\mu} \cap \operatorname{rad} \hat{\Delta}(\lambda) \} = \hat{\Delta}(\lambda)_{\mu} / \operatorname{rad} \hat{\Delta}(\lambda)_{\mu} \simeq \{ \hat{\Delta}(\lambda) / \operatorname{rad} \hat{\Delta}(\lambda) \}_{\mu} \simeq L(\lambda)_{\mu}.$$

It follows that

$$\dim L(\lambda)_{\mu} = \operatorname{rk} \left[ \left( c(\mathbf{m}, \mathbf{m}') \right) \right]_{\mathcal{P}}.$$

But we want a more systematic description of  $\operatorname{ch} L(\lambda)$ .

(1.8) Just to show how much information V carries, put  $\Lambda^{++} = \{(\lambda_1, \ldots, \lambda_n) \in \mathbb{N}^n | \lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_n\} \subset \Lambda^+$ . If  $\lambda = (\lambda_1, \ldots, \lambda_n) \in \Lambda^+ \setminus \Lambda^{++}$ ,  $\lambda - \lambda_n \det \in \Lambda^{++}$ . For  $\lambda \in \Lambda^{++}$  put  $|\lambda| = \lambda_1 + \cdots + \lambda_n$ . Then

$$(V^{\otimes_{|\lambda|}}:\nabla(\lambda))\neq 0.$$

To see that, we argue by induction on  $|\lambda|$ . If  $|\lambda| = 1$ ,  $\lambda = \varepsilon_1$ , and  $\nabla(\varpi_1) = V$ . If  $|\lambda| > 1$ ,  $\lambda = \mu + \varepsilon_i$  for some  $\mu \in \Lambda^{++}$  and  $i \in [1, n]$ . As  $(V^{\otimes_{|\mu|}} : \nabla(\mu)) \neq 0$  by induction, it is enough to show that  $(V \otimes \nabla(\mu) : \nabla(\lambda)) \neq 0$ . One has  $V \otimes \nabla(\mu) \simeq \nabla(\mu \otimes V)$  by the tensor identity [J, I.3.6];  $\nabla$  stands really for the induction functor  $\operatorname{ind}_B^G : \operatorname{Rep}(B) \to \operatorname{Rep}(G)$  from the category  $\operatorname{Rep}(B)$  of *B*-modules to  $\operatorname{Rep}(G)$  defined by  $\nabla(M) = \{f : G \to M | f(gb) = b^{-1}f(g) \forall g \in G \forall b \in B\} = (M \otimes \Bbbk[G])^B$ ,  $M \in \operatorname{Rep}(B)$ . Now  $\mu \otimes V$  admits a filtration of *B*-modules of subquotients  $\mu + \varepsilon_j$ ,  $j \in [1, n]$ , with all  $\mu + \varepsilon_j \in \zeta + \Lambda^+ + \mathbb{Z}$  det;  $\forall k \in [1, n[, \langle \varepsilon_j, \alpha_k^{\vee} \rangle \geq -1]$ . It follows from Bott's theorem [J, II.5.4] that  $\operatorname{R}^{1}\operatorname{ind}_B^G(\mu + \varepsilon_j) = 0$ , and hence  $V \otimes \nabla(\mu)$  admits a *G*-filtration with the subquotients  $\nabla(\mu + \varepsilon_j)$ ,  $j \in [1, n]$ , such that  $\mu + \varepsilon_j \in \Lambda^+$ . In fact,  $(V^{\otimes_{|\lambda|}} : \nabla(\lambda))$  is explicitly known [ $\boxtimes \mathbb{H}$ , Th. 7.6, p. 38]/[J, A.23].

Let us recall also that

**Theorem [J, II.4.21+6.20]:**  $\forall \lambda, \mu \in \Lambda^+$ ,  $\nabla(\lambda) \otimes \nabla(\mu)$  admits a filtration of *G*-modules  $M^0 = \nabla(\lambda) \otimes \nabla(\mu) > M^1 > \cdots > M^r > 0$  such that each  $M^i/M^{i+1}$  is isomorphic to some  $\nabla(\nu_i), \nu_i \in \Lambda^+, i \in [0, r]$ , and that  $\nu_i \not\leq \nu_{i+1} \forall i$ . In particular,  $\nabla(\lambda + \mu)$  appears at the top of such a filtration.

(1.9) Let  $\mathcal{W}_a = \mathcal{W} \ltimes \mathbb{Z}R$ , called the affine Weyl group of  $\mathcal{W}$ , acting on  $\Lambda$  with  $\mathbb{Z}R$  by translation. For  $\alpha \in R$  let  $s_\alpha \in \mathcal{W}$  such that  $s_\alpha : \lambda \mapsto \lambda - \langle \lambda, \alpha^{\vee} \rangle \alpha$ ,  $\lambda \in \Lambda$ , and  $s_{\alpha_0,1} : \lambda \mapsto \lambda - \langle \lambda, \alpha_0^{\vee} \rangle \alpha_0 + \alpha_0$ with  $\alpha_0 = \alpha_1 + \cdots + \alpha_{n-1} = \varepsilon_1 - \varepsilon_n$ . Under the identification  $\mathcal{W} \simeq \mathfrak{S}_n$  one has  $s_{\alpha_i} \mapsto (i, i+1)$ ,  $i \in [1, n[$ . If  $\mathcal{S} = \{s_\alpha | \alpha \in R^s\}$  and  $\mathcal{S}_a = \mathcal{S} \cup \{s_{\alpha_0,1}\}$ ,  $(\mathcal{W}_a, \mathcal{S}_a)$  forms a Coxeter system with a subsystem  $(\mathcal{W}, \mathcal{S})$  [J, II.6.3]. Let  $\ell : \mathcal{W}_a \to \mathbb{N}$  denote the length function on  $\mathcal{W}_a$  with respect to  $\mathcal{S}_a$ , and let  $\leq$  denote the Chevalley-Bruhat order on  $\mathcal{W}_a$ .

We let also  $\mathcal{W}_a$  act on  $\Lambda$  by setting

$$x \bullet \lambda = px(\frac{1}{p}(\lambda + \zeta)) - \zeta \quad \forall \lambda \in \Lambda \ \forall x \in \mathcal{W}_a.$$

Let  $\operatorname{Rep}(G)$  denote the category of finite dimensional representations of G. By  $\operatorname{Ext}^1_G(M, M')$ we will mean the  $\operatorname{#H}$ -extension of M by M' in  $\operatorname{Rep}(G)$  [Weib, pp. 79-80], [dJ, 27];  $\operatorname{Rep}(G)$ admits no nonzero injectives nor projectives.

#### The linkage principle [J, II.6.17]: $\forall \lambda, \mu \in \Lambda^+$ ,

$$\operatorname{Ext}^{1}_{G}(L(\lambda), L(\mu)) \neq 0 \Rightarrow \lambda \in \mathcal{W}_{a} \bullet \mu.$$

In particular, if  $L(\lambda)$  is a composition factor of  $\nabla(\mu)$ ,  $\lambda \in \mathcal{W}_a \bullet \mu$ . By the linkage principle one has a decomposition

$$\operatorname{Rep}(G) = \coprod_{\Omega \in \Lambda/\mathcal{W}_a \bullet} \operatorname{Rep}_{\Omega}(G),$$

where  $\operatorname{Rep}_{\Omega}(G)$  consists of *G*-modules whose composition factors are all of the form  $L(\lambda)$ ,  $\lambda \in \Omega \cap \Lambda^+$ . For  $\Omega \ni 0$  we abbreviate  $\operatorname{Rep}_{\Omega}(G)$  as  $\operatorname{Rep}_0(G)$  and call it the principal block of *G*.

(1.10) We extend the  $\mathcal{W}_a \bullet$ -action on  $\Lambda$  to one on  $\Lambda_{\mathbb{R}} := \Lambda \otimes_{\mathbb{Z}} \mathbb{R}$ . For each  $\alpha \in \mathbb{R}^+$  and  $m \in \mathbb{Z}$  let  $H_{\alpha,m} = \{x \in \Lambda_{\mathbb{R}} | \langle x + \zeta, \alpha^{\vee} \rangle = mp \}$ . We call a connected component of  $\Lambda_{\mathbb{R}} \setminus \bigcup_{\alpha \in \mathbb{R}^+, m \in \mathbb{Z}} H_{\alpha,m}$  an alcove of  $\Lambda_{\mathbb{R}}$ . Thus,  $\mathcal{W}_a$  acts on the set of alcoves  $\mathcal{A}$  in  $\Lambda_{\mathbb{R}}$  simply transitively [J, II.6.2.4]. We call  $A^+ = \{x \in \Lambda_{\mathbb{R}} | \langle x + \zeta, \alpha^{\vee} \rangle > 0 \ \forall \alpha \in \mathbb{R}^+, \langle x + \zeta, \alpha_0^{\vee} \rangle the bottom dominant alcove of <math>\mathcal{A}$ . Thus the action induces a bijection  $\mathcal{W}_a \to \mathcal{A}$  via  $w \mapsto w \bullet A^+$ . The closure  $\overline{A^+}$  is a fundamental domain for  $\mathcal{W}_a$  on  $\Lambda_{\mathbb{R}}$  [J, II.6.2.4], i.e.,  $\forall x \in \Lambda_{\mathbb{R}}, (\mathcal{W}_a \bullet x) \cap \overline{A^+}$  is a singleton. For  $A = \{x \in \Lambda_{\mathbb{R}} | p(m_{\alpha} - 1) < \langle x + \zeta, \alpha^{\vee} \rangle < pm_{\alpha} \ \forall \alpha \in \mathbb{R}^+ \} \in \mathcal{A}, m_{\alpha} \in \mathbb{Z}, a$  facet of A is some  $\{x \in \overline{A} \mid p | \langle x + \zeta, \alpha^{\vee} \rangle \ \forall \alpha \in \mathbb{R}_0\}, R_0 \subseteq \mathbb{R}^+$ , and a wall of A is a facet with  $|R_0| = 1$ . Also, we call  $\hat{A} = \{x \in \Lambda_{\mathbb{R}} | p(m_{\alpha} - 1) < \langle x + \zeta, \alpha^{\vee} \rangle \le pm_{\alpha} \ \forall \alpha \in \mathbb{R}^+ \}$  the upper closure of A. One has [J, II.6.2.8]

$$\Lambda \cap A \neq \emptyset \; \exists A \in \mathcal{A} \quad \text{iff} \quad 0 \in A^+ \quad \text{iff} \quad p \ge n,$$

in which case each wall of an alcove contains an element of  $\Lambda$  [J, II.6.3]. Assume from now on throughout the rest of §1 that  $p \ge n$ .

For  $\nu \in \Lambda$  let  $\operatorname{pr}_{\nu} = \operatorname{pr}_{\mathcal{W}_a \bullet \nu}$ :  $\operatorname{Rep}(G) \to \operatorname{Rep}(G)$  denote the projection onto  $\operatorname{Rep}_{\mathcal{W}_a \bullet \nu}(G)$ . Now let  $\lambda, \mu \in \Lambda \cap \overline{A^+}$ . We choose a finite dimensional *G*-module  $V(\lambda, \mu)$  of highest weight  $\nu \in \Lambda^+ \cap \mathcal{W}(\mu - \lambda)$  such that  $\dim V(\lambda, \mu)_{\nu} = 1$ , e.g.,  $V(\lambda, \mu) = \nabla(\nu), L(\nu)$ . Define the translation functor  $T^{\mu}_{\lambda}$ :  $\operatorname{Rep}(G) \to \operatorname{Rep}(G)$  by setting  $T^{\mu}_{\lambda}M = \operatorname{pr}_{\mu}(V(\lambda, \mu) \otimes \operatorname{pr}_{\lambda}M) \quad \forall M \in \operatorname{Rep}(G)$ . A different choice of  $V(\lambda, \mu)$  yields an isomorphic functor [J, II.7.6 Rmk. 1]. Each  $T^{\mu}_{\lambda}$  is exact. As  $T^{\lambda}_{\mu}$  may be defined with  $V(\lambda, \mu)$  replaced by  $V(\lambda, \mu)^*$ ,  $T^{\mu}_{\lambda}$  and  $T^{\lambda}_{\mu}$  are adjoint to each other [J, II.7.6]:  $\forall M, M' \in \operatorname{Rep}(G)$ ,

(1) 
$$\operatorname{Rep}(G)(T^{\mu}_{\lambda}M, M') \simeq \operatorname{Rep}(G)(M, T^{\lambda}_{\mu}M').$$

The translation principle: Let  $\lambda, \mu \in \Lambda \cap \overline{A^+}$ .

(i) If  $\lambda$  and  $\mu$  belong to the same facet,  $T^{\mu}_{\lambda}$  and  $T^{\lambda}_{\mu}$  induce a quasi-inverse to each other between  $\operatorname{Rep}_{\mathcal{W}_{a} \bullet \lambda}(G)$  and  $\operatorname{Rep}_{\mathcal{W}_{a} \bullet \mu}(G)$  [J, II.7.9].

(ii) If  $\lambda$  belongs to a facet F and if  $\mu \in \overline{F}$ ,  $\forall x \in \mathcal{W}_a$ ,  $T^{\mu}_{\lambda} \nabla(x \bullet \lambda) \simeq \nabla(x \bullet \mu)$  [J, II.7.11].

(iii) If  $\lambda \in A^+$  and if  $\mu \in \overline{A^+}$  with  $C_{\mathcal{W}_a \bullet}(\mu) = \{1, s\}$  for some  $s \in \mathcal{S}_a$ , then  $\forall x \in \mathcal{W}_a$  with  $x \bullet \lambda \in \Lambda^+$  and  $xs \bullet \lambda > x \bullet \lambda$ , there is an exact sequence [J, II.7.12]

$$0 \to \nabla(x \bullet \lambda) \to T^{\lambda}_{\mu} \nabla(x \bullet \mu) \to \nabla(xs \bullet \lambda) \to 0.$$

We note also that the morphisms  $\nabla(x \bullet \lambda) \to T^{\lambda}_{\mu} \nabla(x \bullet \mu)$  and  $T^{\lambda}_{\mu} \nabla(x \bullet \mu) \to \nabla(xs \bullet \lambda)$  are unique up to  $\mathbb{k}^{\times}$ ;

 $\operatorname{Rep}(G)(\nabla(x \bullet \lambda), T^{\lambda}_{\mu} \nabla(x \bullet \mu)) \simeq \operatorname{Rep}(G)(T^{\mu}_{\lambda} \nabla(x \bullet \lambda), \nabla(x \bullet \mu)) \simeq \operatorname{Rep}(G)(\nabla(x \bullet \mu), \nabla(x \bullet \mu)) \simeq \Bbbk.$ 

(iv) If 
$$\lambda \in A^+$$
 and if  $\mu \in A^+$ , then  $\forall x \in \mathcal{W}_a$  with  $x \bullet \lambda \in \Lambda^+$  [J, II.7.13, 7.15],  

$$T^{\mu}_{\lambda}L(x \bullet \lambda) \simeq \begin{cases} L(x \bullet \mu) & \text{if } x \bullet \mu \in \widehat{x \bullet A^+}, \\ 0 & \text{else.} \end{cases}$$

(1.11) For  $M, L \in \operatorname{Rep}(G)$  with L simple let [M : L] denote the multiplicity of L in a composition series of M. Recall that each  $\nabla(\lambda), \lambda \in \Lambda^+$ , is of highest weight  $\lambda$  of multiplicity 1, and has the simple socle  $L(\lambda)$ . It follows from the linkage principle that

$$\operatorname{ch} L(\lambda) \in \sum_{\substack{\mu \in \mathcal{W}_a \bullet \lambda \\ \mu \leq \lambda}} \mathbb{Z} \operatorname{ch} \nabla(\mu).$$

Moreover, to find all ch  $L(\lambda)$ , one may assume  $\lambda \in \mathcal{W}_a \bullet 0$  by the translation principle. In 1978 Lusztig proposed a formula for ch  $L(x \bullet 0)$  with  $x \bullet 0 \in \Lambda^+$  and such that  $\langle x \bullet 0 + \zeta, \alpha_0^{\vee} \rangle < p(p-n+2)$ . If  $p \geq 2n-3$ , all  $x \bullet 0 \in \Lambda_1$  satisfy the condition, and hence all the irreducible characters should be obtained from the conjectured formula by Steinberg's tensor product theorem. To explain the conjecture, let  $\mathcal{H}$  be the  $\exists \exists \exists$ -Hecke algebra of  $(\mathcal{W}_a, \mathcal{S}_a)$  over the Laurent polynomial ring  $\mathbb{Z}[v, v^{-1}]$ . This is a free  $\mathbb{Z}[v, v^{-1}]$ -module of basis  $H_x, x \in \mathcal{W}_a$ , subject to the relations  $H_e = 1$ , e denoting the unity of  $\mathcal{W}_a$ ,  $H_x H_y = H_{xy}$  if  $\ell(x) + \ell(y) = \ell(xy)$ , and  $H_s^2 = 1 + (v^{-1} - v)H_s \ \forall s \in \mathcal{S}_a$  [S97]. For this and other reasons we will often denote the unity e of  $\mathcal{W}_a$  by 1. Under the specialization  $v \rightsquigarrow 1$  one has an isomorphism of rings  $\mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{H} \simeq \mathbb{Z}[\mathcal{W}_a]$ . Thus,  $\mathcal{H}$  is a quantization of  $\mathbb{Z}[\mathcal{W}_a]$ .

As  $(H_s)^{-1} = H_s + (v - v^{-1}) \quad \forall s \in S_a$ , every  $H_x$  is a unit of  $\mathcal{H}$ . There is a unique ring endomorphism  $\overline{?}$  of  $\mathcal{H}$  such that  $v \mapsto v^{-1}$  and  $H_x \mapsto (H_{x^{-1}})^{-1} \quad \forall x \in \mathcal{W}_a$ . Then  $\forall x \in \mathcal{W}_a$ ,

there is unique  $\underline{H}_x \in \mathcal{H}$  with  $\overline{\underline{H}_x} = \underline{H}_x$  and such that  $\underline{H}_x \in H_x + \sum_{y \in \mathcal{W}_a} v\mathbb{Z}[v]H_y$ , in which case  $\underline{H}_x \in H_x + \sum_{y < x} v\mathbb{Z}[v]H_y$  [S97, Th. 2.1]. In particular,  $\underline{H}_s = H_s + v \ \forall s \in \mathcal{S}_a$ . For  $x, y \in \mathcal{W}_a$  define  $h_{x,y} \in \mathbb{Z}[v]$  by the equality  $\underline{H}_x = \sum_{y \in \mathcal{W}_a} h_{y,x}H_y$ . The  $h_{y,x}$  are the celebrated Kazhdan-Lusztig polynomials of  $\mathcal{H}$ . Let  $w_0 = \begin{pmatrix} 1 & 2 & \dots & n \\ n & n-1 & \dots & 1 \end{pmatrix}$  denote the longest element of  $\mathcal{W}$ . Then Lusztig's conjecture reads [S97, Prop. 3.7], [F, 2.4], [RW, 1.9] that  $\forall x \in \mathcal{W}_a$  with  $x \bullet 0 \in \Lambda^+$  and such that  $\langle x \bullet 0 + \zeta, \alpha_0^{\vee} \rangle < p(p-n+2)$ ,

(1) 
$$\operatorname{ch} L(x \bullet 0) = \sum_{y \in \mathcal{W}_a} (-1)^{\ell(x) - \ell(y)} h_{w_0 y, w_0 x}(1) \operatorname{ch} \nabla(y \bullet 0),$$

which should hold for any simple algebraic group as long as  $p \ge h$  the Coxeter number of the group. Lusztig formulated his conjecture with respect to the Coxeter system  $(\mathcal{W}_a, w_0 \mathcal{S}_a w_0)$ [L]/[W17, 1.12]. Let  $h'_{xy}$  be the KL-polynomial associated to  $x, y \in \mathcal{W}_a$  with respect to  $w_0 \mathcal{S}_a w_0$ . The original conjecture was for  $x \bullet 0 \in \Lambda^+$  as in (1)

(2) 
$$\operatorname{ch} L(x \bullet 0) = \operatorname{ch} L(xw_0 \bullet (w_0 \bullet 0)) = \sum_{y \in \mathcal{W}_a} (-1)^{\ell(xw_0) - \ell(yw_0)} h'_{yw_0, xw_0}(1) \operatorname{ch} \nabla(yw_0 \bullet (w_0 \bullet 0))$$
  
$$= \sum_{y \in \mathcal{W}_a} (-1)^{\ell(x) - \ell(y)} h'_{yw_0, xw_0}(1) \operatorname{ch} \nabla(y \bullet 0).$$

There is a  $\mathbb{Z}[v, v^{-1}]$ -algebra automorphism of  $\mathcal{H}$  via  $H_x \mapsto H_{w_0 x w_0} \quad \forall x \in \mathcal{W}_a$ , which exchanges  $\mathcal{S}_a$  with  $w_0 \mathcal{S}_a w_0$  and is compatible with  $\overline{?}$ . Then  $\forall x, y \in \mathcal{W}_a$ ,  $h'_{xy} = h_{w_0 x w_0, w_0 y w_0}$ , and hence (2) reads

$$\operatorname{ch} L(x \bullet 0) = \sum_{y \in \mathcal{W}_a} (-1)^{\ell(x) - \ell(y)} h_{w_0 y w_0 w_0, w_0 x w_0 w_0}(1) \operatorname{ch} \nabla(y \bullet 0),$$

which is (1).

The bound on  $x \bullet 0$  was called Jantzen's condition, introduced as follows [J, II.8.22]: it was expected that the irreducible character should be independent of p for large enough p, dependent only the type of G, i.e., on  $\mathcal{W}_a$ . Assume thus that for  $z \in \mathcal{W}_a$  with  $z \bullet 0 \in \Lambda_1$  there are  $a_{yz} \in \mathbb{Z}, y \in \mathcal{W}_a$  with  $y \bullet 0 \le z \bullet 0$ , independent of p such that  $\operatorname{ch} L(z \bullet 0) = \sum_y a_{yz} \operatorname{ch} \nabla(y \bullet 0)$ . Note that there may be y appearing in the sum with  $y \bullet 0 \notin \Lambda_1$  such that  $\overline{a_{yz}} \neq 0$ . Let now  $x \in \mathcal{W}_a$  with  $x \bullet 0 \in \Lambda^+ \setminus \Lambda_1$  and write  $x \bullet 0 = 0^0_x + p0^1_x$  with  $0^0_x \in \Lambda_1$ . If  $0^1_x \in \Lambda_1$ , we should have ch  $L(x \bullet 0)$  independent of p by Steinberg's tensor product theorem;  $L(x \bullet 0) \simeq L(0_x^0) \otimes L(0_x^1)^{[1]}$ . Meanwhile, ch  $L(0^0_r) \otimes \nabla(0^1_r)^{[1]}$  would also be independent of p as ch  $\nabla(0^1_r)$  is given by Weyl's formula. Then whether or not ch  $L(0^0_x) \otimes L(0^1_x)^{[1]} = \operatorname{ch} L(0^0_x) \otimes \nabla(0^1_x)^{[1]}$  should be independent of p. We have, however,  $L(0_x^0) < \nabla(0_x^1)$ , in general, which is dependent on p. If  $0_x^1 \in \overline{A^+}$ ,  $L(0^0_x) = \nabla(0^1_x)$  by the linkage principle, and hence  $\operatorname{ch} L(0^0_x) \otimes L(0^1_x)^{[1]} = \operatorname{ch} L(0^0_x) \otimes \nabla(0^1_x)^{[1]}$ . Jantzen's condition on x was imposed to assure that  $0^1_x \in \overline{A^+}$ . But then for small  $p \ge h$  not all  $z \bullet 0 \in \Lambda^1$  satisfies Jantzen's condition, e.g., if p = 3 for  $GL_3(k)$ , which raised a question about the initial assumption that all  $ch L(z \bullet 0)$  with  $z \bullet 0 \in \Lambda_1$  should be independent of p. If  $p \ge 2h - 3$ , such a problem disappears. Subsequently,  $m \bar{R}$  [Kat] showed that if (1) holds for all x with  $x \bullet 0 \in \Lambda_1$ , then (1) will also hold for all  $y \in \mathcal{W}_a$  with  $y \bullet 0$  satisfying the Jantzen condition. Based on that he conjectured for  $p \ge h$  that (1) should hold for all  $x \in \mathcal{W}_a$  with  $x \bullet 0 \in \Lambda_1.$ 

Lusztig's conjecture was then solved for  $p \gg 0$  by the combined work of Andersen, Jantzen and Soergel [AJS], Kazhdan and Lusztig [KL], [L94], and 柏原 and 谷崎 [KT]; [AJS] reduced the  $G_1T$ -version of the conjecture to one for the quantum algebras at a p-th root of unity for  $p \gg 0$ , the conjecture for the quantum algebras was related by [KL] and [L] to the one for the affine Lie algebras, where the conjecture was solved in [KT]. In the case of quantum algebras Jantzen's condition is irrelevant as Lusztig's quantum version of Steinberg's tensor product theorem says for any simple module  $L_q(x \bullet 0)$  of dominant highest weight  $x \bullet 0$ ,  $L_q(x \bullet 0) \simeq L_q(0^0_x) \otimes \nabla(0^1_x)^{[1]}$ , where  $\nabla(0_x^1)^{[1]}$  is the old simple module  $\nabla(0_x^1)$  for the corresponding G over the base field of characteristic 0 twisted by the quantized Frobenius, c.f. [J08], [Ta] for more details. Fiebig [F11] showed the  $G_1T$ -version of Lusztig's conjecture for  $p \gg 0$  without appealing to [KL], [L], [KT], using the moment graphs on the affine flag varieties. Fiebig [F, Th. 3.5] also shows for p > hthat  $m\bar{B}$ 's conjecture is equivalent to its  $G_1T$ -version in terms of periodic Kazhdan-Lusztig polynomials. Then, Williamson [W] has come up with counterexamples to the conjecture; the bound on p for Lusztig's conjecture to hold must be much larger than n. The subsequent sections of the present lecture is then an introduction/survey of Riche's and Williamson's effort to remedy the situation and to give a new irreducible character formula for  $p \ge 2(n-1)$ .

#### $2^{\circ}$ Overview

We will assume from now on throughout the rest of the lecture that p > n, unless otherwise specified, which comes partly from the requirement to have well-behaved diagrammatic Soergel bimodules.

(2.1) For an abelian category  $\mathcal{C}$  let  $[\mathcal{C}]$  denote the Grothendieck group of  $\mathcal{C}$ , which is the free  $\mathbb{Z}$ -module of basis  $(M), M \in Ob(\mathcal{C})$ , modulo a submodule generated by all (M) + (M') - (M'') whenever there is an exact sequence  $0 \to M \to M'' \to M' \to 0$  in  $\mathcal{C}$ . We write [M] for the image of (M) in  $[\mathcal{C}]$ . If M and M' are isomorphic in  $\mathcal{C}, [M] = [M']$  in  $[\mathcal{C}]$ . Thus,  $[\operatorname{Rep}_0(G)]$  has a  $\mathbb{Z}$ -linear basis  $[L(x \bullet 0)], x \in (\mathcal{W}_a \bullet 0) \cap \Lambda^+$ . As each  $\nabla(\lambda), \lambda \in \Lambda^+$ , has highest weight  $\lambda$  of multiplicity 1 and has simple socle  $L(\lambda)$ , the  $[\nabla(x \bullet 0)], x \in (\mathcal{W}_a \bullet 0) \cap \Lambda^+$ , also form a  $\mathbb{Z}$ -linear basis of  $[\operatorname{Rep}_0(G)]$ .

On the other hand, let  $\mathbb{Z}[\mathcal{W}_a]$  (resp.  $\mathbb{Z}[\mathcal{W}]$ ) be the group ring of  $\mathcal{W}_a$  (resp.  $\mathcal{W}$ ), and let  ${}^{f}\mathcal{W} = \{x \in \mathcal{W}_a | \ell(wx) \geq \ell(x) \; \forall w \in \mathcal{W}\}$ . Then,  $\mathbb{Z}[\mathcal{W}_a]$  is a free left  $\mathbb{Z}[\mathcal{W}]$ -module of basis w,  $w \in {}^{f}\mathcal{W}$ , and there is a bijection  ${}^{f}\mathcal{W} \to (\mathcal{W}_a \bullet 0) \cap \Lambda^+$  via  $w \mapsto w \bullet 0$ . Let  $\operatorname{sgn}_{\mathbb{Z}} = \mathbb{Z}$  be the sign representation of  $\mathcal{W}$ , defining a right  $\mathbb{Z}[\mathcal{W}]$ -module such that  $s \mapsto -1 \; \forall s \in \mathcal{S}$ . There follows an isomorphism of  $\mathbb{Z}$ -modules

(1) 
$$\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a] \to [\operatorname{Rep}_0(G)] \quad \text{via} \quad 1 \otimes w \mapsto [\nabla(w \bullet 0)].$$

We call  $\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a]$  the antispherical module of  $\mathbb{Z}[\mathcal{W}_a]$ . Thus,  $\operatorname{Rep}_0(G)$  gives a "categorification" of  $\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a]$ ; by categorification we näively mean that the Grothendieck group of the category  $\operatorname{Rep}_0(G)$  recovers the abelian group  $\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a]$ , c.f. [Maz] for a more sophisticated notion.

For each  $s \in S_a$  choose  $\mu \in \Lambda \cap \overline{A^+}$  such that  $C_{\mathcal{W}_a}(\mu) = \{1, s\}$ , and let  $T^s = T_0^{\mu}$  be a translation functor into the s-wall of  $A^+$  and  $T_s = T_{\mu}^0$  a translation functor out of the s-wall. We call  $\Theta_s = T_s T^s$  an s-wall crossing functor. If we let 1 + s,  $s \in S_a$ , act on  $[\operatorname{Rep}_0(G)]$  by  $\Theta_s$ , the isomorphism (1) is made into an isomorphism of right  $\mathbb{Z}[\mathcal{W}_a]$ -modules by (1.10.iii); if  $w \in {}^f\mathcal{W}$  and  $ws \notin {}^f\mathcal{W}$ ,  $s \in \mathcal{S}_a$ , then there is  $t \in S$  such that ws = tw [S97, p. 86]:  $\forall s, s' \in \mathcal{S}_a$ ,

 $(1 \otimes w)(1+s)(1+s') \mapsto [\nabla(w \bullet 0)]\Theta_s\Theta_{s'} = [\Theta_{s'}\Theta_s\nabla(w \bullet 0)].$ 

A main theorem of [RW] categorifies the  $\mathcal{W}_a$ -action on  $[\operatorname{Rep}_0(G)]$  by the right action of the diagrammatic Bott-Samelson Hecke category  $\mathcal{D}_{BS}$  of the affine Weyl group  $\mathcal{W}_a$  on the principal block  $\operatorname{Rep}_0(G)$ .

**Theorem** [RW, Th. 8.1.1]: For  $p > n \ge 3$  there is a strict monoidal functor

 $\mathcal{D}_{BS} \to \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))^{\operatorname{op}}$  such that  $B_s\langle m \rangle \mapsto \Theta_s \ \forall s \in \mathcal{S}_a \ \forall m \in \mathbb{Z}.$ 

Here  $\operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))$  denotes the category of functors from  $\operatorname{Rep}_0(G)$  to itself with the morphisms given by the natural transformations, and <sup>op</sup> signifies the right action of  $\mathcal{D}_{BS}$ . To describe  $\mathcal{D}_{BS}$ , for  $w \in \mathcal{W}_a$  we mean by  $\underline{w} = \underline{s_1 \dots s_r}$  a sequence of simple reflections  $s_1, \dots, s_r \in$  $\mathcal{S}_a$  such that the product  $s_1 \dots s_r$  yields w, in which case we call  $\underline{w}$  an expression of w. Then  $\mathcal{D}_{BS}$  is a category equipped with a shift of the grading autoequivalence  $\langle 1 \rangle$ , whose objects are  $B_{\underline{w}}\langle m \rangle$ , parametrized by pairs of an expression  $\underline{w}, w \in \mathcal{W}_a$ , and  $m \in \mathbb{Z}$ , such that  $(B_{\underline{w}}\langle m \rangle)\langle 1 \rangle =$  $B_w\langle m+1 \rangle$ . It is also equipped with a product such that  $B_w\langle m \rangle \bullet B_v\langle m' \rangle = B_{wv}\langle m+m' \rangle$ .

**Definition** [中岡, Def. 3.5.2, p. 211]/[Bor, Def. II.6.1.1, p. 292]: A strict monoidal category is a category C equipped with a bifunctor  $\otimes : C \times C \to C$ , an object  $I \in Ob(C)$ , and a natural "associativity" identity  $\alpha_{A,B,C} : (A \otimes B) \otimes C = A \otimes (B \otimes C)$ , a natural "left unital" identity  $\lambda_A : I \otimes A = A$ , and a natural "right unital" identity  $\rho_A : A \otimes I = A$ .

Thus,  $\operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))$  is a strict monoidal category under the composition of functors while  $\mathcal{D}_{BS}$  is a strict monoidal category with respect to the product.

**Definition** [Mac, pp. 255-256]: Given two strict monoidal categories  $(\mathcal{C}, \otimes, I, \alpha, \lambda, \rho)$  and  $(\mathcal{C}', \otimes', I', \alpha', \lambda', \rho')$  a strict monoidal functor  $(F, F_2, F_0) : \mathcal{C} \to \mathcal{C}'$  consists of the following data

(M1)  $F: \mathcal{C} \to \mathcal{C}'$  is a functor,

- (M2)  $\forall A, B \in Ob(\mathcal{C})$ , bifunctorial identity  $F_2(A, B) \in \mathcal{C}'(F(A) \otimes' F(B), F(A \otimes B))$ ,
- (M3) an identity  $F_0 \in \mathcal{C}'(I', F(I))$ .

Thus the strict monoidal functor in the theorem is really just a homomorphism of monoids.

(2.2) The proof of the theorem is given, using the theory of 2-representations of 2-Kac-Moody algebras  $\mathfrak{U}(\widehat{\mathfrak{gl}}_n)$ ,  $\mathfrak{U}(\widehat{\mathfrak{gl}}_p)$ : one constructs 3 strict monoidal functors, first  $\mathcal{U}_+(\widehat{\mathfrak{gl}}_p)(\varpi, \varpi) \to$  $\operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))$  with a quotient  $\mathcal{U}_+(\widehat{\mathfrak{gl}}_p)$  of the Khovanov-Lauda-Rouquier 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  associated to Kac-Moody Lie algebra  $\widehat{\mathfrak{gl}}_p$ , secondly its "restriction"  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi) \to$  $\operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))$  to a quotient  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)$  of the Khovanov-Lauda-Rouquier 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$  associated to Kac-Moody Lie algebra  $\widehat{\mathfrak{gl}}_n$ , and finally  $\mathcal{D}_{\mathrm{BS}} \to \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi)$ . In fact, all 3 indivisual steps were known and available for use. The basic strategy follows one for the category of  $\mathfrak{g}_n(\mathbb{C})$ -modules locally finite over a Borel subalgebra and of integral weights due to Mackaay, Stočić, and Vas [MSV]. Definition [中岡, Def. 3.5.22, p. 220]/[Bor, I.7]: A strict 2-category C consists of the data

(i) a class  $|\mathcal{C}|$ , whose elements are called objects,

(ii)  $\forall A, B \in |\mathcal{C}|$ , a small category  $\mathcal{C}(A, B)$ , whose elements are called 1-morphisms and written as  $f : A \to B$  with the morphisms in  $\mathcal{C}(A, B)$  denoted as  $\alpha : f \Rightarrow g$  and their compositions written



(iii)  $\forall A, B, C \in |\mathcal{C}|$ , a bifunctor  $c_{A,B,C} : \mathcal{C}(A,B) \times \mathcal{C}(B,C) \to \mathcal{C}(A,C)$ , written



(iv)  $\forall A \in |\mathcal{C}|$ , there is a 1-morphism  $1_A \in \mathcal{C}(A, A)$ ,

subject to the axioms that  $\forall A, B, C, D \in |\mathcal{C}|$ ,

$$\begin{array}{c|c} \mathcal{C}(A,B) \times \mathcal{C}(B,C) \times \mathcal{C}(C,D) & \xrightarrow{\mathcal{C}(A,B) \times c_{B,C,D}} \mathcal{C}(A,B) \times \mathcal{C}(B,D) \\ & \xrightarrow{c_{A,B,C} \times \mathcal{C}(C,D)} & & & & \downarrow^{c_{A,B,D}} \\ & \mathcal{C}(A,C) \times \mathcal{C}(C,D) & \xrightarrow{c_{A,C,D}} \mathcal{C}(A,D) \end{array}$$

and  $c_{A,A,B}(1_A,?) = \mathrm{id}_{\mathcal{C}(A,B)} = c_{A,B,B}(?,1_B)$ . We will denote  $\mathrm{id}_{1_A} \in \mathcal{C}(A,A)(1_A,1_A)$  by  $\iota_A$ .

Then,  $\forall \alpha, \beta \in Mor(\mathcal{C}(A, B)), \forall \mu, \nu \in Mor(\mathcal{C}(B, C))$ , the "interchange law" holds:

$$(\nu * \beta) \odot (\mu * \alpha) = c_{A,B,C}(\beta, \nu) \odot c_{A,B,C}(\alpha, \mu) \text{ by definition} = c_{A,B,C}((\beta, \nu) \odot (\alpha, \mu)) \text{ by the functoriality of } c_{A,B,C} = c_{A,B,C}(\beta \odot \alpha, \nu \odot \mu) = (\nu \odot \mu) * (\beta \odot \alpha);$$

Thus, a strict 2-category C is just a category enriched in the category Cat of small categories. A strict monoidal category C is just a 2-category with one object pt and C(pt,pt) = C.

The KLR 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$  is a strict k-linear additive 2-category, which is a strict 2-category enriched in the category of k-linear additive categories.

(2.3) The proofs of Lusztig's conjecture (1.10) by [AJS] and [F] were actually done in terms of  $G_1T$ -modules, an analogue of the representation theory of the Lie algebra of G,  $G_1$  denoting the Frobenius kernel of G. There the standard (resp. simple)  $G_1T$ -modules are parametrized by the whole of  $\Lambda$ , which we denote by  $\hat{\nabla}(\lambda)$  (resp.  $\hat{L}(\lambda)$ ),  $\lambda \in \Lambda$ , with  $\hat{L}(\lambda) = L(\lambda)$  as long as  $\lambda \in \Lambda_1$ . Each  $\hat{\nabla}(\lambda)$  has highest weight  $\lambda$  of multiplicity 1 and  $\hat{L}(\lambda)$  is a unique simple submodule of  $\hat{\nabla}(\lambda)$ ;

$$\operatorname{ch} \hat{\nabla}(\lambda) = e^{\lambda} \prod_{\alpha \in R^+} \frac{1 - e^{-p\alpha}}{1 - e^{-\alpha}}.$$

Thus, to find the irreducible characters ch  $\hat{L}(\lambda)$ , it is enough to compute the composition factor multiplicities  $[\hat{\nabla}(\lambda) : \hat{L}(\mu)]$ ,  $\lambda, \mu \in \Lambda$ , [J, II.9.9]. Moreover, this category admits enough injectives/projectives. If we let  $\hat{Q}(\lambda)$  denote the injective hull of  $\hat{L}(\lambda)$ , it is also the projective cover of  $\hat{L}(\lambda)$  [J, II.11.5.4], and admits a filtration with subquotients of the form  $\hat{\nabla}(\mu), \mu \in \Lambda$ , and of the form  $\hat{\Delta}(\mu)$  from (1.7). As  $\operatorname{Ext}_{G}^{i}(\hat{\Delta}(\lambda), \hat{\nabla}(\mu)) = \delta_{i,0}\delta_{\lambda,\mu}\mathbb{k} \quad \forall \lambda, \mu \in \lambda \quad \forall i \in \mathbb{N}$  [J, II.9.9], the multiplicity  $(\hat{Q}(\lambda) : \hat{\nabla}(\mu))$  of  $\hat{\nabla}(\mu)$  appearing in such a filtration of  $\hat{Q}(\lambda)$  is given by  $(\hat{Q}(\lambda) : \hat{\nabla}(\mu)) = [\hat{\nabla}(\mu) : \hat{L}(\lambda)]$  [J, II.11.4]. What Andersen, Jantzen and Soergel (resp. Fiebig) did is to compute  $(\hat{Q}(x \bullet 0) : \hat{\nabla}(y \bullet 0)), x, y \in \mathcal{W}_{a}$ , for  $p \gg 0$ , by relating to the correponding multiplicity in the quantum group at a p-th root of 1 (resp. by using the moment graph of  $\mathcal{W}_{a}$ ); Fiebig provides an explicit bound for the first time though it is enormous above which Lusztig's conjecture holds. There is now an algorithm to compute the weight space multiplicities of  $T(x \bullet 0), x \in {}^{f}\mathcal{W}$ , for p > h + 1 by Fiebig and Williamson using the Braden-MacPherson algorithm [FW, Th. 9.1], and a proof of Lusztig's conjecture for  $p \gg 0$  without using  $G_1T$  by Achar and Riche [AR].

Now, in Rep(G) the modules corresponding to  $G_1T$ -injectives are tilting modules. We call the dual G-module  $\nabla(\lambda)^*$  of  $\nabla(\lambda)$ ,  $\lambda \in \Lambda^+$ , a Weyl module, which has highest weight  $-w_0\lambda$  and simple head  $L(-w_0\lambda) = L(\lambda)^*$ . We denote  $\nabla(\lambda)^*$  by  $\Delta(-w_0\lambda)$ . We say a G-module is tilting iff it admits a filtration with subquotients of the form  $\nabla(\lambda)$  and also a filtration with subquotients of the form  $\Delta(\lambda)$ ,  $\lambda \in \Lambda^+$ ; in fact,  $\hat{\nabla}(\nu)^* \simeq \hat{\nabla}(2(p-1)\rho - \nu) \quad \forall \nu \in \Lambda \text{ with } 2\rho = \sum_{\alpha \in R^+} \alpha$  [J, II.9.2]. To get  $\hat{\Delta}(\nu)$  from  $\hat{\nabla}(\nu)$  by dualization one needs Chevalley involution [J, II.9.3]. One has [J, II.4.13],  $\forall \lambda, \mu \in \Lambda^+, \forall i \in \mathbb{N}$ ,

$$\operatorname{Ext}_{G}^{i}(\Delta(\lambda), \nabla(\mu)) = \delta_{i,0} \delta_{\lambda,\mu} \mathbb{k},$$

and hence a tilting module has no higher self-extension, which should explain the term tilting. It is a theorem of Donkin [J, E.6] that for each  $\lambda \in \Lambda^+$  there is up to isomorphism a unique indecomposable tilting module of highest weight  $\lambda$ , which we denote by  $T(\lambda)$ . In  $T(\lambda)$  the multiplicity of  $\lambda$  is 1. Note that the use of tilting modules in [RW] is also influenced by Soergel's success in the quantum case [S98], c.f. also [Maz, 8.1].

(2.4) Let  $\operatorname{Tilt}_0(G)$  denote the principal tilting block of G, the full subcategory of  $\operatorname{Rep}_0(G)$ 

consisting of tilting modules. If  $\lambda \in \Lambda^+$  belongs to the bottom dominant alcove  $A^+$ , one has by the linkage principle  $\nabla(\lambda) = L(\lambda) = \Delta(\lambda)$ , and hence also  $T(\lambda) = L(\lambda)$ . It follows by induction on the partial order on  $\Lambda^+$  that  $[\operatorname{Rep}_0(G)]$  admits a basis  $[T(w \bullet 0)], w \in {}^f\mathcal{W}$ . The  $\mathcal{D}_{BS}$ -action on  $\operatorname{Rep}_0(G)$  induces an action on  $\operatorname{Tilt}_0(G)$ , which in turn will imply a character formula of all  $T(w \bullet 0), w \in {}^f\mathcal{W}$ , in terms of the *p*-canonical basis of  $\mathcal{H}$  in place of the Kazhdan-Lusztig basis for Lusztig's conjecture as we describe in the next subsection.

For  $\lambda, \mu \in \Lambda$  we write  $\mu \uparrow \lambda$  iff there is a sequence of reflections  $s_{\beta_1,m_1}, \ldots, s_{\beta_r,m_r}, \beta_i \in \mathbb{R}^+$ ,  $m_i \in \mathbb{Z}$ , such that  $\lambda \geq s_{\beta_1,m_1} \bullet \lambda = s_{\beta_1} \bullet \lambda + pm_1\beta_1 \geq s_{\beta_2,m_2}s_{\beta_1,m_1} \bullet \lambda \geq \cdots \geq s_{\beta_r,m_r} \ldots s_{\beta_1,m_1} \bullet \lambda = \mu$ . For  $p \geq 2(n-1)$  each  $\hat{Q}(\lambda), \lambda \in \Lambda_1$ , lifts to a tilting module  $T(2(p-1)\rho + w_0\lambda)$  [J, E.9.1]. Using the lifting, one can show [J, E.10.2]  $\forall \lambda \in \Lambda_1, \forall \mu \uparrow 2(p-1)\rho + w_0\lambda$ ,

$$(T(2(p-1)\rho + w_0\lambda) : \nabla(\mu)) = [\nabla(\mu) : L(\lambda)],$$

which then yields the irreducible characters of the principal block of G.

(2.5) Recall from (1.11) the 岩堀-Hecke algebra  $\mathcal{H}$  of the Coxeter system  $(\mathcal{W}_a, \mathcal{S}_a)$ . Let  $\mathcal{H}_f$  be the 岩堀-Hecke algebra of the Coxeter subsystem  $(\mathcal{W}, \mathcal{S})$ . Thus,  $\mathcal{H}_f$  is a  $\mathbb{Z}[v, v^{-1}]$ -subalgebra of  $\mathcal{H}$ , having the standard basis  $H_w$ ,  $w \in \mathcal{W}$ . Let  $\operatorname{sgn} = \mathbb{Z}[v, v^{-1}]$  be a right  $\mathcal{H}_f$ -module such that  $H_s \mapsto -v \ \forall s \in \mathcal{S}$ . We set  $\mathcal{M}^{\operatorname{asph}} = \operatorname{sgn} \otimes_{\mathcal{H}_f} \mathcal{H}$  and call it the antipherical right module of  $\mathcal{H}$ , denoted  $\mathcal{N} = \mathcal{N}^f$  in [S97, p. 86] and  $\mathcal{N}^0 = \mathcal{N}^f$  in [S97, line -3, p. 98]. Then  $\mathcal{M}^{\operatorname{asph}}$  has a standard  $\mathbb{Z}[v, v^{-1}]$ -linear basis  $1 \otimes H_w$ ,  $w \in {}^f \mathcal{W}$ , and from [S97, line -2, p. 88] a Kazhdan-Lusztig  $\mathbb{Z}[v, v^{-1}]$ -linear basis  $1 \otimes \underline{H}_w$ ,  $w \in {}^f \mathcal{W}$ . Thus,  $\mathcal{M}^{\operatorname{asph}}$  is a quantization of the antispherical  $\mathbb{Z}[\mathcal{W}_a]$ -module  $\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a]$ : under the specialization  $v \mapsto 1$ 

(1) 
$$\mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{M}^{\operatorname{asph}} \simeq \operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a].$$

Now let  $\mathcal{D}$  be the diagrammatic Hecke category over k, which is the Karoubian envelope of the additive hull of  $\mathcal{D}_{BS}$  [Bor, Prop. 6.5.9, p. 274]. We will call  $\mathcal{D}$  the Elias-Williamson category after their introduction in [EW]. It is defined by diagrammatic generators and relations, graded with shift functor  $\langle 1 \rangle$ . It is generated as a graded monoidal category by objects  $B_s$ ,  $s \in \mathcal{S}_a$ , and is Krull-Schmidt. The indecomposables of  $\mathcal{D}$  are the  $B_x \langle m \rangle$ , parametrized by  $(x, m) \in \mathcal{W}_a \times \mathbb{Z}$ . We will write  $B_x$  for  $B_x \langle 0 \rangle$ . As  $\mathcal{D}$  is only additive, we consider the split Grothendieck group  $[\mathcal{D}]$  of  $\mathcal{D}$  [ $\oplus \bowtie$ ], Def. 3.3.35, p. 170]: it is a free  $\mathbb{Z}$ -module of basis consisting of Ob( $\mathcal{D}$ ) subject to the relations  $M_1 + M_2 = M_3$  iff there is a split exact sequence  $0 \to M_1 \to M_3 \to M_2 \to 0$ . We denote the image of  $M \in \mathcal{D}$  in  $[\mathcal{D}]$  by [M]. Then,  $[\mathcal{D}]$  comes equipped with a structure of  $\mathbb{Z}[v, v^{-1}]$ -module such that  $v \bullet [M] = [M \langle 1 \rangle]$ , and there is a natural isomorphism of  $\mathbb{Z}[v, v^{-1}]$ algebras [EW]

(2) 
$$\mathcal{H} \simeq [\mathcal{D}]$$
 such that  $\underline{H}_s \mapsto [B_s] \forall s \in \mathcal{S}_a$ ,

under which we define the *p*-canonical basis of  $\mathcal{H}$  to be the preimage of  $[B_x]$ ,  $x \in \mathcal{W}_a$ :  ${}^p\underline{H}_x \mapsto [B_x]$ . In  $\mathcal{M}^{asph}$  put  $N_w = 1 \otimes H_w$  and  ${}^p\underline{N}_w = 1 \otimes {}^p\underline{H}_w$ ,  $w \in {}^f\mathcal{W}$ , and write  ${}^p\underline{N}_w = \sum_{y \in {}^f\mathcal{W}} {}^pn_{yw}N_y$ ,  ${}^pn_{yw} \in \mathbb{Z}[v, v^{-1}]$ . The  ${}^pn_{yw}$  are called antispherical *p*-Kazhdan-Lusztig polynomials. If we define  $n_{yw} \in \mathbb{Z}[v.v^{-1}]$  likewise from  $\underline{N}_w = 1 \otimes \underline{H}_w$ , we have from [S97, Prop. 3.1 and 3.4] that  $n_{yw} = 0$  unless  $y \leq w$ ,  $n_{ww} = 1$ ,  $n_{yw} \in v\mathbb{Z}[v]$ , and

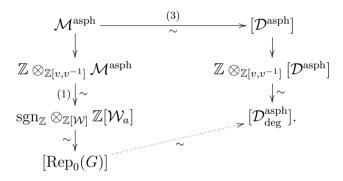
$$n_{yw} = \sum_{z \in \mathcal{W}} (-1)^{\ell(z)} h_{zy,w}$$

Let  $\mathcal{I}^{asph}$  be the full additive subcategory of  $\mathcal{D}$  generated by the  $B_w\langle m \rangle$ ,  $w \in \mathcal{W}_a \setminus {}^f \mathcal{W}, m \in \mathbb{Z}$ , and let  $\mathcal{D}^{asph} = \mathcal{D}//\mathcal{I}^{asph}$  be the quotient of  $\mathcal{D}$  by  $\mathcal{I}^{asph}$  [ $\oplus \bowtie$ ], Prop. 3.2.51, p. 150]:  $\forall X, Y \in \mathcal{D}$ , let  $\mathcal{I}(X,Y) = \{f \in \mathcal{D}(X,Y) | f$  factors through some  $Z \in \mathcal{I}^{asph}\}$ . Then  $\mathcal{D}^{asph}$  is the category with objects  $Ob(\mathcal{D})$  and  $\forall X, Y \in \mathcal{D}, \mathcal{D}^{asph}(X,Y) = \mathcal{D}(X,Y)/\mathcal{I}(X,Y)$ . Then  $\mathcal{D}^{asph}$  is a graded category inheriting shift functor  $\langle 1 \rangle$ , and the indecomposables of  $\mathcal{D}^{asph}$  are the images  $\bar{B}_w\langle m \rangle$ of  $B_w\langle m \rangle$ ,  $w \in {}^f \mathcal{W}$ ,  $m \in \mathbb{Z}$ . Let  $[\mathcal{D}^{asph}]$  denote the split Grothendieck group of  $\mathcal{D}^{asph}$  with a  $\mathbb{Z}[v, v^{-1}]$ -action  $v[X] = [X\langle 1 \rangle]$ . By the natural right  $\mathcal{D}$ -module structure on  $\mathcal{D}^{asph}$  it comes equipped with a structure of right  $\mathcal{H}$ -module under (2). As such there follows an isomorphism of right  $\mathcal{H}$ -modules

(3) 
$$\mathcal{M}^{\mathrm{asph}} \to [\mathcal{D}^{\mathrm{asph}}] \quad \mathrm{via} \quad {}^{p}\underline{N}_{w} \mapsto [\bar{B}_{w}] \; \forall w \in {}^{f}\mathcal{W}$$

Thus, the  ${}^{p}\underline{N}_{w}$ ,  $w \in {}^{f}\mathcal{W}$ , form a  $\mathbb{Z}[v, v^{-1}]$ -basis of  $\mathcal{M}^{asph}$ , and  $\mathcal{D}^{asph}$  provides a categorification of  $\mathcal{M}^{asph}$ .

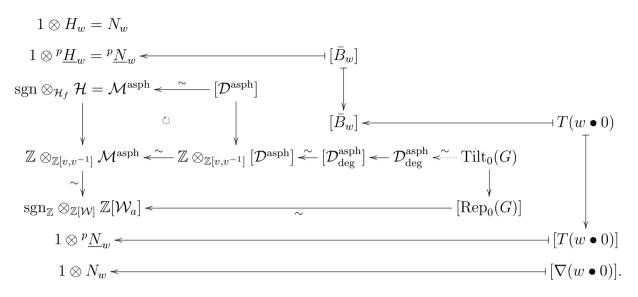
Finally, let  $\mathcal{D}_{deg}^{asph}$  be the degrading of  $\mathcal{D}^{asph}$ :  $Ob(\mathcal{D}_{deg}^{asph}) = Ob(\mathcal{D}^{asph})$  but  $\forall X, Y \in Ob(\mathcal{D}_{deg}^{asph})$ ,  $\mathcal{D}_{deg}^{asph}(X,Y) = \coprod_{m \in \mathbb{Z}} \mathcal{D}^{asph}(X,Y\langle m \rangle)$ . In particular,  $\forall m \in \mathbb{Z}, X \simeq X\langle m \rangle$  in  $\mathcal{D}_{deg}^{asph}$ ;  $id_X \in \mathcal{D}^{asph}(X,X) \leq \mathcal{D}_{deg}^{asph}(X,X\langle m \rangle)$  admits an inverse  $id_{X\langle m \rangle} \in \mathcal{D}^{asph}(X\langle m \rangle,X\langle m \rangle) \leq \mathcal{D}_{deg}^{asph}(X\langle m \rangle,X)$ . Thus, under the specialization  $v \mapsto 1$ 



By the categorical action of  $\mathcal{D}_{BS}$  it will turn out that  $\operatorname{Tilt}_0(G)$  is equivalent, as a right "module" of  $\mathcal{D}_{BS}$ , to the degraded categorification  $\mathcal{D}_{deg}^{asph}$  of  $\mathcal{M}^{asph}$  via

$$T(w \bullet 0) \leftarrow \bar{B}_w \quad \forall w \in {}^f \mathcal{W}.$$

Thus,  $\operatorname{Tilt}_0(G)$  gives a categorification of the antispherical module  $\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a]$ :  $\forall w \in {}^f \mathcal{W}$ ,



In particular, the character formula for the indecomposable tilting modules in the principal block will be given by

$$\operatorname{ch} T(x \bullet 0) = \sum_{y \in {}^{f} \mathcal{W}} {}^{p} n_{yx}(1) \operatorname{ch} \nabla(y \bullet 0) \quad \forall x \in {}^{f} \mathcal{W}.$$

(2.6) It is now a theorem of Achar, Makisumi, Riche and Williamson [AMRW] that the character formula for the indecomposable tiltings in (2.5) holds for general reductive groups as long as  $p \ge 2(h-1)$ , h the Coxeter number of the group.

# 3° The affine Lie algebra $\widehat{\mathfrak{gl}}_N$

We start by showing that the complexified Grothendieck group  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)]$  of  $\operatorname{Rep}(G)$ admits an action of the affine Lie algebra  $\widehat{\mathfrak{gl}}_p$ , due to Chuang and Rouquier [ChR]. We will also show that the same holds for  $G_1T$ . We will assume  $n \geq 3$ , see e.g., (3.8).

(3.1) Let N > 2. We define the affine Lie algebra  $\widehat{\mathfrak{gl}}_N$  associated to  $\mathfrak{gl}_N(\mathbb{C})$  as follows. Consider first the Lie algebra  $\widehat{\mathfrak{sl}}_N = \mathfrak{sl}_N(\mathbb{C}[t,t^{-1}]) \oplus \mathbb{C}K \oplus \mathbb{C}d$  with  $\mathfrak{sl}_N(\mathbb{C}[t,t^{-1}]) = \mathfrak{sl}_N(\mathbb{C}) \otimes_{\mathbb{C}} \mathbb{C}[t,t^{-1}]$ and the Lie bracket defined, for  $x, y \in \mathfrak{sl}_N(\mathbb{C})$  and  $k, m \in \mathbb{Z}$ , by

$$[x \otimes t^{k}, y \otimes t^{m}] = [x, y] \otimes t^{k+m} + k\delta_{k+m,0} \operatorname{Tr}(xy)K,$$
$$[d, x \otimes t^{m}] = mx \otimes t^{m}, \quad [K, \widehat{\mathfrak{sl}}_{N}] = 0,$$

which is the affine Lie algebra of type  $A_{N-1}^{(1)}$  in [谷崎, p. 164]. Then  $\widehat{\mathfrak{gl}}_N = \widehat{\mathfrak{sl}}_N \oplus \mathbb{C}$  with  $(0,1) = \operatorname{diag}(1,\ldots,1)$  central in  $\widehat{\mathfrak{gl}}_N$ , so  $\mathfrak{gl}_N(\mathbb{C}) = \mathfrak{sl}_N(\mathbb{C}) \oplus \mathbb{C} \leq \widehat{\mathfrak{gl}}_N$ .

Let  $e(i,j) \in \mathfrak{gl}_N(\mathbb{C}), i,j \in [1,N]$ , denote a matrix unit such that  $e(i,j)_{ab} = \delta_{a,i}\delta_{b,j} \ \forall a,b \in \mathbb{C}$ 

[1, N].  $\forall i \in [0, N[, let$ 

$$\hat{e}_{i} = \begin{cases} te(1,N) & \text{if } i = 0, \\ e(i+1,i) & \text{else,} \end{cases} \quad \hat{f}_{i} = \begin{cases} t^{-1}e(N,1) & \text{if } i = 0, \\ e(i,i+1) & \text{else,} \end{cases}$$
$$\hat{h}_{i} = [\hat{e}_{i},\hat{f}_{i}] = \begin{cases} e(1,1) - e(N,N) + K & \text{if } i = 0, \\ e(i+1,i+1) - e(i,i) & \text{else.} \end{cases}$$

Set  $\mathfrak{h} = \mathfrak{h}_f \oplus \mathbb{C}K \oplus \mathbb{C}d < \widehat{\mathfrak{gl}}_N$  with  $\mathfrak{h}_f$  denoting the CSA of  $\mathfrak{gl}_N(\mathbb{C})$  consisting of the diagonals. Define  $(\hat{\varepsilon}_i, K^*, \delta | i \in [1, N])$  to be the dual basis of  $(e(i, i), K, d | i \in [1, N])$  in  $\mathfrak{h}^*$ . Let  $P = \{\lambda \in \mathfrak{h}^* | \lambda(\hat{h}_i) \in \mathbb{Z} \ \forall i \in [0, N[\})$ . The simple roots of  $\mathfrak{h}^*$  are defined by  $\hat{\alpha}_0 = \delta - (\hat{\varepsilon}_N - \hat{\varepsilon}_1)$  and  $\hat{\alpha}_i = \hat{\varepsilon}_{i+1} - \hat{\varepsilon}_i, i \in [1, N]$ . Thus,  $\forall i, j \in [0, N[$ ,

$$\hat{\alpha}_{i}(\hat{h}_{j}) = \begin{cases} 0 & \text{if } |i-j| \geq 2, \\ -1 & \text{if } |i-j| = 1 \text{ or } (i,j) \in \{(0, N-1), (N-1,0)\}, \\ 2 & \text{if } i = j, \end{cases}$$
$$[\hat{h}_{i}, \hat{e}_{j}] = \hat{\alpha}_{j}(\hat{h}_{i})\hat{e}_{j}, \quad [\hat{h}_{i}, \hat{f}_{j}] = -\hat{\alpha}_{j}(\hat{h}_{i})\hat{f}_{j}.$$

(3.2) Let  $A = \coprod_{i=1}^{N} \mathbb{C}a_i$  denote the natural module for  $\mathfrak{gl}_N(\mathbb{C})$ . Then  $A \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]$  affords a module for  $\mathfrak{sl}_N(\mathbb{C}[t, t^{-1}])$  such that  $(x \otimes t^k) \cdot (a \otimes t^m) = (xa) \otimes t^{k+m} \forall x \in \mathfrak{sl}_N(\mathbb{C}), \forall a \in A \forall k, m \in \mathbb{Z}$ . One may extend it to a representation of  $\widehat{\mathfrak{gl}}_N$  by letting K act by 0, diag $(1, \ldots, 1)$  by the identity, and d by the formula  $d \cdot (a \otimes t^m) = ma \otimes t^m \forall a \in A, \forall m \in \mathbb{Z}$ . We call the resulting  $\widehat{\mathfrak{gl}}_N$ -module the natural module and denote it by nat<sub>N</sub>.

For  $\lambda \in \mathbb{Z}$  write  $\lambda = \lambda_0 + N\lambda_1$  with  $\lambda_0 \in [1, N]$  and  $\lambda_1 \in \mathbb{Z}$ . Put  $m_\lambda = a_{\lambda_0} \otimes t^{\lambda_1}$ . Then  $\operatorname{nat}_N = \coprod_{\lambda \in \mathbb{Z}} \mathbb{C}m_\lambda$ :  $\forall \mu \in \mathbb{Z}, a_1 \otimes t^{\mu} = m_{1+N\mu}, a_2 \otimes t^{\mu} = m_{2+N\mu}, \dots, a_N \otimes t^{\mu} = m_{N+N\mu}$ , and  $\hat{e}_0 a_N = te(1, N)a_N = ta_1 = a_1 \otimes t = m_{1+N}$ .  $\forall i \in [0, N[, N]$ 

(1) 
$$\hat{e}_i m_{\lambda} = \begin{cases} m_{\lambda+1} & \text{if } i \equiv \lambda \mod N, \\ 0 & \text{else,} \end{cases}$$

(2) 
$$\hat{f}_i m_{\lambda} = \begin{cases} m_{\lambda-1} & \text{if } i \equiv \lambda - 1 \mod N, \\ 0 & \text{else,} \end{cases}$$

and  $\forall h \in \mathfrak{h}$ ,

(3) 
$$hm_{\lambda} = (\hat{\varepsilon}_{\lambda_0} + \lambda_1 \delta)(h)m_{\lambda}.$$

In particular, all  $\mathfrak{h}$ -weight spaces of nat<sub>N</sub> are 1-dimensional.

(3.3) Recall the natural module  $V = \mathbb{k}^{\oplus_n}$  for G with the standard basis  $v_1, \ldots, v_n$ , and its dual  $V^*$  with the dual basis  $v_1^*, \ldots, v_n^*$ . Thus,  $V = L(\varepsilon_1) = \nabla(\varepsilon_1) = \Delta(\varepsilon_1) = T(\varepsilon_1)$  and

 $V^* = L(-w_0\varepsilon_1) = L(-\varepsilon_n) = \nabla(-\varepsilon_n) = \Delta(-\varepsilon_n) = T(-\varepsilon_n)$ . Define 2 exact endofunctors Eand F of  $\operatorname{Rep}_0(G)$  by  $E = V \otimes$ ? and  $F = V^* \otimes$ ?, resp. Define  $\eta_{\Bbbk} \in \operatorname{Rep}(G)(\Bbbk, V^* \otimes V)$  such that  $\eta_{\Bbbk}(1) = \sum_i v_i^* \otimes v_i$  and  $\varepsilon_{\Bbbk} \in \operatorname{Rep}(G)(V \otimes V^*, \Bbbk)$  such that  $v \otimes \mu \mapsto \mu(v)$ ; under a  $\Bbbk$ linear isomorphism  $V^* \otimes V \simeq \operatorname{Mod}_{\Bbbk}(V, V)$  via  $f \otimes v \mapsto f(?)v$  with inverse  $\sum_i v_i^* \otimes \phi(v_i) \leftrightarrow \phi$ ,  $\sum_i v_i^* \otimes v_i$  corresponds to  $\operatorname{id}_V$ , and hence fixed by G. In turn,  $\eta_{\Bbbk}$  defines a natural transformation  $\eta : \operatorname{id}_{\operatorname{Rep}(G)} \Rightarrow FE$  via

while  $\varepsilon_{\Bbbk}$  defines a natural transformation  $\varepsilon : EF \Rightarrow \mathrm{id}_{\mathrm{Rep}(G)}$  via

$$\begin{array}{ccc} EF(M) & \xrightarrow{\varepsilon_M} & M \\ & & \downarrow \sim \\ V \otimes V^* \otimes M & \xrightarrow{\varepsilon_{\Bbbk} \otimes M} & \Bbbk \otimes M \end{array}$$

to make  $\eta$  (resp.  $\varepsilon$ ) into the unit (resp. counit) of an adjunction (E, F) [中岡, Cor. 2.2.9, pp. 65-66] such that

(1) 
$$\operatorname{Rep}(G)(M, FM') \xrightarrow{\sim} \operatorname{Rep}(G)(EM, M')$$
 via  $\psi \mapsto \varepsilon_{M'} \circ E\psi$  with inverse  $F\phi \circ \eta_M \leftarrow \phi$ .

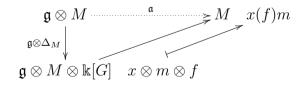
Explicitly,  $\forall m \in M$ ,

$$(F\phi \circ \eta_M)(m) = \sum_i v_i^* \otimes \phi(v_i \otimes m),$$

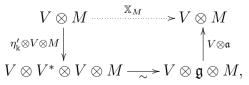
while, if we write  $\psi(m) = \sum_{i} v_i^* \otimes \psi(m)_i, \forall v \in V$ ,

$$(\varepsilon_{M'} \circ E\psi)(v \otimes m) = \sum_{i} v_i^*(v)\psi(m)_i.$$

Now, let  $\mathfrak{g} = \mathfrak{gl}_n(\Bbbk)$  equipped with the structure of *G*-module Ad:  $g \bullet x = gxg^{-1} \forall g \in G \forall x \in \mathfrak{g}$ ; we identify  $\mathfrak{g}$  with  $\operatorname{Lie}(G) = \operatorname{Mod}_{\Bbbk}(\mathfrak{m}/\mathfrak{m}^2, \Bbbk), \ \mathfrak{m} = (x_{ij}, x_{ii} - 1 | i, j \in [1, n], i \neq j) \triangleleft \Bbbk[G].$  $\forall M \in \operatorname{Rep}(G), \text{ the } \mathfrak{g}\text{-action on } M \text{ given by differentiating the } G\text{-action } \Delta_M : M \to M \otimes \Bbbk[G]$ 



is G-equivariant [J, I.7.18.1]. Let  $\eta'_{\Bbbk} : \Bbbk \to V \otimes V^*$  via  $1 \mapsto \sum_i v_i \otimes v_i^*$  to define the unit of an adjunction (F, E) as above. Using a natural isomorphism  $\mathfrak{g} \simeq V^* \otimes V$  via  $\mu(?)v \leftrightarrow \mu \otimes v$ , define for  $M \in \operatorname{Rep}(G)$ 



which is functorial in M. Thus, one obtains an endomorphism  $\mathbb{X} \in \operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E, E)$ of E, i.e., a natural transformation from E to itself. In particular, each  $\mathbb{X}_M$  is G-equivariant. In turn,  $\mathbb{X}$  induces by adjunction (E, F) an endomorphism  $\mathbb{X}'$  of F:

$$V^* \otimes M \xrightarrow{\mathbb{X}'_M} V^* \otimes M$$

$$\eta_{\Bbbk} \otimes V^* \otimes M \xrightarrow{\mathbb{X}'_M} V^* \otimes \varepsilon_{\Bbbk} \otimes M$$

$$V^* \otimes V \otimes V^* \otimes M \xrightarrow{V^* \otimes \mathbb{X}_{V^* \otimes M}} V^* \otimes V \otimes V^* \otimes M.$$

Thus,  $\forall M' \in \operatorname{Rep}(G)$ ,

(2) 
$$\operatorname{Rep}(G)(EM, M') \xleftarrow{\operatorname{Rep}(G)(\mathbb{X}_M, M')} \operatorname{Rep}(G)(EM, M')$$
$$\underset{\varepsilon_{M'} \circ E}{\varepsilon_{M'} \circ E} \circ & \circ & \circ & \circ & \circ \\\operatorname{Rep}(G)(M, FM') \xleftarrow{\operatorname{Rep}(G)(M, \mathbb{X}'_{M'})} \operatorname{Rep}(G)(M, FM').$$

Let Dist(G) denote the algebra of distributions on G. As G is defined over  $\mathbb{Z}$ , Dist(G) has a  $\mathbb{Z}$ -form  $\text{Dist}(G_{\mathbb{Z}})$  which coincides with Kostant's  $\mathbb{Z}$ -form of the universal enveloping algebra  $\mathbb{U}(\mathfrak{g}_{\mathbb{C}})$  of  $\mathfrak{g}_{\mathbb{C}}$ . Put  $\Omega = \sum_{i,j=1}^{n} e(i,j) \otimes e(j,i) \in \mathfrak{g} \otimes \mathfrak{g}$ ;  $\text{Tr}(e(i,j)e(k,l)) = \delta_{jk}\text{Tr}(e(i,l)) = \delta_{jk}\delta_{il}$ . For  $x \in \mathfrak{g}$  put  $\Delta(x) = x \otimes 1 + 1 \otimes x$ . If M and M' are G-modules, recall that Dist(G) acts on the G-module  $M \otimes M'$  via  $x \mapsto \Delta(x), x \in \mathfrak{g}$ .

**Lemma:** (i)  $\forall v, v' \in V, \ \Omega \cdot (v \otimes v') = v' \otimes v.$ 

(ii)  $\forall x \in \mathfrak{g}, \ \Omega\Delta(x) = \Delta(x)\Omega$  in  $\operatorname{Dist}(G) \otimes \operatorname{Dist}(G)$ , and hence the action of  $\Omega$  on  $M \otimes M'$  for  $M, M' \in \operatorname{Rep}(G)$  commutes with  $\operatorname{Dist}(G)$ .

**Proof:** (i) Let  $k, l \in [1, n]$ . One has

$$\Omega \cdot (v_k \otimes v_l) = \sum_{i,j=1}^n e(i,j) v_k \otimes e(j,i) v_l = \sum_{i,j=1}^n \delta_{jk} v_i \otimes \delta_{il} v_j = v_l \otimes v_k.$$

(ii) We may assume  $x = e(k, l), k, l \in [1, n]$ . One has

$$\begin{split} \Omega\Delta(e(k,l)) &= \sum_{i,j} \{ e(i,j) e(k,l) \otimes e(j,i) + e(i,j) \otimes e(j,i) e(k,l) \} \\ &= \sum_{i} e(i,l) \otimes e(k,i) + \sum_{j} e(k,j) \otimes e(j,l) \end{split}$$

while

$$\begin{split} \Delta(e(k,l))\Omega &= \sum_{i,j} \{ e(k,l)e(i,j) \otimes e(j,i) + e(i,j) \otimes e(k,l)e(j,i) \} \\ &= \sum_{j} e(k,j) \otimes e(j,l) + \sum_{i} e(i,l) \otimes e(k,i). \end{split}$$

(3.4) We now describe X and X' using  $\Omega$ .

Lemma [RW, 6.3]: Let  $M \in \operatorname{Rep}(G)$ .

(i) 
$$\mathbb{X}_M : EM = V \otimes M \to V \otimes M = EM$$
 is given by the action of  $\Omega$ .  
(ii)  $\mathbb{X}'_M : FM = V^* \otimes M \to V^* \otimes M = FM$  is given by the action of  $-\operatorname{nid}_{V^* \otimes M} - \Omega$ .  
(iii)  $(V \otimes \mathbb{X}_M) \circ \mathbb{X}_{V \otimes M} = \mathbb{X}_{V \otimes M} \circ (V \otimes \mathbb{X}_M)$ .  
(iv)  $(V^{\otimes_2} \otimes \mathbb{X}_M) \circ \mathbb{X}_{V^{\otimes_2} \otimes M} = \mathbb{X}_{V^{\otimes_2} \otimes M} \circ (V^{\otimes_2} \otimes \mathbb{X}_M)$ .  
(v)  $\mathbb{X}_{FM} \circ (V \otimes \mathbb{X}'_M) = (V \otimes \mathbb{X}'_M) \circ \mathbb{X}_{FM}$ .  
(vi)  $\mathbb{X}'_{EM} \circ (V^* \otimes \mathbb{X}_M) = (V^* \otimes \mathbb{X}_M) \circ \mathbb{X}'_{EM}$ .

**Proof:** (i)  $\forall m \in M, \forall s \in [1, n],$ 

$$\begin{array}{ccc} v_s \otimes m \xrightarrow{\eta'_{\Bbbk} \otimes V \otimes M} & \sum_i v_i \otimes v_i^* \otimes v_s \otimes m \mapsto \sum_i v_i \otimes v_i^*(?) v_s \otimes m = \sum_i v_i \otimes e(s,i) \otimes m \\ & \longmapsto_{V \otimes \mathfrak{a}} \sum_i v_i \otimes e(s,i) m \end{array}$$

while

$$\Omega \cdot (v_s \otimes m) = \sum_{i,j} (e(i,j) \otimes e(j,i))(v_s \otimes m) = \sum_{i,j} (e(i,j)v_s) \otimes (e(j,i)m)$$
$$= \sum_{i,j} \delta_{js} v_i \otimes e(j,i)m = \sum_i v_i \otimes e(s,i)m.$$

Thus,  $\mathbb{X}_M$  is given by the multiplication by  $\Omega$ .

(ii) Recall first from [HLA, 10.7, p. 76] that  $\forall x \in \mathfrak{g} \ \forall f \in V^* \ \forall m \in M$ ,

$$x \cdot (f \otimes m) = (xf) \otimes m + f \otimes xm = -f(x?) \otimes m + f \otimes xm.$$

In particular, x acts on  $V^*$  via  $-x^t$  with respect to the dual basis:

(1) 
$$e(i,j)v_k^* = -\delta_{ik}v_j^*.$$

Now,

$$\begin{split} v_s^* \otimes m & \stackrel{\eta_k \otimes V^* \otimes M}{\longmapsto} \sum_i v_i^* \otimes v_i \otimes v_s^* \otimes m \\ & \stackrel{V^* \otimes \mathbb{X}_{V^* \otimes M}}{\longmapsto} \sum_i v_i^* \otimes \Omega \cdot (v_i \otimes v_s^* \otimes m) \quad \text{by (i)} \\ &= \sum_i v_i^* \otimes \sum_{j,k} (e(j,k)v_i) \otimes e(k,j)(v_s^* \otimes m) \\ &= \sum_{i,j,k} v_i^* \otimes \delta_{ki} v_j \otimes \{(e(k,j)v_s^*) \otimes m + v_s^* \otimes e(k,j)m\} \\ &= \sum_{i,j} v_i^* \otimes v_j \otimes \{-\delta_{is} v_j^* \otimes m + v_s^* \otimes e(i,j)m\} \quad \text{by (1)} \\ &= -\sum_j v_s^* \otimes v_j \otimes v_j^* \otimes m + \sum_{i,j} v_i^* \otimes v_j \otimes v_s^* \otimes e(i,j)m \\ & \stackrel{\longmapsto}{\longmapsto} -n(v_s^* \otimes m) + \sum_i v_i^* \otimes e(i,s)m \end{split}$$

while

$$\Omega \cdot (v_s^* \otimes m) = \sum_{i,j} e(i,j) v_s^* \otimes e(j,i) m = \sum_{i,j} -\delta_{is} v_j^* \otimes e(j,i) m \quad \text{by (1) again}$$
$$= -\sum_j v_j^* \otimes e(j,s) m.$$

Thus,  $\mathbb{X}'_M$  is given by the action of  $-n\mathrm{id}_{V^*\otimes M} - \Omega$ .

(iii) 
$$\forall v, v' \in V, \forall m \in M,$$
  
 $\{(V \otimes \mathbb{X}_M) \circ \mathbb{X}_{V \otimes M}\}(v \otimes v' \otimes m) = (V \otimes \mathbb{X}_M) \sum_{i,j=1}^n \{e(i,j)v \otimes \Delta(e(j,i))(v' \otimes m)\}$   
 $= \sum_{i,j} e(i,j)v \otimes \Omega \Delta(e(j,i))(v' \otimes m) = \sum_{i,j} \{e(i,j) \otimes \Omega \Delta(e(j,i))\}(v \otimes v' \otimes m)$ 

while

$$\{\mathbb{X}_{V\otimes M} \circ (V \otimes \mathbb{X}_{M})\}(v \otimes v' \otimes m) = \mathbb{X}_{V\otimes M}\{v \otimes \Omega(v' \otimes m)\}$$
$$= \sum_{i,j=1}^{n} \{e(i,j)v \otimes \Delta(e(j,i))\Omega(v' \otimes m)\} = \sum_{i,j} \{e(i,j) \otimes \Delta(e(j,i))\Omega\}(v \otimes v' \otimes m)$$
$$= \sum_{i,j} \{e(i,j) \otimes \Omega\Delta(e(j,i))\}(v \otimes v' \otimes m) \quad \text{by } (3.3.\text{ii}).$$

(iv) Let 
$$x \in V \otimes M$$
. Then

$$v_{s} \otimes v_{t} \otimes x \xrightarrow{\mathbb{X}_{V^{\otimes_{2} \otimes M}}} \sum_{i,j} e(i,j)v_{s} \otimes e(j,i)(v_{t} \otimes x) = \sum_{i} v_{i} \otimes e(s,i)(v_{t} \otimes x)$$
$$= \sum_{i} v_{i} \otimes \{e(s,i)v_{t} \otimes x + v_{t} \otimes e(s,i)x\} = v_{t} \otimes v_{s} \otimes x + \sum_{i} v_{i} \otimes v_{t} \otimes e(s,i)x$$
$$\xrightarrow{V^{\otimes_{2} \otimes \mathbb{X}_{M}}} v_{t} \otimes v_{s} \otimes \Omega x + \sum_{i} v_{i} \otimes v_{t} \otimes \Omega e(s,i)x$$

while

$$\begin{split} v_s \otimes v_t \otimes x & \stackrel{V^{\otimes_2 \otimes \mathbb{X}_M}}{\longmapsto} v_s \otimes v_t \otimes \Omega x \\ & \stackrel{\mathbb{X}_{V^{\otimes_2 \otimes M}}}{\longmapsto} \sum_{i,j} e(i,j) v_s \otimes e(j,i) (v_t \otimes \Omega x) = \sum_i v_i \otimes e(s,i) (v_t \otimes \Omega x) \\ &= \sum_i v_i \otimes \{e(s,i) v_t \otimes \Omega x + v_t \otimes e(s,i) \Omega x\} \\ &= \sum_i v_i \otimes e(s,i) v_t \otimes \Omega x + \sum_i v_i \otimes v_t \otimes e(s,i) \Omega x \\ &= v_t \otimes v_s \otimes \Omega x + \sum_i v_i \otimes v_t \otimes e(s,i) \Omega x. \end{split}$$

The assertion now follows from (3.3.ii).

(v) One has

$$\begin{split} v_s \otimes v_t^* \otimes m & \stackrel{\mathbb{X}_{FM}}{\longrightarrow} \sum_{i,j} e(i,j)v_s \otimes \{e(j,i)v_t^* \otimes m + v_t^* \otimes e(j,i)m\} \\ &= \sum_i v_i \otimes \{e(s,i)v_t^* \otimes m + v_t^* \otimes e(s,i)m\} \\ &= \sum_i v_i \otimes \{-\delta_{st}v_i^* \otimes m + v_t^* \otimes e(s,i)m\} \\ &= -\delta_{st} \sum_i v_i \otimes v_i^* \otimes m + \sum_i v_i \otimes v_t^* \otimes e(s,i)m \\ &\stackrel{\mathbb{V} \otimes \mathbb{X}'_M}{\longmapsto} -\delta_{st} \sum_i v_i \otimes (-\operatorname{nid} - \Omega)(v_i^* \otimes m) + \sum_i v_i \otimes (-\operatorname{nid} - \Omega)(v_t^* \otimes e(s,i)m) \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m + \delta_{st} \sum_i v_i \otimes \sum_{k,l} e(k,l)v_i^* \otimes e(l,k)m \\ &- n \sum_i v_i \otimes v_t^* \otimes e(s,i)m - \sum_i v_i \otimes \sum_{k,l} e(k,l)v_t^* \otimes e(l,k)e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m + \delta_{st} \sum_i v_i \otimes \sum_l (-v_l^*) \otimes e(l,i)m \\ &- n \sum_i v_i \otimes v_t^* \otimes e(s,i)m - \sum_i v_i \otimes \sum_l e(s,l)v_t^* \otimes e(l,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - \delta_{st} \sum_i v_i \otimes \sum_l v_l^* \otimes e(l,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - \delta_{st} \sum_i v_i \otimes \sum_l v_l^* \otimes e(l,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - \delta_{st} \sum_i v_i \otimes \sum_l v_l^* \otimes e(l,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - \delta_{st} \sum_i v_i \otimes \sum_l v_l^* \otimes e(l,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - \delta_{st} \sum_i v_i \otimes v_l^* \otimes e(l,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - \delta_{st} \sum_l v_l \otimes v_l^* \otimes e(l,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_l^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_i^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_i^* \otimes e(s,i)m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m - n \sum_i v_i \otimes v_i^* \otimes m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m \\ &= n\delta_{st} \sum_i v_i \otimes v_i^* \otimes m$$

while

$$\begin{split} v_s \otimes v_t^* \otimes m & \stackrel{V \otimes \mathbb{X}'_M = V \otimes (-\operatorname{nid} - \Omega)}{\longrightarrow} -nv_s \otimes v_t^* \otimes m - v_s \otimes \sum_{i,j} e(i,j)v_t^* \otimes e(j,i)m \\ &= -nv_s \otimes v_t^* \otimes m + v_s \otimes \sum_j v_j^* \otimes e(j,t)m \\ &\stackrel{\mathbb{X}_{FM}}{\longrightarrow} -n \sum_{k,l} e(k,l)v_s \otimes \{e(l,k)v_t^* \otimes m + v_t^* \otimes e(l,k)m\} \\ &\quad + \sum_{j,k,l} e(k,l)v_s \otimes \{e(l,k)v_j^* \otimes e(j,t)m + v_j^* \otimes e(l,k)e(j,t)m\} \\ &= -n \sum_k v_k \otimes \{e(s,k)v_t^* \otimes m + v_t^* \otimes e(s,k)m\} \\ &\quad + \sum_{j,k} v_k \otimes \{e(s,k)v_j^* \otimes e(j,t)m + v_j^* \otimes e(s,k)e(j,t)m\} \\ &= -n \sum_k v_k \otimes \{e(s,k)v_j^* \otimes e(j,t)m + v_j^* \otimes e(s,k)e(j,t)m\} \\ &= -n \sum_k v_k \otimes \{e(s,k)v_j^* \otimes e(s,t)m + \sum_k v_k \otimes v_k^* \otimes e(s,t)m\} \\ &= n\delta_{st} \sum_k v_k \otimes v_k^* \otimes m - n \sum_k v_k \otimes v_t^* \otimes e(s,k)m, \end{split}$$

(vi) One has

$$\begin{split} (V^* \otimes \mathbb{X}_M) \circ \mathbb{X}'_{EM}(v_k^* \otimes v_l \otimes m) &= (V^* \otimes \mathbb{X}_M)(-\operatorname{nid} - \Omega_{EM})(v_k^* \otimes v_l \otimes m) \\ &= -nv_k^* \otimes \Omega_{EM}(v_l \otimes m) - (V^* \otimes \mathbb{X}_M) \sum_{i,j} e(i,j)v_k^* \otimes (e(j,i)v_l \otimes m + v_l \otimes e(j,i)m) \\ &= -nv_k^* \otimes \sum_{i,j} e(i,j)v_l \otimes e(j,i)m - (V^* \otimes \mathbb{X}_M) \sum_j -v_j^* \otimes (e(j,k)v_l \otimes m + v_l \otimes e(j,k)m) \\ &= -nv_k^* \otimes \sum_i v_i \otimes e(l,i)m + (V^* \otimes \mathbb{X}_M) \sum_j v_j^* \otimes (\delta_{kl}v_j \otimes m + v_l \otimes e(j,k)m) \\ &= -nv_k^* \otimes \sum_i v_i \otimes e(l,i)m + \delta_{kl} \sum_j v_j^* \otimes \sum_{s,t} e(s,t)v_j \otimes e(t,s)m \\ &+ \sum_j v_j^* \otimes \sum_{s,t} e(s,t)v_l \otimes e(t,s)e(j,k)m \\ &= -nv_k^* \otimes \sum_i v_i \otimes e(l,i)m + \delta_{kl} \sum_j v_j^* \otimes \sum_s v_s \otimes e(j,s)m \\ &+ \sum_j v_j^* \otimes \sum_s v_s \otimes e(l,s)e(j,k)m \\ &= -nv_k^* \otimes \sum_i v_i \otimes e(l,i)m + \delta_{kl} \sum_j v_j^* \otimes \sum_s v_s \otimes e(j,s)m \\ &+ \sum_j v_j^* \otimes \sum_s v_s \otimes e(l,s)e(j,k)m \\ &= -nv_k^* \otimes \sum_i v_i \otimes e(l,i)m + \delta_{kl} \sum_j v_j^* \otimes \sum_s v_s \otimes e(j,s)m + \sum_j v_j^* \otimes v_j \otimes e(l,k)m \end{split}$$

while

$$\begin{split} \mathbb{X}'_{EM} \circ (V^* \otimes \mathbb{X}_M)(v_k^* \otimes v_l \otimes m) &= \mathbb{X}'_{EM}(v_k^* \otimes \sum_{i,j} e(i,j)v_l \otimes e(j,i)m) \\ &= \mathbb{X}'_{EM}(v_k^* \otimes \sum_i v_i \otimes e(l,i)m) = (-\operatorname{nid} - \Omega_{EM})(v_k^* \otimes \sum_i v_i \otimes e(l,i)m) \\ &= -nv_k^* \otimes \sum_i v_i \otimes e(l,i)m - \sum_{s,t} e(s,t)v_k^* \otimes \sum_i (e(t,s)v_i \otimes e(l,i)m + v_i \otimes e(t,s)e(l,i)m) \\ &= -nv_k^* \otimes \sum_i v_i \otimes e(l,i)m + \sum_t v_t^* \otimes \sum_i (e(t,k)v_i \otimes e(l,i)m + v_i \otimes e(t,k)e(l,i)m) \\ &= -nv_k^* \otimes \sum_i v_i \otimes e(l,i)m + \sum_t v_t^* \otimes v_t \otimes e(l,k)m + \sum_t v_t^* \otimes \sum_i \delta_{kl}v_i \otimes e(t,i)m. \end{split}$$

(3.5) Recall from (3.3) the unit  $\eta$  and the counit  $\varepsilon$  of an adjoint pair (E, F), and also the unit  $\eta'$  and the counit  $\varepsilon'$  of an adjoint pair (F, E) induced by  $\eta'_{\Bbbk} : \Bbbk \to V \otimes V^*$  via  $1 \mapsto \sum_i v_i \otimes v_i^*$  and  $\varepsilon'_{\Bbbk} : V^* \otimes V \to \Bbbk$  via  $\xi \otimes v \mapsto \xi(v)$ .

**Lemma:** Let  $M \in \text{Rep}(G)$  and  $r \in \mathbb{N}$ .

(i) 
$$(\mathbb{X}'_{EM})^r \circ \eta_M = (V^* \otimes \mathbb{X}_M)^r \circ \eta_M, \quad \varepsilon_M \circ (\mathbb{X}_{FM})^r = \varepsilon_M \circ (V \otimes \mathbb{X}'_M)^r.$$
  
(ii)  $(\mathbb{X}_{FM})^r \circ \eta'_M = (V \otimes \mathbb{X}'_M)^r \circ \eta'_M, \quad \varepsilon'_M \circ (\mathbb{X}'_{EM})^r = \varepsilon'_M \circ (V^* \otimes \mathbb{X}_M)^r.$ 

**Proof:** Let  $m \in M$ .

(i) By definition  $\eta_M: M \to FEM = V^* \otimes V \otimes M$  reads  $m \mapsto \sum_i v_i^* \otimes v_i \otimes m$ . Then

$$\begin{aligned} (\mathbb{X}'_{EM} \circ \eta_M)(m) &= (-n\mathrm{id} - \Omega_{V^* \otimes EM}) \sum_{k=1}^n v_k^* \otimes (v_k \otimes m) \quad \text{by } (3.4.\mathrm{ii}) \\ &= -n\eta_M(m) - \sum_{i,j,k} e(i,j)v_k^* \otimes (e(j,i)v_k \otimes m + v_k \otimes e(j,i)m) \\ &= -n\eta_M(m) - \sum_{i,j,k} (-\delta_{ik}v_j^*) \otimes (\delta_{ik}v_j \otimes m + v_k \otimes e(j,i)m) \\ &= -n\eta_M(m) - \sum_{i,j} (-v_j^*) \otimes (v_j \otimes m + v_i \otimes e(j,i)m) \\ &= -n\eta_M(m) + n\eta_M(m) + \sum_{i,j} v_j^* \otimes v_i \otimes e(j,i)m = \sum_{i,j} v_j^* \otimes v_i \otimes e(j,i)m \\ &= \sum_j v_j^* \otimes \sum_i v_i \otimes e(j,i)m = \sum_i v_j^* \otimes \Omega \cdot (v_j \otimes m) = (V^* \otimes \Omega_{V \otimes M})(\eta_M(m)) \\ &= (V^* \otimes \mathbb{X}_M) \circ \eta_M(m) \quad \text{by } (3.4.\mathrm{ii}), \end{aligned}$$

and hence  $\mathbb{X}'_{EM} \circ \eta_M = (V^* \otimes \mathbb{X}_M) \circ \eta_M$ . Then  $(\mathbb{X}'_{EM})^r \circ \eta_M = (V^* \otimes \mathbb{X}_M)^r \circ \eta_M$  by (3.4.vi).

One has

$$(\varepsilon_{M} \circ \mathbb{X}_{FM})(v_{k} \otimes v_{l}^{*} \otimes m) = \varepsilon_{M}(\sum_{i,j} e(i,j)v_{k} \otimes e(j,i)(v_{l}^{*} \otimes m)) \quad \text{by (3.4.i)}$$
$$= \varepsilon_{M}(\sum_{i} v_{i} \otimes e(k,i)(v_{l}^{*} \otimes m)) = \varepsilon_{M}(\sum_{i} v_{i} \otimes (e(k,i)v_{l}^{*} \otimes m + v_{l}^{*} \otimes e(k,i)m))$$
$$= \varepsilon_{M}(\sum_{i} v_{i} \otimes (-\delta_{kl}v_{i}^{*} \otimes m + v_{l}^{*} \otimes e(k,i)m)) = -\delta_{kl}nm + e(k,l)m$$

while

$$\varepsilon_{M}((V \otimes \mathbb{X}'_{M})(v_{k} \otimes v_{l}^{*} \otimes m)) = \varepsilon_{M}(v_{k} \otimes (-nid - \Omega_{V^{*} \otimes M})(v_{l}^{*} \otimes m)) \quad \text{by (3.4.i)}$$

$$= \varepsilon_{M}(-n(v_{k} \otimes v_{l}^{*} \otimes m) - v_{k} \otimes \sum_{i,j} e(i,j)v_{l}^{*} \otimes e(j,i)m)$$

$$= -n\delta_{kl}m - \varepsilon_{M}(v_{k} \otimes \sum_{i,j} (-\delta_{il}v_{j}^{*} \otimes e(j,i)m)) = -n\delta_{kl}m + \varepsilon_{M}(v_{k} \otimes \sum_{j} v_{j}^{*} \otimes e(j,l)m)$$

$$= -\delta_{kl}nm + e(k,l)m.$$

Thus  $\varepsilon_M \circ \mathbb{X}_{FM} = \varepsilon_M \circ (V \otimes \mathbb{X}'_M)$ , and hence  $\varepsilon_M \circ (\mathbb{X}_{FM})^r = \varepsilon_M \circ (V \otimes \mathbb{X}'_M)^r$  by (3.4.v).

(ii) Likewise,

$$\begin{aligned} (\mathbb{X}_{FM} \circ \eta'_{M})(m) &= \Omega_{V \otimes FM} \cdot \sum_{k} v_{k} \otimes (v_{k}^{*} \otimes m) \\ &= \sum_{i,j,k} e(i,j)v_{k} \otimes (e(j,i)v_{k}^{*} \otimes m + v_{k}^{*} \otimes e(j,i)m) \\ &= \sum_{i,k} v_{i} \otimes (e(k,i)v_{k}^{*} \otimes m + v_{k}^{*} \otimes e(k,i)m) \\ &= \sum_{i,k} v_{i} \otimes (-v_{i}^{*} \otimes m + v_{k}^{*} \otimes e(k,i)m) = -\eta'_{M}(m) + \sum_{i,k} v_{i} \otimes v_{k}^{*} \otimes e(k,i)m \\ &= -n\eta'_{M}(m) - \sum_{i} v_{i} \otimes \Omega_{V^{*} \otimes M} \cdot (v_{i}^{*} \otimes m) = -n\eta'_{M}(m) - (V \otimes \Omega_{V^{*} \otimes M})\eta'_{M}(m) \\ &= \{-n\mathrm{id}_{EFM} - (V \otimes \Omega_{V^{*} \otimes M})\}\eta'_{M}(m) = \{V \otimes (-n\mathrm{id} - \Omega_{V^{*} \otimes M})\}\eta'_{M}(m), \end{aligned}$$

and hence  $\mathbb{X}_{FM} \circ \eta'_M = (V \otimes \mathbb{X}'_M) \circ \eta'_M$ . Then  $(\mathbb{X}_{FM})^r \circ \eta'_M = (V \otimes \mathbb{X}'_M)^r \circ \eta'_M$  by (3.4.v).

Finally,  $\varepsilon'_M$  reads  $\xi \otimes v \otimes m \mapsto \xi(v)m$ . Then

$$\begin{aligned} (\varepsilon'_{M} \circ \mathbb{X}'_{EM})(v_{k}^{*} \otimes v_{l} \otimes m) &= \varepsilon'_{M}(-n\mathrm{id} - \Omega_{V \otimes M})(v_{k}^{*} \otimes v_{l} \otimes m) \\ &= -nv_{k}^{*}(v_{l})m - \varepsilon'_{M} \circ \Omega_{V \otimes M})(v_{k}^{*} \otimes v_{l} \otimes m) \\ &= -n\delta_{kl}m - \varepsilon'_{M}\{\sum_{i,j} e(i,j)v_{k}^{*} \otimes (e(j,i)v_{l} \otimes m + v_{l} \otimes e(j,i)m)\} \\ &= -n\delta_{kl}m - \varepsilon'_{M}\{\sum_{j} -v_{j}^{*} \otimes (e(j,k)v_{l} \otimes m + v_{l} \otimes e(j,k)m)\} \\ &= -n\delta_{kl}m - \varepsilon'_{M}\{\sum_{j} -v_{j}^{*} \otimes (\delta_{kl}v_{j} \otimes m + v_{l} \otimes e(j,k)m)\} \\ &= -n\delta_{kl}m + n\delta_{kl}m + e(l,k)m = e(l,k)m \end{aligned}$$

while

$$\{\varepsilon'_{M} \circ (V^{*} \otimes \mathbb{X}_{M})\}(v_{k}^{*} \otimes v_{l} \otimes m) = \varepsilon'_{M}(v_{k}^{*} \otimes \sum_{i,j} e(i,j)v_{l} \otimes e(j,i)m)$$
$$= \varepsilon'_{M}(v_{k}^{*} \otimes \sum_{i} v_{i} \otimes e(l,i)m) = e(l,k)m.$$

Thus  $\varepsilon'_M \circ \mathbb{X}'_{EM} = \varepsilon'_M \circ (V^* \otimes \mathbb{X}_M)$ , and hence the assertion by (3.4.vi).

(3.6)  $\forall a \in \mathbb{k}$ , let  $E_a$  (resp.  $F_a$ ) denote the direct summand of E (resp. F) given by the generalized *a*-eigenspace of X (resp. X') acting on E (resp. F):  $\forall M \in \text{Rep}(G)$ ,

$$EM = \prod_{a \in \Bbbk} (E_a M) \quad \text{with} \quad E_a M = \bigcup_{r \in \mathbb{N}} \ker(\mathbb{X}_M - a \operatorname{id}_{EM})^r,$$
$$FM = \prod_{a \in \Bbbk} (F_a M) \quad \text{with} \quad F_a M = \bigcup_{r \in \mathbb{N}} \ker(\mathbb{X}'_M - a \operatorname{id}_{FM})^r.$$

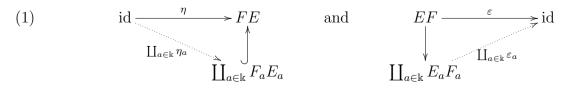
As  $X_M$  and  $X'_M$  are G-equivariant, each  $E_a$  (resp.  $F_a$ ) is a direct summand of E (resp. F) as an endofunctor on  $\operatorname{Rep}(G)$ .

## Lemma [RW, 6.3]: Let $a \in \Bbbk$ .

(i) The unit  $\eta$  and the counit  $\varepsilon$  of the adjunction (E, F) induce a unit  $\eta_a : \mathrm{id} \to F_a E_a$  and a counit  $\varepsilon_a : E_a F_a \to \mathrm{id}$ , resp., making  $(E_a, F_a)$  into an adjoint pair.

(ii) The unit  $\eta'$  and the counit  $\varepsilon'$  induce a unit  $\eta'_a : \mathrm{id} \to E_a F_a$  and a counit  $\varepsilon'_a : F_a E_a \to \mathrm{id}$  of an adjunction  $(F_a, E_a)$ .

**Proof:** (i) We first show that  $\eta$  (resp.  $\varepsilon$ ) factors through  $\coprod_{a \in \Bbbk} \eta_a : \mathrm{id} \to \coprod_{a \in \Bbbk} F_a E_a$  (resp.  $\coprod_{a \in \Bbbk} \varepsilon_a : \coprod_{a \in \Bbbk} E_a F_a \to \mathrm{id}$ )



Let  $M \in \operatorname{Rep}(G)$ ,  $m \in M$  and  $d = \dim FEM$ . Let  $\eta(m)_{ab}$  be the  $F_a E_b M$  component of  $\eta_M(m)$ . Then

$$0 = (\mathbb{X}'_{EM} - a\mathrm{id})^d \eta(m)_{ab} \quad \text{as } \eta(m)_{ab} \in F_a(E_b M)$$
$$= ((V^* \otimes \mathbb{X}_M) - a\mathrm{id})^d \eta(m)_{ab} \quad \text{by (3.5.i)}$$
$$= (V^* \otimes (\mathbb{X}_M - a\mathrm{id}))^d \eta(m)_{ab}.$$

On the other hand,  $0 = (V^* \otimes (X_M - bid))^d \eta(m)_{ab}$  as  $\eta(m)_{ab} \in V^* \otimes (E_b M)$ . It follows that  $\eta(m)_{ab} = 0$  unless a = b, and hence  $\operatorname{im}(\eta_M) \leq \prod_{a \in \Bbbk} F_a E_a M$ .

Let next  $x \in E_a F_b M$  with  $a \neq b$ . Take polynomials  $\phi, \psi \in \mathbb{k}[t]$  with  $(t-a)^d \phi + (t-b)^d \psi = 1$ . Then

$$\varepsilon_{M}(x) = \varepsilon_{M}(\{\phi(\mathbb{X}_{FM})(\mathbb{X}_{FM} - a\mathrm{id})^{d} + \psi(\mathbb{X}_{FM})(\mathbb{X}_{FM} - b\mathrm{id})^{d}\}x)$$

$$= \varepsilon_{M}(\psi(\mathbb{X}_{FM})(\mathbb{X}_{FM} - b\mathrm{id})^{d}x) \quad \text{as } x \in E_{a}(FM)$$

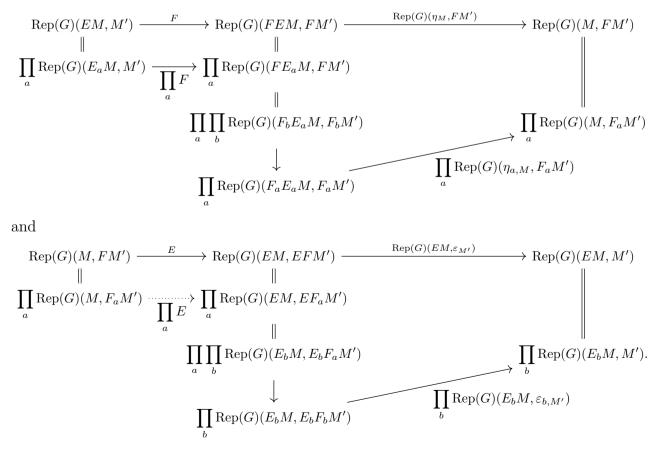
$$= \varepsilon_{M}(\psi(\mathbb{X}_{FM})(V \otimes \mathbb{X}'_{M} - b\mathrm{id})^{d}x) \quad \text{by } (3.5.\mathrm{i})$$

$$= \varepsilon_{M}(\psi(\mathbb{X}_{FM})(V \otimes (\mathbb{X}'_{M} - b\mathrm{id})^{d})x)$$

$$= 0 \quad \text{as } x \in E(F_{b}M).$$

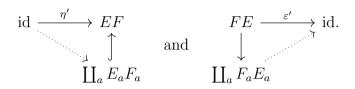
and hence (1) holds.

Recall from (3.3.1) the adjunction  $\operatorname{Rep}(G)(EM, M') \simeq \operatorname{Rep}(G)(M, FM')$  given by  $f \mapsto (Ff) \circ \eta_M$  with inverse  $g \mapsto \varepsilon_{M'} \circ Eg$ . As each  $E_a$  (resp.  $F_a$ ) is a direct summand of E (resp. F), one obtains commutative diagrams



One thus obtains for each  $a \in \mathbb{k}$  isomorphisms  $\operatorname{Rep}(G)(E_aM, M') \simeq \operatorname{Rep}(G)(M, F_aM')$  via  $f \mapsto F_a(f) \circ \eta_{a,M}$  and  $\varepsilon_{a,M'} \circ E_a(g) \leftarrow g$  inverse to each other.

(ii) As in (i) it suffices to show that the induced counit  $\eta' : \mathrm{id} \to EF$  (resp. unit  $\varepsilon' : FE \to \mathrm{id}$ ) factors through  $\prod_{a \in \Bbbk} E_a F_a$  (resp.  $\prod_{a \in \Bbbk} F_a E_a$ )



Let  $\eta'(m)_{ab}$  be the  $E_a F_b M$ -component of  $\eta'_M(m)$ . One has

$$0 = (\mathbb{X}_{FM} - a\mathrm{id})^d \eta'(m)_{ab} = ((V \otimes \mathbb{X}'_M) - a\mathrm{id})^d \eta'(m)_{ab} \quad \text{by (3.5.ii)}$$

while  $0 = \{V \otimes (\mathbb{X}'_M - bid)\}^d \eta'_M(m)_{ab}$ , and hence  $\eta'_M(m) = 0$  unless n + a = n + b. Thus,  $im(\eta'_M) \leq \coprod_a E_a F_a M$ .

Let finally  $y \in F_a E_b M$  with  $a \neq b$ . Then, with  $\phi, \psi \in \mathbf{k}[t]$  as above,

$$\begin{aligned} \varepsilon'_M(y) &= \varepsilon'_M(\{\phi(\mathbb{X}'_{EM})(\mathbb{X}'_{EM} - a\mathrm{id})^d + \psi(\mathbb{X}'_{EM})(\mathbb{X}'_{EM} - b\mathrm{id})^d\}y) = \varepsilon'_M(\psi(\mathbb{X}'_{EM})(\mathbb{X}'_{EM} - b\mathrm{id})^dy) \\ &= \varepsilon'_M(\psi(\mathbb{X}'_{EM})(V^* \otimes \mathbb{X}_M - b\mathrm{id})^dy) \quad \text{by (3.5.ii)} \\ &= 0, \quad \text{as desired.} \end{aligned}$$

(3.7) Recall now from (3.1) the affine Lie algebra  $\widehat{\mathfrak{g}_p}$  over  $\mathbb{C}$  and from (3.2) its natural representation  $\operatorname{nat}_p$ .

**Proposition** [RW, 6.3]: (i)  $\forall a \in \mathbb{k} \setminus \mathbb{F}_p$ ,  $E_a = 0 = F_a$ , and hence  $E = \prod_{a \in \mathbb{F}_p} E_a$ ,  $F = \prod_{a \in \mathbb{F}_p} F_a$ .

(ii) Let 
$$\phi : \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)] \to \wedge^n(\operatorname{nat}_p)$$
 be a  $\mathbb{C}$ -linear isomorphism via  
 $1 \otimes [\Delta(\lambda)] \mapsto m_{\lambda_1} \wedge m_{\lambda_2-1} \wedge \cdots \wedge m_{\lambda_n-n+1} \quad \forall \lambda = (\lambda_1, \dots, \lambda_n) \in \Lambda^+.$ 

 $\forall a \in \mathbb{F}_p$ , regarding it as an element of [0, p], one has a commutative diagram

Thus, we may regard the exact functors  $E_a$ ,  $F_a$ ,  $a \in [0, p[$ , as part of an action of  $\widehat{\mathfrak{gl}}_p$  on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)]$  through  $\phi$ .

(iii) The "block" decomposition  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)] = \coprod_{b \in \Lambda/W_a} \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}_b(G)]$  reads as the weight space decomposition of  $\wedge^n(\operatorname{nat}_p)$  under  $\phi$ ; each  $\phi(\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}_b(G)])$  provides a distinct weight

space on  $\wedge^n(\operatorname{nat}_p)$  of weight  $\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j$  with  $n_j = |\{k \in [1, n] | \lambda_k - k + 1 \equiv j \mod p\}|$  if  $\lambda = (\lambda_1, \ldots, \lambda_n) \in b$ .

**Proof:** See (3.9) below.

(3.8) From (3.7.iii) we see that the set of weights of  $\wedge^n(\operatorname{nat}_p)$  is

$$P(\wedge^{n}(\operatorname{nat}_{p})) = \{k\delta + \sum_{i=1}^{p} n_{i}\hat{\varepsilon}_{i} | k \in \mathbb{Z}, n_{i} \in \mathbb{N}, \sum_{i=1}^{p} n_{i} = n\}.$$

We will denote the bijection  $P(\wedge^n(\operatorname{nat}_p)) \to \Lambda/(\mathcal{W}_a \bullet)$  by  $\iota_n$ . Note that  $\Lambda/(\mathcal{W}_a \bullet)$  is infinite;  $\Lambda = \mathbb{Z} \det \oplus \coprod_{i=1}^{n-1} \mathbb{Z} \varpi_i$  with  $\mathcal{W}_a$  acting trivially on the  $\mathbb{Z}$  det-component.

Let now  $\varpi = \hat{\varepsilon}_1 + \dots + \hat{\varepsilon}_n$ . As  $\phi([\Delta(n, \dots, n)])$  has weight  $\varpi$ ,  $\iota_n(\varpi) = \mathcal{W}_a \bullet (n, \dots, n) = \mathcal{W}_a \bullet n$  det with  $n \det \in A^+$ .  $\forall i \in [1, n[, \phi([\Delta(\underbrace{n, \dots, n}_{n-i}, n+1, n, \dots, n)])$  has weight  $\varpi + \hat{\alpha}_i$ , and hence  $\iota_n(\varpi + \hat{\alpha}_i) = \mathcal{W}_a \bullet (\underbrace{n, \dots, n}_{n-i}, n+1, n, \dots, n) = \mathcal{W}_a \bullet (n \det + \varepsilon_{n-i+1})$ . Put  $\mu_{s_j} = n \det + \varepsilon_{j+1}$ ,  $j \in [1, n[$ .  $\forall k \in [0, n[$ ,

$$\langle \mu_{s_j} + \zeta, \alpha_k^{\vee} \rangle = \begin{cases} 1 + \langle \varepsilon_{j+1}, \alpha_k^{\vee} \rangle & \text{if } k \neq 0, \\ n - 1 + \langle \varepsilon_{j+1}, \varepsilon_1^{\vee} - \varepsilon_n^{\vee} \rangle & \text{if } k = 0 \end{cases}$$

$$= \begin{cases} 0 & \text{if } k = j, \\ 2 & \text{if } k = j + 1, \\ n - 1 & \text{if } k = 0 \text{ and } j \neq n - 1, \\ n - 2 & \text{if } k = 0 \text{ and } j = n - 1, \\ 1 & \text{else}, \end{cases}$$

and hence  $\mu_{s_j}$  lies in the  $s_{\alpha_j}$ -wall of  $A^+$ . For  $\lambda \in \Lambda$ , let us abbreviate  $\mathcal{W}_a \bullet \lambda$  as  $[\lambda]$ , and write  $i_{[\lambda]} : \operatorname{Rep}_{[\lambda]}(G) \hookrightarrow \operatorname{Rep}(G)$ . Then

$$E_{n-j}|_{\operatorname{Rep}_{[n \operatorname{det}]}(G)} = E_{n-j}|_{\operatorname{Rep}_{\iota_n(\varpi)}(G)} = \operatorname{pr}_{\iota_n(\varpi + \hat{\alpha}_{n-j})}(V \otimes ?) \quad \text{by (3.7)}$$
$$= \operatorname{pr}_{[\mu_{s_j}]}(V \otimes \operatorname{pr}_{[n \operatorname{det}]}?) \circ i_{[n \operatorname{det}]} = \operatorname{pr}_{[\mu_{s_j}]}(\nabla(\varepsilon_1) \otimes \operatorname{pr}_{[n \operatorname{det}]}?) \circ i_{[n \operatorname{det}]}.$$

We could abbreviate  $\operatorname{pr}_{[\mu_{s_j}]}$  as  $\operatorname{pr}_{\mu_{s_j}}$  after the convention in (1.10). As  $\mu_{s_j} - n \det = \varepsilon_{j+1} \in \mathcal{W}\varepsilon_1$ ,  $\operatorname{pr}_{[\mu_{s_j}]}(V \otimes \operatorname{pr}_{[n \det]})$  may be taken to be the translation functor  $\operatorname{T}_{n \det}^{\mu_{s_j}}$  by (1.10), and hence

$$E_{n-j}|_{\operatorname{Rep}_{[n \det]}(G)} = \operatorname{T}_{n \det}^{\mu_{s_j}}|_{\operatorname{Rep}_{[n \det]}(G)}.$$

Likewise, as  $n \det -\mu_{s_j} = -\varepsilon_{j+1} \in \mathcal{W}(-\varepsilon_n) = \mathcal{W}(-w_0\varepsilon_1)$  and as  $V^* \simeq \nabla(-w_0\varepsilon_1)$ , one may regard  $F_{n-j}|_{\operatorname{Rep}_{[\mu_{s_j}]}(G)}$  as the translation functor  $\operatorname{T}_{\mu_{s_j}}^{n \det}|_{\operatorname{Rep}_{[\mu_{s_j}]}(G)}$ .

Consider next  $\mu_{s_0} = (p+1, n, \dots, n) \in \Lambda^+$ .  $\forall k \in [0, n[,$ 

$$\langle \mu_{s_0} + \zeta, \alpha_k^{\vee} \rangle = \begin{cases} p & \text{if } k = 0, \\ p - n + 2 & \text{if } k = 1, \\ 1 & \text{else,} \end{cases}$$

and hence  $\mu_{s_0}$  lies in the  $s_{\alpha_0,1}$ -wall of  $A^+$ .

**Corollary** [RW, Rmk. 6.4.7]: (i)  $\forall j \in [1, n[$ , one may regard  $E_{n-j}$  (resp.,  $F_{n-j}$ ) as the translation functor  $T_{n \det}^{\mu_{s_j}}$  (resp.  $T_{\mu_{s_j}}^{n \det}$ ) restricted to  $\operatorname{Rep}_{[n \det]}(G)$  (resp.  $\operatorname{Rep}_{[\mu_{s_j}]}(G)$ ).

(ii) One may take  $E_0E_{p-1}\ldots E_{n+1}E_n|_{\operatorname{Rep}_{[n \operatorname{det}]}(G)}$  (resp.  $F_nF_{n+1}\ldots F_{p-1}F_0|_{\operatorname{Rep}_{[\mu_{s_0}]}(G)}$ ) to be the translation functor  $\operatorname{T}_{n \operatorname{det}}^{\mu_{s_0}}$  (resp.  $\operatorname{T}_{\mu_{s_0}}^{n \operatorname{det}}$ ) restricted to  $\operatorname{Rep}_{[n \operatorname{det}]}(G)$  (resp.  $\operatorname{Rep}_{[\mu_{s_0}]}(G)$ ).

**Proof:** We have only to show (ii). One checks first that  $\phi([\Delta(\varepsilon_1 + n \det)])$  has weight  $\hat{\varepsilon}_1 + \cdots + \hat{\varepsilon}_{n-1} + \hat{\varepsilon}_{n+1} = \varpi + \hat{\alpha}_n$ , and that  $\forall i \in [0, n[,$ 

$$\langle \varepsilon_1 + n \det +\zeta, \alpha_i^{\vee} \rangle = \begin{cases} n & \text{if } i = 0\\ 2 & \text{if } i = 1\\ 1 & \text{else.} \end{cases}$$

Thus,  $\iota_n(\varpi + \hat{\alpha}_n) \ni \varepsilon_1 + n \det = (n + 1, n, \dots, n) \in A^+$ . Then

$$E_n|_{\operatorname{Rep}_{\iota_n(\varpi)}(G)} = \operatorname{pr}_{\iota_n(\varpi + \hat{\alpha}_n)}(V \otimes ?) \quad \text{by (3.7)}$$
$$= \operatorname{pr}_{[\varepsilon_1 + n \det]}(\nabla(\varepsilon_1) \otimes \operatorname{pr}_{[n \det]}?) \circ i_{[n \det]}?$$

As  $\varepsilon_1 = (\varepsilon_1 + n \det) - n \det$ , one may take  $\operatorname{pr}_{[\varepsilon_1 + n \det]}(\nabla(\varepsilon_1) \otimes \operatorname{pr}_{n \det})$  to be  $\operatorname{T}_{n \det}^{\varepsilon_1 + n \det}$  by (1.10).

One checks next that  $\phi([\Delta(n+2, n, ..., n)])$  has weight  $\varpi + \hat{\alpha}_n + \hat{\alpha}_{n+1}$  and that  $(n+2, n, ..., n) = 2\varepsilon_1 + n \det \in \overline{A^+}$ . Then

$$E_{n+1}|_{\operatorname{Rep}_{\iota_n(\varpi+\hat{\alpha}_n)}(G)} = \operatorname{pr}_{\iota_n(\varpi+\hat{\alpha}_n+\hat{\alpha}_{n+1})}(V\otimes?) = \operatorname{pr}_{[2\varepsilon_1+n\,\operatorname{det}]}(\nabla(\varepsilon_1)\otimes\operatorname{pr}_{[\varepsilon_1+n\,\operatorname{det}]}?) \circ i_{[\varepsilon_1+n\,\operatorname{det}]}.$$

As  $\varepsilon_1 = (2\varepsilon_1 + n \det) - (\varepsilon_1 + n \det)$ , one may take  $\operatorname{pr}_{[2\varepsilon_1 + n \det]}(\nabla(\varepsilon_1) \otimes \operatorname{pr}_{[\varepsilon_1 + n \det]}?)$  to be  $\operatorname{T}_{\varepsilon_1 + n \det}^{2\varepsilon_1 + n \det}$  by (1.10) again. If  $2\varepsilon_1 + n \det \notin A^+$ , repeat the argument to find  $\iota_n(\varpi + \hat{\alpha}_n + \hat{\alpha}_{n+1} + \cdots + \hat{\alpha}_{p-1}) \ni (p-n)\varepsilon_1 + n \det = (p, n, \dots, n) \in A^+$ , and that

$$E_{p-1}|_{\operatorname{Rep}_{\iota_n(\varpi+\hat{\alpha}_n+\cdots+\hat{\alpha}_{p-2})}(G)} = \operatorname{pr}_{\iota_n(\varpi+\hat{\alpha}_n+\cdots+\hat{\alpha}_{p-1}))}(V\otimes ?)$$
$$= \operatorname{pr}_{[(p-n)\varepsilon_1+n\operatorname{det}]}(\nabla(\varepsilon_1)\otimes\operatorname{pr}_{[(p-n-1)\varepsilon_1+n\operatorname{det}]}?) \circ i_{[(p-n-1)\varepsilon_1+n\operatorname{det}]}?$$

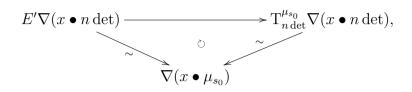
with  $\operatorname{pr}_{[(p-n)\varepsilon_1+n\operatorname{det}]}(\nabla(\varepsilon_1)\otimes\operatorname{pr}_{(p-n-1)\varepsilon_1+n\operatorname{det}}?)$  one may take to be  $\operatorname{T}_{(p-n-1)\varepsilon_1+n\operatorname{det}}^{(p-n)\varepsilon_1+n\operatorname{det}}$ .

Finally,  $\phi([\Delta(p+1, n, \dots, n)])$  has weight  $\delta + 2\varepsilon_1 + \varepsilon_2 + \dots + \varepsilon_{n-1} = \varpi + \hat{\alpha}_n + \hat{\alpha}_{n+1} + \dots + \hat{\alpha}_{p-1} + \hat{\alpha}_0$  with  $(p+1, n, \dots, n) = (p+1-n)\varepsilon_1 + n \det = \mu_{s_0}$ . Then

$$E_0|_{\operatorname{Rep}_{\iota_n(\varpi+\hat{\alpha}_n+\cdots+\hat{\alpha}_{p-1})}(G)} = \operatorname{pr}_{[(p+1-n)\varepsilon_1+n\det]}(\nabla(\varepsilon_1) \otimes \operatorname{pr}_{(p-n)\varepsilon_1+n\det}?) \circ i_{[(p-n)\varepsilon_1+n\det]}(\nabla(\varepsilon_1) \otimes \operatorname{pr}_{(p-n)\varepsilon_1+n\det}?) \circ i_{[(p-n)\varepsilon_1+n\det]}$$

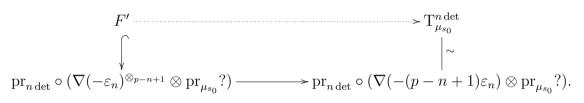
with  $\operatorname{pr}_{[(p+1-n)\varepsilon_1+n \det]}(\nabla(\varepsilon_1) \otimes \operatorname{pr}_{[(p-n)\varepsilon_1+n \det]}?)$  one may take to be  $\operatorname{T}_{(p-n)\varepsilon_1+n \det}^{(p+1-n)\varepsilon_1+n \det} = \operatorname{T}_{(p-n)\varepsilon_1+n \det}^{\mu_{s_0}}$ .

Put  $E' = T_{(p-n)\varepsilon_1+n \det}^{\mu_{s_0}} T_{(p-n-1)\varepsilon_1+n \det}^{(p-n)\varepsilon_1+n \det} \dots T_{n \det}^{\varepsilon_1+n \det}$ . Thus, E' is a direct summand of  $\operatorname{pr}_{\mu_{s_0}} \circ (V^{\otimes_{p-n+1}} \otimes \operatorname{pr}_{n \det}?)$  while  $T_{n \det}^{\mu_{s_0}} \simeq \operatorname{pr}_{\mu_{s_0}} \circ (\nabla((p-n+1)\varepsilon_1) \otimes \operatorname{pr}_{n \det}?)$  as  $\mu_{s_0} - n \det = (p-n+1)\varepsilon_1$ . Recall from (1.8) that one has an epi  $V^{\otimes_{p-n+1}} = \nabla(\varepsilon_1)^{\otimes_{p-n+1}} \to \nabla((p-n+1)\varepsilon_1)$ . There follows a morphism of functors  $E' \to T_{n \det}^{\mu_{s_0}}$ . As  $i\varepsilon_1 + n \det \in A^+ \quad \forall i \in [0, p-n[$ , and as  $\mu_{s_0}$  is lying on the  $s_{\alpha_0,1}$ -face of  $A^+$ ,  $\forall \xi \in \Lambda^+ \cap \{\mathcal{W}_a \bullet (n \det)\}$ ,  $\forall x \in {}^f \mathcal{W}$  with  $x \bullet n \det < xs_{\alpha_0,1} \bullet n \det$ , chasing a highest weight vector yields a nonzero morphism  $E' \nabla(x \bullet n \det) \to T_{n \det}^{\mu_{s_0}} \nabla(x \bullet n \det)$ :

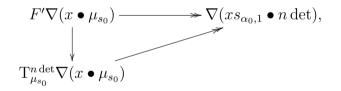


which is therefore invertible;  $\operatorname{Rep}(G)(\nabla(x \bullet \mu_{s_0}), \nabla(x \bullet \mu_{s_0})) \simeq \Bbbk$ . In turn, the isomorphism  $E'\nabla(x \bullet n \det) \to \operatorname{T}_{n \det}^{\mu_{s_0}} \nabla(x \bullet n \det)$  induces an isomorphism  $E'L(x \bullet n \det) \to \operatorname{T}_{n \det}^{\mu_{s_0}} L(x \bullet n \det)$ . As  $E'L(xs_{\alpha_{0,1}} \bullet n \det) = 0 = \operatorname{T}_{n \det}^{\mu_{s_0}} L(xs_{\alpha_{0,1}} \bullet n \det)$ , the morphism  $E' \to \operatorname{T}_{n \det}^{\mu_{s_0}}$  induces an isomorphism  $E'L(y \bullet n \det) \to \operatorname{T}_{n \det}^{\mu_{s_0}} L(y \bullet n \det) \forall y \in {}^{f}\mathcal{W}$ , and hence  $\operatorname{T}_{n \det}^{\mu_{s_0}} \simeq E'$  by the 5-lemma.

Likewise, if we put  $F' = T_{\varepsilon_1+n \det}^{n \det} \dots T_{(p-n)\varepsilon_1+n \det}^{(p-n-1)\varepsilon_1+n \det} T_{\mu_{s_0}}^{(p-n)\varepsilon_1+n \det}$ , there is a morphism of functors



For each  $x \in \mathcal{W}_a$  with  $x \bullet \mu_{s_0} \in \Lambda^+$  we may assume  $x \bullet n \det \langle xs_{\alpha_0,1} \bullet n \det$ . Chasing a highest weight vector again yields a commutative diagram



and hence a commutative diagram of short exact sequences

$$\begin{array}{cccc} 0 \longrightarrow \nabla(x \bullet n \det) \longrightarrow F' \nabla(x \bullet \mu_{s_0}) \longrightarrow \nabla(x s_{\alpha_0, 1} \bullet n \det) \longrightarrow 0 \\ & & & & \\ & & & & \\ 0 \longrightarrow \nabla(x \bullet n \det) \longrightarrow T^{n \det}_{\mu_{s_0}} \nabla(x \bullet \mu_{s_0}) \longrightarrow \nabla(x s_{\alpha_0, 1} \bullet n \det). \longrightarrow 0 \end{array}$$

Then the middle vertical arrow is invertible by the 5-lemma. There follows an isomorphism  $F' \to T^{n \text{ det}}_{\mu_{s_0}}$  by the 5-lemma.

(3.9) Analogous assertions hold for  $G_1T$ -modules with  $\wedge^n$  replaced by  $\otimes^n$  and  $\Delta(\lambda)$ ,  $\lambda \in \Lambda^+$ , by  $\hat{\Delta}(\lambda) = \text{Dist}(G_1) \otimes_{\text{Dist}(B_1^+)} \lambda$ ,  $\lambda \in \Lambda$ . As the  $[\hat{\Delta}(\lambda)]$ ,  $\lambda \in \Lambda$ , do not span the whole of  $\text{Rep}(G_1T)$  [J, II.9.9], we consider the additive full subcategory  $\text{Rep}'(G_1T)$  of  $\text{Rep}(G_1T)$  consisting of those admitting a filtration with subquotients  $\hat{\Delta}(\lambda)$ ,  $\lambda \in \Lambda$ , and hence the Grothendieck group [ $\text{Rep}'(G_1T)$ ] of  $\text{Rep}'(G_1T)$  has  $\mathbb{Z}$ -basis [ $\hat{\Delta}(\lambda)$ ],  $\lambda \in \Lambda$ ; although  $\text{Rep}'(G_1T)$  does not form a Serre subcategory of  $\text{Rep}(G_1T)$  we may talk about its Grothendieck group [CR, 16.3].

Note that, as  $\eta'_{\Bbbk}$  and  $\mathfrak{a}$  are both *G*-equivariant,  $\mathbb{X}_M$  is  $G_1T$ -equivariant  $\forall M \in \operatorname{Rep}(G_1T)$ , and hence all  $E_a$ ,  $a \in \Bbbk$ , are  $G_1T$ -equivariant on  $\operatorname{Rep}(G_1T)$ . Likewise for the  $F_a$ 's. One could also argue with (3.3.ii).

**Proposition:** (i)  $\forall a \in \mathbb{k} \setminus \mathbb{F}_p$ ,  $E_a = 0 = F_a$ , and hence  $E = \coprod_{a \in \mathbb{F}_p} E_a$ ,  $F = \coprod_{a \in \mathbb{F}_p} F_a$ .

(ii) Let  $\phi' : \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_1T)] \to \otimes^n(\operatorname{nat}_p)$  be a  $\mathbb{C}$ -linear isomorphism via

$$[\mathring{\Delta}(\lambda)] \mapsto m_{\lambda_1} \otimes m_{\lambda_2 - 1} \otimes \cdots \otimes m_{\lambda_n - n + 1} \quad \forall \lambda = (\lambda_1, \dots, \lambda_n) \in \Lambda.$$

 $\forall a \in \mathbb{F}_p$ , regarding it as an element of [0, p], one has a commutative diagram

$$\otimes^{n}(\operatorname{nat}_{p}) \xleftarrow{\phi'}{\sim} \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_{1}T)] \xrightarrow{\phi'}{\sim} \otimes^{n}(\operatorname{nat}_{p})$$

$$\stackrel{\hat{e}_{a}}{\downarrow} \qquad \qquad \mathbb{C} \otimes_{\mathbb{Z}} [E_{a}] \downarrow \downarrow \mathbb{C} \otimes_{\mathbb{Z}} [F_{a}] \qquad \qquad \downarrow \hat{f}_{a}$$

$$\otimes^{n}(\operatorname{nat}_{p}) \xleftarrow{\sim}{\phi'} \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_{1}T)] \xrightarrow{\sim}{\phi'} \otimes^{n}(\operatorname{nat}_{p}).$$

Thus, we may regard the exact functors  $E_a$ ,  $F_a$ ,  $a \in [0, p[$ , as part of an action of  $\widehat{\mathfrak{gl}}_p$  on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_1T)]$  through  $\phi'$ .

(iii) The "block" decomposition  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_1T)] = \coprod_{b \in \Lambda/\mathcal{W}_a} \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'_b(G_1T)]$  reads as the weight space decomposition of  $\otimes^n(\operatorname{nat}_p)$  under  $\phi'$ ; each  $\phi'(\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'_b(G_1T)])$  provides a distinct weight space on  $\otimes^n(\operatorname{nat}_p)$  of weight  $\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j$  with  $n_j = |\{k \in [1,n] | \lambda_k - k + 1 \equiv j \mod p\}|$  if  $\lambda = (\lambda_1, \ldots, \lambda_n) \in b$ .

**Proof:** Let  $\mathbb{U}(\mathfrak{g})$  be the universal enveloping algebra of  $\mathfrak{g}$ , and let  $C = \sum_{i,j=1}^{n} e(i,j)e(j,i) \in \mathbb{U}(\mathfrak{g})$  be the Casimir element with respect to the trace form on V:  $\operatorname{Tr}(e(j,i)e(k,l)) = \delta_{ik}\delta_{jl}$ . Then

(1) 
$$C$$
 is central in  $\mathbb{U}(\mathfrak{g})$ .

For let  $x \in \mathfrak{g}$ . Enumerate the e(i, j) as  $x_1, \ldots, x_N$ ,  $N = n^2$ , and let  $y_1, \ldots, y_N$  be their dual basis with respect to the trace form. In  $\mathbb{U}(\mathfrak{g})$ 

$$Cx = \sum_{i=1}^{N} x_i y_i x = \sum_{i=1}^{N} ([x_i y_i, x] + x x_i y_i) = xC + \sum_{i=1}^{N} [x_i y_i, x]$$

with  $[x_i y_i, x] = [x_i, x] y_i + x_i [y_i, x]$ . Write  $[x_i, x] = \sum_{j=1}^N \xi_{ij} x_i$  and  $[y_i, x] = \sum_{j=1}^N \xi'_{ij} y_i$  for some  $\xi_{ij}, \xi'_{ij} \in \mathbb{k}$ . Then  $\xi_{ij} = \text{Tr}([x_i, x] y_j) = \text{Tr}(x_i [x, y_j]) = -\xi'_{ji}$ , and hence  $[x_i, x] y_i = \sum_{j=1}^N \xi_{ji} x_j y_i = -\sum_{j=1}^N \xi'_{ji} x_j y_i$  while  $x_i [y_i, x] = \sum_{j=1}^N \xi'_{ij} x_i y_j$ . It follows that

$$\sum_{i=1}^{N} [x_i y_i, x] = \sum_{i=1}^{N} ([x_i, x] y_i + x_i [y_i, x]) = \sum_{i=1}^{N} (-\sum_{j=1}^{N} \xi'_{ji} x_j y_i + \sum_{j=1}^{N} \xi'_{ij} x_i y_j) = 0,$$

and hence Cx = xC. As  $\text{Dist}(G) = \text{Dist}(G_{\mathbb{Z}}) \otimes_{\mathbb{Z}} \Bbbk$ , C is central in Dist(G) also.

Let us denote by  $\Delta : \mathbb{U}(\mathfrak{g}) \to \mathbb{U}(\mathfrak{g}) \otimes \mathbb{U}(\mathfrak{g})$  the comultiplication on  $\mathbb{U}(\mathfrak{g})$ . Then in  $\mathbb{U}(\mathfrak{g}) \otimes \mathbb{U}(\mathfrak{g})$  one has

$$\Delta(C) = \sum_{i,j} (e(j,i) \otimes 1 + 1 \otimes e(j,i))(e(i,j) \otimes 1 + 1 \otimes e(i,j))$$
$$= \sum_{i,j} (e(j,i)e(i,j) \otimes 1 + e(i,j) \otimes e(j,i) + e(j,i) \otimes e(i,j) + 1 \otimes e(j,i)e(i,j)),$$

and hence

(2) 
$$\Omega = \frac{1}{2} \{ \Delta(C) - C \otimes 1 - 1 \otimes C \},$$

which also explains (3.3.ii) at least when  $p \neq 2$ . Write  $C = 2 \sum_{i < j} e(j, i) e(i, j) + \sum_{i=1}^{n} e(i, i)^2 + \sum_{i < j} (e(i, i) - e(j, j)).$ 

Let  $\lambda = (\lambda_1, \ldots, \lambda_n) = \sum_{i=1}^n \lambda_i \varepsilon_i \in \Lambda$ . As  $\hat{\Delta}(\lambda) = \text{Dist}(G_1) \otimes_{\text{Dist}(B_1^+)} \lambda$  and as  $\mathbb{U}(\mathfrak{g}) \twoheadrightarrow$  Dist $(G_1), C$  acts on  $\hat{\Delta}(\lambda)$  by the scalar

(3) 
$$b_{\lambda} := \sum_{i=1}^{n} \lambda_i^2 + \sum_{i < j} (\lambda_i - \lambda_j).$$

For e(i, i) acts on  $1 \otimes 1$  by scalar  $\lambda(e(i, i)) = \lambda_i$ . If i > j,  $e(j, i) \in \text{Dist}(U_1^+)$  annihilates  $1 \otimes 1$ , and hence, for i < j, e(i, j)e(j, i) = e(j, i)e(i, j) + [e(i, j), e(j, i)] = e(j, i)e(i, j) + e(i, i) - e(j, j)acts on  $1 \otimes 1$  by scalar  $\lambda(e(i, i) - e(j, j)) = \lambda_i - \lambda_j$ .

One has

$$\begin{split} E\hat{\Delta}(\lambda) &= V \otimes \hat{\Delta}(\lambda) = V \otimes \operatorname{ind}_{B^+}^{G_1B^+}(\lambda - 2(p-1)\rho) \quad [\text{J, II.9.2}] \\ &\simeq \operatorname{ind}_{B^+}^{G_1B^+}(V \otimes (\lambda - 2(p-1)\rho)) \quad \text{by the tensor identity [J, I.3.6]}, \end{split}$$

and hence  $E\hat{\Delta}(\lambda)$  admits a filtration with the subquotients  $\hat{\Delta}(\lambda + \varepsilon_i)$ ,  $i \in [1, n]$ . As C acts on  $V \otimes \hat{\Delta}(\lambda)$  through the comultiplication and as  $V = \Delta(\varepsilon_1)$ , we see from (2) and (3) that  $\Omega$  acts on  $\hat{\Delta}(\varepsilon_i + \lambda)$  by scalar

(4) 
$$\frac{1}{2}(b_{\lambda+\varepsilon_i} - b_{\varepsilon_1} - b_{\lambda}) = \lambda_i - i + 1.$$

It follows from (3.4.i) that all the eigenvalues of  $\mathbb{X}_{\hat{\Delta}(\lambda)}$  on  $E\hat{\Delta}(\lambda)$  belong to  $\mathbb{F}_p$ . Thus,  $\prod_{a\in\mathbb{F}_p}(\mathbb{X}_M-a)^{\dim M}$  annihilates any  $M\in \operatorname{Rep}'(G_1T)$ . Then  $E_a=0$  unless  $a\in\mathbb{F}_p$ , and hence  $E=\coprod_{a\in\mathbb{F}_p}E_a$ .

(5) 
$$[E_a][\hat{\Delta}(\lambda)] = \sum_{\substack{i \in [1,n]\\\lambda_i - i + 1 \equiv a \bmod p}} [\hat{\Delta}(\lambda + \varepsilon_i)].$$

For  $\mu \in \Lambda$  write  $\lambda \to_a \mu$  iff there is  $i \in [1, n]$  with  $\lambda_i - i + 1 \equiv a \mod p$  such that  $\mu = \lambda + \varepsilon_i$ . Then (5) reads

(6) 
$$[E_a][\hat{\Delta}(\lambda)] = \sum_{\substack{\mu \in \Lambda \\ \lambda \to a\mu}} [\hat{\Delta}(\mu)].$$

Turning to F, as  $F\hat{\Delta}(\lambda) = V^* \otimes \hat{\Delta}(\lambda) \simeq \operatorname{ind}_{B^+}^{G_1B^+}(V^* \otimes (\lambda - 2(p-1)\rho))$ , the subquotients of  $F\hat{\Delta}(\lambda)$  in its  $\hat{\Delta}$ -filtration are  $\hat{\Delta}(\lambda - \varepsilon_i)$ ,  $i \in [1, n]$ . It follows that the eigenvalues of  $\mathbb{X}_{\hat{\Delta}(\lambda)}$  on  $F\hat{\Delta}(\lambda)$  are, as  $V^* = \Delta(-\varepsilon_n)$ ,  $-n - \frac{1}{2}(b_{\lambda - \varepsilon_i} - b_{-\varepsilon_n} - b_{\lambda}) = \lambda_i - i$  by (3.4). Then  $F_a = 0$  unless  $a \in \mathbb{F}_p$ , and hence  $F = \coprod_{a \in \mathbb{F}_p} F_a$ .  $\forall a \in \mathbb{F}_p \ \forall \lambda \in \Lambda$ ,

(7) 
$$[F_a][\hat{\Delta}(\lambda)] = \sum_{\substack{i \in [1,n]\\\lambda_i - i \equiv a \bmod p}} [\hat{\Delta}(\lambda - \varepsilon_i)] = \sum_{\substack{\mu \in \Lambda\\\mu \to a\lambda}} [\hat{\Delta}(\mu)].$$

Now,

$$(\phi' \circ [E_a])[\hat{\Delta}(\lambda)] = \phi'(\sum_{\substack{\mu \in \Lambda \\ \lambda \to a\mu}} [\hat{\Delta}(\mu)]) = \sum_{\substack{\mu \in \Lambda \\ \lambda \to a\mu}} m_{\mu_1} \otimes m_{\mu_2 - 1} \otimes \cdots \otimes m_{\mu_n - n + 1}$$

while

$$\begin{aligned} (\hat{e}_a \circ \phi')[\hat{\Delta}(\lambda)] &= \hat{e}_a(m_{\lambda_1} \otimes m_{\lambda_2 - 1} \otimes \cdots \otimes m_{\lambda_n - n + 1}) \\ &= (\hat{e}_a m_{\lambda_1}) \otimes m_{\lambda_2 - 1} \otimes \cdots \otimes m_{\lambda_n - n + 1} \\ &+ m_{\lambda_1} \otimes (\hat{e}_a m_{\lambda_2 - 1}) \otimes m_{\lambda_3 - 2} \otimes \cdots \otimes m_{\lambda_n - n + 1} + \dots \\ &+ m_{\lambda_1} \otimes \cdots \otimes m_{\lambda_{n - 1} - n + 2} \otimes (\hat{e}_a m_{\lambda_n - n + 1}). \end{aligned}$$

For  $\mu \in \Lambda$  with  $\lambda \to_a \mu$  there is  $j \in [1, n]$  with  $\lambda_j - j + 1 \equiv a \mod p$  such that  $\forall k \in [1, n]$ ,

$$\mu_k = \begin{cases} \lambda_k + 1 & \text{if } k = j, \\ \lambda_k & \text{else.} \end{cases}$$

On the other hand, by (3.2.1)

$$\hat{e}_a m_{\lambda_i - i + 1} = \begin{cases} m_{\lambda_i - i + 2} & \text{if } \lambda_i - i + 1 \equiv a \mod p, \\ 0 & \text{else.} \end{cases}$$

Thus,

$$(\hat{e}_a \circ \phi')[\hat{\Delta}(\lambda)] = \sum_{\substack{i \\ \lambda_i - i + 1 \equiv a \mod p}} m_{\lambda_1} \otimes m_{\lambda_2 - 1} \otimes \cdots \otimes m_{\lambda_{i-1} - i + 2} \otimes m_{\lambda_i - i + 2} \otimes m_{\lambda_{i+1} - i} \otimes \cdots \otimes m_{\lambda_n - n + 1}$$

$$= (\phi' \circ [E_a])[\hat{\Delta}(\lambda)].$$

Likewise,  $\hat{f}_a \circ \phi' = \phi' \circ [F_a] \ \forall a \in [0, p[.$ 

(iii) The weight of  $m_{\nu_1} \otimes \cdots \otimes m_{\nu_n} \in \otimes^n(\operatorname{nat}_p)$  is, writing  $\nu_i = \nu_{i0} + \nu_{i1}p$  with  $\nu_{i0} \in [1, p]$ ,

$$(\hat{\varepsilon}_{\nu_{10}} + \nu_{11}\delta) + \dots + (\hat{\varepsilon}_{\nu_{n0}} + \nu_{n1}\delta) = (\sum_{i=1}^{n} \nu_{i1})\delta + \sum_{i=1}^{n} \hat{\varepsilon}_{\nu_{i0}} = (\sum_{i=1}^{n} \nu_{i1})\delta + \sum_{j=1}^{p} n_j \hat{\varepsilon}_j$$

with  $n_j = |\{i \in [1,n] | \nu_{i0} = j\}| = |\{i \in [1,n] | \nu_i \equiv j \mod p\}|$ ; in particular,  $\sum_j n_j = n$ . It follows  $\forall \lambda, \mu \in \Lambda$  that  $\phi'([\hat{\Delta}(\lambda)]) = m_{\lambda_1} \otimes m_{\lambda_2-1} \otimes \cdots \otimes m_{\lambda_n-n+1}$  and  $\phi'([\hat{\Delta}(\mu)]) = m_{\mu_1} \otimes m_{\mu_2}$ 

$$\begin{split} & m_{\mu_2-1}\otimes\cdots\otimes m_{\mu_n-n+1} \text{ have the same weight iff} \\ & \sum_{i=1}^n (\lambda_i-i+1)_1 = \sum_{i=1}^n (\mu_i-i+1)_1 \text{ and } \forall j \in [1,p], \ |\{i \in [1,n] | \lambda_i-i+1 \equiv j \mod p\}| = |\{i \in [1,n] | \mu_i-i+1 \equiv j \mod p\}| \\ & \text{iff } \sum_{i=1}^n (\lambda+\zeta)_{i1} = \sum_{i=1}^n (\mu+\zeta)_{i1} \text{ and } \forall j \in [1,p], \ |\{i \in [1,n] | (\lambda+\zeta)_i \equiv j \mod p\}| = |\{i \in [1,n] | (\mu+\zeta)_i \equiv j \mod p\}| \text{ as } \zeta = (0,-1,\ldots,-n+1) \\ & \text{iff } \exists \sigma \in \mathfrak{S}_n \text{ and } \nu_1,\ldots,\nu_n \in \mathbb{Z} \text{ with } \nu_1+\cdots+\nu_n=0; \ (\lambda+\zeta)-\sigma(\mu+\zeta)=p(\nu_1,\ldots,\nu_n) \\ & \text{iff } \lambda+\zeta \in \mathcal{W}_a(\mu+\zeta) \text{ as } \{(\nu_1,\ldots,\nu_n) \in \mathbb{Z}^{\oplus_n} | \nu_1+\cdots+\nu_n=0\} = \mathbb{Z}R \\ & \text{iff } \lambda \in \mathcal{W}_a \bullet \mu, \text{ as desired.} \end{split}$$

(3.10) Let  $a \in [0, p[$ . We have seen above that  $\mathbb{C} \otimes [\operatorname{Rep}'(G_1T)]$  admits a structure of  $\mathfrak{sl}_2(\mathbb{C})$ -module such that

$$x = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \mapsto \mathbb{C} \otimes [E_a] \text{ and } y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \mapsto \mathbb{C} \otimes [F_a].$$

We show that the action extends to  $\mathbb{C} \otimes [\operatorname{Rep}(G_1T)]$ .

**Corollary:** (i) There is a structure of  $\mathfrak{sl}_2(\mathbb{C})$ -module on  $\mathbb{C}\otimes[\operatorname{Rep}(G_1T)]$  such that  $x \mapsto \mathbb{C}\otimes[E_a]$ and  $y \mapsto \mathbb{C}\otimes[F_a]$ . As such, each  $1 \otimes [\hat{L}(\lambda)]$ ,  $\lambda \in \Lambda$ , has weight  $\{\sum_{i=1}^n (\lambda_i - i + 1)_i \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j\}(\hat{h}_a)$  with respect to [x, y]. Thus,  $\operatorname{Rep}(G_1T)$  provides an  $\mathfrak{sl}_2$ -categorification of  $\mathbb{C} \otimes \mathbb{Z}[\operatorname{Rep}(G_1T)]$  in the sense of [ChR]/[Ro].

(ii)  $\forall j \in [1, n[, one may regard E_{n-j} (resp., F_{n-j}) as the translation functor <math>T_{n \det}^{\mu_{s_j}}$  (resp.  $T_{\mu_{s_j}}^{n \det}$ ) restricted to  $\operatorname{Rep}_{[n \det]}(G_1T)$  (resp.  $\operatorname{Rep}_{[\mu_{s_j}]}(G_1T)$ ). Also, one may take  $E_0E_{p-1}\ldots E_{n+1}E_n|_{\operatorname{Rep}_{[n \det]}(G_1T)}$  (resp.  $F_nF_{n+1}\ldots F_{p-1}F_0|_{\operatorname{Rep}_{[\mu_{s_0}]}(G_1T)}$ ) to be the translation functor  $T_{n \det}^{\mu_{s_0}}$  (resp.  $T_{\mu_{s_0}}^{n \det}$ ) restricted to  $\operatorname{Rep}_{[n \det]}(G_1T)$  (resp.  $\operatorname{Rep}_{[\mu_{s_0}]}(G_1T)$ ).

**Proof:** (i) As  $E_a$  and  $F_a$  are exact on  $\text{Rep}(G_1T)$ , they define

$$[E_a], [F_a] \in \operatorname{Mod}_{\mathbb{Z}}([\operatorname{Rep}(G_1T)], [\operatorname{Rep}(G_1T)]),$$

and hence also  $\mathbb{C} \otimes_{\mathbb{Z}} [E_a], \mathbb{C} \otimes_{\mathbb{Z}} [F_a] \in \operatorname{Mod}_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)], \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)])$ , which we will abbreviate as  $[E_a]$  and  $[F_a]$ , resp. We thus get a  $\mathbb{C}$ -algebra homomorphism  $\theta : T_{\mathbb{C}}(x, y) \to \operatorname{Mod}_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)], \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)])$  such that  $x \mapsto [E_a]$  and  $y \mapsto [F_a]$ , where  $T_{\mathbb{C}}(x, y)$  denotes the tensor algebra of 2-dimensional  $\mathbb{C}$ -linear space  $\mathbb{C}x \oplus \mathbb{C}y$ . Put  $z = x \otimes y - y \otimes x$ . We show that

$$z \otimes x - x \otimes z - 2x, z \otimes y - y \otimes z + 2y \in \ker \theta,$$

and hence  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)]$  is equipped with a structure of  $\mathbb{U}(\mathfrak{sl}_2(\mathbb{C}))$ -module.

Now, we know from (3.9) that both  $z \otimes x - x \otimes z - 2x$  and  $z \otimes y - x \otimes z + 2y$  annihilate  $\mathbb{C}$ -linear subspace  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_1T)]$  of  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)]$ . We are to show that they both annihilate  $[\hat{L}(\lambda)]$   $\forall \lambda \in \Lambda$ . We have an exact sequence of  $G_1T$ -modules

$$0 \to M' \to M_r \to \cdots \to M_1 \to \tilde{L}(\lambda) \to 0$$

such that all  $M_i \in \operatorname{Rep}'(G)$  and that all of the composition factors  $\hat{L}(\mu)$  of M' have  $\mu \ll \lambda$ . As  $\hat{\Delta}(\mu) \twoheadrightarrow \hat{L}(\mu)$ , the composition factors of  $E_a \hat{L}(\mu)$  (resp.  $F_a \hat{L}(\mu)$ ) are among those of  $E_a \hat{\Delta}(\mu)$  (resp.  $F_a \hat{\Delta}(\mu)$ ). For  $X \in [\operatorname{Rep}(G_1 T)]$  write  $X = \sum_{\nu \in \Lambda} X_\nu[\hat{L}(\nu)]$  with  $X_\nu \in \mathbb{Z}$  and set  $\operatorname{supp}(X) = \{\hat{L}(\nu) | X_\nu \neq 0\}$ . Thus,

 $\sup p((zx - xz - 2x)[\hat{L}(\mu)]) \subseteq$  $\sup p(xyx)[\hat{\Delta}(\mu)]) \cup \sup p(yxx)[\hat{\Delta}(\mu)]) \cup \sup p(xxy)[\hat{\Delta}(\mu)]) \cup \sup p(xyx)[\hat{\Delta}(\mu)]) \cup \sup p(x[\hat{\Delta}(\mu)]) \cup$  $\forall \nu \in \Lambda, \text{ we have}$ 

$$\operatorname{supp}(x[\hat{\Delta}(\nu)]) = \bigcup_{\substack{i \in [1,n] \\ \nu_i - i + 1 \equiv a \mod p}} \operatorname{supp}([\hat{\Delta}(\nu + \varepsilon_i)])$$
$$\operatorname{supp}(y[\hat{\Delta}(\nu)]) = \bigcup_{\substack{i \in [1,n] \\ \nu_i - i \equiv a \mod p}} \operatorname{supp}([\hat{\Delta}(\nu - \varepsilon_i)]).$$

It follows, as  $\mu$  is far from  $\lambda$ , that

$$\operatorname{supp}((zx - xz - 2x)[\hat{L}(\mu)]) \cap \operatorname{supp}((zx - xz - 2x)[\hat{L}(\lambda)]) = \emptyset$$

As  $(zx - xz - 2x)[M_i] = 0 \ \forall i \in [1, r]$ , we must then have  $(zx - xz - 2x)[\hat{L}(\lambda)] = 0 = (zx - xz - 2x)[M']$ . Likewise,  $(zy - yz + 2y)[\hat{L}(\lambda)] = 0$ .

As all  $[M_i]$ 's have weight  $\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j$ , so does  $[\hat{L}(\lambda)]$ ; again  $\theta(z) - (\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j)(\hat{h}_a)$  annihilates  $[\hat{L}(\lambda)]$ .

(ii) The assertion holds on the  $[n \det]$ -block of  $\operatorname{Rep}'(G_1T)$  by (3.8) and (3.9). Let  $\lambda \in \mathcal{W}_a \bullet (n \det)$ . As  $\hat{L}(\lambda)$  is a quotient of  $\hat{\Delta}(\lambda)$ ,  $E_a \hat{L}(\lambda)$  is a quotient of  $E_a \hat{\Delta}(\lambda)$ , and hence  $E_a \hat{L}(\lambda)$  belongs to the same block in the whole of  $\operatorname{Rep}(G_1T)$  as  $E_a \hat{\Delta}(\lambda)$  does. Likewise for  $F_a \hat{L}(\lambda)$ . The assertion follows from the construction.

(3.11) **Remark:** The same argument as in (3.10) yields that  $\mathbb{C} \otimes [\operatorname{Rep}(G_1T)]$  admits a structure of  $\widehat{\mathfrak{gl}}_p$ -module;  $\forall i \in [0, p[, \forall m \in \mathbb{Z}, \text{ if } \hat{e}_i \cdot [\hat{\nabla}(\lambda)] = \sum_{\mu} [\hat{\nabla}(\mu)], \ (\hat{e}_i \otimes t^m) \cdot [\hat{\nabla}(\lambda)] = \sum_{\mu} [\hat{\nabla}(\mu) \otimes pm \operatorname{det}].$  Accordingly, we define  $(\hat{e}_i \otimes t^m) \bullet [\hat{L}(\lambda)] = \sum_{\mu} [\hat{L}(\mu) \otimes pm \operatorname{det}].$  Likewise for  $\hat{f}_i \otimes t^m$ . We let d act on  $[\hat{L}(\lambda)], \lambda \in \Lambda$ , by the scalar  $(\sum_{i=1}^n (\lambda_i - i + 1)_i \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j)(d) = \sum_{i=1}^n (\lambda_i - i + 1)_1.$  We let K annihilate the whole  $[\operatorname{Rep}(G_1T)]$  and  $(0, 1) = \operatorname{diag}(1, \ldots, 1)$  act as the identity on  $[\operatorname{Rep}(G_1T)].$ 

# $4^{\circ}$ 2-Kac-Moody action on $\operatorname{Rep}(G)$

We now wish to upgrade the  $\widehat{\mathfrak{gl}}_p$ -action on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)]$  to a categorical action of the Khovanov-Lauda-Rouquier, KLR for short, 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$  on  $\operatorname{Rep}(G)$  in such a way that  $\mathbb{C} \otimes [E_a]$  and  $\mathbb{C} \otimes [F_a]$ ,  $a \in [0, p[$ , are upgraded to form translation functors on  $\operatorname{Rep}(G)$  as in (3.8). The  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$ -action on  $\operatorname{Rep}(G)$  will provide ample 2-morphisms to realize an action of the Bott-Samelson diagrammatic category  $\mathcal{D}_{BS}$ . We will see that exactly the same argument gives an upgrading of  $\widehat{\mathfrak{gl}}_p$ -action on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)]$  in (3.10) to a  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$ -action on  $\operatorname{Rep}(G_1T)$ . We first take N = p in §3 to consider  $\widehat{\mathfrak{gl}}_p$ . (4.1) We recall the definition of Rouquier's strict k-linear additive 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  categorifying the enveloping algebra of  $\widehat{\mathfrak{gl}}_p$  after Brundan [Br, Def. 1.1]. First, a k-linear category is a category  $\mathcal{C}$  such that  $\forall X, Y \in \operatorname{Ob}(\mathcal{C}), \mathcal{C}(X, Y)$  is a k-linear space and that the compositions  $\mathcal{C}(X, Y) \times \mathcal{C}(Y, Z) \to \mathcal{C}(X, Z)$  are k-bilinear [中岡, Def. 3.1.11]. It is a k-linear additive category iff it has, in addition, a zero object and admits a direct sum of any 2 objects [中岡, Def. 3.2.3, p. 130].

**Definition** [RW, 6.4.5]: A strict k-linear additive 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  consists of the following data:

(i) 
$$\forall i, j \in \mathbb{F}_p$$
 with  $i \neq j, t_{ij} = \begin{cases} -1 & \text{if } j = i+1, \\ 1 & \text{else }, \end{cases}$ 

(ii) the objects of  $\mathcal{U}(\widehat{\mathfrak{gl}_p})$  are  $P = \{\lambda \in \mathfrak{h}^* | \lambda(\hat{h}_i) \in \mathbb{Z} \ \forall i \in [0, p[\} \text{ from } (3.1),$ 

(iii)  $\forall \lambda \in P, \forall i \in [0, p[$ , generating 1-morphisms  $E_i 1_{\lambda} \in \mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda, \lambda + \hat{\alpha}_i), F_i 1_{\lambda} \in \mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda, \lambda - \hat{\alpha}_i), \hat{\alpha}_i)$ ,

(iv)  $\forall \lambda \in P, \forall i, j \in [0, p[$ , generating 2-morphisms

where  $E_i E_j 1_{\lambda} = (E_i 1_{\lambda + \hat{\alpha}_j}) \circ (E_j 1_{\lambda}) = c_{\lambda, \lambda + \hat{\alpha}_j, \lambda + \hat{\alpha}_j + \hat{\alpha}_i} (E_j 1_{\lambda}, E_i 1_{\lambda + \hat{\alpha}_j})$  and  $E_j E_i 1_{\lambda} = (E_j 1_{\lambda + \hat{\alpha}_i}) \circ (E_i 1_{\lambda}) = c_{\lambda, \lambda + \hat{\alpha}_i, \lambda + \hat{\alpha}_i + \hat{\alpha}_j} (E_i 1_{\lambda}, E_j 1_{\lambda + \hat{\alpha}_i})$ :

$$\begin{array}{cccc} \lambda & \xrightarrow{E_j \mathbf{1}_{\lambda}} & \lambda + \hat{\alpha}_j & & \lambda \xrightarrow{E_i \mathbf{1}_{\lambda}} & \lambda + \hat{\alpha}_i \\ & & \downarrow^{E_i E_j \mathbf{1}_{\lambda}} & & \downarrow^{E_i \mathbf{1}_{\lambda + \hat{\alpha}_j}} & & \downarrow^{E_j \mathbf{1}_{\lambda + \hat{\alpha}_i}} \\ & & \lambda + \hat{\alpha}_j + \hat{\alpha}_i, & & \lambda + \hat{\alpha}_i + \hat{\alpha}_j, \end{array}$$

and

$$\eta_{\lambda,i} = \underbrace{i}_{\lambda} \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda)(1_\lambda,F_iE_i1_\lambda)$$

with  $1_{\lambda}$  denoting the unital object of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda)$  from (2.2.iv), and  $F_i E_i 1_{\lambda} = (F_i 1_{\lambda+\hat{\alpha}_i}) \circ (E_i 1_{\lambda})$ , and finally

$$\varepsilon_{\lambda,i} = \bigcap_{i}^{\lambda} \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda)(E_i F_i 1_{\lambda}, 1_{\lambda})$$

with  $E_i F_i 1_{\lambda} = (E_i 1_{\lambda - \hat{\alpha}_i}) \circ (F_i 1_{\lambda})$ . In the notation  $\tau_{\lambda,(j,i)}$  we follow [RW, p. 90] to write (j, i) instead of (i, j) in accordance to the order of composition reading from the right.

By (2.2.iv) one has  $\forall f \in \mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\mu), f \circ 1_{\lambda} = f \text{ and } 1_{\mu} \circ f = f$ . We will denote the identity 2-morphism  $\iota_{E_i 1_{\lambda}}$  of  $E_i 1_{\lambda}$  in  $\mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\lambda+\hat{\alpha}_i)(E_i 1_{\lambda},E_i 1_{\lambda})$  (resp.  $F_i 1_{\lambda}$  in  $\mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\lambda-i)(E_i 1_{\lambda},E_i 1_{\lambda})$  (resp.  $F_i 1_{\lambda}$  in  $\mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\lambda-i)$ ) (resp.  $F_i 1_{\lambda}$  (resp.  $F_i 1_{\lambda$ 

 $\hat{\alpha}_i)(F_i 1_{\lambda}, F_i 1_{\lambda}))$  by  $\uparrow_i \lambda$  (resp.  $\downarrow^i \lambda$ ):

$$\iota_{E_i 1_{\lambda}} = \mathrm{id}_{E_i 1_{\lambda}} = \bigwedge_i^{\uparrow} \lambda, \qquad \iota_{F_i 1_{\lambda}} = \mathrm{id}_{F_i 1_{\lambda}} = \bigvee_i^i \lambda.$$

Those 2-morphisms are subject to the relations in [Br, Def.1.1], e.g.,

(1) 
$$\lambda - \lambda = \lambda - \lambda = \begin{cases} \uparrow \uparrow \lambda & \text{if } i = j, \\ \lambda & j & i = j, \end{cases}$$

where

$$\begin{split} & \bigwedge_{i} \stackrel{\lambda}{j} = \overbrace{\substack{\lambda + \hat{\alpha}_{j} \\ i \ j}}^{\lambda} = \tau_{\lambda,(j,i)} \odot (x_{\lambda + \hat{\alpha}_{j},i} * \iota_{E_{j}1_{\lambda}}) \in \mathcal{U}(\widehat{\mathfrak{gl}}_{p})(\lambda, \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i})(E_{i}E_{j}1_{\lambda}, E_{j}E_{i}1_{\lambda}), \\ & \lambda \stackrel{E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{j} \stackrel{E_{i}1_{\lambda + \hat{\alpha}_{j}}}{\downarrow} \frac{\lambda}{\chi_{\lambda + \hat{\alpha}_{j,i}}} \stackrel{e_{\lambda,\lambda + \hat{\alpha}_{j},\lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i}}{\downarrow} \stackrel{e_{\lambda,\lambda + \hat{\alpha}_{j,\lambda + \hat{\alpha}_{j},\lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i}}{\downarrow} \frac{\lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i}}{\downarrow} \frac{\lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i}}{\lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{E_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{j} = \hat{\alpha}_{i}, \\ & \lambda \stackrel{E_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i} \\ & \lambda \stackrel{E_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i}} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{j}1_{\lambda}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{i}E_{i}}{\downarrow} \lambda + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{i}E_{i}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{i}E_{i}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{i}E_{i}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{i}E_{i}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{i}}{\downarrow} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i}E_{i}E_{i}}{\downarrow} \lambda + \hat{\alpha}_{i} \\ & \lambda \stackrel{e_{i$$

$$\begin{array}{ll} & \bigwedge \\ \lambda = & \bigwedge \\ i & j \\ i & j \end{array}^{\uparrow} \lambda = (\iota_{E_{j}1_{\lambda+\hat{\alpha}_{i}}} \ast x_{\lambda,i}) \odot \tau_{\lambda,(j,i)} \in \mathcal{U}(\widehat{\mathfrak{gl}_{p}})(\lambda,\lambda+\hat{\alpha}_{j}+\hat{\alpha}_{i})(E_{i}E_{j}1_{\lambda},E_{j}E_{i}1_{\lambda}), \\ & & \uparrow \\ i & j \end{array}^{\uparrow} \lambda = \iota_{E_{i}1_{\lambda+\hat{\alpha}_{j}}} \ast \iota_{E_{j}1_{\lambda}} = \iota_{E_{i}E_{j}1_{\lambda}} \in \mathcal{U}(\widehat{\mathfrak{gl}_{p}})(\lambda,\lambda+\hat{\alpha}_{j}+\hat{\alpha}_{i})(E_{i}E_{j}1_{\lambda},E_{j}E_{i}1_{\lambda}),
\end{array}$$

etc. We also impose, among others,

(2) 
$$\begin{array}{c} & & & \\ \uparrow & & \\ & & \\ & & \\ & & \\ i & j \end{array} \end{array} = \begin{cases} 0 & & \text{if } i = j, \\ & & \\ \uparrow & & \\ i & j \end{array} & \text{if } i - j \equiv \pm 1 \mod p, \\ & & \\ \uparrow & & \\ i & j \end{array} & \\ \begin{array}{c} \uparrow & & \\ \uparrow & & \\ i & j \end{array} & \\ \begin{array}{c} \uparrow & & \\ \uparrow & & \\ i & j \end{array} & \text{else,} \end{array}$$

the left hand side of which reads  $\tau_{\lambda,(i,j)} \odot \tau_{\lambda,(j,i)}$ , and

(3) 
$$\begin{array}{ccc} \lambda & - \\ i & j & k \end{array} \begin{array}{c} \lambda & - \\ i & j & k \end{array} \begin{array}{c} \lambda & = \begin{cases} t_{ij} \uparrow \uparrow \uparrow \lambda & \text{if } i = j \text{ and } k - j \equiv \pm 1 \mod p, \\ i & j & k \end{array} \\ 0 & \text{else,} \end{array}$$

etc. On the LHS of (3) the first (resp. second) term reads  $(\tau_{\lambda+\hat{\alpha}_i,(k,j)} * \iota_{E_i 1_{\lambda}}) \odot (\iota_{E_j 1_{\lambda+\hat{\alpha}_k+\hat{\alpha}_i}} * \tau_{\lambda,(k,i)}) \odot (\tau_{\lambda+\hat{\alpha}_k,(j,i)} * \iota_{E_k 1_{\lambda}}) (resp. (\tau_{\lambda,(j,i)} * \iota_{E_k 1_{\lambda}}) \odot (\tau_{\lambda+\hat{\alpha}_j,(k,i)} * \iota_{E_j 1_{\lambda}}) \odot (\iota_{E_i 1_{\lambda+\hat{\alpha}_k+\hat{\alpha}_j}} * \tau_{\lambda,(k,j)})).$ 

Recall from (2.2.ii) that each  $\mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\mu)$  forms a k-linear additive category, and hence  $\forall X, Y \in \mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\mu), \mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\mu)(X,Y)$  carries a structure of k-linear space. The 1-morphisms belonging to  $\mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\mu)$  are direct sums of those

$$E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}, \quad i_k, j_k \in [0, p[, a_k, b_k \in \mathbb{N} \text{ with } \mu = \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k})$$

[Ro12, 4.2.3]. In case  $\mu = \lambda$ ,  $\mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda, \lambda)$  forms a strict monoidal category with  $\otimes$  in (2.1) given by the "composition"  $\odot$  of 1-morphisms from (2.2) and  $I \in Ob(\mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda, \lambda))$  given by  $1_{\lambda}$ . If  $E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda} = E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^a E_i E_i^c \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}$  with  $a = a_i - 1, \ c = b_j - 1, \ \nu = \lambda - b_1 \hat{\alpha}_{j_1} + a_1 \hat{\alpha}_{i_1} - \dots + c \hat{\alpha}_i,$ 

$$x_{\nu,i} = \oint_{i}^{\uparrow} \nu \in \mathcal{U}(\widehat{\mathfrak{gl}}_{p})(\nu,\nu+\hat{\alpha}_{i})(E_{i}1_{\nu},E_{i}1_{\nu})$$

induces a 2-morphism  $\iota_{E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_i^{a_1}\nu+\hat{\alpha}_i} * x_{\nu,i} * \iota_{E_i^c\dots E_{i_1}^{a_1}F_{j_1}^{b_1}1_{\lambda}} \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda+\sum_{k=1}^m (a_k\hat{\alpha}_{i_k}-b_k\hat{\alpha}_{j_k}))$  $(E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_{i_1}^{a_1}F_{j_1}^{b_1}1_{\lambda}, E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_{i_1}^{a_1}F_{j_1}^{b_1}1_{\lambda}):$ 

$$\lambda \xrightarrow{E_i^c \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}} \nu \xrightarrow{E_i 1_{\nu}} \nu + \hat{\alpha}_i \xrightarrow{E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} 1_{\nu + \hat{\alpha}_i}} \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k})$$

$$\lambda \xrightarrow{E_i^c \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}} \nu \xrightarrow{E_i 1_{\nu}} \nu + \hat{\alpha}_i \xrightarrow{E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} 1_{\nu + \hat{\alpha}_i}} \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k}).$$

If  $E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda} = E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^a E_i E_j E_j^c \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}$  with  $a = a_i - 1, \ c = b_j - 1, \ \nu = \lambda - b_1 \hat{\alpha}_{j_1} + a_1 \hat{\alpha}_{i_1} - \dots + c \hat{\alpha}_j,$ 

$$\tau_{\nu,(j,i)} = \bigvee_{i \neq j} \nu \in \mathcal{U}(\widehat{\mathfrak{gl}_p})(\nu,\nu+\hat{\alpha}_i+\hat{\alpha}_j)(E_iE_j1_\nu,E_jE_i1_\nu),$$

induces a 2-morphism  $\iota_{E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_i^a \mathbf{1}_{\nu+\hat{\alpha}_i+\hat{\alpha}_j}} * \tau_{\nu,(j,i)} * \iota_{E_j^c\dots E_{i_1}^{a_1}F_{j_1}^{b_1}\mathbf{1}_{\lambda}} \in \mathcal{U}(\widehat{\mathfrak{gl}}_{\rho})(\lambda,\lambda+\sum_{k=1}^m (a_k\hat{\alpha}_{i_k}-b_k\hat{\alpha}_{j_k}))(E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_i^a E_i E_j E_j^c\dots E_{i_1}^{a_1}F_{j_1}^{b_1}\mathbf{1}_{\lambda}, E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_i^a E_j E_i E_j^c\dots E_{i_1}^{a_1}F_{j_1}^{b_1}\mathbf{1}_{\lambda}):$ 

$$\begin{split} \lambda & \xrightarrow{E_j^c \dots E_{i_1}^{a_1} F_{j_1}^{b_1} \mathbf{1}_{\lambda}} \nu \xrightarrow{E_i E_j \mathbf{1}_{\nu}} \nu + \hat{\alpha}_i + \hat{\alpha}_j \xrightarrow{E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} \mathbf{1}_{\nu + \hat{\alpha}_i + \hat{\alpha}_j}} \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k}) \\ \downarrow^{\iota_{E_j^c} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} \mathbf{1}_{\lambda}} \xrightarrow{\nu \xrightarrow{E_j E_i \mathbf{1}_{\nu}}} \nu + \hat{\alpha}_i + \hat{\alpha}_j \xrightarrow{E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} \mathbf{1}_{\nu + \hat{\alpha}_i + \hat{\alpha}_j}} \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k}) \end{split}$$

(4.2) **Definition** [**RW**, 6.4.5]: A 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  is a k-linear functor from  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  to the 2-category of k-linear additive categories, i.e., it consists of the following data:

- (i)  $\forall \lambda \in P$ , a k-linear additive category  $\mathcal{C}_{\lambda}$ ,
- (ii)  $\forall \lambda \in P, \forall i \in [0, p[, \Bbbk\text{-linear functors } E_i 1_\lambda \in \operatorname{Cat}(\mathcal{C}_\lambda, \mathcal{C}_{\lambda + \hat{\alpha}_i}) \text{ and } F_i 1_\lambda \in \operatorname{Cat}(\mathcal{C}_\lambda, \mathcal{C}_{\lambda \hat{\alpha}_i}),$

(iii)  $\forall \lambda \in P, \forall i, j \in [0, p[,$ 

$$\begin{aligned} x_{\lambda,i} \in \operatorname{Cat}(\mathcal{C}_{\lambda}, \mathcal{C}_{\lambda+\hat{\alpha}_{i}})(E_{i}1_{\lambda}, E_{i}1_{\lambda}), \\ \tau_{\lambda,(j,i)} \in \operatorname{Cat}(\mathcal{C}_{\lambda}, \mathcal{C}_{\lambda+\hat{\alpha}_{i}+\alpha_{j}})(E_{i}E_{j}1_{\lambda}, E_{j}E_{i}1_{\lambda}) \text{ with } E_{i}E_{j}1_{\lambda} = (E_{i}1_{\lambda+\hat{\alpha}_{j}}) \circ (E_{j}1_{\lambda}) \text{ and } \\ E_{j}E_{i}1_{\lambda} = (E_{j}1_{\lambda+\hat{\alpha}_{i}}) \circ (E_{i}1_{\lambda}), \\ \eta_{\lambda,i} \in \operatorname{Cat}(\mathcal{C}_{\lambda}, \mathcal{C}_{\lambda})(\operatorname{id}_{\mathcal{C}_{\lambda}}, F_{i}E_{i}1_{\lambda}) \text{ with } F_{i}E_{i}1_{\lambda} = (F_{i}1_{\lambda+\hat{\alpha}_{i}}) \circ (E_{i}1_{\lambda}), \\ \varepsilon_{\lambda,i} \in \operatorname{Cat}(\mathcal{C}_{\lambda}, \mathcal{C}_{\lambda})(E_{i}F_{i}1_{\lambda}, \operatorname{id}_{\mathcal{C}_{\lambda}}) \text{ with } E_{i}F_{i}1_{\lambda} = (E_{i}1_{\lambda-\hat{\alpha}_{i}}) \circ (F_{i}1_{\lambda}), \end{aligned}$$

subject to the same relations as  $x_{\lambda,i}, \tau_{\lambda,(j,i)}, \eta_{\lambda,i}, \varepsilon_{\lambda,i}$  for  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  from (4.1).

(4.3) We now define a 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  on  $\operatorname{Rep}(G)$ .

Let  $\mathbb{T} \in \operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E^2, E^2)$  be a natural transformation defined by associating to each  $M \in \operatorname{Rep}(G)$  a k-linear map  $\mathbb{T}_M : E^2M = V \otimes V \otimes M \to E^2M$  such that  $v \otimes v' \otimes m \mapsto v' \otimes v \otimes m \quad \forall v, v' \in V \quad \forall m \in M$ . Then

(1) 
$$(V \otimes \mathbb{T}_M) \circ \mathbb{X}_{V^{\otimes_2} \otimes M} = \mathbb{X}_{V^{\otimes_2} \otimes M} \circ (V \otimes \mathbb{T}_M).$$

Using (3.3.i), one also checks

(2) 
$$\mathbb{T}_M \circ (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M} \circ \mathbb{T}_M = -\mathrm{id}_{E^2 M}.$$

Recall from (3.8) the bijection  $\iota_n : P(\wedge^n \operatorname{nat}_p) \to \Lambda/(\mathcal{W}_a \bullet)$ . For  $\lambda \in P$  let us write

$$\mathbf{R}_{\iota_n(\lambda)}(G) = \begin{cases} \operatorname{Rep}_{\iota_n(\lambda)}(G) & \text{if } \lambda \in P(\wedge^n \operatorname{nat}_p), \\ 0 & \text{else.} \end{cases}$$

Consider the following data:

(i)  $\forall \lambda \in P$ , let  $\mathcal{C}_{\lambda} = \mathcal{R}_{\iota_n(\lambda)}(G)$ .

(ii)  $\forall \lambda \in P, \forall i \in [0, p[, \text{let } E_i 1_{\lambda} = E_i |_{\mathcal{R}_{\iota_n(\lambda)}(G)} : \mathcal{R}_{\iota_n(\lambda)}(G) \to \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_i)}(G) \text{ and } F_i 1_{\lambda} = F_i |_{\mathcal{R}_{\iota_n(\lambda)}(G)} : \mathcal{R}_{\iota_n(\lambda)}(G) \to \mathcal{R}_{\iota_n(\lambda-\hat{\alpha}_i)}(G) \text{ from (3.7). In particular, } E_i 1_{\lambda} = 0 \text{ (resp. } F_i 1_{\lambda} = 0) \text{ unless } \lambda \text{ and } \lambda + \hat{\alpha}_i \text{ (resp. } \lambda \text{ and } \lambda - \hat{\alpha}_i) \in P(\wedge^n \text{nat}_p). \text{ Put for simplicity } E_i^{\lambda} = E_i |_{\mathcal{R}_{\iota_n(\lambda)}(G)} \text{ and } F_i^{\lambda} = F_i |_{\mathcal{R}_{\iota_n(\lambda)}(G)}.$ 

(iii)  $\forall \lambda \in P, \forall i, j \in [0, p[, \text{ define } x_{\lambda,i} \in \text{Cat}(\mathcal{R}_{\iota_n(\lambda)}(G), \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_i)}(G))(E_i^{\lambda}, E_i^{\lambda}) \text{ by associating to each } M \in \mathcal{R}_{\iota_n(\lambda)}(G) \text{ a } \Bbbk\text{-linear map } x_{M,i} = \mathbb{X}_M - i \text{id}_{V \otimes M}$ :

Define  $\tau_{\lambda,(j,i)} \in \operatorname{Cat}(\mathcal{R}_{\iota_n(\lambda)}(G), \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_i+\hat{\alpha}_j)}(G))(E_i^{\lambda+\hat{\alpha}_j}E_j^{\lambda}, E_j^{\lambda+\hat{\alpha}_i}E_i^{\lambda})$  by associating to each  $M \in \mathcal{M}$ 

 $\mathcal{R}_{\iota_n(\lambda)}(G)$  a k-linear map  $\tau_{M,(j,i)}: E_i^{\lambda+\hat{\alpha}_j} E_j^{\lambda} M \to E_j^{\lambda+\hat{\alpha}_i} E_i^{\lambda} M$  such that

$$\begin{aligned} (4) \quad \tau_{M,(j,i)} &= \\ \begin{cases} \{\mathrm{id} + (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M}\}^{-1}(\mathbb{T}_M - \mathrm{id}) & \text{if } j = i, \\ (V \otimes \mathbb{X}_M - \mathbb{X}_{V \otimes M})\mathbb{T}_M + \mathrm{id}_{V \otimes V \otimes M} & \text{if } j \equiv i - 1 \bmod p, \\ (V \otimes \mathbb{X}_M - \mathbb{X}_{V \otimes M}) \{\mathrm{id} + (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M}\}^{-1}(\mathbb{T}_M - \mathrm{id}) + \mathrm{id} \quad \mathrm{else}, \end{cases}$$

which is well-defined by [Ro, Th. 3.16]/[RW, Th. 6.4.2]; a verification will formally be done using (4.6) and (4.7). In case j = i,  $E_i^{\lambda + \hat{\alpha}_i} E_i^{\lambda} M$  is a generalized *i*-eigenspace of both  $V \otimes \mathbb{X}_M$ and  $\mathbb{X}_{V \otimes M}$ . As  $V \otimes \mathbb{X}_M$  and  $\mathbb{X}_{V \otimes M}$  commute by (3.4.iii),  $(V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M}$  is nilpotent on  $E_i^{\lambda + \hat{\alpha}_i} E_i^{\lambda} M$ , and hence id +  $(V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M}$  is invertible on  $E_i^{\lambda + \hat{\alpha}_i} E_i^{\lambda} M$ . Likewise the 3rd case.

Define  $\eta_{\lambda,i}$  to be the unit  $\eta_i \in \operatorname{Cat}(\operatorname{R}_{\iota_n(\lambda)}(G), \operatorname{R}_{\iota_n(\lambda)}(G))(\operatorname{id}, F_i^{\lambda+\hat{\alpha}_i}E_i^{\lambda})$  of the adjunction  $(E_i, F_i)$  on  $\operatorname{R}_{\iota_n(\lambda)}(G)$  from (3.6). Define finally  $\varepsilon_{\lambda,i}$  to be the counit  $\varepsilon_i \in \operatorname{Cat}(\operatorname{R}_{\iota_n(\lambda)}(G), \operatorname{R}_{\iota_n(\lambda)}(G))$  $(E_i^{\lambda-\hat{\alpha}_i}F_i^{\lambda}, \operatorname{id})$  of the adjunction  $(E_i, F_i)$  on  $\operatorname{R}_{\iota_n(\lambda)}(G)$  from (3.6) also.

**Theorem [RW, Th. 6.4.6]:** The data above constitutes a 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$ .

(4.4) To see that the theorem holds, we must check that the 2-morphisms in (4.3.iii) satisfy the relations of those for  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  as given in (4.1).

Consider for example the relation from (4.1.1)

$$\lambda - \lambda = \begin{cases} \uparrow \uparrow \lambda & \text{if } i = j, \\ 0 & \text{else.} \end{cases}$$

Accordingly, we must verify

(1) 
$$\tau_{\lambda,(j,i)} \odot (x_{\lambda+\hat{\alpha}_j,i} * \iota_{E_j^{\lambda}}) - (\iota_{E_j^{\lambda+\hat{\alpha}_i}} * x_{\lambda,i}) \odot \tau_{\lambda,(j,i)} = \begin{cases} \text{id} & \text{if } i = j \\ 0 & \text{else,} \end{cases}$$

i.e., in case i = j, for example, one must show on  $E_i^{\lambda + \hat{\alpha}_i} E_i^{\lambda} M$  for  $M \in \mathcal{R}_{\iota_n(\lambda)}(G)$  that

$$\{ \mathrm{id} + (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M} \}^{-1} (\mathbb{T}_M - \mathrm{id}) \circ (\mathbb{X}_{E_i M} - i\mathrm{id}) - \\ \{ V \otimes (\mathbb{X}_M - i\mathrm{id}) \} \circ \{ \mathrm{id} + (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M} \}^{-1} (\mathbb{T}_M - \mathrm{id}) = \mathrm{id}.$$

For that the KLR-algebra  $H_3(\mathbb{F}_p)$  and the degenerate affine Hecke algebra  $\overline{H}_3$  of degree 3 come to rescue.

(4.5) To define the KLR-algebra, recall first  $t_{ij} \in \{\pm 1\}$  from (4.1) for  $i, j \in \mathbb{F}_p$  with  $i \neq j$ . Let  $\mathfrak{S}_3$  act on  $\mathbb{F}_p^3$  such that  $\sigma \nu = (\nu_{\sigma^{-1}1}, \nu_{\sigma^{-1}2}, \nu_{\sigma^{-1}3})$  for  $\nu = (\nu_1, \nu_2, \nu_3) \in \mathbb{F}_p^3$ . Put  $\sigma_k = (k, k+1) \in \mathfrak{S}_3$ ,  $k \in \{1, 2\}$ . The algebra  $\mathrm{H}_3(\mathbb{F}_p)$  is really a k-linear additive category with objects  $\mathbb{F}_p^3$  and

morphisms generated by  $x_{z,\nu} \in H_3(\mathbb{F}_p)(\nu,\nu)$  and  $\tau_{c,\nu} \in H_3(\mathbb{F}_p)(\nu,\sigma_c\nu), z \in [1,3], c \in [1,2], \nu \in \mathbb{F}_p^3$ , subject to the relations

$$(\text{KLR1}) \qquad x_{z,\nu} x_{z',\nu'} = x_{z',\nu} x_{z,\nu'}, \\ (\text{KLR2}) \qquad \tau_{c,\sigma_c\nu} \tau_{c,\nu} = \begin{cases} 0 & \text{if } \nu_c = \nu_{c+1}, \\ t_{\nu_c,\nu_{c+1}} x_{c,\nu} + t_{\nu_{c+1},\nu_c} x_{c+1,\nu} & \text{if either } \nu_{c+1} \equiv \nu_c + 1 \text{ or } \nu_c \equiv \nu_{c+1} + 1, \\ \text{id}_{\nu} & \text{else}, \end{cases}$$

(KLR3)

$$\tau_{c,\nu} x_{z,\nu} - x_{\sigma_c z, \sigma_c \nu} \tau_{\nu} = \begin{cases} -\mathrm{id}_{\nu} & \text{if } c = z \text{ and } \nu_c = \nu_{c+1}, \\ \mathrm{id}_{\nu} & \text{if } z = c+1 \text{ and } \nu_c = \nu_{c+1}, \\ 0 & \text{else.} \end{cases}$$

We do not care what  $x_{z,\nu}: \nu \to \nu$  and  $\tau_{c,\nu}: \nu \to \sigma \nu$  are as maps.

A representation of  $H_3(\mathbb{F}_p)$  consists of the data

- (i)  $\forall \nu \in \mathbb{F}_p^3$ , a k-linear space  $V_{\nu}$ ,
- (ii)  $\forall \nu \in \mathbb{F}_{p}^{3}, \forall z \in [1,3], a \Bbbk$ -linear map  $x_{z,\nu} : V_{\nu} \to V_{\nu},$
- (iii)  $\forall \nu \in \mathbb{F}_{p}^{3}, \forall c \in [1, 2], a \ \mathbb{k}\text{-linear map } \tau_{c,\nu} : V_{\nu} \to V_{\sigma_{c}\nu}$

satisfying the relations (KLR1-3). For  $H_3(\mathbb{F}_p)$  the conditions [RW, (6.5.3) and (6.5.5), p. 86] are irrelevant.

(4.6) Recall next the degenerate affine Hecke algebra, daHa for short,  $H_m$  of degree m; DAHA already stands for "double affine Hecke algebra". Thus, let  $\Bbbk[X] = \Bbbk[X_1, \ldots, X_m]$  be the polynomial k-algebra in indeterminates  $X_1, \ldots, X_m$  with a natural  $\mathfrak{S}_m$ -action:  $\sigma : X_i \mapsto X_{\sigma(i)}$ . For transposition  $\sigma_c = (c, c+1) \in \mathfrak{S}_m, c \in [1, m[$ , let  $\partial_c$  denote the Demazure operator on  $\Bbbk[X]$ defined by

$$f \mapsto \frac{f - \sigma_c f}{X_{c+1} - X_c},$$

which differs from the standard one by sign. The daHa  $\overline{H}_m$  is a k-algebra with the ambient k-linear space  $\Bbbk \mathfrak{S}_m \otimes_{\Bbbk} \Bbbk[X]$  having  $\Bbbk \mathfrak{S}_m$  and  $\Bbbk[X]$  as k-subalgebras such that, letting  $T_c$  denote  $\sigma_c \in \mathfrak{S}_m$  in  $\overline{H}_m$ ,

(1) 
$$fT_c = T_c \sigma_c(f) + \partial_c(f) T_c \quad \forall f \in \mathbb{k}[X], \forall c \in [1, m[.$$

If  $r \leq m$ , one has naturally  $\overline{H}_r \leq \overline{H}_m$ .

Lemma [RW, Lem. 6.4.5]: There is a k-algebra homomorphism

 $\overline{\mathrm{H}}_m \to \operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E^m, E^m)$ 

such that  $\forall M \in \operatorname{Rep}(G), X_z \mapsto V^{\otimes_{m-z}} \otimes \mathbb{X}_{V^{\otimes_{z-1}} \otimes M}, z \in [1,m] \text{ and } T_c \mapsto V^{\otimes_{m-c-1}} \otimes \mathbb{T}_{V^{\otimes_{c-1}} \otimes M}, c \in [1,m[.$ 

**Proof:** One checks that the relations  $T_c^2 = 1 \ \forall c \in [1, m[$ , and the braid relations  $T_c T_b = T_b T_c$  for b, c with  $|b - c| \geq 2$ ,  $T_c T_{c+1} T_c = T_{c+1} T_c T_{c+1}$  on  $\operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E^m, E^m)$ . Also, the

relations  $X_z X_y = X_y X_z$ ,  $z, y \in [1, m]$ , hold on the RHS by generalizing (3.4). To check (1), we may assume  $f \in \{X_1, \ldots, X_m\}$  as  $\forall g \in \Bbbk[X]$ ,  $(fg)T_c = f(T_cg)$ . Then the relations hold on the RHS by generalizing (4.3.1, 2).

(4.6') The lemma carrie over to  $\operatorname{Rep}'(G_1T)$  and to  $\operatorname{Rep}(G_1T)$ .

(4.7) It follows for  $M \in \operatorname{Rep}(G)$  that  $E^3M$  comes equipped with a structure of  $\overline{H}_3$ -module. By (3.7)

$$E^3M = \coprod_{\nu \in \mathbb{F}^3_p} E^3_{\nu}M$$

with  $E_{\nu}^{3}M = E_{\nu_{3}}E_{\nu_{2}}E_{\nu_{1}}M$  and  $E_{\nu_{i}}(V^{\otimes_{i-1}}\otimes M)$  forming a generalized eigenspace of eigenvalue  $\nu_{i}$  for  $\mathbb{X}_{V^{\otimes_{i-1}}\otimes M}$ ,  $i \in [1,3]$ . Thus,  $E_{\nu}^{3}M$  affords a generalized eigenspace of eigenvalue  $\nu_{i}$  for each  $X_{i}$  by (4.6). As such, it follows from a theorem of Brundan and Kleschev [BrK] and Rouquier [Ro], cf. [RW, Th. 6.4.2], that  $E^{3}M$  affords a representation of  $H_{3}(\mathbb{F}_{p})$  with  $x_{z\nu} = X_{z} - \nu_{z}$  and

$$\tau_{c\nu} = \begin{cases} (1+X_c - X_{c+1})^{-1} (T_c - 1) & \text{if } \nu_c = \nu_{c+1}, \\ (X_c - X_{c+1}) T_c + 1 & \text{if } \nu_{c+1} = \nu_c + 1, \\ (1+X_c - X_{c+1})^{-1} (X_c - X_{c+1}) (T_c - 1) + 1 & \text{else.} \end{cases}$$

Then (4.4.1) follows from the middle case of (KLR3) with c = 1.

(4.8) We have yet to verify [Br, (1.5), (1.7)-(1.9)]:

(1) 
$$\bigcap_{i}^{\lambda} = \bigcap_{i}^{\lambda}, \qquad \bigcup_{\lambda}^{i} = \bigcup_{\lambda}^{i},$$

(2) 
$$E_j F_i 1_{\lambda} \simeq F_i E_j 1_{\lambda}$$
 if  $\lambda(\hat{h}_i) = 0$ ,

(3) 
$$E_i F_i 1_{\lambda} \simeq F_i E_i 1_{\lambda} \oplus 1_{\lambda}^{\oplus_{\lambda(\hat{h}_i)}} \quad \text{if } \lambda(\hat{h}_i) > 0,$$

(4) 
$$E_i F_i 1_{\lambda} \simeq F_i E_i 1_{\lambda} \oplus 1_{\lambda}^{\oplus_{-\lambda(\hat{h}_i)}} \quad \text{if } \lambda(\hat{h}_i) < 0,$$

respectively.

Now, the LHS of the first relation in (1) should read

(5) 
$$(\varepsilon_{\lambda+\hat{\alpha}_{i},i} * \iota_{E_{i}1_{\lambda}}) \odot (\iota_{E_{i}1_{\lambda}} * \eta_{\lambda,i}) \odot (\iota_{E_{i}1_{\lambda}} * \iota_{1_{\lambda}})$$
$$= (\varepsilon_{\lambda+\hat{\alpha}_{i},i} \odot \iota_{E_{i}1_{\lambda}} \odot \iota_{E_{i}1_{\lambda}}) * (\iota_{E_{i}1_{\lambda}} \odot \eta_{\lambda,i} \odot \iota_{1_{\lambda}}) = (\varepsilon_{\lambda+\hat{\alpha}_{i},i} \odot \iota_{E_{i}1_{\lambda}}) * (\iota_{E_{i}1_{\lambda}} \odot \eta_{\lambda,i})$$

$$\begin{split} \lambda & \xrightarrow{E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \\ \lambda & \xrightarrow{1_{\lambda}} \lambda \xrightarrow{\|} \lambda \xrightarrow{E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \\ \eta_{\lambda,i} & \downarrow & \downarrow \downarrow^{\iota_{E_{i}1_{\lambda}}} \lambda + \hat{\alpha}_{i} \\ \lambda & \xrightarrow{F_{i}E_{i}1_{\lambda}} \lambda \xrightarrow{E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \\ \lambda & \xrightarrow{E_{i}F_{i}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \xrightarrow{E_{i}F_{i}1_{\lambda + \hat{\alpha}_{i}}} \lambda + \hat{\alpha}_{i} \\ \lambda & \xrightarrow{E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \xrightarrow{E_{i}F_{i}1_{\lambda + \hat{\alpha}_{i}}} \lambda + \hat{\alpha}_{i} \\ \lambda & \xrightarrow{E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \xrightarrow{1_{\lambda + \hat{\alpha}_{i}}} \lambda + \hat{\alpha}_{i} \\ \lambda & \xrightarrow{\|} \lambda + \hat{\alpha}_{i} \xrightarrow{L_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} . \end{split}$$

This follows from the fact that  $E_i$  and  $F_i$  are adjunction morphisms  $\operatorname{Rep}(G)(E_iM, E_iM') \simeq \operatorname{Rep}(G)(M, F_iE_iM')$  via  $\phi \mapsto F_i\phi \circ \eta_M$  with inverse  $\varepsilon_{E_iM'} \circ E_i\psi \leftrightarrow \psi$ . Thus, for  $f \in \operatorname{Rep}(G)(M, M')$ 

 $E_i f = \varepsilon_{E_i M'} \circ E_i (F_i E_i f \circ \eta_M) = \varepsilon_{E_i M'} \circ E_i F_i E_i f \circ E_i \eta_M,$ 

and one has a commutative diagram

$$\begin{array}{c} E_iM \xrightarrow{E_if} E_iM' \\ \downarrow E_i\eta_M \xrightarrow{E_i\eta_{M'}} \\ E_iF_iE_iM \xrightarrow{E_iF_iE_if} E_iF_iE_iM' \\ \downarrow \varepsilon_{E_iM} \xrightarrow{\varepsilon_{E_iM'}} \\ E_iM \xrightarrow{E_if} E_iM'. \end{array}$$

To see the invertibility of (2)-(4), we note that the  $E_i^{\lambda}$  :  $\mathbb{R}_{\iota_n(\lambda)}(G) \to \mathbb{R}_{\iota_n(\lambda+\hat{\alpha}_i)}(G)$  and  $F_i^{\lambda}$  :  $\mathbb{R}_{\iota_n(\lambda)}(G) \to \mathbb{R}_{\iota_n(\lambda-\hat{\alpha}_i)}(G)$  define an  $\mathfrak{sl}_2$ -categorification [Ro, Def. 5.20. p. 58]: an  $\mathfrak{sl}_2$ categorification on the 2-category of k-linear abelian category  $\operatorname{Rep}(G)$  of finite dimensional G-modules [Ro, p. 5] is the data of an adjoint pair  $(E_i, F_i)$  of exact functors  $\operatorname{Rep}(G) \to \operatorname{Rep}(G)$ and 2-morphisms  $\mathbb{X} \in \operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E_i, E_i)$  and  $\mathbb{T} \in \operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E_i^2, E_i^2)$ such that under the isomorphism  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)] \to \wedge^n(\operatorname{nat}_p)$  from (3.7)

- (i) the actions of  $[E_i]$  and  $[F_i]$  on  $[\operatorname{Rep}(G)]$  give a locally finite representation of  $\mathfrak{sl}_2$ ,
- (ii) the classes of simple objects are weight vectors,
- (iii)  $F_i$  is isomorphic to a left adjoint of  $E_i$ ,
- (iv) X has a single eigenvalue i,
- (v) the action on  $E_i^m$  of  $\mathbb{X}_j := E_i^{m-j} \mathbb{X} E_i^{j-1}$  for  $j \in [1,m]$  and of  $\mathbb{T}_j := E_i^{m-i-1} \mathbb{T} E_i^{j-1}$  for  $j \in [1,m]$  induce an action of the degenerate affine Hecke algebra  $\overline{H}_m$ .

Then (3) and (4) (resp. (2)) follow from [Ro, Th. 5.22 and its proof] (resp. [Ro, Th. 5.25 and its proof]).

(4.8') As we have observed in (3.9), the set  $P(\otimes^n \operatorname{nat}_p)$  of  $\otimes^n(\operatorname{nat}_p)$  coincides with  $P(\wedge^n \operatorname{nat}_p) = \mathbb{Z}\delta + \{\sum_{j=1}^p n_j \hat{\varepsilon}_j | n_j \in \mathbb{N}, \sum_{j=1}^p n_j = n\}$ , and hence we may denote the bijection  $P(\otimes^n \operatorname{nat}_p) \to \Lambda/(\mathcal{W}_a \bullet)$  by  $\iota_n$  from (3.8). Define  $\mathbb{T} \in \operatorname{Cat}(\operatorname{Rep}(G_1T), \operatorname{Rep}(G_1T))(E^2, E^2)$  just as on  $\operatorname{Rep}(G)$ , and for each  $\lambda \in P$  let

$$\mathbf{R}_{\iota_n(\lambda)}(G_1T) = \begin{cases} \operatorname{Rep}_{\iota_n(\lambda)}(G_1T) & \text{if } \lambda \in P(\otimes^n \operatorname{nat}_p) = P(\wedge^n \operatorname{nat}_p), \\ 0 & \text{else.} \end{cases}$$

As  $E_i^{\lambda} : \mathbb{R}_{\iota_n(\lambda)}(G_1T) \to \mathbb{R}_{\iota_n(\lambda+\hat{\alpha}_i)}(G_1T)$  and  $F_i^{\lambda} : \mathbb{R}_{\iota_n(\lambda)}(G_1T) \to \mathbb{R}_{\iota_n(\lambda-\hat{\alpha}_i)}(G_1T), i \in \mathbb{F}_p$ , form an  $\mathfrak{sl}_2$ -categorification by (3.10), exactly the same arguments for  $\operatorname{Rep}(G)$  yields

**Corollary:** The data defined on  $\operatorname{Rep}(G_1T)$  just as on  $\operatorname{Rep}(G)$  constitutes a 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$ .

(4.9) Recall  $\varpi = \hat{\varepsilon}_1 + \cdots + \hat{\varepsilon}_n \in P(\wedge^n(\operatorname{nat}_p)))$  from (3.8).  $\forall s \in \mathcal{S}_a$ , set

$$T^{s} = \begin{cases} E_{n-j}^{\varpi} & \text{if } s = s_{\alpha_{j}}, j \in [1, n[, \\ E_{0}^{\varpi+\hat{\alpha}_{n}+\dots+\hat{\alpha}_{p-1}} E_{p-1}^{\varpi+\hat{\alpha}_{n}+\dots+\hat{\alpha}_{p-2}} \dots E_{n+1}^{\varpi+\hat{\alpha}_{n}} E_{n}^{\varpi} & \text{if } s = s_{\alpha_{0},1}, \end{cases}$$
$$T_{s} = \begin{cases} F_{n-j}^{\varpi+\hat{\alpha}_{n-j}} & \text{if } s = s_{\alpha_{j}}, j \in [1, n[, \\ F_{n}^{\varpi+\hat{\alpha}_{n}} F_{n+1}^{\varpi+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}} \dots F_{p-1}^{\varpi+\hat{\alpha}_{n}+\dots+\hat{\alpha}_{p-1}} F_{0}^{\varpi+\hat{\alpha}_{n}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{0}} & \text{if } s = s_{\alpha_{0},1}, \end{cases}$$

and  $\Theta_s = T_s T^s$ . By (3.8) each  $\Theta_s$  may be taken to be the *s*-wall crossing functor on  $\operatorname{Rep}_{[n \operatorname{det}]}(G)$ . We have obtained a strict monoidal functor

(1) 
$$\mathcal{U}(\widehat{\mathfrak{gl}_p})(\varpi, \varpi) \to \operatorname{Cat}(\operatorname{Rep}_{[n \operatorname{det}]}(G), \operatorname{Rep}_{[n \operatorname{det}]}(G))$$

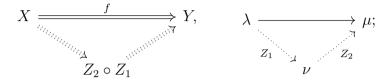
such that  $F_{n-j}E_{n-j}1_{\varpi} \mapsto \Theta_{s_j} \ j \in [1, n[$ , and  $F_nF_{n+1} \dots F_{p-1}F_0E_0E_{p-1} \dots E_{n+1}E_n1_{\varpi} \mapsto \Theta_{s_{\alpha_0,1}}$ . This is really a homomorphism of monoids with respect to  $\circ$  (resp. the composition of the wall-crossing functors) on the 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  (resp.  $\operatorname{Rep}_{[n \det]}(G)$ );  $\operatorname{Ob}(\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\varpi, \varpi))$  admits an addition by direct sum, but not a structure of abelian group.

As  $\iota_n(\varpi) = n \det = \det^{\otimes_n} \in A^+$ , we may regard  $\operatorname{R}_{\iota_n(\varpi)}(G) = \operatorname{Rep}_{[n \det]}(G)$  as the principal block  $\operatorname{Rep}_0(G)$ ;  $\operatorname{Rep}_0(G) \simeq \operatorname{R}_{\iota_n(\varpi)}(G)$  via  $M \mapsto \det^{\otimes_n} \otimes M$ . Then (1) reads as a strict monoidal functor

(2) 
$$\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\varpi, \varpi) \to \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G)).$$

In order to obtain a strict monoidal functor  $\mathcal{D}_{BS} \to \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))$  such that  $B_s\langle m \rangle \mapsto \Theta_s \ \forall s \in \mathcal{S}_a \ \forall m \in \mathbb{Z}$ , it now suffices to construct a strict monoidal functor  $\mathcal{D}_{BS} \to \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\varpi, \varpi)$  such that  $\forall j \in [1, n[, \ \forall m \in \mathbb{Z}, B_{s_{\alpha_j}}\langle m \rangle \mapsto F_{n-j}E_{n-j}1_{\varpi} \text{ and that } B_{s_{\alpha_{0,1}}}\langle m \rangle \mapsto F_nF_{n+1}\dots F_{p-1}F_0E_0E_{p-1}\dots E_{n+1}E_n1_{\varpi}$ . Instead of constructing a strict monoidal functor  $\mathcal{D}_{BS} \to \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\varpi, \varpi)$ , however, we make some further reductions.

(4.10) First let  $P_+ = \{k\delta + \sum_{i=1}^p n_i \hat{\varepsilon}_i \in P | k \in \mathbb{Z}, n_i \in \mathbb{N} \ \forall i\} \supset \{k\delta + \sum_{i=1}^p n_i \hat{\varepsilon}_i | k \in \mathbb{Z}, n_i \in \mathbb{N} \ \forall i, \sum_{i=1}^p n_i = n\} = P(\wedge^n \operatorname{nat}_p).$  Let  $\mathcal{U}_+(\widehat{\mathfrak{gl}}_p)$  denote the 2-category having the same data as that of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  but  $\forall \lambda, \mu \in P, \ \forall X, Y \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda, \mu), \ \{\mathcal{U}_+(\widehat{\mathfrak{gl}}_p)(\lambda, \mu)\}(X, Y) = \{\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda, \mu)\}(X, Y) / \mathcal{I}_+(X, Y) \ \text{with} \ \mathcal{I}_+(X, Y) \ \text{denoting the } \mathbb{k}\text{-linear span of those } f : X \Rightarrow Y \ \text{which factors through some } Z_2 \circ Z_1 \ \text{with} \ Z_1 \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda, \nu) \ \exists \nu \in P \setminus P_+$ 



 $\mathcal{U}_{+}(\widehat{\mathfrak{gl}}_{p})(\lambda,\mu)$  is just an additive category, not having enough structure to define its quotient.

As  $R_{\iota_n(\nu)}(G) = 0$  unless  $\nu \in P(\wedge^n \operatorname{nat}_p) \subset P_+$ , the 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  on  $(R_{\iota_n(\lambda)}(G))_{\lambda \in P}$ in (4.3) induces a 2-representation of  $\mathcal{U}_+(\widehat{\mathfrak{gl}}_p)$ .

(4.10') As  $P(\otimes^n \operatorname{nat}_p) = P(\wedge^n \operatorname{nat}_p)$ , the 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  on  $(\mathcal{R}_{\iota_n(\lambda)}(G_1T))_{\lambda \in P}$  induces a 2-representation on  $\mathcal{U}_+(\widehat{\mathfrak{gl}}_p)$ 

(4.11) We "restrict" next the 2-representation of  $\mathcal{U}_+(\widehat{\mathfrak{gl}}_p)$  to  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$ . As p > n, one can imbed  $\mathfrak{sl}_n(\mathbb{C})$  as a subalgebra of  $\mathfrak{sl}_p(\mathbb{C})$  via

$$x \mapsto \begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix}.$$

As the trace form on  $\mathfrak{sl}_p(\mathbb{C})$  restricts to the one on  $\mathfrak{sl}_n(\mathbb{C})$ , the imbedding extends to an imbedding of  $\widehat{\mathfrak{sl}_n}$  into  $\widehat{\mathfrak{sl}_p}$ , and further to an imbedding of  $\widehat{\mathfrak{gl}_n} = \widehat{\mathfrak{sl}_n} \oplus \mathbb{C}$  into  $\widehat{\mathfrak{gl}_p} = \widehat{\mathfrak{sl}_p} \oplus \mathbb{C}$  with  $(0, 1) = \operatorname{diag}(\underbrace{1, \ldots, 1}_{n}) \mapsto \operatorname{diag}(\underbrace{1, \ldots, 1}_{p}) = (0, 1)$ . In particular,  $\mathfrak{h}_{\widehat{\mathfrak{gl}_n}} = \mathfrak{h}_{\mathfrak{gl}_n(\mathbb{C})} \oplus \mathbb{C}K \oplus \mathbb{C}d$ ,  $\mathfrak{h}_{\mathfrak{gl}_n(\mathbb{C})}$  denoting

the CSA of  $\mathfrak{gl}_n(\mathbb{C})$  consisting of the diagonals, is a direct summand of  $\mathfrak{h}_{\mathfrak{gl}_p(\mathbb{C})} \oplus \mathbb{C}K \oplus \mathbb{C}d = \mathfrak{h}_{\widehat{\mathfrak{gl}_p}}$  as a  $\mathbb{C}$ -Lie algebra with  $\operatorname{diag}(\underbrace{1,\ldots,1}_{n}) \mapsto \operatorname{diag}(\underbrace{1,\ldots,1}_{p})$ , and hence one may regard

$$P_{\widehat{\mathfrak{gl}_n}} = \{\lambda \in (\mathfrak{h}_{\widehat{\mathfrak{gl}_n}})^* | \lambda(\hat{h}_i) \in \mathbb{Z} \ \forall i \in [0, n[\} \hookrightarrow \{\lambda \in (\mathfrak{h}_{\widehat{\mathfrak{gl}_p}})^* | \lambda(\hat{h}_i) \in \mathbb{Z} \ \forall i \in [0, p[\} = P.$$

If we let  $\operatorname{nat}_n$  denote the natural module for  $\widehat{\mathfrak{gl}}_n$ , it may be imbedded as a direct summand of  $\operatorname{nat}_p$  as  $\widehat{\mathfrak{gl}}_n$ -modules

$$\operatorname{nat}_{p} = (\prod_{i=1}^{p} \mathbb{C}a_{i}) \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}] = \{(\prod_{i=1}^{n} \mathbb{C}a_{i}) \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]\} \oplus \{(\prod_{i=n+1}^{p} \mathbb{C}a_{i}) \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]\}$$
$$= \operatorname{nat}_{n} \oplus \{(\prod_{i=n+1}^{p} \mathbb{C}a_{i}) \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]\}$$

with  $\widehat{\mathfrak{gl}}_n$  acting on the 2nd summand by annihilating  $\mathfrak{sl}_n(\mathbb{C})$ . Let us denote the direct summand  $\operatorname{nat}_n$  by  $\operatorname{nat}_p^{[n]}$ . Then the set of weights on  $\operatorname{nat}_p^{[n]}$  is given by  $P(\operatorname{nat}_p^{[n]}) = \{\hat{\varepsilon}_i + m\delta \in P | i \in \mathbb{C}\}$ 

 $[1, n], m \in \mathbb{Z}$ , and  $\wedge^n \operatorname{nat}_n$  is a direct summand of

$$\wedge^{n} \operatorname{nat}_{p} \simeq \prod_{j=0}^{n} (\wedge^{j} \operatorname{nat}_{p}^{[n]}) \otimes \wedge^{n-j} \{ (\prod_{i=n+1}^{p} \mathbb{C}a_{i}) \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}] \}$$

[服部, Prop. 21.3, p. 125] as a  $\widehat{\mathfrak{gl}}_n$ -module. Explicitly, one may identify  $\wedge^n \operatorname{nat}_n$  with  $\wedge^n(\operatorname{nat}_p^{[n]}) = \coprod_{\lambda \in P(\wedge^n \operatorname{nat}_n)}(\wedge^n \operatorname{nat}_p)_{\lambda}$  with  $P(\wedge^n \operatorname{nat}_p^{[n]}) = \{\sum_{i=1}^n n_i \hat{\varepsilon}_i + m\delta \in P | n_i \in \mathbb{N}, \sum_{i=1}^n n_i = n, m \in \mathbb{Z}\}$ ; the other summand has weights involving some  $\hat{\varepsilon}_j, j > n$ .

Note, however, that the imbedding of  $\widehat{\mathfrak{gl}}_n$  into  $\widehat{\mathfrak{gl}}_p$  is not compatible with the Chevalley elements associated to the index 0, e.g.,  $\hat{e}_0 = te(1,n)$ ,  $\hat{f}_0 = t^{-1}e(n,1)$  in  $\widehat{\mathfrak{gl}}_n$  while  $\hat{e}_0 = te(1,p)$ ,  $\hat{f}_0 = t^{-1}e(p,1)$  in  $\widehat{\mathfrak{gl}}_p$ . Although te(1,n) and  $t^{-1}e(n,1)$  have complicated expressions in terms of Chevalley elements in  $\widehat{\mathfrak{gl}}_p$ , their actions on  $\operatorname{nat}_p^{[n]}$  are given, resp., by

(1) 
$$\hat{e}_0 \hat{e}_{p-1} \dots \hat{e}_{n+1} \hat{e}_n$$
 and  $\hat{f}_n \hat{f}_{n+1} \dots \hat{f}_{p-1} \hat{f}_0$ 

Recall from (3.7) the isomorphism  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)] \to \wedge^n \operatorname{nat}_p$ , and set

$$\operatorname{Rep}^{[n]}(G) = \coprod_{\lambda \in P(\wedge^n \operatorname{nat}_p^{[n]})} \operatorname{Rep}_{\iota_n(\lambda)}(G).$$

One thus obtains an action of  $\widehat{\mathfrak{gl}}_n$  on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}^{[n]}(G)] \simeq \wedge^n(\operatorname{nat}_p^{[n]})$ . To avoid confusion about the nodes 0 on  $\widehat{\mathfrak{gl}}_n$  and on  $\widehat{\mathfrak{gl}}_p$  we will write  $\infty$  for the node 0 in  $\widehat{\mathfrak{gl}}_n$  after [RW];  $\hat{e}_{\infty}$  and  $\hat{f}_{\infty}$  act on  $\wedge^n(\operatorname{nat}_p^{[n]})$  as the elements in (1), resp.

One can, moreover, upgrade the action to a 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$  on  $[\operatorname{Rep}^{[n]}(G)]$  as follows: in the notation from (4.3),  $\forall \lambda \in P_{\widehat{\mathfrak{gl}}_n}$ ,

(i) let

$$\mathcal{C}_{\lambda} = \mathbf{R}_{\iota_n(\lambda)}(G) = \begin{cases} \operatorname{Rep}_{\iota_n(\lambda)}(G) & \text{if } \lambda \in P(\wedge^n \operatorname{nat}_p^{[n]}), \\ 0 & \text{else,} \end{cases}$$

(ii)  $\forall i \in [1, n[, let$ 

$$E_i^{\lambda} = E_i|_{\mathcal{R}_{\iota_n(\lambda)}(G)} : \mathcal{R}_{\iota_n(\lambda)}(G) \to \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_i)}(G),$$
  
$$F_i^{\lambda} = F_i|_{\mathcal{R}_{\iota_n(\lambda)}(G)} : \mathcal{R}_{\iota_n(\lambda)}(G) \to \mathcal{R}_{\iota_n(\lambda-\hat{\alpha}_i)}(G),$$

and, corresponding to  $E_{\infty} 1_{\lambda}$  and  $F_{\infty} 1_{\lambda}$  in  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$ , let

$$E_{\infty}^{\lambda} = (E_0 E_{p-1} \dots E_{n+1} E_n)|_{\mathcal{R}_{\iota_n(\lambda)}(G)} : \mathcal{R}_{\iota_n(\lambda)}(G) \to \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_n+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_0)}(G),$$
  
$$F_{\infty}^{\lambda} = (F_n F_{n+1} \dots F_{p-1} F_0)|_{\mathcal{R}_{\iota_n(\lambda)}(G)} : \mathcal{R}_{\iota_n(\lambda)}(G) \to \mathcal{R}_{\iota_n(\lambda-\hat{\alpha}_0-\hat{\alpha}_{p-1}-\dots-\hat{\alpha}_{n+1}-\hat{\alpha}_n)}(G).$$

(iii)  $\forall i, j \in [1, n[$ , define

$$\begin{aligned} x_{i}^{\lambda} &\in \operatorname{Cat}(\mathbf{R}_{\iota_{n}(\lambda)}(G), \mathbf{R}_{\iota_{n}(\lambda+\hat{\alpha}_{i})}(G))(E_{i}^{\lambda}, E_{i}^{\lambda}), \\ \tau_{(j,i)}^{\lambda} &\in \operatorname{Cat}(\mathbf{R}_{\iota_{n}(\lambda)}(G), \mathbf{R}_{\iota_{n}(\lambda+\hat{\alpha}_{i}+\hat{\alpha}_{j})}(G))(E_{i}^{\lambda+\hat{\alpha}_{j}}E_{j}^{\lambda}, E_{j}^{\lambda+\hat{\alpha}_{i}}E_{i}^{\lambda}), \\ \eta_{i}^{\lambda} &\in \operatorname{Cat}(\mathbf{R}_{\iota_{n}(\lambda)}(G), \mathbf{R}_{\iota_{n}(\lambda)}(G))(\operatorname{id}, F_{i}^{\lambda+\hat{\alpha}_{i}}E_{i}^{\lambda}), \\ \varepsilon_{i}^{\lambda} &\in \operatorname{Cat}(\mathbf{R}_{\iota_{n}(\lambda)}(G), \mathbf{R}_{\iota_{n}(\lambda)}(G))(E_{i}^{\lambda-\hat{\alpha}_{i}}F_{i}^{\lambda}, \operatorname{id}) \end{aligned}$$

to be  $x_{\lambda,i}, \tau_{\lambda,(j,i)}, \eta_{\lambda,i}, \varepsilon_{\lambda,i}$ , as in (4.3.iii), resp. Define

$$x_{\infty}^{\lambda} \in \operatorname{Cat}(\mathbf{R}_{\iota_{n}(\lambda)}(G), \mathbf{R}_{\iota_{n}(\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{0})}(G))(E_{\infty}^{\lambda}, E_{\infty}^{\lambda})$$

to be

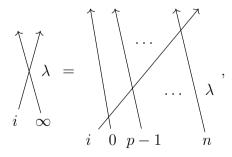
$$\oint_{\infty} \lambda = \oint_{\infty} f_{\infty} \cdots f_{n+1} f_{n}$$

which reads

$$\iota_{E_{0}E_{p-1}\dots E_{n+2}E_{n+1}|_{\mathcal{R}_{\iota_{n}}(\lambda+\hat{\alpha}_{n})(G)}} * x_{n}^{\lambda} = \iota_{E_{0}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}}} * \iota_{E_{p-1}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}}} * \dots * \iota_{E_{n+1}^{\lambda+\hat{\alpha}_{n}}} * x_{n}^{\lambda}.$$

Define

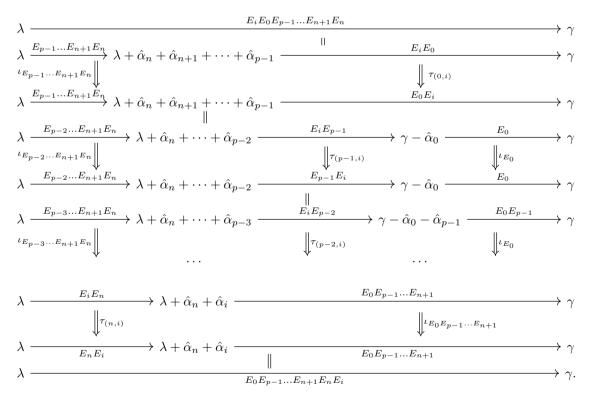
 $\tau_{(\infty,i)}^{\lambda} \in \operatorname{Cat}(\mathcal{R}_{\iota_n(\lambda)}(G), \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_i+\hat{\alpha}_n+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_0)}(G))(E_i^{\lambda+\hat{\alpha}_n+\dots+\hat{\alpha}_{p-2}+\hat{\alpha}_{p-1}+\hat{\alpha}_0}E_{\infty}^{\lambda}, E_{\infty}^{\lambda+\hat{\alpha}_i}E_i^{\lambda}),$ to be



which reads

$$\begin{split} & \left( \iota_{E_{0}E_{p-1}\dots E_{n+2}E_{n+1}|_{\mathbf{R}_{\iota_{n}}(\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{i})}(G)} *\tau_{(n,i)}^{\lambda} \right) \odot \dots \\ & \odot \left( \iota_{E_{0}E_{p-1}|_{\mathbf{R}_{\iota_{n}}(\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}+\hat{\alpha}_{i})}(G)} *\tau_{(p-2,i)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-3}} *\iota_{E_{p-3}\dots E_{n+1}E_{n}|_{\mathbf{R}_{\iota_{n}}(\lambda)}(G)} \right) \\ & \odot \left( \iota_{E_{0}|_{\mathbf{R}_{\iota_{n}}(\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{i})}(G)} *\tau_{(p-1,i)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\iota_{E_{p-2}\dots E_{n+1}E_{n}|_{\mathbf{R}_{\iota_{n}}(\lambda)}(G)} \right) \\ & \odot \left( \tau_{(0,i)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{i}} *\iota_{E_{p-1}}\dots E_{n+1}E_{n}|_{\mathbf{R}_{\iota_{n}}(\lambda)}(G) \right) \\ & \odot \left( \iota_{E_{0}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{i}} *\iota_{E_{p-1}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}+\hat{\alpha}_{i}} *\tau_{E_{p-2}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-3}} *\dots *\iota_{E_{n-1}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{i}}\tau_{(n,i)}^{\lambda} \right) \\ & \odot \dots \\ & \odot \left( \iota_{E_{0}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{i}} *\iota_{E_{p-1}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}+\hat{\alpha}_{i}} *\tau_{(i,p-2)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\iota_{E_{p-2}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\iota_{E_{p-2}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\iota_{E_{p-3}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{i}} *\tau_{(i,p-1)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}} *\iota_{E_{p-2}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\iota_{E_{p-3}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\iota_{E_{p-3}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{i}} *\tau_{(i,p-1)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}} *\iota_{E_{p-3}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}} *\iota_{E_{p-3}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\dots *\iota_{E_{p-2}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-3}} *\dots *\iota_{E_{n+1}^{\lambda+\hat{\alpha}_{n}} *\iota_{E_{n}^{\lambda+\hat{\alpha}_{n}}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}} *\iota_{E_{p-1}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\dots *\iota_{E_{p-2}^{\lambda+\hat{\alpha}_{n}}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-3}} *\dots *\iota_{E_{n+1}^{\lambda+\hat{\alpha}_{n}} *\iota_{E_{n}^{\lambda+\hat{\alpha}_{n}}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\dots *\iota_{E_{p-2}^{\lambda+\hat{\alpha}_{n}} *\iota_{E_{n}^{\lambda+\hat{\alpha}_{n}}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\dots *\iota_{E_{n+1}^{\lambda+\hat{\alpha}_{n}} *\iota_{E_{n}^{\lambda+\hat{\alpha}_{n}}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}} *\dots *\iota_{E_{n+1}^{\lambda+\hat{\alpha}_{n}} *\iota_{E_{n}^{\lambda+\hat{\alpha}_{n}}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}}$$

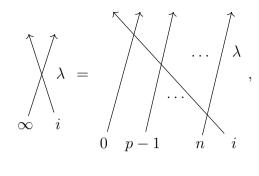
i.e., with  $\gamma = \lambda + \hat{\alpha}_n + \hat{\alpha}_{n+1} + \cdots + \hat{\alpha}_{p-1} + \hat{\alpha}_0 + \hat{\alpha}_i$ , suppressing the restrictions and the superscripts,



Define

$$\tau_{(i,\infty)}^{\lambda} \in \operatorname{Cat}(\mathcal{R}_{\iota_n(\lambda)}(G), \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_i+\hat{\alpha}_n+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_0)}(G))(E_{\infty}^{\lambda+\hat{\alpha}_i}E_i^{\lambda}, E_i^{\lambda+\hat{\alpha}_n+\dots+\hat{\alpha}_{p-2}+\hat{\alpha}_{p-1}+\hat{\alpha}_0}E_{\infty}^{\lambda})$$

to be



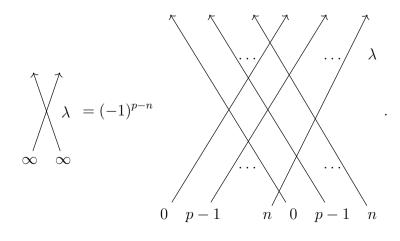
which reads

$$\begin{split} & \left(\tau_{(i,0)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}}*\iota_{E_{p-1}\dots E_{n+1}E_{n}|_{\mathbf{R}_{i_{n}(\lambda)}(G)}}\right)\odot\dots\\ & \odot\left(\iota_{E_{0}E_{p-1}\dots E_{n+3}|_{\mathbf{R}_{i_{n}(\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\hat{\alpha}_{n+2}+\hat{\alpha}_{i})(G)}}*\tau_{(i,n+2)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}}*\iota_{E_{n+1}E_{n}|_{\mathbf{R}_{i_{n}(\lambda)}}}\right)\\ & \odot\left(\iota_{E_{0}E_{p-1}\dots E_{n+3}E_{n+2}|_{\mathbf{R}_{i_{n}(\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\hat{\alpha}_{i})(G)}}*\tau_{(i,n+1)}^{\lambda}}*\iota_{E_{n}|_{\mathbf{R}_{i_{n}(\lambda)}}}\right)\\ & \odot\left(\iota_{E_{0}E_{p-1}\dots E_{n+2}E_{n+1}|_{\mathbf{R}_{i_{n}(\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{i+1}+\dots+\hat{\alpha}_{p-2}}}*\iota_{E_{p-2}}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-3}}*\dots*\iota_{E_{n-1}}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{i}}\right)\\ & \odot\left(\iota_{E_{0}}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{i}}\right)*\tau_{(i,p-1)}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-2}}*\iota_{E_{p-2}}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-3}}*\iota_{E_{p-3}}^{\lambda+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-4}}*\\ & \cdots*\iota_{E_{n+1}}^{\lambda+\hat{\alpha}_{n}}}*\iota_{E_{n}}^{\lambda}\right)\\ & \odot\dots\end{aligned}$$

Define

$$\tau^{\lambda}_{(\infty,\infty)} \in \operatorname{Cat}(\mathcal{R}_{\iota_n(\lambda)}(G), \mathcal{R}_{\iota_n(\lambda+2\hat{\alpha}_n+2\hat{\alpha}_{n+1}+\dots+2\hat{\alpha}_{p-1}+2\hat{\alpha}_0)}(G)) \\ (E^{\lambda+\hat{\alpha}_n+\dots+\hat{\alpha}_{p-2}+\hat{\alpha}_{p-1}+\hat{\alpha}_0}_{\infty} E^{\lambda}_{\infty}, E^{\lambda+\hat{\alpha}_n+\dots+\hat{\alpha}_{p-2}+\hat{\alpha}_{p-1}+\hat{\alpha}_0}_{\infty} E^{\lambda}_{\infty})$$

to be



Define 
$$\eta_{\infty}^{\lambda} \in \operatorname{Cat}(\mathcal{R}_{\iota_n(\lambda)}(G), \mathcal{R}_{\iota_n(\lambda)}(G))(\operatorname{id}, F_{\infty}^{\lambda+\hat{\alpha}_n+\cdots+\hat{\alpha}_{p-2}+\hat{\alpha}_{p-1}+\hat{\alpha}_0}E_{\infty}^{\lambda}), \text{ denoted } \bigwedge_{\lambda}^{\infty}, \text{ to }$$

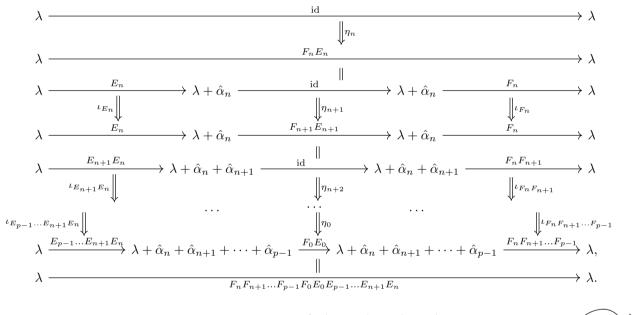
 $\mathbf{be}$ 

$$(\iota_{F_nF_{n+1}\dots F_{p-1}|_{\operatorname{Rep}_{\iota_n(\lambda+\hat{\alpha}_n+\dots+\hat{\alpha}_{p-1})}(G)} * \eta_0^{\lambda+\hat{\alpha}_n+\hat{\alpha}_{n+1}+\dots+\hat{\alpha}_{p-1}} * \iota_{E_{p-1}\dots E_{n+1}\dots E_n|_{\operatorname{Rep}_{\iota_n(\lambda)}(G)}})$$

$$\odot \cdots \odot (\iota_{F_nF_{n+1}|_{\operatorname{Rep}_{\iota_n(\lambda+\hat{\alpha}_n+\hat{\alpha}_{n+1}}(G)}} * \eta_{n+2}^{\lambda+\hat{\alpha}_n+\hat{\alpha}_{n+1}} * \iota_{E_{n+1}E_n|_{\operatorname{Rep}_{\iota_n(\lambda)}(G)}})$$

$$\odot (\iota_{F_n}|_{\operatorname{Rep}_{\iota_n(\lambda+\hat{\alpha}_n)}(G)} * \eta_{n+1}^{\lambda+\hat{\alpha}_n} * \iota_{E_n|_{\operatorname{Rep}_{\iota_n(\lambda)}(G)}}) \odot \eta_n^{\lambda}$$

with  $\eta_0^{\lambda+\hat{\alpha}_n+\hat{\alpha}_{n+1}+\cdots+\hat{\alpha}_{p-1}}$  and  $\eta_i^{\lambda+\hat{\alpha}_n+\hat{\alpha}_{n+1}+\cdots+\hat{\alpha}_{i-1}}$ ,  $i \in [n, p[$ , as in (4.3.iii): suppressing the super-scripts



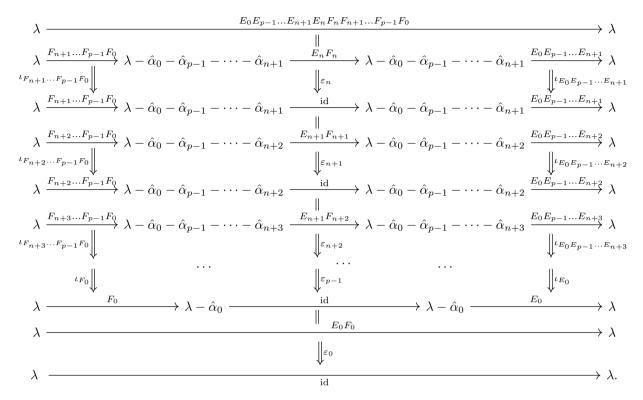
Define finally  $\varepsilon_{\infty}^{\lambda} \in \operatorname{Cat}(\mathbf{R}_{\iota_{n}(\lambda)}(G), \mathbf{R}_{\iota_{n}(\lambda)}(G))(E_{\infty}^{\lambda-\hat{\alpha}_{n}-\cdots-\hat{\alpha}_{p-2}-\hat{\alpha}_{p-1}-\hat{\alpha}_{0}}F_{\infty}^{\lambda}, \operatorname{id})$ , denoted  $\bigwedge_{\infty}^{\lambda}$ , to be

$$\varepsilon_{0}^{\lambda} \odot \left(\iota_{E_{0}|_{\iota_{n}(\lambda-\hat{\alpha}_{0})(G)}}\right) * \iota_{F_{0}|_{\operatorname{Rep}_{\iota_{n}(\lambda)}(G)}}\right) \odot \dots$$

$$\odot \left(\iota_{E_{0}E_{p-1}\dots E_{n+2}|_{\operatorname{Rep}_{\iota_{n}(\lambda-\hat{\alpha}_{0}-\hat{\alpha}_{p-1}-\dots-\hat{\alpha}_{n+2})(G)}} * \varepsilon_{n+1}^{\lambda-\hat{\alpha}_{0}-\hat{\alpha}_{p-1}-\dots-\hat{\alpha}_{n+2}} * \iota_{F_{n+2}\dots F_{p-1}F_{0}|_{\operatorname{Rep}_{\iota_{n}(\lambda)}(G)}}\right)$$

$$\odot \left(\iota_{E_{0}E_{p-1}\dots E_{n+1}|_{\operatorname{Rep}_{\iota_{n}(\lambda-\hat{\alpha}_{0}-\hat{\alpha}_{p-1}-\dots-\hat{\alpha}_{n+1})(G)}} * \varepsilon_{n}^{\lambda-\hat{\alpha}_{0}-\hat{\alpha}_{p-1}-\dots-\hat{\alpha}_{n+1}} * \iota_{F_{n+1}\dots F_{p-1}F_{0}|_{\operatorname{Rep}_{\iota_{n}(\lambda)}(G)}}\right)$$

with  $\varepsilon_0$  and  $\varepsilon_i$ ,  $i \in [n, p[$ , as in (4.3.iii):



To check that the so defined generating 2-morphisms satisfy the required relations, one can lift the 2-morphisms to those in  $\mathcal{U}_+(\widehat{\mathfrak{gl}_p})$  and check a number of the relations there [RW, 7.3]. For the rest see [RW, pp. 101-102].

**Theorem** [RW, Th. 7.4.1]: The data above defines a 2-representation of  $\mathcal{U}(\mathfrak{gl}_n)$ .

(4.11') To check that (4.11) carries over to  $\operatorname{Rep}(G_1T)$ , one has  $\otimes^n \operatorname{nat}_n$  a direct summad of  $\otimes^n \operatorname{nat}_p \simeq \coprod_{i=0}^n (\otimes^j \operatorname{nat}_p^{[n]}) \otimes \otimes^{n-j} \{(\coprod_{i=n+1}^p \mathbb{C}a_i) \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]\}$  as a  $\widehat{\mathfrak{gl}_n}$ -module;

$$\otimes^n \operatorname{nat}_n \simeq \otimes^n (\operatorname{nat}_p^{[n]}) = \coprod_{\lambda \in P(\otimes^n \operatorname{nat}_n)} (\otimes^n \operatorname{nat}_p)_{\lambda}$$

with  $P(\otimes^n \operatorname{nat}_n) = \{\sum_{i=1}^n n_i \hat{\varepsilon}_i + m\delta \in P | n_i \in \mathbb{N}, \sum_{i=1}^n n_i = n, m \in \mathbb{Z}\}$ . As  $P(\otimes^n \operatorname{nat}_n) = P(\wedge^n \operatorname{nat}_n)$ , the arguments of (4.11) carry over to  $\operatorname{Rep}(G_1T)$ .

(4.12) Could we lift the 2-representation in (4.11) to a 2-functor  $\mathcal{U}(\widehat{\mathfrak{gl}}_n) \to \mathcal{U}_+(\widehat{\mathfrak{gl}}_p)$ ?

**Definition** [Bor, Def. 7.2.1, pp. 287-288]: Given two strict 2-categories  $\mathcal{A}$  and  $\mathcal{B}$ , a 2-functor  $\Phi : \mathcal{A} \to \mathcal{B}$  consists of the data

- (i) for each object A of  $\mathcal{A}$ , an object  $\Phi A$  of  $\mathcal{B}$ ,
- (ii)  $\forall A, A' \in |\mathcal{A}|$ , a functor  $\Phi_{A,A'} : \mathcal{A}(A, A') \to \mathcal{B}(\Phi A, \Phi A')$  compatible with the compositions

and the units:  $\forall A, A', A'' \in |\mathcal{A}|, \Phi_{A,A''} \circ c_{A,A',A''} = c_{\Phi A,\Phi A',\Phi A''} \circ (\Phi_{A,A'} \times \Phi_{A',A''})$ 

$$\begin{array}{cccc}
\mathcal{A}(A,A') \times \mathcal{A}(A',A'') & \xrightarrow{c_{A,A',A''}} & \mathcal{A}(A,A'') \\
 & & & & \downarrow^{\Phi_{A,A''}} \\
\mathcal{B}(\Phi A, \Phi A') \times \mathcal{B}(\Phi A', \Phi A'') & \xrightarrow{c_{\Phi A, \Phi A', \Phi A''}} & \mathcal{B}(\Phi A, \Phi A'')
\end{array}$$

and  $\Phi_{A,A} \circ u_A = u_{\Phi A}$ 

$$1 \xrightarrow{u_A} \mathcal{A}(A, A)$$

$$\downarrow_{u_{\Phi A}} \bigcirc \qquad \downarrow_{\Phi_{A,A}}$$

$$\mathcal{B}(\Phi A, \Phi A).$$

In the case of the 2-representation we defined  $\mathcal{C}_{\lambda} = 0$  unless  $\lambda \in P(\wedge^n \operatorname{nat}_n)$  while we cannot associate 0 to  $E_i 1_{\lambda}$  for  $\lambda \in P_{\widehat{\mathfrak{gl}_n}} \setminus P(\wedge^n \operatorname{nat}_n)$ . To compensate that, consider now a data  $\Phi : \mathcal{U}(\widehat{\mathfrak{gl}_n}) \to \mathcal{U}_+(\widehat{\mathfrak{gl}_p})$  such that

$$(\Phi 1) |\mathcal{U}(\widehat{\mathfrak{gl}_n})| = P_{\widehat{\mathfrak{gl}_n}} \hookrightarrow P = |\mathcal{U}(\widehat{\mathfrak{gl}_p})|,$$

 $(\Phi 2) \ \forall \lambda, \mu \in P_{\widehat{\mathfrak{gl}_n}}, \text{ define } \Phi_{\lambda,\mu} : \mathcal{U}(\widehat{\mathfrak{gl}_n})(\lambda,\mu) \to \mathcal{U}_+(\widehat{\mathfrak{gl}_p})(\Phi\lambda,\Phi\mu) = \mathcal{U}_+(\widehat{\mathfrak{gl}_p})(\lambda,\mu) \text{ to be a strict monoidal functor such that } \forall i \in [1, n[\cup\{\infty\} \ \forall \nu \in P_{\widehat{\mathfrak{gl}_n}},$ 

$$E_i 1_{\nu} \mapsto \begin{cases} E_0 E_{p-1} \dots E_{n+1} E_n 1_{\nu} & \text{if } i = \infty, \\ E_i 1_{\nu} & \text{else,} \end{cases} \qquad F_i 1_{\nu} \mapsto \begin{cases} F_n F_{n+1} \dots F_{p-1} F_0 1_{\nu} & \text{if } i = \infty, \\ E_i 1_{\nu} & \text{else,} \end{cases}$$

and for the generating 2-morphisms such that  $\forall \lambda \in P_{\widehat{\mathfrak{gl}}_n}, \forall i, j \in [1, n[,$ 

$$\mathcal{U}(\widehat{\mathfrak{gl}_n})(\lambda,\lambda)(E_i1_\lambda,E_i1_\lambda)\ni x\mapsto \begin{cases} x\in\mathcal{U}_+(\widehat{\mathfrak{gl}_p})(\lambda,\lambda)(E_i1_\lambda,E_i1_\lambda) & \text{if } \lambda\in P(\wedge^n\mathrm{nat}_n), \\ 0 & \text{else,} \end{cases}$$

 $\begin{aligned} \mathcal{U}(\widehat{\mathfrak{gl}_n})(\lambda,\lambda+\hat{\alpha}_i+\hat{\alpha}_j)(E_iE_j1_{\lambda},E_jE_i1_{\lambda}) &\ni \tau \mapsto \\ \begin{cases} \tau \in \mathcal{U}_+(\widehat{\mathfrak{gl}_p})(\lambda,\lambda+\hat{\alpha}_i+\hat{\alpha}_j)(E_iE_j1_{\lambda},E_jE_i1_{\lambda}) & \text{if } \lambda,\lambda+\hat{\alpha}_i,\lambda+\hat{\alpha}_j,\lambda+\hat{\alpha}_i+\hat{\alpha}_j \in P(\wedge^n \text{nat}_n), \\ 0 & \text{else}, \end{cases} \end{aligned}$ 

$$\mathcal{U}(\widehat{\mathfrak{gl}_n})(\lambda,\lambda)(1_{\lambda},F_iE_i1_{\lambda}) \ni \eta \mapsto \begin{cases} \eta \in \mathcal{U}_+(\widehat{\mathfrak{gl}_p})(\lambda,\lambda)(1_{\lambda},F_iE_i1_{\lambda}) & \text{if } \lambda,\lambda + \hat{\alpha}_i \in P(\wedge^n \text{nat}_n), \\ 0 & \text{else,} \end{cases}$$

$$\mathcal{U}(\mathfrak{gl}_n)(\lambda,\lambda)(E_iF_i1_\lambda,1_\lambda) \ni \varepsilon \mapsto \begin{cases} \varepsilon \in \mathcal{U}_+(\widehat{\mathfrak{gl}_p})(\lambda,\lambda)(E_iF_i1_\lambda,1_\lambda) & \text{if } \lambda,\lambda - \hat{\alpha}_i \in P(\wedge^n \text{nat}_n), \\ 0 & \text{else}, \end{cases}$$

etc. Does  $\Phi: \mathcal{U}(\widehat{\mathfrak{gl}_n}) \to \mathcal{U}_+(\widehat{\mathfrak{gl}_p})$  define a 2-functor?

(4.13) Just as we defined  $\mathcal{U}_{+}(\widehat{\mathfrak{gl}}_{p})$ , define a 2-category  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_{n})$  to be the 2-category having the same data as that of  $\mathcal{U}(\widehat{\mathfrak{gl}}_{n})$  but setting,  $\forall \lambda, \mu \in P_{\widehat{\mathfrak{gl}}_{n}}, \forall X, Y \in \mathcal{U}(\widehat{\mathfrak{gl}}_{n})(\lambda, \mu), \{\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_{n})(\lambda, \mu)\}(X, Y) = \{\mathcal{U}(\widehat{\mathfrak{gl}}_{n})(\lambda, \mu)\}(X, Y)/\mathcal{I}^{[n]}(X, Y)$  with  $\mathcal{I}^{[n]}(X, Y)$  denoting the k-linear span of those  $f: X \Rightarrow Y$  which factors through some  $Z_{2} \circ Z_{1}, Z_{1} \in \mathcal{U}(\widehat{\mathfrak{gl}}_{n})(\lambda, \nu), Z_{2} \in \mathcal{U}(\widehat{\mathfrak{gl}}_{n})(\nu, \mu), \nu \in P_{\widehat{\mathfrak{gl}}_{n}} \setminus P(\wedge^{n} \operatorname{nat}_{n}).$  By construction the 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_{n})$  factors through  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_{n})$  to induce a strict monoidal functor  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_{n})(\varpi, \varpi) \to \operatorname{Cat}(\operatorname{Rep}_{[n \operatorname{det}]}(G), \operatorname{Rep}_{[n \operatorname{det}]}(G))$  such that  $F_{n-j}E_{n-j}1_{\varpi} \mapsto \Theta_{s_{j}} \; \forall j \in [1, n[, \operatorname{and} F_{\infty}E_{\infty}1_{\varpi} \mapsto \Theta_{s_{\alpha_{0},1}}.$  We are now reduced to construct a strict monoidal functor  $\mathcal{D}_{\mathrm{BS}} \to \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_{n})(\varpi, \varpi)$ .

(4.13') As  $P(\otimes^n \operatorname{nat}_p) = P(\wedge^n \operatorname{nat}_p)$  again, the 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$  on  $(\operatorname{Rep}_{\iota_n(\lambda)}(G_1T)|\lambda \in P(\otimes^n \operatorname{nat}_p))$  factors through  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)$  to induce a strict monoidal functor  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi) \to \operatorname{Cat}(\operatorname{Rep}_{[n \det]}(G_1T), \operatorname{Rep}_{[n \det]}(G_1T))$  such that  $F_{n-j}E_{n-j}1_{\varpi} \mapsto \Theta_{s_j} \forall j \in [1, n[, \operatorname{and} F_{\infty}E_{\infty}1_{\varpi} \mapsto \Theta_{s_{\alpha_0,1}}]$ . It follows that the functor  $\mathcal{D}_{\mathrm{BS}} \to \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi)$  of (4.13) will suffice to yield a strict monoidal functor  $\mathcal{D}_{\mathrm{BS}} \to \operatorname{Cat}(\operatorname{Rep}_{[n \det]}(G_1T), \operatorname{Rep}_{[n \det]}(G_1T))$ .

## 5° The Elias-Williamson diagrammatic category

We now attempt to give a "reasonably" precise definition of the Bott-Samelson diagrammatic category  $\mathcal{D}_{BS}$  and of the Elias-Williamson category  $\mathcal{D}$ . The assumption p > n is enforced here [RW, Rmk. 4.2.1]. We state the fundamental existence theorem of a strict monoidal functor from  $\mathcal{D}_{BS}$  to the category  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi)$ , a quotient of  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi)$  the category of 1-endomorphisms of weight  $\varpi$  of the affine Lie algebra  $\widehat{\mathfrak{gl}}_n$ . We leave, however, the lengthy proof consuming [RW, 8] as a black box.

(5.1) Let  $\underline{R} = S_{\Bbbk}(\Bbbk \otimes_{\mathbb{Z}} \mathbb{Z}R^{\vee}) = \Bbbk \otimes_{\mathbb{Z}} S_{\mathbb{Z}}(\mathbb{Z}R^{\vee})$  endowed with gradation such that  $\deg(R^{\vee}) = 2$ . An expression is a sequence  $(s_1, s_2, \ldots, s_r)$  of simple reflections  $s_j \in S_a$ , which we denote by  $\underline{s_1 s_2 \ldots s_r}$ . If  $w = s_1 s_2 \ldots s_r \in \mathcal{W}_a$ , we often abbreviate the sequence as  $\underline{w}$ . We also write  $\ell(\underline{w}) = r$ . A subexpression of  $\underline{w}$  is an expression  $\underline{x}$  obtained from a subsequence of  $\underline{w}$ , in which case we write  $\underline{x} \subseteq \underline{w}$ .

The category  $\mathcal{D}_{BS}$  is endowed with a shift of the grading autoequivalence  $\langle 1 \rangle$ , rather than a structure of graded category, consisting of objects,  $B_{\underline{w}}\langle m \rangle$ ,  $\underline{w}$  an expression of  $w \in \mathcal{W}_a$ ,  $m \in \mathbb{Z}$ , such that  $(B_{\underline{w}}\langle m \rangle)\langle 1 \rangle = B_{\underline{w}}\langle m + 1 \rangle$ . This is not even an additive category; the Karoubian envelope of its additive hull  $\mathcal{D}$  appearing later, on the other hand, is a graded category [RW, 1.2, p. 3]. We will abbreviate  $B_{\underline{w}}\langle 0 \rangle$  as  $B_{\underline{w}}$ . Under the product defined on the objects such that  $(B_{\underline{w}}\langle m \rangle) \cdot (B_{\underline{v}}\langle m \rangle) = B_{\underline{wv}}\langle m + m' \rangle$  with  $\underline{wv}$  denoting the concatenation of  $\underline{w}$  and  $\underline{v}$ ,  $\mathcal{D}_{BS}$ comes equipped with a structure of monoidal category. Thus,  $B_{\emptyset}$  is the unital object of  $\mathcal{D}_{BS}$ . For  $s \in S_a$  by  $\underline{s}$  we mean a sequence s, but we will abbreviate  $B_{\underline{s}}\langle m \rangle$  as  $B_{\underline{s}}\langle m \rangle$ .

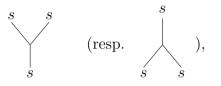
We will use diagrams to denote morphisms in  $\mathcal{D}_{BS}$ . An element of  $\mathcal{D}_{BS}(B_{\underline{v}}\langle m \rangle, B_{\underline{w}}\langle m' \rangle)$  is a k-linear combination of certain equivalence classes of diagrams whose bottom has strands labelled by the simple reflections with multiplicities appearing in  $\underline{v}$ , and whose top has strands labeled by the simple reflections with multiplicities appearing in  $\underline{w}$ . Diagrams should be read from bottom to top. The monoidal product correspond to a horizontal concatenation, and the composition to a vertical concatenation. The diagrams, i.e., morphisms, are constructed by horizontal and vertical concatenations of images under autoequivalences  $\langle m \rangle$ ,  $m \in \mathbb{Z}$ , of 4 different types of generators:

(G1)  $\forall f \in \underline{R}$  homogeneous,  $B_{\emptyset} \to B_{\emptyset} \langle \deg(f) \rangle$  represented diagrammatically as f with empty top and bottom,

(G2)  $\forall s \in \mathcal{S}_a$ , the upper dot  $B_s \to B_{\emptyset} \langle 1 \rangle$  (resp. the lower dot  $B_{\emptyset} \to B_s \langle 1 \rangle$ ) represented as

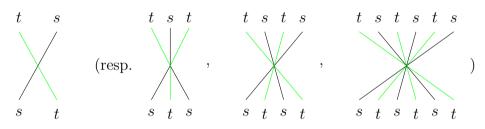


(G3)  $\forall s \in \mathcal{S}_a$ , the trivalent vertices  $B_s \to B_{\underline{ss}} \langle -1 \rangle$  (resp.  $B_{\underline{ss}} \to B_s \langle -1 \rangle$ ) represented as



(G4)  $\forall s, t \in \mathcal{S}_a \text{ with } s \neq t \text{ and } \operatorname{ord}(st) = m_{st} \text{ in } \mathcal{W}_a, \text{ the } 2m_{st} \text{-valent vertex } B_{\underbrace{st \dots}_{m_{st}}} \to B_{\underbrace{ts \dots}_{m_{st}}}$ 

represented as



if  $m_{st} = 2$  (resp. 3, 4, 6).

Those generators are subject to a number of relations described in [EW, §5]. The relations define the "equivalence relations" on the morphisms. We recall only that isotopic diagrams are equivalent, and that,  $\forall \alpha \in \mathbb{R}^s$ , the morphism  $\alpha^{\vee} \in \mathcal{D}_{BS}(B_{\emptyset}, B_{\emptyset}\langle 2 \rangle)$  in (G1) is the composition of morphisms in (G2) [EW, 5.1]:

(1) 
$$\alpha^{\vee} = \begin{cases} B_{\emptyset} \langle 2 \rangle \\ \langle 1 \rangle \\ s \\ s \\ s \\ B_{\emptyset} \end{cases} = \begin{cases} B_{g} \langle 1 \rangle \\ B_{g} \langle 1 \rangle \\ B_{\emptyset} \end{cases}$$

As  $\underline{R} = \mathbb{k}[\alpha^{\vee} | \alpha \in R^s]$ , the morphisms in (G2)-(G4) are, in fact, sufficient to generate all the morphisms in  $\mathcal{D}_{BS}$ .

(5.2) There is also a monoidal equivalence  $\tau : \mathcal{D}_{BS} \to \mathcal{D}_{BS}^{op}$  sending each  $B_{\underline{w}} \langle m \rangle$  to  $B_{\underline{w}} \langle -m \rangle$  and reflecting diagrams along a horizontal axis [RW, 6.3].

 $\forall X, Y \in \mathcal{D}_{BS}, \text{ set } \mathcal{D}_{BS}^{\bullet}(X, Y) = \prod_{m \in \mathbb{Z}} \mathcal{D}_{BS}(X, Y\langle m \rangle), \text{ which is equipped with a structure of graded bimodule over <u>R</u> such that <math>\forall f \in \underline{R}$  homogeneous,  $\forall \phi \in \mathcal{D}_{BS}^{\bullet}(X, Y),$ 

One has [EW, Cor. 6.13] that  $\mathcal{D}^{\bullet}_{BS}(X, Y)$  is free of finite rank as a left and as a right <u>R</u>-module.

(5.3) Recall from (4.9) weight  $\varpi = \hat{\varepsilon}_1 + \cdots + \hat{\varepsilon}_n \in P(\wedge^n \operatorname{nat}_p^{[n]})$ . We now construct a strict monoidal functor  $\mathcal{D}_{BS} \to \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi)$  as follows:  $\forall j \in [1, n[, \forall m \in \mathbb{Z}, \text{ we assign})$ 

$$B_{s_{\alpha_{n-j}}}\langle m\rangle \mapsto F_j E_j 1_{\varpi} = (F_j 1_{\varpi + \hat{\alpha}_j}) \circ (E_j 1_{\varpi}),$$

while

$$B_{s_{\alpha_0,1}}\langle m\rangle \mapsto F_{\infty}E_{\infty}1_{\varpi} = (F_{\infty}1_{\varpi+\hat{\alpha}_{\infty}}) \circ (E_{\infty}1_{\varpi})$$

where  $\hat{\alpha}_{\infty} = \delta + \hat{\varepsilon}_1 - \hat{\varepsilon}_n$  is a root for  $\widehat{\mathfrak{gl}}_n$ . As to the generating morphisms of  $\mathcal{D}_{BS}$ , as for the objects we let  $j \in [1, n[$  correspond to  $s_{n-j} := s_{\alpha_{n-j}}$ , and let  $\infty$  correspond to  $s_{\alpha_0,1}$ , so we will let j vary over [1, n] and read n as  $\infty$  on the RHS. The assignment goes as follows:  $\forall m \in \mathbb{Z}$ ,

where  $\varepsilon'_{\varpi,j} \in \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}_n})(\varpi, \varpi)((F_j \mathbb{1}_{\varpi + \hat{\alpha}_j}) \circ (E_j \mathbb{1}_{\varpi}), \mathbb{1}_{\varpi})$  [Br, 1.10] is distinct from  $\varepsilon_{\varpi,j} \in \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}_n})$ 

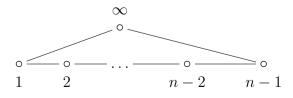
 $(\varpi, \varpi)(E_j 1_{\varpi - \hat{\alpha}_j} F_j 1_{\varpi}, 1_{\varpi})$  depicted as  $\bigcap_j^{\varpi}$  in (4.1),

$$S_{n-j} \quad S_{n-j} \quad S_{n$$

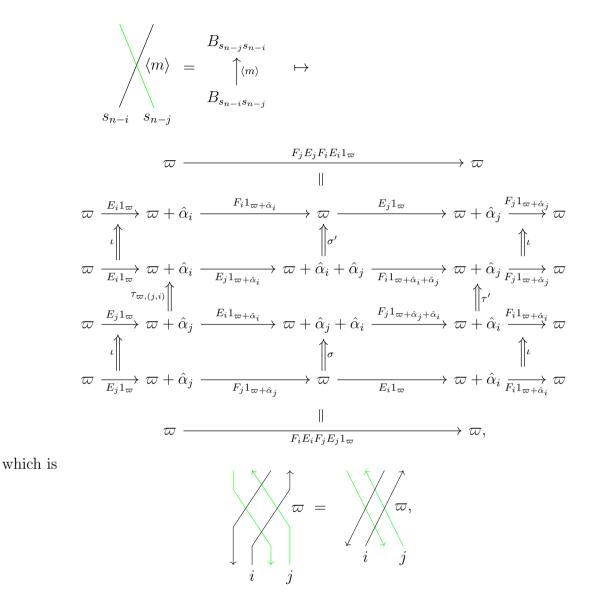
$$= \bigvee_{\substack{j \\ (j) \\$$

where  $\eta'_{\varpi+\hat{\alpha}_j,j} \in \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}_n})(\varpi+\hat{\alpha}_j,\varpi+\hat{\alpha}_j)(1_{\varpi+\hat{\alpha}_j},E_j1_{\varpi}F_j1_{\varpi+\hat{\alpha}_j})$  [Br, 1.10] is distinct from  $\eta_{\varpi+\hat{\alpha}_j,j} \in \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}_n})(\varpi+\hat{\alpha}_j,\varpi+\hat{\alpha}_j)(1_{\varpi+\hat{\alpha}_j},F_j1_{\varpi}E_j1_{\varpi+\hat{\alpha}_j}),$ 

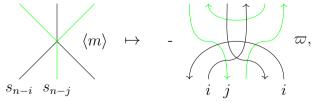
Replace now the quiver in (3.1) by



 $\forall i, j \in [1, n]$  with  $(n - i) \neq (n - j)$  in the new quiver,



where  $\sigma \in \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi + \hat{\alpha}_j, \varpi + \hat{\alpha}_i)(E_iF_j1_{\varpi + \hat{\alpha}_j}, F_jE_i1_{\varpi + \hat{\alpha}_j})$  (resp.  $\tau' \in \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi + \hat{\alpha}_j + \hat{\alpha}_i, \varpi)$  $(F_iF_j1_{\varpi + \hat{\alpha}_j + \hat{\alpha}_i}, F_jF_i1_{\varpi + \hat{\alpha}_j + \hat{\alpha}_i}), \sigma' \in \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi + \hat{\alpha}_i, \varpi + \hat{\alpha}_j)(F_iE_j1_{\varpi + \hat{\alpha}_i}, E_jF_i1_{\varpi + \hat{\alpha}_i})$ ) is taken from [Br, 1.6] (resp. [Br, 1.10], [Br, 1.11]). Finally,  $\forall i, j \in [1, n]$  with  $(n - j) \to (n - 1)$  in the quiver (3.1),



**Theorem [RW, Th. 8.1.1]:** The data above defines a strict monoidal functor  $\mathcal{D}_{BS} \to \mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi)$ .

(5.4) Composed with the strict monoidal functor  $\mathcal{U}^{[n]}(\widehat{\mathfrak{gl}}_n)(\varpi, \varpi) \to \operatorname{Cat}(\operatorname{Rep}_{[n \operatorname{det}]}(G), \operatorname{Rep}_{[n \operatorname{det}]}(G))$ from (4.13) we have obtained a strict monoidal functor  $\mathcal{D}_{BS} \to \operatorname{Cat}(\operatorname{Rep}_{[n \operatorname{det}]}(G), \operatorname{Rep}_{[n \operatorname{det}]}(G))$ such that  $\forall s \in \mathcal{S}_a, \forall m \in \mathbb{Z}, B_s \langle m \rangle \mapsto \Theta_s$ ; recall from (4.9) that

$$B_{s_{\alpha_j}}\langle m \rangle \mapsto F_{n-j}E_{n-j}1_{\varpi} \mapsto \Theta_{s_{\alpha_j}} \ \forall j \in [1, n[, \qquad B_{s_{\alpha_0, 1}}\langle m \rangle \mapsto F_{\infty}E_{\infty}1_{\varpi} \mapsto \Theta_{s_{\alpha_0, 1}}, F_{\alpha_0}E_{\alpha_0, 1}]$$

and

$$s_{\alpha_{j}} \longrightarrow \eta_{\varpi,n-j} \mapsto \eta_{j}^{\varpi} \in \operatorname{Cat}(\operatorname{Rep}_{[n \operatorname{det}]}(G), \operatorname{Rep}_{[n \operatorname{det}]}(G))(\operatorname{id}, \Theta_{s_{\alpha_{j}}}) \; \forall j \in [1, n[, \infty])$$

$$\begin{split} s_{\alpha_{0},1} & \mapsto \eta_{\infty,\varpi} \mapsto \eta_{\infty}^{\varpi} \\ & = (\iota_{F_{0}F_{p-1}\dots F_{n+1}1_{\varpi+\hat{\alpha}_{0}+\hat{\alpha}_{p-1}}+\dots+\hat{\alpha}_{n+1}} * \eta_{n}^{\varpi+\hat{\alpha}_{0}+\hat{\alpha}_{p-1}+\dots+\hat{\alpha}_{n+1}} * \iota_{E_{n+1}\dots E_{p-1}\dots E_{0}1_{\varpi}}) \odot \cdots \odot \\ & (\iota_{F_{0}F_{p-1}1_{\varpi+\hat{\alpha}_{0}+\hat{\alpha}_{p-1}}} * \eta_{p-2}^{\varpi+\hat{\alpha}_{0}+\hat{\alpha}_{p-1}} * \iota_{E_{p-1}E_{0}1_{\varpi}}) \odot (\iota_{F_{0}1_{\varpi+\hat{\alpha}_{0}}} * \eta_{p-1}^{\varpi+\hat{\alpha}_{0}} * \iota_{E_{0}1_{\varpi}}) \odot \eta_{0}^{\varpi} \\ & \in \operatorname{Cat}(\operatorname{Rep}_{[n \det]}(G), \operatorname{Rep}_{[n \det]}(G))(\operatorname{id}, \Theta_{s_{\alpha_{0},1}}). \end{split}$$

Finally, there is an autoequivalence  $\iota : \mathcal{D}_{BS} \to \mathcal{D}_{BS}$  such that  $B_{\underline{s_1...s_r}}\langle m \rangle \mapsto B_{\underline{s_r...s_1}}\langle m \rangle \forall$ sequences  $\underline{s_1 \ldots s_r}$  in  $\mathcal{S}_a$ ,  $\forall m \in \mathbb{Z}$ , and on each morphism reflecting the corresponding diagrams along a vertical axis [RW, 4.2]. In particular,  $\forall X, Y \in Ob(\mathcal{D}_{BS})$ ,  $\iota(XY) = \iota(Y)\iota(X)$ . Thus, combined with  $\iota$ , we have obtained a strict monoidal functor  $\mathcal{D}_{BS} \to Cat(\operatorname{Rep}_{[n \det]}(G), \operatorname{Rep}_{[n \det]}(G))^{\operatorname{op}}$ such that  $\forall s \in \mathcal{S}_a, \forall m \in \mathbb{Z}, B_s \langle m \rangle \mapsto \Theta_s$ . As  $\operatorname{Rep}_{[n \det]}(G)$  is equivalent to the principal block  $\operatorname{Rep}_0(G)$  by tensoring with  $\det^{\otimes -n}$ , we have now

Corollary [RW, Th. 1.5.1]: There is a strict monoidal functor  $\Psi : \mathcal{D}_{BS} \to Cat(Rep_0(G), Rep_0(G))^{op}$ such that  $\forall s \in \mathcal{S}_a, \forall m \in \mathbb{Z}, B_s(m) \mapsto \Theta_s$ .

(5.4') Together with (4.13') we have also obtained

**Corollary:** There is a strict monoidal functor  $\Psi : \mathcal{D}_{BS} \to Cat(Rep_0(G_1T), Rep_0(G_1T))^{op}$  such that  $\forall s \in \mathcal{S}_a, \forall m \in \mathbb{Z}, B_s \langle m \rangle \mapsto \Theta_s$ .

(5.5) Recall that a Coxeter system  $(\mathcal{X}, \mathcal{Y})$  is the free group  $\mathcal{X}$  with a finite set  $\mathcal{Y}$  of generators subject to the relations that each  $y \in \mathcal{Y}$  is an involution and that  $\forall y, z \in \mathcal{Y}$  distinct with  $\operatorname{ord}(yz) = m_{yz}, \underbrace{yz \dots}_{m_{yz}} = \underbrace{zy \dots}_{m_{yz}}$ ; we allow  $m_{yz}$  to be  $\infty$ , in which case we impose no such

relation. Given  $x \in \mathcal{X}$ , the minimal length of sequences of elements of  $\mathcal{Y}$  to express x as a product is called the length of x and is denoted  $\ell(x)$ , in which case the expression  $x = y_1 \dots y_{\ell(x)}$  is called a reduced expression of x. There is a PO on  $(\mathcal{X}, \mathcal{Y})$ , called the Chevalley-Bruhat order, such that  $x \leq x'$  iff x is obtained as the product of a subsequence of a reduced expression of x'. Our pairs  $(\mathcal{W}_a, \mathcal{S}_a)$  and  $(\mathcal{W}, \mathcal{S})$  form Coxeter systems.

Let now  $\mathcal{H}$  (resp.  $\mathcal{H}_f$ ) denote the 岩堀-Hecke algebra over the Laurent polynomial ring  $\mathbb{Z}[v, v^{-1}]$  associated to the Coxeter system  $(\mathcal{W}_a, \mathcal{S}_a)$  (resp.  $(\mathcal{W}, \mathcal{S})$ ). Thus,  $\mathcal{H}$  has generators  $\{H_s | s \in \mathcal{S}_a\}$  subject to the quadratic relations  $H_s^2 = 1 + (v^{-1} - v)H_s \ \forall s \in \mathcal{S}_a$  and the braid relations  $\underbrace{H_s H_t \dots}_{m_{st}} = \underbrace{H_t H_s \dots}_{m_{st}} \ \forall s, t \in \mathcal{S}_a$  distinct with  $m_{st} = \operatorname{ord}(st)$ . It follows that each  $H_s$ ,

 $s \in S_a$ , is invertible with  $H_s^{-1} = H_s + (v - v^{-1})$ . Setting  $\forall x, y \in \mathcal{W}_a$  with  $\ell(x) + \ell(y) = \ell(xy)$ ,  $H_{xy} = H_x H_y$ , one has that  $\mathcal{H}$  (resp.  $\mathcal{H}_f$ ) admits a standard  $\mathbb{Z}[v, v^{-1}]$ -linear basis  $\{H_x | x \in \mathcal{W}_a\}$ (resp.  $\{H_x | x \in \mathcal{W}\}$  with  $H_e = 1$ . For this and other reasons we often write 1 for e. Under the specialization  $v \rightsquigarrow 1$  one has an isomorphism of rings

(1) 
$$\mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{H} \simeq \mathbb{Z}[\mathcal{W}_a].$$

There is  $\overline{?} \in \operatorname{Rng}(\mathcal{H}, \mathcal{H})$  such that  $\overline{v} = v^{-1}$  and that  $\overline{H_x} = (H_{x^{-1}})^{-1} \forall x \in \mathcal{W}_a$ . On  $\mathcal{H}$  there is also a Kazhdan-Lusztig basis  $\{\underline{H}_x | x \in \mathcal{W}_a\}$  such that  $\overline{\underline{H}_x} = \underline{\underline{H}_x} \forall x \in \mathcal{W}_a$  and  $\underline{\underline{H}_x} \in H_x + \sum_{y < x} v\mathbb{Z}[v]H_y$  [S97, claim 2.3, p. 84]. In particular,  $\underline{\underline{H}_e} = H_e = 1$ ,  $\underline{\underline{H}_s} = H_s + v \forall s \in \mathcal{S}_a$ , and  $\mathcal{H}_f = \coprod_{w \in \mathcal{W}} \mathbb{Z}[v, v^{-1}]\underline{H}_w$ . If  $\underline{w} = \underline{\underline{s}_1 \dots \underline{s}_r}$  is an expression in  $\mathcal{W}_a$ , set  $\underline{\underline{H}_w} = \underline{\underline{H}_{s_1} \dots \underline{\underline{H}_{s_r}}$ . In particular,  $\underline{\underline{H}_{\theta}} = \underline{\underline{H}_e} = 1$  and  $\underline{\underline{H}_s} = \underline{\underline{H}_s} \forall s \in \mathcal{S}_a$ .

Recall from [S97, p. 86] a  $\mathbb{Z}[v, v^{-1}]$ -algebra homomorphism  $\mathcal{H}_f \to \mathbb{Z}[v, v^{-1}]$  such that  $s \mapsto -v$   $\forall s \in \mathcal{S}$ , which defines a structure of right  $\mathcal{H}_f$ -module on  $\mathbb{Z}[v, v^{-1}]$ , called the "sign" representation and denoted sgn. We define the "anti-spherical" right  $\mathcal{H}$ -module as  $\mathcal{M}^{asph} = \operatorname{sgn} \otimes_{\mathcal{H}_f} \mathcal{H}$ , which is denoted  $\mathcal{N}$  (resp.  $\mathcal{N}^0$ ) in [S97, p.86 (resp. p. 98)]. Recall from [S97, Th. 3.1] that  $\mathcal{M}^{asph}$  has a standard basis  $\{N_x = 1 \otimes H_x | x \in {}^f \mathcal{W}\}$  and a Kazhdan-Lusztig basis  $\{\underline{N}_x = 1 \otimes \underline{H}_x | x \in {}^f \mathcal{W}\}, {}^f \mathcal{W} = \{x \in \mathcal{W}_a | \ell(wx) \geq \ell(x) \; \forall w \in \mathcal{W}\}.$ 

Let  $\phi \in \operatorname{Mod}\mathcal{H}(\mathcal{H}, \mathcal{M}^{\operatorname{asph}})$  via  $H \mapsto 1 \otimes H$ . Then [S97, pf of Prop. 3.4]

(2) 
$$\phi(\underline{H}_x) = \begin{cases} \underline{N}_x & \text{if } x \in {}^f \mathcal{W} \\ 0 & \text{else.} \end{cases}$$

Also [S97, p. 86]  $\forall s \in \mathcal{S}_a, \forall x \in {}^f \mathcal{W},$ 

(3) 
$$N_{x}\underline{H}_{s} = \begin{cases} N_{xs} + vN_{x} & \text{if } xs \in {}^{f}\mathcal{W} \text{ and } xs > x\\ N_{xs} + v^{-1}N_{x} & \text{if } xs \in {}^{f}\mathcal{W} \text{ and } xs < x\\ 0 & \text{else.} \end{cases}$$

Under the specialization  $v \rightsquigarrow 1$  one has an isomorphism

(4) 
$$\mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{M}^{\operatorname{asph}} \simeq \operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a] \simeq [\operatorname{Rep}_0(G)]$$

such that  $1 \otimes N_x \mapsto 1 \otimes x \mapsto [\nabla(x \bullet 0)] \ \forall x \in {}^{f}\mathcal{W}$ . If  $\underline{y} = \underline{s_1 \dots s_r}$  is an expression in  $\mathcal{W}_a$ , set also  $\underline{N}_{\underline{y}} = N_1 \underline{H}_{s_1} \dots \underline{H}_{s_r} = 1 \otimes \underline{H}_{s_1} \dots \underline{H}_{s_r}$ . By (3) and the translation principle (1.10), under (4) one has

(5) 
$$1 \otimes \underline{N}_{\underline{y}} \mapsto 1 \otimes (s_1 + 1) \dots (s_r + 1) \mapsto [\Theta_{s_r} \dots \Theta_{s_1} \nabla(0)].$$

(5.6) Let  $\mathcal{D} = \text{Kar}(\mathcal{D}_{BS})$  denote the Karoubian envelope of the additive hull of  $\mathcal{D}_{BS}$  [Bor, Prop. 6.5.9, p. 274]. Thus an object of  $\mathcal{D}$  is a direct summand of a finite direct sum of objects of  $\mathcal{D}_{BS}$ .

The category  $\mathcal{D}$  is a graded category inheriting the autoequivalence  $\langle 1 \rangle$ , is Krull-Schmidt, and remains strict monoidal [RW, 1.2, 1.3]. By a Krull-Schmidt category we mean an additive category in which every object is isomorphic to a finite direct sum of indecomposable objects, and an object is indecomposable if and only if its endomorphism ring is local [EW, 6.6]. Recall from [EW, Th. 6.25] that  $\forall w \in \mathcal{W}_a$ ,  $\exists$ ! indecomposable  $B_w \in \text{Ob}(\mathcal{D})$  such that  $B_w$  is a direct summand of each  $B_{\underline{w}}$  for a reduced expression  $\underline{w}$  of w but is not a direct summand of any  $B_{\underline{v}}$ for an expression  $\underline{v}$  with  $\ell(\underline{v}) < \ell(w)$ . Any indecomposable object of  $\mathcal{D}$  is isomorphic to some  $B_w \langle m \rangle$  for a unique  $w \in \mathcal{W}_a$  and a unique  $m \in \mathbb{Z}$ . In particular,  $B_1 = B_{\emptyset}$  and  $B_s = B_{\underline{s}}$  for each  $s \in S_a$ . The split Grothendieck group [ $\mathcal{D}$ ] of  $\mathcal{D}$  admits a structure of  $\mathbb{Z}[v, v^{-1}]$ -module such that  $v \cdot [X] = [X\langle 1 \rangle]$ . As such there is an isomorphism of  $\mathbb{Z}[v, v^{-1}]$ -algebras [EW]

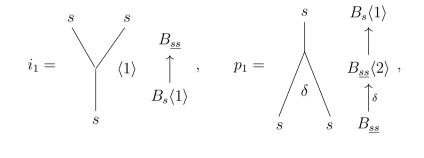
(1) 
$$\mathcal{H} \to [\mathcal{D}] \quad \text{such that} \quad \underline{H}_s \mapsto [B_s] \; \forall s \in \mathcal{S}_a.$$

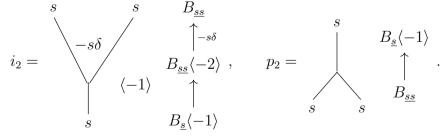
Then the right action of  $\mathcal{D}_{BS}$  on  $\operatorname{Rep}_0(G)$  implies that the isomorphisms (5.5.4) are isomorphisms of right  $\mathcal{H}$ -modules.

 $\forall x \in \mathcal{W}_a$ , set  ${}^p\underline{H}_x \in \mathcal{H}$  to be the pre-image of  $[B_x]$  under (1). As the  $[B_x]$  form a  $\mathbb{Z}[v, v^{-1}]$ -linear basis of  $\mathcal{H}$ , so do  $({}^p\underline{H}_x|x \in \mathcal{W}_a)$  on  $\mathcal{H}$ , called the *p*-Kazhdan Lusztig basis of  $\mathcal{H}$ .

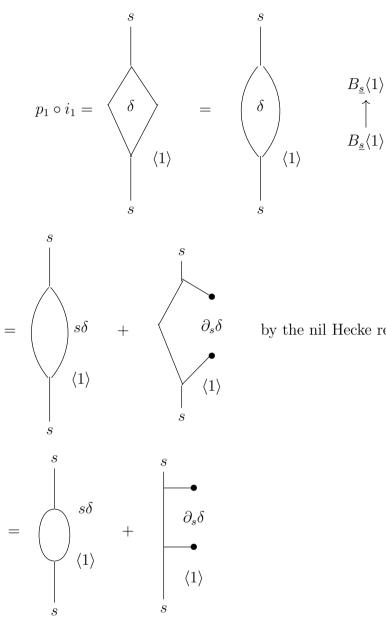
The auto-equivalence (resp. anti-auto-equivalence)  $\iota$  (resp.  $\tau$ ) on  $\mathcal{D}_{BS}$  induces one on  $\mathcal{D}$  denoted by the same letter. Thus,  $\forall w \in \mathcal{W}_a$ ,  $\iota(B_w) = B_{w^{-1}}$ ,  $\tau(B_w) = B_w$ .

(5.7) Let  $s \in S_a$ . Take  $\delta \in \underline{R}$  with  $\partial_s \delta = 1$ , and let



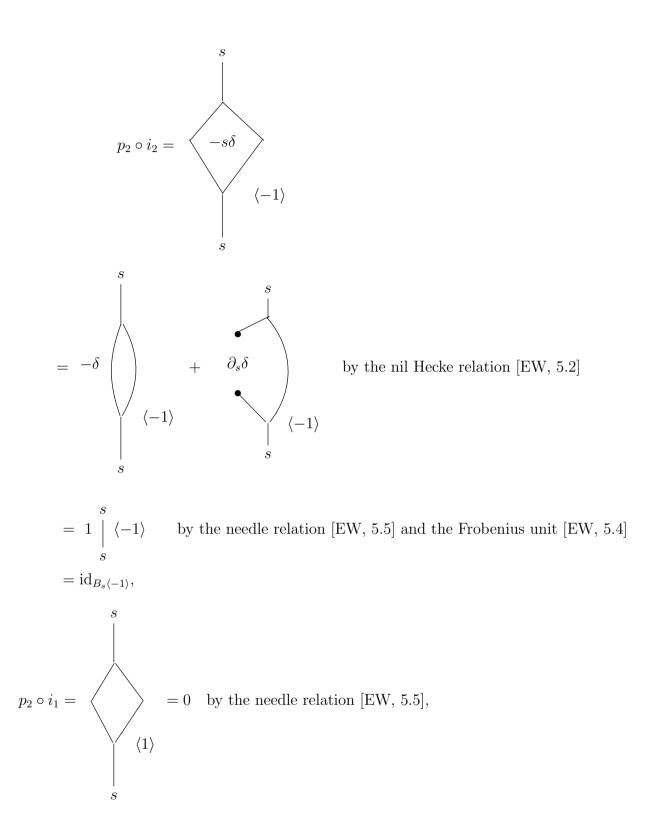


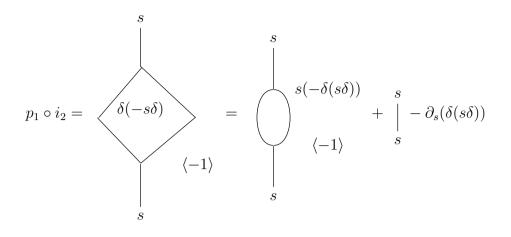
Then



by the nil Hecke relation [EW, 5.2]

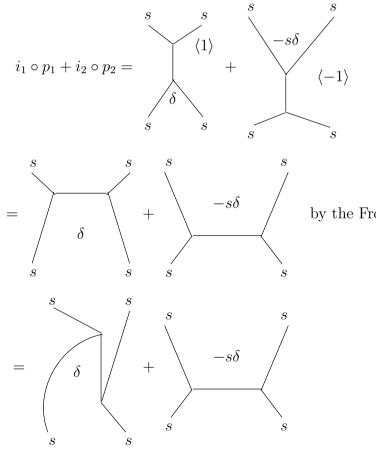
sby the needle relation  $[\mathrm{EW},\,5.5]$  and the Frobenius unit  $[\mathrm{EW},\,5.4]$  $\langle 1 \rangle \ 1$ = s $= \mathrm{id}_{B_s\langle 1\rangle},$ 





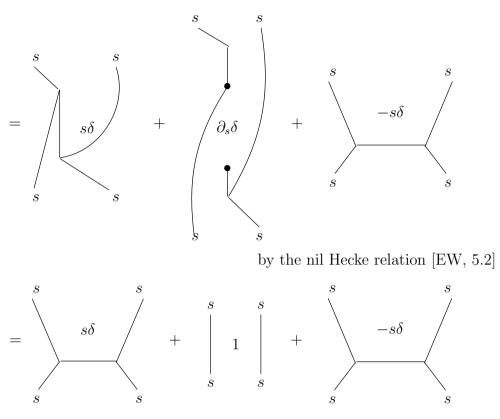
by the nil Hecke relation [EW, 5.2] = 0 by the needle relation [EW, 5.5] as  $\partial_s(\delta(s\delta)) = 0$ ,

and



by the Frobenius associativity [EW, 5.3]

 $\begin{array}{c} B_{\underline{ss}} \\ \uparrow \\ B_{\underline{ss}} \end{array}$ 



by the Frobenius associativity [EW, 5.3].

We have thus obtained

Lemma [RW, Lem. 4.3.1]: In the additive hull  $\operatorname{Add}(\mathcal{D}_{BS})$  of  $\mathcal{D}_{BS}$  one has  $B_s \cdot B_s \simeq B_s \langle 1 \rangle \oplus B_s \langle -1 \rangle.$ 

(5.8) Lemma [RW, Lem. 4.2.3]: Given an expression  $\underline{s_1 \ldots s_r}$  in  $\mathcal{W}_a$ , if  $B_x \langle m \rangle$ ,  $m \in \mathbb{Z}$ , is an indecomposable direct summand of  $B_{\underline{s_1 \ldots s_r}}$  in  $\mathcal{D}$ ,  $s_1x < \overline{x}$  in the Chevalley-Bruhat order.

(5.9) Let  $\mathcal{D}'_{BS}$  be the set of objects  $B_{\underline{w}}\langle m \rangle$  with expression  $\underline{w}$  starting with some  $s \in \mathcal{S}$  and  $m \in \mathbb{Z}$ , and set  $\mathcal{D}^{asph}_{BS} = \mathcal{D}_{BS} / / \mathcal{D}'_{BS}$  [RW, 4.4], [中岡, Prop. 3.2.51, p. 150]; as  $\mathcal{D}_{BS}$  is not additive, we define for  $X, Y \in Ob(\mathcal{D}^{asph}_{BS})$  the morphism set  $\mathcal{D}^{asph}_{BS}(X,Y)$  to be

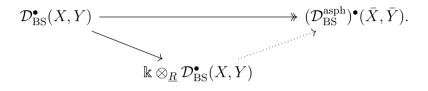
$$\mathcal{D}_{BS}(X,Y)/\langle f \in \mathcal{D}_{BS}(X,Y) | \xrightarrow{X \longrightarrow Y} \exists Z \in \mathcal{D}'_{BS} \rangle$$

We will denote the image of  $X \in \mathcal{D}_{BS}$  in  $\mathcal{D}_{BS}^{asph}$  under the quotient functor  $\mathcal{D}_{BS} \to \mathcal{D}_{BS}^{asph}$  by  $\bar{X}$ .  $\forall X \in \mathcal{D}_{BS}$ , one has that  $\bar{X} = 0$  iff  $id_X$  factors through some  $Y \in \mathcal{D}'_{BS}$  [ $\mbox{|} \mbox{|} \mbox{$ 

$$\forall X, Y \in \mathrm{Ob}(\mathcal{D}_{\mathrm{BS}}), \text{ put } (\mathcal{D}_{\mathrm{BS}}^{\mathrm{asph}})^{\bullet}(\bar{X}, \bar{Y}) = \prod_{m \in \mathbb{Z}} \mathcal{D}_{\mathrm{BS}}^{\mathrm{asph}}(\bar{X}, \bar{Y} \langle m \rangle).$$
 Consider the quotient map

 $\mathcal{D}_{BS}^{\bullet}(X,Y) \to (\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{X},\bar{Y}). \ \forall \alpha \in \mathbb{R}^{s}, \forall \phi \in \mathcal{D}_{BS}(X,Y\langle m \rangle), \text{ one has from (5.1.1) a commuta$ tive diagram

As  $(B_s\langle 1\rangle) \cdot X \in \mathcal{D}'_{BS}$ ,  $\alpha^{\vee} \phi = 0$  in  $\mathcal{D}^{asph}_{BS}$ . As  $\underline{R} = \Bbbk[\alpha^{\vee} | \alpha \in R^s]$ , if we regard  $\Bbbk$  as the trivial <u>R</u>-module, one obtains



As  $\mathcal{D}_{BS}^{\bullet}(X, Y)$  is a free left <u>*R*</u>-module of finite rank (5.2),  $(\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{X}, \bar{Y})$  forms a finite dimensional  $\Bbbk$ -linear space.

Let  $\mathcal{D}_{\mathcal{W}_a \setminus {}^f \mathcal{W}}$  be the additive full subcategory of  $\mathcal{D}$  consisting of the direct sums of objects  $B_w \langle m \rangle$ ,  $w \in \mathcal{W}_a \setminus {}^f \mathcal{W}$ ,  $m \in \mathbb{Z}$ , and set  $\mathcal{D}^{asph} = \mathcal{D}//\mathcal{D}_{\mathcal{W}_a \setminus {}^f \mathcal{W}}$ , which inherits a structure of graded category.  $\forall w \in {}^f \mathcal{W}$ , let  $\bar{B}_w$  denote the image of  $B_w$  under the quotient functor  $\mathcal{D} \to \mathcal{D}^{asph}$ . We will see presently in §6 that  $\bar{B}_w$  remains nonzero in  $\mathcal{D}^{asph}$ ; we will first see that the right  $\mathcal{D}$ -action on  $\operatorname{Rep}_0(G)$  factors through  $\mathcal{D}^{asph}$ . If  $\underline{w}$  is a reduced expression of  $w \in {}^f \mathcal{W}$ ,  $\nabla(0)B_{\underline{w}}$  has highest weight  $w \bullet 0$ . As  $B_{\underline{w}}$  is a direct sum of  $B_w$  and some  $B_y$ 's with y < w, we must have  $\nabla(0)B_w \neq 0$ , and hence  $\overline{B}_w \neq 0$  in  $\mathcal{D}^{asph}$ . Then, as a quotient of a local ring remains local [AF, 15.15, p. 170], the indecomposable objects of  $\mathcal{D}^{asph}$  are  $\overline{B}_w \langle m \rangle$ ,  $w \in {}^f \mathcal{W}$ ,  $m \in \mathbb{Z}$ . It follows from (5.8) that  $\mathcal{D}^{asph} = \operatorname{Kar}(\mathcal{D}^{asph}_{BS})$ .

Strange as it may appear, if a reduced expression  $\underline{w}$  of  $w \in {}^{f}\mathcal{W}$  contains  $s \in \mathcal{S}$ ,  $\overline{B}_{s} = 0$  while  $\overline{B}_{w} \neq 0$  as observed above, and hence  $\overline{B}_{\underline{w}} \neq 0$ . Nonetheless, (5.8) implies that  $\mathcal{D}^{asph}$  admits a structure of right  $\mathcal{D}$ -module. For let  $\phi \in \mathcal{D}(X, Y)$  factor through some  $Z \in \mathcal{D}_{\mathcal{W}_{a} \setminus {}^{f}\mathcal{W}}$ . Let  $B_{x}\langle m \rangle$  be a direct summand of Z, so x admits a reduced expression  $\underline{s}_{1} \dots \underline{s}_{r}$  with  $s_{1} \in \mathcal{S}$ . Given an expression  $\underline{y}$  in  $\mathcal{W}_{a}$ , each direct summand  $B_{w}\langle k \rangle$  of  $B_{x}\langle m \rangle B_{\underline{y}}$  has  $s_{1}w < w$  by (5.8), and hence  $w \notin {}^{f}\mathcal{W}$  and  $B_{w}\langle k \rangle \in \mathcal{D}_{\mathcal{W}_{a} \setminus {}^{f}\mathcal{W}}$ . As such, under the isomorphism of  $\mathbb{Z}[v, v^{-1}]$ -algebras  $\mathcal{H} \to [\mathcal{D}]$  from (5.6) one has an isomorphism of right  $\mathcal{H}$ -modules

(1) 
$$\mathcal{M}^{\mathrm{asph}} \to [\mathcal{D}^{\mathrm{asph}}].$$

For each  $w \in {}^{f}\mathcal{W}$  let  ${}^{p}\underline{N}_{w}$  be the pre-image of  $[\bar{B}_{w}] \in [\mathcal{D}^{asph}]$ :  ${}^{p}\underline{N}_{w} = 1 \otimes {}^{p}\underline{H}_{w}$ . Thus  $({}^{p}\underline{N}_{w}|w \in {}^{f}\mathcal{W})$  forms a  $\mathbb{Z}[v,v^{-1}]$ -linear basis of  $\mathcal{M}^{asph}$ , called the *p*-canonical basis. Writing  ${}^{p}\underline{N}_{w} = \sum_{y \in {}^{f}\mathcal{W}} {}^{p}n_{y,w}N_{y}, {}^{p}n_{y,w} \in \mathbb{Z}[v,v^{-1}]$ , we call  ${}^{p}n_{y,w}$  an antisphereical *p*-Kazhdan-Lusztig polynomial.

(5.10) Fix now an expression  $\underline{w} = \underline{s_1 \dots s_r}$ . Each  $e(\underline{w}) \in \{0,1\}^r$  defines a sub-expression  $\underline{w}^{e(\underline{w})} = (s_1^{e(\underline{w})_1}, \dots, s_r^{e(\underline{w})_r})$  of  $\underline{w}$  by deleting those terms with  $e(\underline{w})_j = 0$ , in which case we also let  $w^{e(\underline{w})} = s_1^{e(\underline{w})_1} \dots s_r^{e(\underline{w})_r} \in \mathcal{W}_a$ . The Bruhat stroll of  $e(\underline{w})$  is the sequence  $x_0 = e, x_1 = e$ .

$$s_{1}^{e(\underline{w})_{1}}, x_{2} = s_{1}^{e(\underline{w})_{1}} s_{2}^{e(\underline{w})_{2}}, \dots, x_{r} = s_{1}^{e(\underline{w})_{1}} s_{2}^{e(\underline{w})_{2}} \dots s_{r}^{e(\underline{w})_{r}}. \quad \forall j \in [1, r], \text{ we assign a symbol}$$

$$\begin{cases}
\text{U1} & \text{if } e(\underline{w})_{j} = 1 \text{ and } x_{j} = x_{j-1}s_{j} > x_{i-1}, \\
\text{D1} & \text{if } e(\underline{w})_{j} = 1 \text{ and } x_{j} = x_{j-1}s_{j} < x_{i-1}, \\
\text{U0} & \text{if } e(\underline{w})_{j} = 0 \text{ and } x_{j} = x_{j-1}s_{j} > x_{i-1}, \\
\text{D0} & \text{if } e(\underline{w})_{j} = 0 \text{ and } x_{j} = x_{j-1}s_{j} < x_{i-1},
\end{cases}$$

"U" (resp. "D") standing for Up (resp. Down). Let  $d(e(\underline{w}))$  denote the number of U0's minus the number of D0's, called the defect of  $e(\underline{w})$  [EW, 2.4]. For  $\mathcal{W}' \subseteq \mathcal{W}_a$  we say  $e(\underline{w})$  avoids  $\mathcal{W}'$ iff  $x_r \notin \mathcal{W}'$  and  $x_{j-1}s_j \notin \mathcal{W}' \forall j \in [1, r]$ . We understand  $e(\underline{w})$  avoids any  $\mathcal{W}'$  in case r = 0.

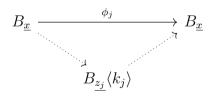
Lemma [RW, Lem. 4.1.1]: For each expression  $\underline{w}$  one has in  $\mathcal{M}^{asph}$ 

$$N_1 \underline{H}_{\underline{w}} = \sum_{e(\underline{w}) \text{ avoiding } \mathcal{W}_a \setminus {}^f \mathcal{W}} v^{d(e(\underline{w}))} N_{w^{e(\underline{w})}}.$$

(5.11) Let  $w \in \mathcal{W}_a$ . Define the rex graph  $\Gamma_w$  to have the vertices consisting of the reduced expressions of w and the edges connecting vertices iff they differ by one application of a braid relation  $\underbrace{st...}_{m_{st}} = \underbrace{ts...}_{m_{st}}$  for  $s, t \in \mathcal{S}_a$  distinct with  $m_{st} = \operatorname{ord}(st)$  [RW, 4.3]. If  $\underline{x}$  and  $\underline{y}$  are 2

reduced expressions of w, a rex move  $\underline{x} \rightsquigarrow \underline{y}$  is a directed path in  $\Gamma_w$  from the vertex  $\underline{x}$  to the vertex  $\underline{y}$ . To such a path one can associate a morphism from  $B_{\underline{x}}$  to  $B_{\underline{y}}$  in  $\mathcal{D}_{BS}$  by composing the  $2m_{st}$ -valent morphisms (5.1.G4) associated to the braid relations encountered in the path.

**Lemma [RW, Lem. 4.3.2]:** Let  $\underline{x} \rightsquigarrow \underline{y}$  be a rex move in  $\Gamma_w$ , and let  $\underline{y} \rightsquigarrow \underline{x}$  be the rex move in the reverse order. Let  $\gamma \in \mathcal{D}_{BS}(B_{\underline{x}}, \overline{B}_{\underline{x}})$  associated to the concatenation  $\underline{x} \rightsquigarrow \underline{y} \rightsquigarrow \underline{x}$ . Then there is a finite set J and  $\phi_j \in \mathcal{D}_{BS}(B_{\underline{x}}, \overline{B}_{\underline{x}})$ ,  $j \in J$ , factoring through some  $B_{z_j} \langle \overline{k_j} \rangle$ 



with  $\underline{z_j}$  obtained from  $\underline{x}$  by deleting at least 2 simple reflections and  $k_j \in \mathbb{Z}$  such that  $\gamma = \mathrm{id}_{B_{\underline{x}}} + \sum_{j \in J} \phi_j$ .

(5.12) Let  $\underline{w} = \underline{s_1 \dots s_r}$  be an expression. One has from [EW, Prop. 6.12] that  $\mathcal{D}_{BS}^{\bullet}(B_{\underline{w}}, B_{\emptyset})$  admits a basis of left <u>R</u>-module consisting of the light leaves  $L_{e(\underline{w})} \forall e(\underline{w})$  expressing the unity of  $\mathcal{W}_a$ .

**Proposition** [**RW**, **Prop.** 4.5.1]: Let  $\underline{w}$  be an expression of an element in  $\mathcal{W}_a$ . One can choose the light leaves  $L_{e(\underline{w})}$  with  $e(\underline{w})$  expressing 1 and avoiding  $\mathcal{W}_a \setminus {}^f \mathcal{W}$  to  $\Bbbk$ -linearly span  $(\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset}).$ 

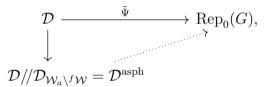
## 6° Tilting characters

(6.1) One has from (5.4) a functor  $\mathcal{D}_{BS} \to \operatorname{Rep}_0(G)$  such that  $B \mapsto \nabla(0)B$ . If  $\underline{x} = \underline{s_1 s_2 \dots s_r}$  is an expression of  $x \in \mathcal{W}_a$ ,

$$B_{\underline{x}} \mapsto \nabla(0)B_{\underline{x}} = \nabla(0)B_{s_1}B_{s_2}\dots B_{s_r} = \Theta_{s_r}\dots\Theta_{s_2}\Theta_{s_1}\nabla(0),$$

the RHS of which we will denote by  $\nabla(\underline{x})$ . The functor naturally extends to another functor  $\mathcal{D} \to \operatorname{Rep}_0(G)$ , which we will denote by  $\tilde{\Psi}$ .

 $\forall s \in \mathcal{S}, \ \tilde{\Psi}(B_s) = \nabla(0)B_s = \Theta_s \nabla(0) = 0. \ \forall x \in \mathcal{W}_a \setminus {}^f \mathcal{W}, \ \exists s \in \mathcal{S} \ \text{and} \ y \in \mathcal{W}_a \ \text{with} \ \ell(x) = \ell(y) + 1 \ \text{such that} \ x = sy. \ \text{If} \ \underline{y} \ \text{is a reduced expression of} \ y, \ B_x \ \text{is a direct summand of} \ B_{\underline{sy}} = B_s B_{\underline{y}}, \ \text{and hence} \ \tilde{\Psi}(B_x) \ \text{is a direct summand of} \ \tilde{\Psi}(B_{\underline{sy}}) = \tilde{\Psi}(B_s)B_{\underline{y}} = 0. \ \text{It follows that} \ \tilde{\Psi} \ \text{factors through} \ \mathcal{D}^{\text{asph}}:$ 



which we denote by  $\overline{\Psi}$ . Composing with isomorphisms (5.5.4) one now obtains isomorphisms of right  $\mathcal{H}$ -modules

(1) 
$$\mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} [\mathcal{D}^{asph}] \to \mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{M}^{asph} \to \operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a] \to [\operatorname{Rep}_0(G)]$$

under which,  $\forall w \in {}^{f}\mathcal{W}$ , if  $\underline{w} = \underline{s_1 \dots s_r}$ ,

(2)  $1 \otimes [\bar{B}_w] \mapsto 1 \otimes {}^p \underline{N}_w, \\ 1 \otimes [B_{\underline{w}}] \mapsto 1 \otimes \underline{N}_{\underline{w}} \mapsto 1 \otimes (s_1 + 1) \dots (s_r + 1) \mapsto [\Theta_{s_r} \dots \Theta_{s-1} \nabla(0)] = [\nabla(\underline{w})],$ 

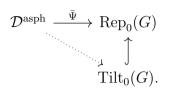
$$1 \otimes N_w \longmapsto [\nabla(w \bullet 0)].$$

The image of  $1 \otimes {}^{p}\underline{N}_{w}$  turns out to be the indecomposable tilting module T(w) of highest weight  $w \bullet 0$ . As  ${}^{p}\underline{N}_{w} = \sum_{y \in {}^{f}\mathcal{W}} {}^{p}n_{y,w}N_{y}$  in  $\mathcal{M}^{asph}$  with *p*-Kazhdan-Lusztig polynomials  ${}^{p}n_{y,w} \in \mathbb{Z}[v, v^{-1}]$ , we will obtain

$$\operatorname{ch} T(w) = \sum_{y \in {}^{f}\mathcal{W}} {}^{p} n_{y,w}(1) \operatorname{ch} \nabla(y).$$

(6.2) We say that  $M \in \operatorname{Rep}(G)$  admits a  $\Delta$ - (resp.  $\nabla$ -) filtration iff it possesses a filtration  $M = M^0 > M^1 > \cdots > M^r = 0$  in  $\operatorname{Rep}(G)$  such that  $\forall i \in [0, r[$ , there is  $\lambda_i \in \Lambda^+$  with  $M^i/M^{i-1} \simeq \Delta(\lambda_i)$  (resp.  $\nabla(\lambda_i)$ ), in which case we denote by  $(M : \Delta(\lambda))$  (resp.  $(M : \nabla(\lambda))$ ) the multiplicity of the appearance of  $\Delta(\lambda)$  (resp.  $\nabla(\lambda)$ ) in a  $\Delta$ - (resp.  $\nabla$ -) filtration; we will see in (6.8) that  $(M : \Delta(\lambda)) = \dim \operatorname{Rep}(G)(M, \nabla(\lambda))$  while  $(M : \nabla(\lambda)) = \dim \operatorname{Rep}(G)(\Delta(\lambda), M)$ , and hence the number is independent of the choice of a filtration. We say that M is a tilting module iff it admits both a  $\Delta$ - and a  $\nabla$ -filtration. For each  $\lambda \in \Lambda^+$  there is a unique, up to isomorphism, indecomposable tilting module of highest weight  $\lambda$ , which we denote by  $T(\lambda)$ . Any tilting module is a direct sum of  $T(\lambda)$ 's [J, E.3, 4]. By the linkage principle each  $T(\lambda)$  belongs to single block  $\operatorname{Rep}_{W_a \bullet \lambda}(G)$ .

Let  $\operatorname{Tilt}(G)$  denote the full additive subcategory of  $\operatorname{Rep}(G)$  consisting of tilting modules. We set  $\operatorname{Tilt}_0(G) = \operatorname{Tilt}(G) \cap \operatorname{Rep}(G)$ . As  $\nabla(0) = T(0)$ , as the translation functors send a tilting module to a tilting modele, and as  $\operatorname{Tilt}_0(G)$  is Karoubian [J, E.1],  $\overline{\Psi}$  factors through  $\operatorname{Tilt}_0(G)$ :



(6.3) Let  $\underline{w} = \underline{s_1 s_2 \dots s_r}$  be an expression of  $w \in {}^f \mathcal{W}$ , and write

$$T(\underline{w}) = T(0)B_{\underline{w}} = \Theta_{s_r} \dots \Theta_{s_2}\Theta_{s_1}T(0) = \Theta_{s_r} \dots \Theta_{s_2}\Theta_{s_1}\nabla(0).$$

Let us also abbreviate  $T(w \bullet 0)$  as T(w).

Let  $\mathcal{D}_{deg}^{asph}$  be the degrading of  $\mathcal{D}^{asph}$ :  $Ob(\mathcal{D}_{deg}^{asph}) = Ob(\mathcal{D}^{asph})$  but  $\forall X, Y \in Ob(\mathcal{D}_{deg}^{asph})$ ,  $\mathcal{D}_{deg}^{asph}(X,Y) = (\mathcal{D}^{asph})^{\bullet}(X,Y) = \coprod_{m \in \mathbb{Z}} \mathcal{D}^{asph}(X,Y\langle m \rangle)$ . In particular,  $\forall m \in \mathbb{Z}, X \simeq X\langle m \rangle$  in  $\mathcal{D}_{deg}^{asph}$ ;  $id_X \in \mathcal{D}^{asph}(X,X) \leq \mathcal{D}_{deg}^{asph}(X,X\langle m \rangle)$  admits an inverse  $id_{X\langle m \rangle} \in \mathcal{D}^{asph}(X\langle m \rangle,X\langle m \rangle) \leq \mathcal{D}_{deg}^{asph}(X\langle m \rangle,X)$ . By construction  $\overline{\Psi}$  induces a functor  $\mathcal{D}_{deg}^{asph} \to \operatorname{Tilt}_0(G)$ , which we denote by  $\overline{\Psi}_{deg}$ . We will show that Cor. 5.3 implies

**Theorem [RW, Th. 1.3.1];** The functor  $\overline{\Psi}_{deg} : \mathcal{D}_{deg}^{asph} \to \text{Tilt}_0(G)$  is an equivalence of categories such that  $\forall w \in {}^{f}\mathcal{W}, \ \overline{B}_w \mapsto T(w)$  and  $\overline{B}_w \mapsto T(\underline{w})$ .

(6.4) Corollary [RW, Cor. 1.4.1]:  $\forall w \in {}^{f}\mathcal{W}$ ,

$$\operatorname{ch} T(w) = \sum_{y \in {}^{f} \mathcal{W}} {}^{p} n_{y,w}(1) \operatorname{ch} \nabla(y).$$

(6.5) To obtain only the character formula of T(w),  $w \in {}^{f}\mathcal{W}$ , one has only to show that  $\tilde{\Psi}(B_w) = T(w)$ .

To see the equivalence of  $\bar{\Psi}_{deg} : \mathcal{D}_{deg}^{asph} \to \text{Tilt}_0(G)$ , we first show that it is fully faithful. For that we have by (5.6) only to show for each pair of expressions  $\underline{x}$  and  $\underline{y}$  of  $x, y \in {}^f\mathcal{W}$  that  $\bar{\Psi}$ induces an isomorphism  $(\mathcal{D}^{asph})^{\bullet}(\bar{B}_{\underline{x}}, \bar{B}_{\underline{y}}) \simeq \text{Rep}_0(T(\underline{x}), T(\underline{y}))$ ; if  $\underline{w}$  is a reduced expression of  $w \in {}^f\mathcal{W}$ ,

$$B_{\underline{w}} = B_w \oplus \coprod_{\substack{y < w \\ k \in \mathbb{Z}}} (B_y \langle k \rangle)^{\oplus_{m(y,k)}} \quad \exists m(y,k) \in \mathbb{N},$$

from which the density of  $\overline{\Psi}_{deg}$  will also follow. For that we will make use of the structure of highest weight category on  $\text{Rep}_0(G)$ .

We thus start with some generalities on highest weightcategories. Let  $\mathcal{A}$  be a k-linear abelian category whose objects all have finite length. Let  $\Xi$  denote a set parametrizing the isomorphism classes of simple objects of  $\mathcal{A}$ , and for  $\lambda \in \Xi$  let  $L(\lambda)$  denote the corresponding simple object in  $\mathcal{A}$ . Assume that  $\Xi$  is equipped with a PO  $\preceq$ . We say  $\Omega \subseteq \Xi$  forms an ideal of  $\Xi$  iff  $\forall \lambda \in \Omega, \forall \mu \in \Xi \text{ with } \mu \preceq \lambda, \mu \in \Omega, \text{ in which case we will write } \Omega \trianglelefteq \Xi.$  We say  $\Omega' \subseteq \Xi$  is a coideal of  $\Xi$  iff  $\Xi \setminus \Omega'$  is an ideal.

 $\forall \Omega \subseteq \Xi$ , we let  $\mathcal{A}_{\Omega}$  denote the Serre subcategory of  $\mathcal{A}$  generated by the  $L(\lambda)$ ,  $\lambda \in \Omega$  [中岡, Def. 4.2.47, p. 260];  $\mathcal{A}_{\Omega}$  is the smallest full subcategory of  $\mathcal{A}$  containing all  $L(\lambda)$ ,  $\lambda \in \Omega$ , such that  $\forall$  exact sequence  $0 \to X \to Y \to Z \to 0$ ,  $Y \in \mathcal{A}_{\Omega}$ , iff  $X, Z \in \mathcal{A}_{\Omega}$ . We will abbreviate  $\mathcal{A}_{\{\mu \in \Xi \mid \mu \preceq \lambda\}}$  (resp.  $\mathcal{A}_{\{\mu \in \Xi \mid \mu \prec \lambda\}}$ ) as  $\mathcal{A}_{\preceq \lambda}$  (resp.  $\mathcal{A}_{\prec \lambda}$ ). Assume also that each  $L(\lambda)$ ,  $\lambda \in \Xi$ , is equipped with nonzero morphisms  $\Delta(\lambda) \to L(\lambda)$  and  $L(\lambda) \to \nabla(\lambda)$  in  $\mathcal{A}$  for some objects  $\Delta(\lambda), \nabla(\lambda)$ . The following definition derives from [CPS], [BGS, Def. 3.2].

**Definition** [**RW**, **Def. 2.1.1**]: The category  $\mathcal{A}$  is called a highest weight category iff  $\forall \lambda \in \Xi$ , the following holds:

(HW1)  $\{\mu \in \Xi | \mu \preceq \lambda\}$  is finite,

(HW2)  $\mathcal{A}(L(\lambda), L(\lambda)) = \operatorname{kid}_{L(\lambda)},$ 

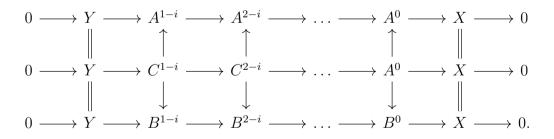
(HW3)  $\forall$  ideal  $\Omega$  of  $\Xi$  such that  $\lambda$  is maximal in  $\Omega$ , the structure morphism  $\Delta(\lambda) \to L(\lambda)$ (resp.  $L(\lambda) \to \nabla(\lambda)$ ) is a projective cover (resp. injective hull) in  $\mathcal{A}_{\Omega}$ ,

(HW4) ker( $\Delta(\lambda) \to L(\lambda)$ ), coker( $L(\lambda) \to \nabla(\lambda)$ )  $\in \mathcal{A}_{\prec\lambda}$ , (HW5)  $\forall \mu \in \Xi$ , Ext<sup>2</sup><sub> $\mathcal{A}$ </sub>( $\Delta(\lambda), \nabla(\mu)$ ) = 0,

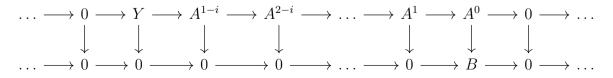
in which case we call  $(\Xi, \preceq)$  the weight poset of  $\mathcal{A}$ , and  $\Delta(\lambda)$  (resp.  $\nabla(\lambda)$ ) a standard (resp. costandard) object of  $\mathcal{A}$ . Here  $\operatorname{Ext}^{i}_{\mathcal{A}}(X,Y) = \mathcal{D}(\mathcal{A})(X,Y[i])$  with  $\mathcal{D}(\mathcal{A})$  denoting the derived category of  $\mathcal{A}$ , which may be described by the  $\# \boxplus$ -extensions [Weib, pp. 79-80], [dJ, 27]: on the set of exact sequences  $\xi_{\mathcal{A}}$  in  $\mathcal{A}$  of the form

$$0 \to Y \to A^{1-i} \to A^{2-i} \to \dots \to A^0 \to X \to 0$$

one defines an equivalence relation such that  $\xi_A$  and  $\xi_B$  is equivalent iff there is another exact sequence  $\xi_C$  and a commutative diagram



An equivalence class of such exact sequences is called a #  $\boxplus$ -extension of X by Y of degree *i*. Given an exact sequence  $\xi_A$ , one has a gis *s* 



and a morphism f of complexes

which define an element  $\frac{f}{s}$  of  $\mathcal{D}(\mathcal{A})(X, Y[i])$ . In turn, given  $\frac{g}{t} \in \mathcal{D}(\mathcal{A})(X, Y[i])$ , write  $g: Z^{\bullet} \to X$  and  $t: Z^{\bullet} \xrightarrow{\text{qis}} Y[i]$ . Replacing  $Z^{\bullet}$  by the truncation  $\tau_{\leq 0} Z^{\bullet}: \ldots Z^{-2} \to Z^{-1} \to \ker(\partial^0) \to 0 \to \ldots$ , we may assume that  $Z^j = 0 \forall j > 0$ . Thus, one can write

with the top row exact. Then the sequence  $\xi_Z$ 

$$0 \longrightarrow X \longrightarrow (Z^{1-i} \oplus X)/Z^{-i} \longrightarrow Z^{2-i} \longrightarrow \dots \longrightarrow Z^{0} \longrightarrow Y \longrightarrow 0$$
$$x \longmapsto [0, x]$$
$$[z, x] \longmapsto \partial^{1-i}(z),$$

using Freyd-Mitchell imbedding theorem [Weib, p. 25], is exact; regarding  $(Z^{1-i} \oplus X)/Z^{-i} = \{(\partial^{-i}(z), g(z)|z \in Z^{-i}\}, \text{ if } [0, x] = 0, \text{ there is } z \in Z^{-i} \text{ such that } \partial^{-i}(z) = 0 \text{ and } g(z) = x. \text{ Then } z \in \ker \partial^{-i} = \operatorname{im} \partial^{-i-1}, \text{ and hence } x = g(z) = 0. \text{ If } \partial^{1-i}(z) = 0, z \in \operatorname{im} \partial^{-i}. \text{ Writing } z = \partial^{-i}(z') \text{ with } z' \in Z^{-i}, \text{ one has } [\partial^{-i}(z'), x] = [0, x].$ 

The assignments  $[\xi_A] \mapsto \frac{f}{s}$  and  $\frac{g}{t} \mapsto [\xi_Z]$  give a bijection between the  $\# \boxplus$ -extensions of degree *i* and  $\mathcal{D}(\mathcal{A})(X, Y[i])$ . With an addition on the  $\# \boxplus$ -extensions as defined in [Weib, p. 79], the bijection is an isomorphism of abelian groups. In particular, the zero extension

$$0 \to Y \xrightarrow{\mathrm{id}} Y \to 0 \to \dots \to 0 \to X \xrightarrow{\mathrm{id}} X \to 0$$

is assigned a mophism of complexes

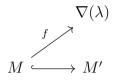
which is homotopic to 0.

Throughout the rest of §6, unless otherwise specified,  $(\mathcal{A}, \Xi, \preceq)$  will denote a highest weight category.

(6.6) We verify that  $(\operatorname{Rep}(G), \Lambda^+, \uparrow)$  forms a highest weight category, where  $\uparrow$  is the strong linkage on  $\Lambda$  defined as follows. For  $\lambda \in \Lambda$ ,  $\alpha \in R$  and  $m \in \mathbb{Z}$  we write  $\lambda \uparrow s_{\alpha,m} \bullet \lambda = s_{\alpha} \bullet \lambda + pm\alpha$ iff  $\lambda \leq s_{\alpha,m} \bullet \lambda$ , and we let  $\uparrow\uparrow$  denote the patial order  $\uparrow$  generates; by abuse of notation we abbreviate  $\uparrow\uparrow$  simply as  $\uparrow$ . We say  $\lambda$  is strongly linked to  $\mu$  iff either  $\lambda \uparrow \mu$  or  $\mu \uparrow \lambda$ .  $\forall \lambda \in \Lambda^+$ , each composition factor  $L(\mu)$  of  $\nabla(\lambda)$  has  $\mu \uparrow \lambda$ , called the strong linkage principle [J, II.6.13].

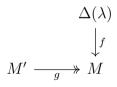
Put  $\mathcal{A} = \operatorname{Rep}(G)$  and let  $\hat{\mathcal{A}}$  denote the category of all rational *G*-modules, not necessarily finite dimensional. We actually show that both  $\mathcal{A}$  and  $(\hat{\mathcal{A}}, \Lambda^+, \leq)$  form highest weight categories.

To check (HW3) holding, assume  $\lambda \in \Xi$  maximal in an ideal  $\Omega$  of  $\Xi$ . Given a diagram



in  $\hat{\mathcal{A}}_{\Omega}$  let  $I(\lambda)$  be the injective hull of  $\nabla(\lambda)$  in  $\hat{\mathcal{A}}$  [J, I.3.9]. Thus, f extends to some  $\hat{f} \in \hat{\mathcal{A}}(M', I(\lambda))$ . As  $I(\lambda)/\nabla(\lambda)$  admits a filtration whose subquotients are all of the form  $\nabla(\nu)$ ,  $\nu > \lambda$  [J, II.4.16, 6.20] and as soc  $\nabla(\lambda) = L(\lambda)$ , by the maximality of  $\lambda$  in  $\Omega$  we must have  $\inf \hat{f} \leq \nabla(\lambda)$ .

To see that  $\Delta(\lambda)$  is projective in  $\hat{\mathcal{A}}_{\Omega}$ , given



in  $\hat{\mathcal{A}}_{\Omega}$ , we may assume  $M, M' \in \mathcal{A}$ . Taking the Chevalley dual [J, II.2.12], the assertion follows from the injectivity of  ${}^{\tau}\Delta(\lambda) = \nabla(\lambda)$  in  $\hat{\mathcal{A}}_{\Omega}$ .

In  $\hat{\mathcal{A}}$  the condition (HW5) holds [J, II.4.16], and hence also in  $\mathcal{A}$  by [BGS, Lem. 3.2.3]:

$$\operatorname{Ext}_{\mathcal{A}}^{2}(\Delta(\lambda), \nabla(\mu)) \leq \operatorname{Ext}_{\hat{\mathcal{A}}}^{2}(\Delta(\lambda), \nabla(\mu)).$$

(6.7) Back to a general highest weight category  $(\mathcal{A}, \Xi, \preceq)$ , by (HW4) and (HW3) the structure morphism  $L(\lambda) \to \nabla(\lambda)$  defines an injective hull in  $\mathcal{A}_{\preceq\lambda}$ , and hence is an essential mono [AF, pp. 72, 207];  $\forall M \leq \nabla(\lambda)$  with  $M \cap L(\lambda) = 0$ , M = 0. Then

(1) 
$$\operatorname{soc}_{\mathcal{A}}\nabla(\lambda) = L(\lambda).$$

From the exact sequence  $0 \to L(\lambda) \to \nabla(\lambda) \to \nabla(\lambda)/L(\lambda) \to 0$  one obtains

(2) 
$$\mathcal{A}(L(\lambda), \nabla(\lambda)) \simeq \mathcal{A}(L(\lambda), L(\lambda)) \quad \text{by (HW4)}$$
$$\simeq \Bbbk \quad \text{by (HW2).}$$

In turn, from the exact sequence  $0 \to \mathcal{A}(\nabla(\lambda)/L(\lambda), \nabla(\lambda)) \to \mathcal{A}(\nabla(\lambda), \nabla(\lambda)) \to \mathcal{A}(L(\lambda), \nabla(\lambda))$ one obtains by (HW4) that

(3)  $\mathcal{A}(\nabla(\lambda), \nabla(\lambda)) \simeq \mathbb{k}.$ 

Dually, the structure morphism  $\Delta(\lambda) \to L(\lambda)$  is a superfluous epi [AF, pp. 72, 199]:  $\forall M \leq \Delta(\lambda)$  with ker $(\Delta(\lambda) \to L(\lambda)) + M = \Delta(\lambda)$ ,  $M = \Delta(\lambda)$ . Then

(4) 
$$hd_{\mathcal{A}}\Delta(\lambda) = L(\lambda),$$

(5)  $\mathcal{A}(\Delta(\lambda), L(\lambda)) \simeq \mathbb{k} \simeq \mathcal{A}(\Delta(\lambda), \Delta(\lambda)).$ 

**Lemma:** Let  $\lambda, \mu \in \Xi$ .

- (i) If  $\operatorname{Ext}^{1}_{\mathcal{A}}(L(\lambda), \nabla(\mu)) \neq 0, \ \lambda \succ \mu.$
- (*ii*) If  $\operatorname{Ext}^{1}_{\mathcal{A}}(\Delta(\lambda), L(\mu)) \neq 0, \ \lambda \prec \mu.$

**Proof:** (i) Just suppose  $\lambda \not\succeq \mu$ . If  $\Omega = \Xi_{\leq \lambda} \cup \Xi_{\leq \mu}$ ,  $\mu$  is maximal in  $\Omega$ , and hence  $\nabla(\mu)$  is injective in  $\mathcal{A}_{\Omega}$ . Then

$$0 = \operatorname{Ext}^{1}_{\mathcal{A}_{\Omega}}(L(\lambda), \nabla(\mu)) \quad \text{as } L(\lambda) \in \mathcal{A}_{\Omega}$$
  
 
$$\simeq \operatorname{Ext}^{1}_{\mathcal{A}}(L(\lambda), \nabla(\mu)) \quad \text{with respect to the } \mathbb{K} \mathbb{H} \text{ extension [Weib, p. 79]},$$

absurd.

Likewise (ii).

(6.8) For an object M of  $\mathcal{A}$  a filtration of M whose subquotients consist all of standard (resp. costandard) objects is called a  $\Delta$ - (resp.  $\nabla$ -) filtration of M.

Proposition [RW, (2.1.1)]:  $\forall \lambda, \mu \in \Xi, \forall r \in \mathbb{N}$ ,

$$\operatorname{Ext}_{\mathcal{A}}^{r}(\Delta(\lambda), \nabla(\mu)) \simeq \delta_{r,0} \delta_{\lambda,\mu} \Bbbk.$$

In particular, any nonzero morphism  $\Delta(\lambda) \to \nabla(\lambda)$  factors  $L(\lambda)$ , and is unique up to scalar. Also, for  $X \in \mathcal{A}$  admitting a  $\nabla$ - (resp.  $\Delta$ -) filtration, the multiplicity of each  $\nabla(\lambda)$  (resp.  $\Delta(\lambda)$ ),  $\lambda \in \Xi$ , is equal to dim  $\mathcal{A}(\Delta(\lambda), X)$  (resp. dim  $\mathcal{A}(X, \nabla(\lambda))$ ), which we will denote by  $(X : \nabla(\lambda))$  (resp.  $(X : \Delta(\lambda))$ ).

**Proof:** Assume that  $\mathcal{A}(\Delta(\lambda), \Delta(\mu)) \neq 0$ . Then  $\lambda \leq \mu \leq \lambda$  by (HW4) and (6.7.2, 3), and hence  $\lambda = \mu$ . From the exact sequence  $0 \rightarrow L(\lambda) \rightarrow \nabla(\lambda) \rightarrow \nabla(\lambda)/L(\lambda) \rightarrow 0$  one obtains

$$\mathcal{A}(\Delta(\lambda), \nabla(\lambda)) \simeq \mathcal{A}(\Delta(\lambda), L(\lambda)) \quad \text{by (HW4) and (6.7.4)} \\ \simeq \Bbbk \quad \text{by (6.7.5).}$$

Just suppose  $\operatorname{Ext}^{1}_{\mathcal{A}}(\Delta(\lambda), \nabla(\mu)) \neq 0$ . Then there is  $\nu \leq \lambda$  such that  $\operatorname{Ext}^{1}_{\mathcal{A}}(L(\nu), \nabla(\mu)) \neq 0$ . Then  $\lambda \succeq \nu \succ \mu$  by (6.7.i). As  $\Delta(\lambda)$  is projective in  $\mathcal{A}_{\leq \lambda}$ ,

$$0 = \operatorname{Ext}^{1}_{\mathcal{A}_{\leq \lambda}}(\Delta(\lambda), \nabla(\mu)) \simeq \operatorname{Ext}^{1}_{\mathcal{A}}(\Delta(\lambda), \nabla(\mu)),$$

absurd.

Just suppose  $\operatorname{Ext}^{3}_{\mathcal{A}}(\Delta(\lambda), \nabla(\mu)) \neq 0$  with an exact sequence

(1) 
$$0 \to \nabla(\mu) \to X_1 \to X_2 \to X_3 \to \Delta(\lambda) \to 0$$

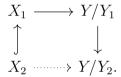
representing a nonzero extension. In  $\operatorname{Ext}_{\mathcal{A}}^{3}(\Delta(\lambda), \nabla(\mu))$ , 0 is represented by an exact sequence  $0 \longrightarrow \nabla(\mu) \xrightarrow{\operatorname{id}} \nabla(\mu) \longrightarrow 0 \longrightarrow \Delta(\lambda) \xrightarrow{\operatorname{id}} \Delta(\lambda) \longrightarrow 0$  [Weib, p. 79]. Let  $\Omega$  be a finite ideal of  $\Xi$  such that  $\mathcal{A}_{\Omega}$  contains all  $\nabla(\mu), X_{1}, X_{2}, X_{3}, \Delta(\lambda)$ . Recall from [BGS, Lem. 3.2.3] that  $\mathcal{A}_{\Omega}$  forms a highest weight category. As  $\Omega$  is finite,  $\nabla(\mu)$  posseses an injective hull  $I(\mu)$  in  $\mathcal{A}_{\Omega}$  such that  $I(\mu)/\nabla(\mu)$  admits a finite  $\nabla$ -filtration with subquotients of the form  $\nabla(\nu), \nu \succ \mu$  [BGS, pf of Cor. 3.2.2]. Then  $\operatorname{Ext}_{\mathcal{A}_{\Omega}}^{2}(\Delta(\lambda), I(\mu)/\nabla(\mu)) \twoheadrightarrow \operatorname{Ext}_{\mathcal{A}_{\Omega}}^{3}(\Delta(\lambda), \nabla(\mu))$  with  $\operatorname{Ext}_{\mathcal{A}_{\Omega}}^{2}(\Delta(\lambda), I(\mu)/\nabla(\mu)) = 0$  by (HW5) [BX, Th. 7.5.1], and hence  $\operatorname{Ext}_{\mathcal{A}_{\Omega}}^{3}(\Delta(\lambda), \nabla(\mu)) = 0$ . Then (1) vanishes [BX, Th. 7.5.1], absurd. Repeat the argument to get all  $\operatorname{Ext}_{\mathcal{A}}^{2}(\Delta(\lambda), \nabla(\mu)) = 0$ ,  $r \geq 2$ .

(6.9) **Remark:** If  $\Omega$  is a finite ideal of  $\Lambda^+$ ,  $\operatorname{Rep}(G)_{\Omega}$  admits enough injectives and projectives [BGS, 3.2];  $\forall \lambda \in \Omega$ , an injective hull of  $L(\lambda)$  in  $\operatorname{Rep}(G)_{\Omega}$  is given by  $\Gamma_{\Omega}(I(\lambda))$  with  $I(\lambda)$  an injective hull of  $L(\lambda)$  in the category of all rational *G*-modules [BGS, Th. 3.2.1(T)].

(6.10) Let  $\mathcal{C}$  be an abelian category and  $\mathcal{C}'$  a Serre subcategory of  $\mathcal{C}$ . The Serre quotient  $\mathcal{C}/\mathcal{C}'$ [Ga, III.1] consists of the same objects as of  $\mathcal{C}$ , and for  $X, Y \in \text{Ob}(\mathcal{C}/\mathcal{C}')$ 

$$(\mathcal{C}/\mathcal{C}')(X,Y) = \varinjlim_{\substack{X' \le X \text{ with } X/X' \in \mathcal{C}' \\ Y' \in \mathcal{C}'}} \mathcal{C}(X',Y/Y'),$$

where the (X', Y') are directed such that  $(X_1, Y_1) \leq (X_2, Y_2)$  iff  $X_2 \leq X_1$  and  $Y_1 \leq Y_2$ , in which case one has



Given arbitrary  $(X_i, Y_i)$  with  $X/X_i$  and  $Y_i \in \mathcal{C}'$ , i = 1, 2, one checks that  $X/(X_1 \cap X_2)$  and  $(Y_1 \oplus Y_2)/(Y_1 \cap Y_2) \in \mathcal{C}'$ ; using the Freyd-Mitchell imbedding theorem,  $(Y_1 \oplus Y_2)/(Y_1 \cap Y_2) = Y_1 \times_{Y_1 \cap Y_2} Y_2 \simeq Y_1 + Y_2$ ,  $X_1/(X_1 \cap X_2) \simeq (X_1 + X_2)/X_2 \leq X/X_2$ , and hence  $X_1/(X_1 \cap X_2) \in \mathcal{C}'$ . Then the exact sequence  $0 \to X_1/(X_1 \cap X_2) \to X/(X_1 \cap X_2) \to X/X_1 \to 0$  yields that  $X/(X_1 \cap X_2) \in \mathcal{C}'$ . If  $f \in \mathcal{C}(X_1, Y/Y_1)$  and  $g \in \mathcal{C}(Y_2, Z/Z_1)$ , one composes f and g as follows:

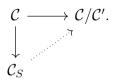
Thus,

(i)  $\mathcal{C}/\mathcal{C}'$  is abelian and the quotient functor  $\bar{}: \mathcal{C} \to \mathcal{C}/\mathcal{C}'$  is exact [Ga, Prop. 1, p. 62],

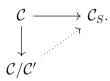
(ii)  $\forall f \in \mathcal{C}(X, Y), \bar{f} = 0$  (resp. monic, epic) iff  $\inf f \in \mathcal{C}'$  (resp. ker f, coker  $f \in \mathcal{C}'$ ) [Ga, Lem. 2, p.366]. In particular,  $\operatorname{id}_X$  vanishes in  $\mathcal{C}/\mathcal{C}'$  iff  $X \in \mathcal{C}'$ .

(iii)  $\forall f \in \mathcal{C}(X, Y), \bar{f}$  is invertible iff im f and coker  $f \in \mathcal{C}'$  [Ga, Lem. 4, p.367].

Let  $S = \{f \in \operatorname{Mor}(\mathcal{C}) | \ker f \text{ and } \operatorname{coker} f \in \mathcal{C}'\}$  and let  $\mathcal{C}_S$  be the localization of  $\mathcal{C}$  with respect to the multiplicative system S [ $\# \boxtimes$ , Prop. 4.2.28, p. 260, Prop. 2.4.26, p. 113]. By (ii) and (iii) the universality of  $\mathcal{C}_S$  [ $\# \boxtimes$ , Def. 2.4.3, p. 99] yields

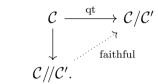


If  $X \in Ob(\mathcal{C}')$ , the zero morph  $X \to 0$  in  $\mathcal{C}$  is invertible in  $\mathcal{C}_S$  [中岡, Def. 2.4.3, p. 99], and hence the universality of  $\mathcal{C}/\mathcal{C}'$  [Ga, Cor. 2, p. 368] yields a quasi inverse of  $\mathcal{C}_S \to \mathcal{C}/\mathcal{C}'$  above



One has also [中岡, Cor. 3.2.50]

(1)



For a coideal  $\Omega$  of  $\Xi$  put  $\mathcal{A}^{\Omega} = \mathcal{A}/\mathcal{A}_{\Xi \setminus \Omega}$ .

**Lemma** [AR, Lem. 2.2]/[BGS, 3.2]/[RW, Lem. 2.1.3]: (i) If  $\Omega$  is an ideal of  $\Xi$ ,  $(\mathcal{A}_{\Omega}, \Omega, \preceq)$  forms a highest weight category with the standard (resp. costandard) objects  $\Delta(\lambda)$  (resp.  $\nabla(\lambda)$ ),  $\lambda \in \Omega$ .

(ii) If  $\Omega$  is a coideal of  $\Xi$ ,  $(\mathcal{A}^{\Omega}, \Omega, \preceq)$  forms a highest weight category with the standard (resp. costandard) objects  $\overline{\Delta}(\lambda)$  (resp.  $\overline{\nabla}(\lambda)$ ),  $\lambda \in \Omega$ .

(6.11) Let  $(\mathcal{A}, \Xi, \preceq)$  be a highest weight category.

**Corollary:** Let  $\Omega$  be a coideal of  $\Xi$ .  $\forall M \in \mathcal{A}$  admitting a  $\Delta$ -filtration,  $\forall M' \in \mathcal{A}$  admitting a  $\nabla$ -filtration, one has

$$\mathcal{A}(M, M') \twoheadrightarrow \mathcal{A}^{\Omega}(M, M').$$

**Proof:** By (6.8) and (6.10) and by the snake lemma [中岡, Lem. 4.2.21, p. 244] we may assume that  $M = \Delta(\lambda)$  and  $M' = \nabla(\mu)$  for some  $\lambda, \mu \in \Omega$ , in which case the assertion follows from (6.8) and (6.10) again.

(6.12) Let  $X \in \mathcal{A}$  admitting a  $\nabla$ -filtration. A canonical  $\nabla$ -flag of X is the data  $\Gamma_{\Omega} X \leq X$  for each ideal  $\Omega$  of  $\Xi$  such that

- (i)  $\cup_{\Omega} \Gamma_{\Omega} X = X$ ,
- (ii) if  $\Omega' \subseteq \Omega$  is another ideal of  $\Xi$ ,  $\Gamma_{\Omega'}X \leq \Gamma_{\Omega}X$ ,
- (iii)  $\forall \Omega \leq \Xi, \forall \lambda \in \Omega$  maximal, with  $\Omega' = \Omega \setminus \{\lambda\}, \Gamma_{\Omega} X / \Gamma_{\Omega'} X \simeq \coprod \nabla(\lambda).$

We set  $\Gamma_{\emptyset} X = 0$ .

**Lemma** [RW, Lem. 2.2.1]:  $\forall X \in \mathcal{A}$  with a  $\nabla$ -filtration, a canonical  $\nabla$ -flag exists and uniquely. By the unicity we will call a canonical  $\nabla$ -flag of X simply the  $\nabla$ -flag.

**Proof:**  $\forall \lambda, \mu \in \Xi$ ,  $\operatorname{Ext}^{1}_{\mathcal{A}}(\nabla(\lambda), \nabla(\mu)) = 0$  unless  $\mu \prec \lambda$  by (6.7), and hence the existence; if  $X = X^{0} > X^{1} > \cdots > X^{r} = 0$  with  $X^{i}/X^{i+1} \simeq \nabla(\lambda_{i}), \lambda_{i} \in \Lambda^{+}$ , one can arrange the filtration such that i < j if  $\lambda_{i} > \lambda_{j}$ .

To see the unicity, it is enough to show that for minimal  $\lambda \in \Xi$  with  $(X : \nabla(\lambda)) \neq 0$  there is unique  $X' \leq X$  with  $X' = \coprod \nabla(\lambda)$  such that X/X' admits a  $\nabla$ -filtration and  $(X/X' : \nabla(\lambda)) = 0$ . But  $\forall \mu \in \Xi$ ,  $\mathcal{A}(\nabla(\lambda), \nabla(\mu)) = 0$  unless  $\mu \preceq \lambda$  as  $\operatorname{soc}_{\mathcal{A}} \nabla(\mu) = L(\mu)$  by (6.7.1). Also,  $\mathcal{A}(\nabla(\lambda), \nabla(\lambda)) = \Bbbk$  by (6.7.2). We must then have  $X' = \sum_{f \in \mathcal{A}(\nabla(\lambda), X)} \inf f$ .

(6.13) We call  $X \in \mathcal{A}$  tilting iff it admits both a  $\nabla$ - and a  $\Delta$ -filtrations. We denote by Tilt( $\mathcal{A}$ ) the additive full subcategory of  $\mathcal{A}$  consisting of the tilting objects. Thus, Tilt( $\mathcal{A}$ ) is Krull-Schmidt and the isomorphism classes of indecomposables are parametrized by  $\Xi$  [AR, Prop. A.4]/[J, E.3, E.6]/[Ri, 7.5];  $\forall \lambda \in \Xi$ , the corresponding indecomposable tilting  $T(\lambda)$  is characterized up to isomorphism by the properties

(1) 
$$(T(\lambda):\nabla(\lambda)) = 1$$
 and  $\forall \mu \in \Xi \text{ with } (T(\lambda):\nabla(\mu)) \neq 0, \mu \preceq \lambda.$ 

Recall also from [loc. cit] that

(2) 
$$(T(\lambda) : \Delta(\lambda)) = 1$$
 and  $\forall \mu \in \Xi$  with  $(T(\lambda) : \Delta(\mu)) \neq 0, \mu \preceq \lambda$ .

### Lemma [RW, Lem. 2.3.1]: Let $\lambda \in \Xi$ .

- (i)  $\mathcal{A}(\Delta(\lambda), T(\lambda)) = \mathbb{k}$ , and nonzero morphism  $\Delta(\lambda) \to T(\lambda)$  is injective.
- (ii)  $\mathcal{A}(T(\lambda), \nabla(\lambda)) = \mathbb{k}$ , and nonzero morphism  $T(\lambda) \to \nabla(\lambda)$  is surjective.

(*iii*) 
$$\forall \phi \in \mathcal{A}(\Delta(\lambda), T(\lambda)) \setminus 0, \ \forall \psi \in \mathcal{A}(T(\lambda), \nabla(\lambda)) \setminus 0, \ \psi \circ \phi \neq 0$$

(6.14) For  $\lambda \in \Xi$  set  $\mathcal{A}^{\succeq \lambda} = \mathcal{A}^{\{\mu \in \Xi \mid \mu \succeq \lambda\}} = \mathcal{A}/\mathcal{A}_{\{\mu \in \Xi \mid \mu \not\succeq \lambda\}}$ . By (6.10.ii, iii) and by (HW4) one has

(1) 
$$\Delta(\lambda) \simeq \nabla(\lambda) \simeq L(\lambda) \simeq T(\lambda) \quad \text{in } \mathcal{A}^{\geq \lambda}.$$

**Definition** [**RW, Def. 2.3.2**]: Let  $X \in \mathcal{A}$  admitting a  $\nabla$ -filtration. A section of the  $\nabla$ -flag of X is a triple  $(\Pi, e, (\phi_{\pi}^{X} | \pi \in \Pi))$  such that

- (i)  $e: \Pi \to \Xi$  is a map,
- (ii)  $\forall \pi \in \Pi, \ \phi_{\pi}^X \in \mathcal{A}(T(e(\pi)), X)$  such that  $\forall \lambda \in \Xi, \ (\phi_{\pi}^X | \pi \in e^{-1}(\lambda))$  forms a k-linear basis

of  $\mathcal{A}^{\succeq\lambda}(T(\lambda), X) \simeq \mathcal{A}^{\succeq\lambda}(\Delta(\lambda), X)$  under the quotient  $\mathcal{A} \to \mathcal{A}^{\succeq\lambda}$ . In particular, for  $\lambda \in \Xi$  with  $\mathcal{A}^{\succeq\lambda}(T(\lambda), X) = 0, e^{-1}(\lambda) = \emptyset$ . Such exists by (6.11).

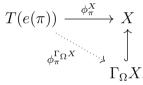
 $\forall \lambda \in \Xi$ , one has

(2) 
$$\dim \mathcal{A}^{\succeq \lambda}(T(\lambda), X) = \dim \mathcal{A}^{\succeq \lambda}(\Delta(\lambda), X)$$
  
=  $(X : \nabla(\lambda))_{\mathcal{A}^{\succeq \lambda}}$  the multiplicity of  $\nabla(\lambda)$  in X in  $\mathcal{A}^{\succeq \lambda}$  by (6.10.ii)  
=  $(X : \nabla(\lambda))$  by (6.8.ii, iii) and (6.12),

and hence

$$|\{\phi_{\pi}^{X}|\pi \in e^{-1}(\lambda)\}| = (X: \nabla(\lambda)), \quad |\Pi| = \sum_{\lambda \in \Xi} (X: \nabla(\lambda)).$$

(6.15) Lemma [RW, Lem. 2.3.4]: Let  $X \in \mathcal{A}$  with a  $\nabla$ -filtration, and let  $(\Pi, e, (\phi_{\pi}^{X} | \pi \in \Pi))$ be a section of the  $\nabla$ -flag of X. Let  $\Omega \leq \Xi$  and put  $\Pi_{\Omega} = e^{-1}(\Omega)$ .  $\forall \pi \in \Pi_{\Omega}, \phi_{\pi}^{X} \in \mathcal{A}(T(e(\pi)), X)$ factors through  $\Gamma_{\Omega}X \hookrightarrow X$ 



If  $e_{\Omega} = e|_{\Pi_{\Omega}}$ ,  $(\Pi_{\Omega}, e_{\Omega}, (\phi_{\pi}^{\Gamma_{\Omega}X} | \pi \in \Pi_{\Omega}))$  forms a section of the  $\nabla$ -flag of  $\Gamma_{\Omega}X$ .

**Proof:** Let  $\lambda \in \Omega$ . An exact sequence  $0 \to \Gamma_{\Omega} X \to X \to X/\Gamma_{\Omega} X \to 0$  induces another short exact sequence  $0 \to \mathcal{A}(T(\lambda), \Gamma_{\Omega} X) \to \mathcal{A}(T(\lambda), X) \to \mathcal{A}(T(\lambda), X/\Gamma_{\Omega} X) \to 0$ .  $\forall \mu \in \Xi$ with  $(X/\Gamma_{\Omega} X : \nabla(\mu)) \neq 0, \mu \not\preceq \lambda$ , and hence  $\mathcal{A}(T(\lambda), \Gamma_{\Omega} X) \to \mathcal{A}(T(\lambda), X)$  is bijective. Thus,  $\forall \pi \in e^{-1}(\lambda), \phi_{\pi}^{X}$  factors through  $\Gamma_{\Omega} X$ . Also,

$$\dim \mathcal{A}^{\succeq \lambda}(T(\lambda), X) = (X : \nabla(\lambda)) \quad \text{by (6.14.2)} \\ = (\Gamma_{\Omega} X : \nabla(\lambda)) \\ = \dim \mathcal{A}^{\succeq \lambda}(T(\lambda), \Gamma_{\Omega} X) \quad \text{by (6.14.2) again}$$

The assertion follows.

(6.16) Likewise

**Lemma [RW, Lem. 2.3.5]:** Let  $X \in \mathcal{A}$  with a  $\nabla$ -filtration, and let  $(\Pi, e, (\phi_{\pi}^{X} | \pi \in \Pi))$  be a section of the  $\nabla$ -flag of X. Let  $\Omega \triangleleft \Xi$  and put  $\Pi^{\Omega} = \Pi \setminus \Pi_{\Omega} = \Pi \setminus e^{-1}(\Omega)$ .  $\forall \pi \in \Pi^{\Omega}$ , define  $\phi_{\pi}^{X/\Gamma_{\Omega}X}$  to be the composite of  $\phi_{\pi}^X \in \mathcal{A}(T(e(\pi)), X)$  with the quotient  $X \to \Gamma_{\Omega}X$ 

If  $e^{\Omega} = e|_{\Pi^{\Omega}}$ ,  $(\Pi^{\Omega}, e^{\Omega}, (\phi_{\pi}^{X/\Gamma_{\Omega}X}|\pi \in \Pi^{\Omega}))$  forms a section of the  $\nabla$ -flag of  $X/\Gamma_{\Omega}X$ .

(6.17) Back to  $\operatorname{Rep}(G)$  under the standing hypothesis that p > n, for  $\lambda, \nu \in \Lambda^+$  let us write  $\nu \downarrow \lambda$  to mean  $\lambda \uparrow \nu$ . Thus,  $\downarrow \lambda = \{\nu \in \Lambda^+ | \nu \downarrow \lambda\} = \{\nu \in \Lambda^+ | \lambda \uparrow \nu\}.$ 

Now, for each  $s \in S_a$  take  $\mu_s \in \Lambda^+ \cap \overline{A^+}$  as in (3.8), and let  $\operatorname{Rep}_s(G)$  be the block of  $\mu_s$ . Let  $T^s : \operatorname{Rep}_0(G) \to \operatorname{Rep}_s(G)$  and  $T_s : \operatorname{Rep}_s(G) \to \operatorname{Rep}_0(G)$  be the adjoint pair of translation functors as in (4.9). If  $\Lambda_0^+ = \Lambda^+ \cap (\mathcal{W}_a \bullet 0)$  (resp.  $\Lambda_s^+ = \Lambda^+ \cap (\mathcal{W}_a \bullet \mu_s)$ ),  $(\operatorname{Rep}_0(G), \Lambda_0^+, \uparrow \mid_{\Lambda_0^+})$  (resp.  $(\operatorname{Rep}_s(G), \Lambda_s^+, \uparrow \mid_{\Lambda_s^+})$ ) forms a highest weight category;  $\Lambda_0^+, \Lambda_s^+ \triangleleft \Lambda^+$ , and  $\operatorname{Rep}_0(G) = \operatorname{Rep}(G)_{\Lambda_0^+}$ ,  $\operatorname{Rep}_s(G) = \operatorname{Rep}(G)_{\Lambda_s^+}$ . If  $\lambda \in \Lambda_0^+$ , by  $\downarrow \lambda$  we will mean a coideal  $\{\nu \in \Lambda_0^+ \mid \nu \downarrow \lambda\} = \{\nu \in \Lambda_0^+ \mid \lambda \uparrow \nu\}$  of  $\Lambda_0^+$ . Likewise for  $\mu \in \Lambda_s^+$ . Writing  $\lambda = w \bullet 0$ ,  $w \in {}^f\mathcal{W}$ , set  $\lambda^s = ws \bullet 0$ .

Assume  $\lambda \uparrow \lambda^s$ , and let  $\mu \in \Lambda_s^+$  such that  $\lambda$  belongs to an alcove whose closure contains  $\mu$ . Then [J, E.11]

(1) 
$$T_s T(\mu) \simeq T(\lambda^s).$$

We fix such an isomorphism once and for all. As  $(T^sT(\lambda) : \nabla(\mu)) = 1$  with  $\mu$  maximal in  $\{\nu \in \Lambda_s^+ | (T^sT(\lambda) : \nabla(\nu)) \neq 0\}$ ,  $T(\mu)$  is a direct summand of  $T^sT(\lambda)$  of multiplicity 1. Accordingly, we fix a split mono and a split epi

(2) 
$$T(\mu) \xrightarrow{\leftarrow} T^s T(\lambda).$$

One has also [J, E.11]

(3) 
$$T^s T(\lambda^s) \simeq T(\mu) \oplus T(\mu).$$

(6.18) Lemma [RW, Lem. 3.2.2]: Let  $y \in {}^{f}\mathcal{W}$  and  $s \in \mathcal{S}_{a}$  such that ys > y and that  $ys \in {}^{f}\mathcal{W}$ . If  $\lambda = y \bullet 0$ , under the quotient  $\operatorname{Rep}(G) \to \operatorname{Rep}(G)^{\downarrow \lambda}(G)$  one has an isomorphism

$$\operatorname{Rep}_0(G)(\Delta(\lambda), \Theta_s \Delta(\lambda)) \to \operatorname{Rep}_0(G)^{\downarrow \lambda}(\Delta(\lambda), \Theta_s \Delta(\lambda)),$$

both of dimension 1.

**Proof:** Let  $\mu \in \Lambda_s^+$  lying in the closure of the alcove containing  $\lambda$ . Then

$$\operatorname{Rep}_0(G)(\Delta(\lambda), \Theta_s \Delta(\lambda)) \simeq \operatorname{Rep}_s(G)(\operatorname{T}^s \Delta(\lambda), \operatorname{T}^s \Delta(\lambda)) \simeq \operatorname{Rep}_s(G)(\Delta(\mu), \Delta(\mu)) \simeq \Bbbk$$

If  $q: \Delta(\lambda) \to L(\lambda)$  is the quotient and  $i: L(\lambda) \hookrightarrow \nabla(\lambda)$ , one has commutative diagrams

and

$$\begin{array}{c} \operatorname{Rep}_{0}(G)(\Delta(\lambda), \Theta_{s}L(\lambda)) \xrightarrow{\operatorname{Rep}_{0}(G)(\Delta(\lambda), \Theta_{s}i)} \operatorname{Rep}_{0}(G)(\Delta(\lambda), \Theta_{s}\nabla(\lambda)) \\ \sim \downarrow & \downarrow \sim \\ \operatorname{Rep}_{s}(G)(\Delta(\mu), L(\mu)) \xrightarrow{\sim} \operatorname{Rep}_{0}(G)(\Delta(\mu), \operatorname{T}^{s}i) \xrightarrow{\sim} \operatorname{Rep}_{s}(G)(\Delta(\mu), \nabla(\mu)). \end{array}$$

Putting these together, the composite  $\Delta(\lambda) \xrightarrow{q} L(\lambda) \xrightarrow{i} \nabla(\lambda)$  induces a commutative diagram

(1)  

$$\begin{array}{ccc}
\operatorname{Rep}_{0}(G)(\Delta(\lambda),\Theta_{s}\Delta(\lambda)) & \xrightarrow{\operatorname{Rep}_{0}(G)(\Delta(\lambda),\Theta_{s}(i\circ q))} & \operatorname{Rep}_{0}(G)(\Delta(\lambda),\Theta_{s}\nabla(\lambda)) \\ & \downarrow & \downarrow & \downarrow \\ \operatorname{Rep}_{0}(G)^{\downarrow\lambda}(\Delta(\lambda),\Theta_{s}\Delta(\lambda)) & \xrightarrow{\operatorname{Rep}_{0}(G)^{\downarrow\lambda}(\Delta(\lambda),\operatorname{T}^{s}(i\circ q))} & \operatorname{Rep}_{s}(G)^{\downarrow\lambda}(\Delta(\lambda),\Theta_{s}\nabla(\lambda)). \end{array}$$

If  $L(\nu)$  is a composition factor of ker(q), there is an epi  $\Delta(\nu) \twoheadrightarrow L(\nu)$ . As  $\nu < \lambda$ ,  $\Theta_s \Delta(\nu)$  vanishes in  $\operatorname{Rep}(G)^{\downarrow\lambda}$ , and so therefore does  $\Theta_s L(\nu)$  in  $\operatorname{Rep}(G)^{\downarrow\lambda}$ . Then  $\Theta_s q$  is invertible in  $\operatorname{Rep}(G)^{\downarrow\lambda}$ , and so is  $\Theta_s i$  likewise. It follows that the bottom horizontal map of (1) is invertible.

If  $\lambda^s = ys \bullet 0$ , as  $\Theta_s \nabla(\lambda)$  has a  $\nabla$ -filtration such that  $0 \to \nabla(\lambda) \to \Theta_s \nabla(\lambda) \to \nabla(\lambda^s) \to 0$ is exact, and as  $\operatorname{Rep}_0(G)^{\downarrow\lambda}$  is a highest weight category, one has a commutative diagram

$$\begin{split} \Bbbk \simeq \operatorname{Rep}_0(G)(\Delta(\lambda), \nabla(\lambda)) & \xrightarrow{\sim} \operatorname{Rep}_0(G)(\Delta(\lambda), \Theta_s \nabla(\lambda)) \\ & \stackrel{\sim}{\longrightarrow} & \downarrow \\ \Bbbk \simeq \operatorname{Rep}_0(G)^{\downarrow \lambda}(\Delta(\lambda), \nabla(\lambda)) & \xrightarrow{\sim} \operatorname{Rep}_s(G)^{\downarrow \lambda}(\Delta(\lambda), \Theta_s \nabla(\lambda)). \end{split}$$

Thus, the right vertical map in (1) is bijective, and hence also the left and the assertion follows.

(6.19) Recall also

**Lemma:** Let  $s \in \mathcal{S}_a$ .  $\forall \lambda \in \Lambda_0^+$  with  $\lambda^s \notin \Lambda^+$ ,  $\forall M \in \operatorname{Rep}_s(G)$  with a  $\nabla$ -filtration,  $(\operatorname{T}_s M : \nabla(\lambda)) = \dim \operatorname{Rep}_0(G)(\Delta(\lambda), \operatorname{T}_s M) = \dim \operatorname{Rep}_s(G)(\operatorname{T}^s \Delta(\lambda), M) = 0.$ 

(6.20) To compute  $\operatorname{Rep}_0(G)(T(\underline{x}), T(\underline{y}))$  inductively, let  $M \in \operatorname{Rep}_0(G)$  with a  $\nabla$ -filtration. We now give a prescription to construct a section of the  $\nabla$ -flag of  $\Theta_s M = \Theta_s M$ ,  $s \in S_a$ , from one on M.

Let  $(\Pi, e, (\phi_{\pi}^{M} | \pi \in \Pi))$  be a section of the  $\nabla$ -flag of M. Set  $\Pi^{s} = \{\pi \in \Pi | e(\pi)^{s} \in \Lambda^{+}\}$ . Define a map  $e^{s} : \Pi^{s} \to \Lambda_{s}^{+}$  by defining  $e^{s}(\pi) \in \Lambda_{s}^{+}, \pi \in \Pi^{s}$ , to be the one lying in the closure of the alcove containing  $e(\pi)$ . As  $|\Pi| = \sum_{\lambda \in \Lambda_{0}^{+}} (M : \nabla(\lambda))$  and as  $T^{s} \nabla(\lambda) = 0$  for  $\lambda \in \Pi \setminus \Pi^{s}$ ,

$$|\Pi^s| = \sum_{\mu \in \Lambda_s^+} (\mathbf{T}^s M : \nabla(\mu)).$$

We now define  $\phi_{\pi}^{\mathrm{T}^{s}M} \in \mathrm{Rep}_{s}(G)(T(e^{s}(\pi)), \mathrm{T}^{s}M)$  for  $\pi \in \Pi^{s}$ .

Case 1:  $e(\pi) \downarrow e(\pi)^s$ , i.e.,  $e(\pi)^s \uparrow e(\pi)$ . Recall from (6.17.1) a fixed isomorphism  $T_s T(e^s(\pi)) \simeq T(e(\pi))$ . Then

$$\operatorname{Rep}_0(G)(T(e(\pi)), M) \simeq \operatorname{Rep}_0(G)(\operatorname{T}_s T(e^s(\pi)), M) \simeq \operatorname{Rep}_0(G)(T(e^s(\pi)), \operatorname{T}^s M),$$

under which we define  $\phi_{\pi}^{\mathrm{T}^{s}M}$  to be the image of  $\phi_{\pi}^{M}$ :

$$T(e^{s}(\pi)) \xrightarrow{\phi_{\pi}^{\mathrm{T}^{s}M}} \mathrm{T}^{s}M$$
  
adj  
$$T^{s}\mathrm{T}_{s}T(e^{s}(\pi)) \xrightarrow{\sim} \mathrm{T}^{s}T(e(\pi)).$$

By construction, defined under the isomorphisms,  $\phi_{\pi}^{T^sM} \neq 0$ .

Case 2:  $e(\pi) \uparrow e(\pi)^s$ .

Then  $T(e^{s}(\pi))$  is a direct summand of  $T^{s}T(e(\pi))$ . Using a split mono fixed in (6.17.2), define

$$T(e^{s}(\pi)) \longleftrightarrow \mathsf{T}^{s}T(e(\pi))$$

$$\downarrow^{\mathsf{T}^{s}(\phi_{\pi}^{M})}$$

$$\mathsf{T}^{s}M.$$

To see that  $\phi_{\pi}^{T^{s}M} \neq 0$ , put  $\lambda = e(\pi)$  and  $\mu = e^{s}(\pi)$ , and take  $\Omega = \Lambda_{0}^{+} \cap (\uparrow \lambda)$ . As  $\operatorname{im}(\phi_{\pi}^{M}) = \nabla(\lambda)$  mod  $\Gamma_{\Omega \setminus \lambda} M$ ,  $[\operatorname{im}(\phi_{\pi}^{M}) : L(\lambda)] = 1$ , and hence

$$[\operatorname{im} \mathbf{T}^{s}(\phi_{\pi}^{M}) : L(\mu)] = [\mathbf{T}^{s}\operatorname{im}(\phi_{\pi}^{M}) : \mathbf{T}^{s}L(\lambda)] = 1 = [\mathbf{T}^{s}T(\lambda) : L(\mu)] = [T(\mu) : L(\mu)]$$

One must therefore have  $[\operatorname{im} \phi_{\pi}^{\mathrm{T}^{s}M} : L(\mu)] = [\operatorname{im} \mathrm{T}^{s} \phi_{\pi}^{M} : L(\mu)] = 1.$ 

**Proposition** [**RW, Prop. 3.3.2**]:  $(\Pi^s, e^s, (\phi_{\pi}^{T^sM} | \pi \in \Pi^s))$  constructed above gives a section of the  $\nabla$ -flag of  $T^sM$ .

**Proof:** We are to show that,  $\forall \mu \in \Lambda_s^+$ , the image of  $(\phi_{\pi}^{\mathrm{T}^s M} | \pi \in (e^s)^{-1}(\mu))$  forms a basis of  $\operatorname{Rep}_s(G)^{\downarrow \mu}(T(\mu), \mathrm{T}^s M)$ . In particular,

$$|(e^s)^{-1}(\mu)| = \dim \operatorname{Rep}_s(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^s M) = (\operatorname{T}^s M : \nabla(\mu)).$$

Assume first that  $M \simeq \nabla(\lambda)^{\oplus_{|\Pi|}}$  for some  $\lambda \in \Lambda_0^+$ .  $\forall \pi \in \Pi$ , put  $M_{\pi} = \operatorname{im}(\phi_{\pi}^M) \simeq \nabla(\lambda)$ . Then  $M = \coprod_{\pi \in \Pi} M_{\pi}$ . and hence we may assume  $M = \nabla(\lambda)$ ,  $\Pi = \{\pi\}$ ,  $e(\pi) = \lambda$  and  $\phi_{\pi}^{\nabla(\lambda)} : T(\lambda) \to \nabla(\lambda)$  is the quotient. If  $\lambda^s \notin \Lambda^+$ ,  $\mathrm{T}^s \nabla(\lambda) = 0$ ,  $\Pi^s = \emptyset$ , and we are done. If  $\lambda^s \in \Lambda^+$ ,  $\Pi^s = \{\pi\}$ . Put  $\mu = e^s(\pi) \in \Lambda^+$ . As dim  $\operatorname{Rep}_s(G)^{\downarrow\mu}(T(\mu), \mathrm{T}^s M) = \operatorname{dim} \operatorname{Rep}_s(G)^{\downarrow\mu}(T(\mu), \nabla(\mu)) = 1$ , the assertion follows from the fact that  $\phi_{\pi}^{\mathrm{T}^s \nabla(\lambda)} \neq 0$ .

In general, we may assume  $0 \neq \operatorname{Rep}_s(G)^{\downarrow\mu}(T(\mu), \operatorname{T}^s M)$  for some  $\mu \in \Lambda_s^+$ ; otherwise  $\operatorname{T}^s M = 0$ and  $\Pi^s = \emptyset$ . Then there is a unique  $\lambda \in \Lambda_0^+$  with  $\mu$  lying in the closure of the alcove containing  $\lambda$  such that  $\lambda \uparrow \lambda^s$ , in which case  $\forall \pi \in \Pi^s$ ,  $e^s(\pi) = \mu$  iff  $e(\pi) \in \{\lambda, \lambda^s\}$ . Thus,

$$(e^s)^{-1}(\mu) = e^{-1}(\lambda) \sqcup e^{-1}(\lambda^s).$$

Let  $\Omega = \Lambda_0^+ \cap (\uparrow \lambda)$ ,  $\Omega' = \Omega \setminus \{\lambda\}$ , and  $\Omega'' = \Omega \cup \{\lambda^s\}$ . Thus,  $\Omega, \Omega', \Omega'' \leq \Lambda_0^+$ ,  $\Gamma_{\Omega'}M \hookrightarrow \Gamma_{\Omega}M \hookrightarrow \Gamma_{\Omega''}M \hookrightarrow M$  with  $\Gamma_{\Omega}M/\Gamma_{\Omega'}M \simeq \nabla(\lambda)^{\oplus_{(M:\nabla(\lambda))}} = \nabla(\lambda)^{\oplus_{|e^{-1}(\lambda)|}}$  and  $\Gamma_{\Omega''}M/\Gamma_{\Omega}M \simeq \nabla(\lambda^s)^{\oplus_{(M:\nabla(\lambda^s))}} = \nabla(\lambda^s)^{\oplus_{|e^{-1}(\lambda^s)|}}$ . Then  $T^s(\Gamma_{\Omega'}M) \hookrightarrow T^s(\Gamma_{\Omega''}M) \hookrightarrow T^s(\Gamma_{\Omega''}M) \hookrightarrow T^sM$  with

(1) 
$$\mathrm{T}^{s}(\Gamma_{\Omega}M)/\Gamma_{\Omega'}M) \simeq \mathrm{T}^{s}(\Gamma_{\Omega}M)/\mathrm{T}^{s}(\Gamma_{\Omega'}M) \simeq \nabla(\mu)^{\oplus_{|e^{-1}(\lambda)|}}$$
 and  
 $\mathrm{T}^{s}(\Gamma_{\Omega''}M)/\Gamma_{\Omega}M) \simeq \mathrm{T}^{s}(\Gamma_{\Omega''}M)/\mathrm{T}^{s}(\Gamma_{\Omega}M) \simeq \nabla(\mu)^{\oplus_{|e^{-1}(\lambda^{s})|}}.$ 

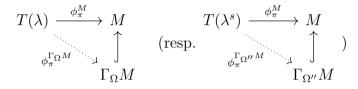
A short exact sequence  $0 \to \Gamma_{\Omega''} M \hookrightarrow M \to M / \Gamma_{\Omega''} M \to 0$  induces by (6.8), as  $T^s$  is exact, another short exact sequence

(2) 
$$0 \to \operatorname{Rep}_{s}(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^{s}(\Gamma_{\Omega''}M)) \to \operatorname{Rep}_{s}(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^{s}M) \to \operatorname{Rep}_{s}(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^{s}(M/\Gamma_{\Omega''}M)) \to 0.$$

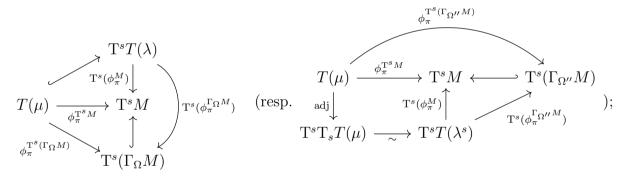
As  $(T^s(M/\Gamma_{\Omega''}M): \nabla(\mu)) = 0$ , one has

$$\operatorname{Rep}_{s}(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^{s}(\Gamma_{\Omega''}M)) \xrightarrow{\sim} \operatorname{Rep}_{s}(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^{s}M).$$

By (6.15) all  $\phi_{\pi}^{M}$ ,  $\pi \in e^{-1}(\lambda)$  (resp.  $e^{-1}(\lambda^{s})$ ), factor through  $\Gamma_{\Omega}M$  (resp.  $\Gamma_{\Omega''}M$ ):



with  $(\phi_{\pi}^{\Gamma_{\Omega}M}|\pi \in e^{-1}(\lambda))$  (resp.  $(\phi_{\pi}^{\Gamma_{\Omega}M}|\pi \in e^{-1}(\lambda^s))$ ) giving a k-linear basis of  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\lambda), \Gamma_{\Omega}M)$ (resp.  $\operatorname{Rep}_0(G)^{\downarrow\lambda^s}(T(\lambda^s), \Gamma_{\Omega''}M)$ ). In particular, all  $\phi_{\pi}^M, \pi \in e^{-1}(\lambda) \sqcup e^{-1}(\lambda^s)$ , factor through  $\Gamma_{\Omega''}M$ . By construction in Case 2 (resp. Case 1) one has a commutative diagram



if we write  $T(\mu) \stackrel{i}{\hookrightarrow} T^s T(\lambda)$  and  $T^s(\Gamma_{\Omega} M) \stackrel{i'}{\hookrightarrow} T^s M$ ,

$$t' \circ \phi_{\pi}^{\mathrm{T}^{s}(\Gamma_{\Omega}M)} = i' \circ \mathrm{T}^{s}(\phi_{\pi}^{\Gamma_{\Omega}M}) \circ i = \mathrm{T}^{s}(\phi_{\pi}^{M}) \circ i = \phi_{\pi}^{\mathrm{T}^{s}M}.$$

Thus, all  $\phi_{\pi}^{\mathrm{T}^{s}M}$ ,  $\pi \in e^{-1}(\mu)$ , factor through  $\mathrm{T}^{s}(\Gamma_{\Omega''}M)$ . It suffices then by (3) to show that  $(\phi_{\pi}^{\mathrm{T}^{s}(\Gamma_{\Omega''}M)}|\pi \in (e^{s})^{-1}(\mu))$  forms a k-linear basis of  $\mathrm{Rep}_{s}(G)^{\downarrow\mu}(T(\mu), \mathrm{T}^{s}(\Gamma_{\Omega''}M))$ , i.e., we may now assume that  $M = \Gamma_{\Omega''}M$ .

Consider next a short exact sequence  $0 \to \Gamma_{\Omega'}M \to M \to M/\Gamma_{\Omega'}M \to 0$ . As in (2) one obtains a short exact sequence

$$0 \to \operatorname{Rep}_{s}(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^{s}(\Gamma_{\Omega'}M)) \to \operatorname{Rep}_{s}(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^{s}M) \\ \to \operatorname{Rep}_{s}(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^{s}(M/\Gamma_{\Omega'}M)) \to 0.$$

As  $(T^s(M/\Gamma_{\Omega'}M): \nabla(\mu)) = 0$ , one has

$$\begin{aligned} \operatorname{Rep}_{s}(G)^{\downarrow\mu}(T(\mu), \operatorname{T}^{s}M) &\xrightarrow{\sim} \operatorname{Rep}_{s}(G)^{\downarrow\mu}(T(\mu), \operatorname{T}^{s}(M/\Gamma_{\Omega'}M)) \\ &\simeq \operatorname{Rep}_{s}(G)^{\downarrow\mu}(T(\mu), \operatorname{T}^{s}M/\operatorname{T}^{s}(\Gamma_{\Omega'}M)). \end{aligned}$$

Denoting the image of each  $\phi_{\pi}^{\mathrm{T}^{s}M}$  by  $\overline{\phi_{\pi}^{\mathrm{T}^{s}M}}$ , it now suffices to show that  $(\overline{\phi_{\pi}^{\mathrm{T}^{s}M}}|\pi \in (e^{s})^{-1}(\mu))$  forms a k-linear basis of  $\operatorname{Rep}_{s}(G)^{\downarrow\mu}(T(\mu), \mathrm{T}^{s}M/\mathrm{T}^{s}(\Gamma_{\Omega'}M))$ . One has

$$(\overline{\phi_{\pi}^{\mathrm{T}^{s}M}}|\pi\in(e^{s})^{-1}(\mu))=(\phi_{\pi}^{\mathrm{T}^{s}(\Gamma_{\Omega}M)}|\pi\in e^{-1}(\lambda))\sqcup(\overline{\phi_{\pi}^{\mathrm{T}^{s}M}}|\pi\in e^{-1}(\lambda^{s})),$$

the union on the RHS being disjoint from a short exact sequence

$$\begin{split} 0 &\to \operatorname{Rep}_s(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^s(\Gamma_{\Omega} M / \Gamma_{\Omega'} M)) \to \operatorname{Rep}_s(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^s(M / \Gamma_{\Omega'} M)) \\ &\to \operatorname{Rep}_s(G)^{\downarrow \mu}(T(\mu), \operatorname{T}^s(M / \Gamma_{\Omega} M)) \to 0. \end{split}$$

By (6.16),  $(\phi_{\pi}^{\Gamma_{\Omega}M/\Gamma_{\Omega'}M}|\pi \in e^{-1}(\lambda))$  (resp.  $(\phi_{\pi}^{M/\Gamma_{\Omega}M}|\pi \in e^{-1}(\lambda^{s}))$ ) gives a k-linear basis of  $\operatorname{Rep}_{0}(G)^{\downarrow\lambda}(T(\lambda),\Gamma_{\Omega}M/\Gamma_{\Omega'}M)$  (resp.  $\operatorname{Rep}_{0}(G)^{\downarrow\lambda^{s}}(T(\lambda^{s}),M/\Gamma_{\Omega}M)$ ). By construction in Case 2

$$\overline{\phi_{\pi}^{\mathrm{T}^{s}M}} = \begin{cases} \phi_{\pi}^{\mathrm{T}^{s}(\Gamma_{\Omega}M/\Gamma_{\Omega'}M)} & \text{if } \pi \in e^{-1}(\lambda), \\ \phi_{\pi}^{\mathrm{T}^{s}(M/\Gamma_{\Omega}M)} & \text{if } \pi \in e^{-1}(\lambda^{s}); \end{cases}$$

one has a commutative diagram

$$T(\mu) \xrightarrow{\phi_{\pi}^{\mathrm{T}^{s}(\Gamma_{\Omega}M)}} \mathrm{T}^{s}T(\lambda) \xrightarrow{\mathrm{T}^{s}(\phi_{\pi}^{\Gamma_{\Omega}M)}} \mathrm{T}^{s}(\Gamma_{\Omega}M)$$

$$\downarrow^{\mathrm{T}^{s}(\rho_{\pi}^{\Gamma_{\Omega}M/\Gamma_{\Omega'}M})} \xrightarrow{\mathrm{T}^{s}(\Gamma_{\Omega}M/\Gamma_{\Omega'}M)} \mathrm{T}^{s}(\Gamma_{\Omega}M/\Gamma_{\Omega'}M)$$

We are finally reduced to showing that  $(\phi_{\pi}^{\mathrm{T}^{s}(\Gamma_{\Omega}M/\Gamma_{\Omega'}M)}|\pi \in e^{-1}(\lambda))$  (resp.  $\phi_{\pi}^{\mathrm{T}^{s}(M/\Gamma_{\Omega}M)}|\pi \in e^{-1}(\lambda^{s}))$ ) forms a basis of  $\operatorname{Rep}_{s}(G)^{\downarrow\mu}(T(\mu), \operatorname{T}^{s}(\Gamma_{\Omega}M/\Gamma_{\Omega'}M))$  (resp.  $\operatorname{Rep}_{s}(G)^{\downarrow\mu}(T(\mu), \operatorname{T}^{s}(M/\Gamma_{\Omega}M))$ ). This has, however, already been done at the outset as  $\Gamma_{\Omega}M/\Gamma_{\Omega'}M \simeq \nabla(\lambda)^{\oplus_{|e^{-1}(\lambda)|}}$  (resp.  $M/\Gamma_{\Omega}M \simeq \nabla(\lambda^{s})^{\oplus_{|e^{-1}(\lambda^{s})|}}$ ).

(6.21) Consider next the case  $M \in \operatorname{Rep}_s(G)$ ,  $s \in S_a$ , with a  $\nabla$ -filtration. Out of a section  $(\Pi, e, (\phi_\pi^M | \pi \in \Pi))$  of the  $\nabla$ -flag of M we will construct a section of the  $\nabla$ -flag of  $T_s M$ .

Put  $\Pi' = \Pi \times \{0,1\}$  and define a map  $e': \Pi' \to \Lambda_0^+$  as follows:  $\forall \pi \in \Pi, e'(\pi, 0)$  and  $e'(\pi, 1)$  are such that  $e'(\pi, 0) \uparrow e'(\pi, 1) = e'(\pi, 0)^s$  and that  $e(\pi)$  belongs to the closure of the alcove containing  $e'(\pi, 0)$ . Recall from (6.17.1) the isomorphism  $T_s T(e(\pi)) \simeq T(e'(\pi, 1))$ , and define

Recall also from (6.17.2) the projection  $T^{s}T(e'(\pi, 0)) \twoheadrightarrow T(e(\pi))$ , and define

$$\begin{array}{ccc} T(e'(\pi,0)) & \xrightarrow{\operatorname{adj}} & \Theta_s T(e'(\pi,0)) \\ & & & \downarrow \\ \phi_{(\pi,0)}^{\operatorname{T}_{s}M} & & & \downarrow \\ & & & & \downarrow \\ & & & & T_sM \xleftarrow{} & T_sT(e(\pi)). \end{array}$$

As  $\phi_{(\pi,0)}^{\mathrm{T}_s M}$  corresponds to the composite  $\mathrm{T}^s T(e'(\pi,0)) \twoheadrightarrow T(e(\pi)) \xrightarrow{\phi_{\pi}^M} M$  under the isomorphism  $\mathrm{Rep}(G)(T(e'(\pi,0)), \mathrm{T}_s M) \simeq \mathrm{Rep}(G)(\mathrm{T}^s T(e'(\pi,0)), M)$ , it remains nonzero.

**Proposition** [RW, Prop. 3.4.2]:  $(\Pi', e', (\phi_{\pi'}^{T_sM} | \pi' \in \Pi'))$  constructed above forms a section of the  $\nabla$ -flag of  $T_sM$ .

**Proof:** Consider first the case  $M = \nabla(\mu)^{\oplus_{|\Pi|}}$  for some  $\mu \in \Lambda_s^+$ . As in (6.20) we may assume  $M = \nabla(\mu)$ . Thus we may assume that  $\Pi = \{\pi\}, e(\pi) = \mu$ , and that  $\phi_{\pi}^{\nabla(\mu)} : T(\mu) \to \nabla(\mu)$  is the quotient. Put  $\lambda = e'(\pi, 0) \uparrow \lambda^s = e'(\pi, 1)$ . By definition

On the other hand, one has from (6.14.2)

$$\dim \operatorname{Rep}_0(G)^{\downarrow \lambda}(T(\lambda), \operatorname{T}_s \nabla(\mu)) = (\operatorname{T}_s \nabla(\mu) : \nabla(\lambda)) = 1$$
$$= (\operatorname{T}_s \nabla(\mu) : \nabla(\lambda^s)) = \dim \operatorname{Rep}_0(G)^{\downarrow \lambda^s}(T(\lambda^s), \operatorname{T}_s \nabla(\mu)).$$

The assertion follows.

In general, let  $\mu \in \operatorname{im}(e)$ ,  $\pi \in e^{-1}(\mu)$ , and put  $\lambda = e'(\pi, 0) \uparrow \lambda^s = e'(\pi, 1)$ . Let  $\Omega = (\uparrow \mu)$  and  $\Omega' = \Omega \setminus \{\mu\}$ . Thus,  $\Gamma_{\Omega'}M \hookrightarrow \Gamma_{\Omega}M \hookrightarrow M$  and  $\Gamma_{\Omega}M/\Gamma_{\Omega'}M \simeq \nabla(\mu)^{\oplus_{|e^{-1}(\mu)|}}$ . As  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\lambda), \operatorname{T}_s(M/\Gamma_{\Omega}M)) = 0 = \operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\lambda), \operatorname{T}_s(\Gamma_{\Omega'}M))$ , one has

(1) 
$$\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\lambda), \operatorname{T}_s(\Gamma_\Omega M)) \simeq \operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\lambda), \operatorname{T}_s M).$$

(2) 
$$\operatorname{Rep}_{0}(G)^{\downarrow\lambda}(T(\lambda), \operatorname{T}_{s}(\Gamma_{\Omega}M)) \simeq \operatorname{Rep}_{0}(G)^{\downarrow\lambda}(T(\lambda), \operatorname{T}_{s}(\Gamma_{\Omega}M/\Gamma_{\Omega'}M)).$$

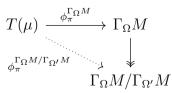
Likewise,

(3) 
$$\operatorname{Rep}_{0}(G)^{\downarrow\lambda^{s}}(T(\lambda^{s}), \operatorname{T}_{s}(\Gamma_{\Omega}M)) \simeq \operatorname{Rep}_{0}(G)^{\downarrow\lambda^{s}}(T(\lambda), \operatorname{T}_{s}M),$$
  
(4) 
$$\operatorname{Rep}_{0}(G)^{\downarrow\lambda^{s}}(T(\lambda^{s}), \operatorname{T}_{s}(\Gamma_{\Omega}M)) \simeq \operatorname{Rep}_{0}(G)^{\downarrow\lambda^{s}}(T(\lambda^{s}), \operatorname{T}_{s}(\Gamma_{\Omega}M/\Gamma_{\Omega'}M)).$$

By (6.15) with

$$\begin{array}{c} T(\mu) \xrightarrow{\phi_{\pi}^{M}} M \\ & \uparrow \\ \phi_{\pi}^{\Gamma_{\Omega}M} & \uparrow \\ & \Gamma_{\Omega}M \end{array}$$

 $(e^{-1}(\mu), e|_{e^{-1}(\mu)}, (\phi_{\pi}^{\Gamma_{\Omega}M}|\pi \in e^{-1}(\mu)))$  forms a section of the  $\nabla$ -flag of  $\Gamma_{\Omega}M$ . In turn, by (6.16) with



 $(e^{-1}(\mu), e|_{e^{-1}(\mu)}, (\phi_{\pi}^{\Gamma_{\Omega}M/\Gamma_{\Omega'}M}|\pi \in e^{-1}(\mu)))$  forms a section of the  $\nabla$ -flag of  $\Gamma_{\Omega}M/\Gamma_{\Omega'}M$ .

By above, corresponding to  $(\phi_{\pi}^{\Gamma_{\Omega}M/\Gamma_{\Omega'}M}|\pi \in e^{-1}(\mu)), (\phi_{(\pi,0)}^{T_s(\Gamma_{\Omega}M/\Gamma_{\Omega'}M)} \in \operatorname{Rep}_0(G)(T(\lambda), T_s(\Gamma_{\Omega}M/\Gamma_{\Omega'}M))|\pi \in e^{-1}(\mu))$  (resp.  $(\phi_{(\pi,1)}^{T_s(\Gamma_{\Omega}M/\Gamma_{\Omega'}M)} \in \operatorname{Rep}_0(G)(T(\lambda^s), T_s(\Gamma_{\Omega}M/\Gamma_{\Omega'}M))|\pi \in e^{-1}(\mu)))$  induces a k-linear basis of  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\lambda), T_s(\Gamma_{\Omega}/\Gamma_{\Omega'}M))$  (resp.  $\operatorname{Rep}_0(G)^{\downarrow\lambda^s}(T(\lambda^s), T_s(\Gamma_{\Omega}/\Gamma_{\Omega'}M)))$ . Then, by (2) (resp. (4)), corresponding to  $(\phi_{\pi}^{\Gamma_{\Omega}M}|\pi \in e^{-1}(\mu)), (\phi_{(\pi,0)}^{T_s(\Gamma_{\Omega}M)} \in \operatorname{Rep}_0(G)(T(\lambda), T_s(\Gamma_{\Omega}M))|\pi \in e^{-1}(\mu))$  (resp.  $(\phi_{(\pi,1)}^{T_s(\Gamma_{\Omega}M)} \in \operatorname{Rep}_0(G)(T(\lambda^s), T_s(\Gamma_{\Omega}M))|\pi \in e^{-1}(\mu))$ ) gives a k-linear basis of  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\lambda), T_s(\Gamma_{\Omega}))$  (resp.  $\operatorname{Rep}_0(G)^{\downarrow\lambda^s}(T(\lambda^s), T_s(\Gamma_{\Omega}))$ ). Finally, by (1) (resp. (3)), corresponding to  $(\phi_{\pi}^M|\pi \in e^{-1}(\mu)), (\phi_{(\pi,0)}^{T_sM}|\pi \in e^{-1}(\mu))$  (resp.  $\operatorname{Rep}_0(G)^{\downarrow\lambda^s}(T(\lambda^s), T_sM)$ ), as desired.

(6.22) We now consider the wall-crossing functor  $\Theta_s = \Theta_s : \operatorname{Rep}_0(G) \to \operatorname{Rep}_0(G), s \in \mathcal{S}_a$ . If  $\underline{w} = (s_1, \ldots, s_m)$  is a reduced expression of  $w \in {}^f\mathcal{W}, T(w \bullet 0)$  is a direct summand of multiplicity 1 in  $T(\underline{w}) = \Theta_{s_m} \ldots \Theta_{s_1} T(0)$ .

**Proposition** [**RW**, **Prop. 3.5.1**]: Let  $s \in S_a$ ,  $\underline{x}$  a reduced expression of  $x \in {}^{f}\mathcal{W}$  and  $\underline{v}$  an arbitrary expression. Put  $\lambda = x \bullet 0$  and  $\lambda^s = xs \bullet 0$ . Let us denote the quotients  $\operatorname{Rep}_{0}(G) \to \operatorname{Rep}_{0}(G)^{\downarrow\lambda}$  and  $\operatorname{Rep}_{0}(G) \to \operatorname{Rep}_{0}(G)^{\downarrow\lambda^s}$  by  $\overline{?}$ .

(i) Assume  $\lambda \uparrow \lambda^s$ . Thus  $\underline{xs}$  is a reduced expression of  $xs \in {}^{f}\mathcal{W}$ . Let I be a finite set,  $f_i \in \operatorname{Rep}_0(G)(T(\underline{x}), T(\underline{v})), i \in I$ , such that  $\sum_{i \in I} \Bbbk \bar{f}_i = \operatorname{Rep}_0(G)^{\downarrow \lambda}(T(\underline{x}), T(\underline{v}));$  such exist by (6.11). Let J be a finite set,  $g_j \in \operatorname{Rep}_0(G)(T(\underline{xs}), T(\underline{v})), j \in J$ , such that  $\sum_{j \in J} \Bbbk \bar{g}_j = \operatorname{Rep}_0(G)^{\downarrow \lambda^s}(T(\underline{xs}), T(\underline{v})).$  Then there exist  $f'_i \in \operatorname{Rep}_0(G)(T(\underline{x}), \Theta_s T(\underline{x})), i \in I$ , and  $g'_j \in \operatorname{Rep}_0(G)(T(\underline{x}), \Theta_s T(\underline{xs})), j \in J$ , such that

$$\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{x}), T(\underline{vs})) = \sum_{i \in I} \mathbb{k}\overline{\Theta_s(f_i) \circ f_i'} + \sum_{j \in J} \mathbb{k}\overline{\Theta_s(g_j) \circ g_j'}.$$

(ii) Assume that  $\underline{x} = \underline{ys}$  for some reduced expression  $\underline{y}$  of  $y \in {}^{f}\mathcal{W}$ . Thus  $\lambda^{s} = y \bullet 0 \in \Lambda_{0}^{+}$ with  $\lambda^{s} \uparrow \lambda$ . Let I be a finite set,  $f_{i} \in \operatorname{Rep}_{0}(G)(T(\underline{x}), T(\underline{v}))$ ,  $i \in I$ , such that  $\sum_{i \in I} \mathbb{k} \overline{f_{i}} = \operatorname{Rep}_{0}(G)^{\downarrow \lambda}(T(\underline{x}), T(\underline{v}))$ . Let J be a finite set,  $g_{j} \in \operatorname{Rep}_{0}(G)(T(\underline{y}), T(\underline{v}))$ ,  $j \in J$ , such that  $\sum_{j \in J} \mathbb{k} \overline{g_{j}} = \operatorname{Rep}_{0}(G)^{\downarrow \lambda^{s}}(T(\underline{y}), T(\underline{v}))$ . Then there exist  $f'_{i} \in \operatorname{Rep}_{0}(G)(T(\underline{x}), \Theta_{s}T(\underline{x}))$ ,  $i \in I$ , and  $g'_{i} \in \operatorname{Rep}_{0}(G)(T(\underline{x}), \Theta_{s}T(\underline{y}))$ ,  $j \in J$ , such that

$$\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{x}), T(\underline{vs})) = \sum_{i \in I} \mathbb{k}\overline{\Theta_s(f_i) \circ f_i'} + \sum_{j \in J} \mathbb{k}\overline{\Theta_s(g_j) \circ g_j'}.$$

**Proof:** (i) One has  $T(\underline{x}) \simeq T(x)$  in  $\operatorname{Rep}_0(G)^{\downarrow\lambda}$  and  $T(\underline{xs}) \simeq T(xs)$  in  $\operatorname{Rep}_0(G)^{\downarrow\lambda^s}$ . Fix split monos  $\iota : T(\lambda) \hookrightarrow T(\underline{x})$  and  $\iota^s : T(\lambda^s) \hookrightarrow T(\underline{xs})$ . By shrinking I if necessary, we may assume that  $f_i \circ \iota \in \operatorname{Rep}_0(G)(T(\lambda), T(\underline{v})), i \in I$ , constitute the part of a section, with domain  $T(\lambda)$ , of the  $\nabla$ -flag of  $T(\underline{v})$ . Likewise for  $g_j \circ \iota^s \in \operatorname{Rep}_0(G)(T(\lambda^s), T(\underline{v}))$ .

Let  $\mu \in \Lambda_s^+$  belonging to the closures of the alcove containing  $\lambda$ . If  $\iota^{\mu} : T(\mu) \hookrightarrow T^s T(\lambda)$  is

the fixed mono, one has from (6.20) that

$$\begin{array}{ccc} T(\mu) & & T^{s}T(\underline{v}), & i \in I, \\ & {}^{\mu} \int & & \uparrow^{\mathrm{T}^{s}(f_{i})} \\ \mathrm{T}^{s}T(\lambda) & & \xrightarrow{\mathrm{T}^{s}(\iota)} & \mathrm{T}^{s}T(\underline{x}) \end{array}$$

together with

$$\begin{array}{cccc} T(\mu) & & & T^{s}T(\underline{v}), & & j \in J, \\ & & & & \uparrow^{T^{s}(g_{j})} \\ T^{s}T_{s}T(\mu) & & & T^{s}T(\lambda^{s}) & \xrightarrow{T^{s}(\iota^{s})} & T^{s}T(\underline{xs}) \end{array}$$

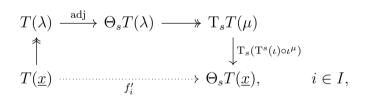
form the part of a section, with domain  $T(\mu)$ , of the  $\nabla$ -flag of  $T^s T(\underline{v})$ . Then by (6.21)

$$\begin{array}{ccc} T(\lambda) & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ \Theta_s T(\lambda) = \Theta_s T(\lambda) & \longrightarrow & \\ T_s T(\mu) & \xrightarrow{} & T_s T(\mu) \xrightarrow{} & \\ & & T_s(\mathbf{T}^s(\iota) \circ \iota^{\mu}) \end{array} \rightarrow \begin{array}{c} \Theta_s T(\underline{x}) = \Theta_s T(\underline{x}) \end{array} \qquad i \in I, \\ & & \uparrow \\ \Theta_s(f_i) = \Theta_s(f_i) \\ & & \hline \\ & & T_s(\mathbf{T}^s(\iota) \circ \iota^{\mu}) \end{array} \rightarrow \begin{array}{c} \Theta_s T(\underline{x}) = \Theta_s T(\underline{x}) \end{array}$$

together with

$$\begin{array}{ccc} T(\lambda) & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ \Theta_s T(\lambda) = \Theta_s T(\lambda) & \longrightarrow & T_s T(\mu) & \\ \hline & & & \\ & & & \\ T_s(\mathrm{T}^s(\iota^s) \circ \mathrm{adj}) & \Theta_s T(\underline{xs}) = \Theta_s T(\underline{xs}) \end{array} \end{array}$$

form the part of a section, with domain  $T(\lambda)$ , of the  $\nabla$ -flag of  $\Theta_s T(\underline{v}) = T(\underline{vs})$ . Thus, taking



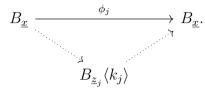
and

will do. Likewise (ii).

(6.23) Recall from (5.11) a rex move between reduced expressions of an element of  $\mathcal{W}_a$ , a path from one to the other by consecutive applications of braid relations.

**Lemma [RW, Lem. 5.2.2]:** Let  $\underline{x}, \underline{y}$  be 2 reduced expressions of  $w \in {}^{f}\mathcal{W}$ . Let  $\underline{x} \rightsquigarrow \underline{y}$  be a rex move and let  $\phi_{\underline{x},\underline{y}} \in \mathcal{D}_{BS}(B_{\underline{x}}, B_{\underline{y}})$  be the associated morphism. If  $\lambda = w \bullet 0$ ,  $\tilde{\Psi}(\phi_{\underline{x},\underline{y}}) \in \text{Tilt}_{0}(G)^{\downarrow\lambda}(T(\underline{x}), T(y))$  is invertible.

**Proof:** Let  $\underline{y} \rightsquigarrow \underline{x}$  be the rex move reversing  $\underline{x} \rightsquigarrow \underline{y}$ , and let  $\phi_{\underline{y},\underline{x}} \in \mathcal{D}_{BS}(B_{\underline{y}}, B_{\underline{x}})$  be the associated morphism. By (5.11) one can write  $\phi_{\underline{y},\underline{x}} \circ \phi_{\underline{x},\underline{y}} = \mathrm{id}_{B_{\underline{x}}} + \sum_{j \in J} \phi_j$  for some finite set J such that each  $\phi_j \in \mathcal{D}_{BS}(B_{\underline{x}}, B_{\underline{x}})$  factors through some  $B_{\underline{z}_j}\langle k_j \rangle$  with  $\ell(\underline{z}_j) \leq \ell(w) - 2$  and  $k_j \in \mathbb{Z}$ 



As  $\tilde{\Psi}(B_{\underline{z}_j}\langle k_j \rangle) = T(\underline{z}_j) = 0$  in  $\operatorname{Tilt}_0(G)^{\downarrow\lambda}$ ,  $\tilde{\Psi}(\phi_{\underline{y},\underline{x}} \circ \phi_{\underline{x},\underline{y}}) = \operatorname{id}_{T(\underline{x})}$ . Likewise  $\tilde{\Psi}(\phi_{\underline{x},\underline{y}} \circ \phi_{\underline{y},\underline{x}}) = \operatorname{id}_{T(\underline{y})}$ .

(6.24)  $\forall s \in \mathcal{S}_a, \text{ recall } \bigvee_{\bullet}^s \in \mathcal{D}_{BS}(B_{\emptyset}, B_s\langle 1 \rangle).$  By construction (5.3), cf. (3.6),  $\Psi(\bigvee_{\bullet}^s) \in \mathcal{D}_{BS}(B_{\emptyset}, B_s\langle 1 \rangle).$ 

 $\begin{aligned} \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))^{\operatorname{op}}(\operatorname{id}_{\operatorname{Rep}_0(G)}, \Theta_s) \text{ is the unit associated to the adjunction } (\mathrm{T}^s, \mathrm{T}_s). \text{ Thus,} \\ \forall M \in \operatorname{Rep}_0(G), \text{ under the isomorphism } \operatorname{Rep}_0(G)(M, \Theta_s M) \simeq \operatorname{Rep}_0(G)(\mathrm{T}^s M, \mathrm{T}^s M) \text{ one has} \\ \Psi( \begin{array}{c} s \\ \\ \end{array} )_M \text{ corresponding to } \operatorname{id}_{\mathrm{T}^s M}. \text{ In particular, if } \mathrm{T}^s M \neq 0, \ \Psi( \begin{array}{c} s \\ \\ \end{array} )_M \neq 0, \text{ and hence} \end{aligned}$ 

Lemma [RW, Lem. 5.2.3]:  $\forall M \in \operatorname{Rep}_0(G)$  with  $\Theta_s M \neq 0$ ,

$$\Psi( \bigcup_{\bullet}^{s} )_{M} \in \operatorname{Rep}_{0}(G)(M, \Theta_{s}M) \setminus 0.$$

(6.25) For 2 expressions  $\underline{x}, \underline{y}$  of elements of  $\mathcal{W}_a$  let  $\alpha_{\underline{x},\underline{y}} : \mathcal{D}_{BS}^{\bullet}(B_{\underline{x}}, B_{\underline{y}}) \to \operatorname{Rep}_0(G)(T(\underline{x}), T(\underline{y}))$ denote the k-linear map induced by  $\tilde{\Psi} : \forall \phi \in \mathcal{D}_{BS}(B_{\underline{x}}, B_{\underline{y}}\langle m \rangle), \Psi(\phi) \in \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))^{\operatorname{op}}(\Psi(B_{\underline{x}}), \Psi(B_{\underline{y}}\langle m \rangle))$  is a natural transformation from functor  $\Psi(B_{\underline{x}})$  to functor  $\Psi(B_{\underline{y}})$ , and we set  $\alpha_{\underline{x},\underline{y}}(\phi) = \tilde{\Psi}(\phi) = \Psi(\phi)_{T(0)} \in \operatorname{Rep}_0(G)(T(\underline{x}), T(\underline{y}))$ . In case  $\underline{x}$  is a reduced expression for an element  $x \in {}^f\mathcal{W}$  and if  $\lambda = x \bullet 0$ , define

We first show

**Lemma [RW, Lem. 5.3.2]:** Assume that  $\underline{y}$  is a reduced expression of  $y \in {}^{f}\mathcal{W}$ . Let  $s \in \mathcal{S}_{a}$  with ys > y such that  $ys \in {}^{f}\mathcal{W}$ . Then  $\beta_{\underline{ys},\underline{ys}}, \overline{\beta}_{\underline{ys},\underline{yss}}, \beta_{\underline{y},\underline{yss}}$  are all surjective.

**Proof:** By (5.9) one has  $B_{\underline{yss}} \simeq B_{\underline{ys}} \langle 1 \rangle \oplus B_{\underline{ys}} \langle -1 \rangle$  in  $\mathcal{D}$ . Then, letting  $\mathcal{D}_{BS}^m(B_{\underline{x}}, B_{\underline{ys}}) =$ 

 $\mathcal{D}_{BS}(B_{\underline{x}}, B_{\underline{ys}}\langle -m \rangle) \ \forall m \in \mathbb{Z}$ , one has for  $\underline{x} \in \{\underline{y}, \underline{ys}\}$  a commutative diagram

$$\begin{array}{ccc} \mathcal{D}^{\bullet}_{\mathrm{BS}}(B_{\underline{x}}, B_{\underline{y}\underline{s}\underline{s}}) & \xrightarrow{\sim} & \mathcal{D}^{\bullet-1}_{\mathrm{BS}}(B_{\underline{x}}, B_{\underline{y}\underline{s}}) \oplus \mathcal{D}^{\bullet+1}_{\mathrm{BS}}(B_{\underline{x}}, B_{\underline{y}\underline{s}}) \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\$$

Thus, one has only to show both  $\beta_{y\underline{s},y\underline{s}}$  and  $\beta_{y,y\underline{s}}$  are surjective.

Put  $\lambda = y \bullet 0$  and  $\lambda^s = ys \bullet 0$ . As  $\operatorname{Rep}_0(G)^{\downarrow \lambda^s}(T(\underline{ys}), T(\underline{ys})) \simeq \operatorname{Rep}_0(G)^{\downarrow \lambda^s}(L(\lambda^s), L(\lambda^s)) \simeq \Bbbk$ ,  $\operatorname{Rep}_0(G)^{\downarrow \lambda^s}(T(\underline{ys}), T(\underline{ys})) = \Bbbk \operatorname{id}_{T(\underline{ys})}$ . As  $\beta_{\underline{ys},\underline{ys}}(\operatorname{id}) = \operatorname{id}, \beta_{\underline{ys},\underline{ys}}$  is surjective.

Fix  $f \in \operatorname{Rep}_0(G)(\Delta(\lambda), T(\underline{y})) \setminus 0$ , which is the composite of inclusions  $\Delta(\lambda) \hookrightarrow T(\lambda) \hookrightarrow T(\underline{y})$ and is unique up to  $\Bbbk^{\times}$ . Put  $\eta = \Psi( \bigcup_{\bullet}^{s} ) \in \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))(\operatorname{id}, \Theta_s)$ , which is the unit of an adjoint pair  $(T^s, T_s)$ . Thus one has a commutative diagram

(1)  

$$\begin{array}{cccc}
\Delta(\lambda) & \xrightarrow{\eta_{\Delta(\lambda)}} & \Theta_s \Delta(\lambda) \\
f & & & \downarrow \Theta_s(f) \\
T(\underline{y}) & \xrightarrow{\eta_{T(\underline{y})}} & \Theta_s T(\underline{y}) = T(\underline{y}\underline{s}).
\end{array}$$

Note that f is invertible in  $\operatorname{Rep}_0(G)^{\downarrow\lambda}$ . As  $\operatorname{coker}(\Theta_s f) \simeq \Theta_s \operatorname{coker}(f) = 0$  in  $\operatorname{Rep}_0(G)^{\downarrow\lambda}$ ,  $\Theta_s f$  is also invertible in  $\operatorname{Rep}_0(G)^{\downarrow\lambda}$ . As  $\eta_{\Delta(\lambda)} \neq 0$  in  $\operatorname{Rep}_0(G)^{\downarrow\lambda}$  by (6.18), in  $\operatorname{Rep}_0(G)^{\downarrow\lambda}$  one has from (1) that

$$0 \neq \eta_{T(\underline{y})} = \beta_{\underline{y},\underline{y}\underline{s}}(\mathrm{id}_{B_{\underline{y}}} * \bigvee_{\bullet}^{s}) = \beta_{\underline{y},\underline{y}\underline{s}}( \bigwedge_{B_{\underline{y}}}^{s} ) = \beta_{\underline{y},\underline{y}\underline{s}}( \bigwedge_{B_{\underline{y}}}^{s} )$$

Finally,  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{y}), T(\underline{ys})) \simeq \operatorname{Rep}_0(G)^{\downarrow\lambda}(\Delta(\lambda), \Theta_s T(\underline{y})) \simeq \operatorname{Rep}_0(G)^{\downarrow\lambda}(\Delta(\lambda), \Theta_s \nabla(\lambda))$  is of dimension 1, and hence  $\beta_{y, y\underline{s}}$  is surjective.

(6.26) Keep the notation of (6.25). Although we need it only in the case of  $\underline{x} = \emptyset$  for the proof of Th. 6.3,

**Proposition** [RW, Prop. 5.3.1]:  $\beta_{\underline{x},y}$  is surjective.

**Proof:** We argue by induction on  $\ell(y)$ . Put  $\lambda = x \bullet 0$ .

Assume first  $\ell(\underline{y}) = 0$ . Thus,  $T(\underline{y}) = T(\emptyset) = T(0)$ . Then  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{x}), T(\emptyset)) = 0$ unless  $\underline{x} = \emptyset$  in which case  $\operatorname{Rep}_0(G)^{\downarrow0}(T(\emptyset), T(\emptyset)) = \operatorname{kid}_{T(\emptyset)}$ . As  $\mathcal{D}^{\bullet}_{BS}(B_{\emptyset}, B_{\emptyset}) \ni \operatorname{id}_{B_{\emptyset}}$  and as  $\beta_{\emptyset,\emptyset}(\operatorname{id}_{B_{\emptyset}}) = \operatorname{id}_{T(\emptyset)}$ , the assertion holds.

Assume next  $\ell(\underline{y}) > 0$ . Write  $\underline{y} = \underline{vs}$  with some  $s \in S_a$ . Then  $T(\underline{y}) = \Theta_s T(\underline{v})$ , and we assume the assertion holding with  $\overline{T}(\underline{v})$ . put  $\lambda^s = xs \bullet 0$ .

Case 1:  $\lambda^s \notin \Lambda^+$ .

As  $(T(\underline{y}) : \nabla(\lambda)) = \dim \operatorname{Rep}(G)(\Delta(\lambda), T(\underline{y})) = \dim \operatorname{Rep}(G)(\Theta_s \Delta(\lambda), T(\underline{v})) = 0$  and as  $\operatorname{Rep}_0(G)^{\downarrow \lambda}$  forms a highest weight category,

$$\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{x}),T(\underline{y})) = \operatorname{Rep}_0(G)^{\downarrow\lambda}(\Delta(\lambda),T(\underline{y})) = 0,$$

and there is nothing to prove.

Case 2:  $\lambda^s \in \Lambda^+$  and  $\lambda^s \uparrow \lambda$ , which does not happen if  $\ell(\underline{x}) = 0$ .

One has xs < x and  $xs \in {}^{f}\mathcal{W}$ . If  $\underline{u}$  is a reduced expression of xs, there is a rex move from  $\underline{x}$  to  $\underline{us}$ , and hence we may assume  $\underline{x} = \underline{us}$  by (6.23). As  $\ell(\underline{v}) < \ell(\underline{y})$ , there are by the induction hypothesis  $\{f_i | i \in I\} \subseteq \operatorname{im}(\alpha_{\underline{x},\underline{v}}) \leq \operatorname{Rep}_0(G)(T(\underline{x}), T(\underline{v}))$  such that  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{x}), T(\underline{v})) = \sum_{i \in I} \mathbb{k} \overline{f_i}$  and  $\{g_j | j \in J\} \subseteq \operatorname{im}(\alpha_{\underline{u},\underline{v}})$  such that  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{u}), T(\underline{v})) = \sum_{j \in J} \mathbb{k} \overline{g_j}$ . By (6.22) one has  $f'_i \in \operatorname{Rep}_0(T(\underline{x}), T(\underline{xs})), i \in I$ , and  $g'_i \in \operatorname{Rep}_0(T(\underline{x}), T(\underline{us})), j \in J$ , such that

$$\operatorname{Rep}_{0}(G)^{\downarrow\lambda}(T(\underline{x}), T(\underline{y})) = \sum_{i \in I} \mathbb{k} \overline{\Theta_{s}(f_{i}) \circ f_{i}'} + \sum_{j \in J} \mathbb{k} \overline{\Theta_{s}(g_{j}) \circ g_{j}'}.$$

By (6.25) applied to  $\underline{u}$  both  $\beta_{\underline{x},\underline{x}}$  and  $\beta_{\underline{x},\underline{x}s}$  are surjective, and hence we may assume  $f'_i \in \operatorname{im}(\alpha_{\underline{x},\underline{x}s})$ and  $g'_j \in \operatorname{im}(\alpha_{\underline{x},\underline{x}}) \quad \forall i \in I, \forall j \in J$ . Then  $\Theta_s(f_i) \circ f'_i$  and  $\Theta_s(g_j) \circ g'_j \in \operatorname{im}(\alpha_{\underline{x},\underline{y}}) \quad \forall i, j$ , and hence  $\beta_{\underline{x},\underline{y}}$  is surjective.

Case 3:  $\lambda \uparrow \lambda^s$ .

One has xs > x and  $xs \in {}^{f}\mathcal{W}$ . By induction there are  $\{f_i | i \in I\} \subseteq \operatorname{im}(\alpha_{\underline{x},\underline{v}})$  and  $\{g_j | j \in J\} \subseteq \operatorname{im}(\alpha_{\underline{x},\underline{v}})$  such that  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{x}), T(\underline{v})) = \sum_{i \in I} \Bbbk \bar{f}_i$  and  $\operatorname{Rep}_0(G)^{\downarrow\lambda}(T(\underline{x}s), T(\underline{v})) = \sum_{j \in J} \Bbbk \bar{g}_j$ . By (6.22) again one has  $f'_i \in \operatorname{Rep}_0(T(\underline{x}), T(\underline{x}s))$ ,  $i \in I$ , and  $g'_j \in \operatorname{Rep}_0(T(\underline{x}), T(\underline{x}s))$ ,  $j \in J$ , such that

$$\operatorname{Rep}_{0}(G)^{\downarrow\lambda}(T(\underline{x}), T(\underline{y})) = \sum_{i \in I} \mathbb{k}\overline{\Theta_{s}(f_{i}) \circ f_{i}'} + \sum_{j \in J} \mathbb{k}\overline{\Theta_{s}(g_{j}) \circ g_{j}'}$$

By (6.25) applied to  $\underline{x}$  both  $\beta_{\underline{x},\underline{xs}}$  and  $\beta_{\underline{x},\underline{xss}}$  are surjective, and hence we may assume  $f'_i \in \operatorname{im}(\alpha_{\underline{x},\underline{xs}})$  and  $g'_j \in \operatorname{im}(\alpha_{\underline{x},\underline{xss}}) \quad \forall i \in I, \forall j \in J$ . Then  $\Theta_s(f_i) \circ f'_i, \Theta_s(g_j) \circ g'_j \in \operatorname{im}(\alpha_{\underline{x},\underline{y}}) \quad \forall i, j$ , and hence  $\beta_{\underline{x},\underline{y}}$  is surjective.

(6.27) Specialization  $v \mapsto 1$  yields  $\mathcal{H} \rightsquigarrow \mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{H} \simeq \mathbb{Z}[\mathcal{W}_a]$  and  $\mathcal{M}^{asph} \rightsquigarrow \mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{M}^{asph} = \mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \operatorname{sgn} \otimes_{\mathcal{H}_f} \mathcal{H} \simeq \operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a]$ , the last of which we will abbreviate as  $\mathcal{M}^{asph}$ . Thus,  $\mathcal{M}^{asph}$  has a  $\mathbb{Z}$ -basis  $N'_w = 1 \otimes w$ ,  $w \in {}^f \mathcal{W}$ . For an expression  $\underline{w} = \underline{s_1 \dots s_r}$  of an element  $w \in {}^f \mathcal{W}$  put  $\underline{N}'_w = 1 \otimes (1 + s_1) \dots (1 + s_r)$  in  $\mathcal{M}^{asph}$ .

Lemma [RW, Lem. 5.4.1, 5.4.2]: If  $\underline{w}$  is an expression of  $w \in {}^{f}\mathcal{W}$ ,

$$\dim \mathcal{D}_{\deg}^{\operatorname{asph}}(\bar{B}_{\emptyset}, \bar{B}_{\underline{w}}) \leq (T(\underline{w}) : \nabla(0)).$$

**Proof:** Recall first from (5.5.3) that  $\forall s \in \mathcal{S}_a, \forall w \in {}^f\mathcal{W},$ 

$$N'_{w} \cdot (1+s) = \begin{cases} N'_{w} + N'_{ws} & \text{if } ws \in {}^{f}\mathcal{W}, \\ 0 & \text{else.} \end{cases}$$

Then by the translation principle (1.10), under the isomorphism of abelian groups  $M^{\text{asph}} \to [\operatorname{Rep}_0(G)]$  via  $N'_w \mapsto [\nabla(w \bullet 0)] \forall w \in {}^f \mathcal{W}$ , one has for each  $s \in \mathcal{S}_a$  a commutative diagram

$$\begin{array}{ccc} M^{\operatorname{asph}} & \stackrel{\sim}{\longrightarrow} & [\operatorname{Rep}_0(G)] \\ & & & \downarrow^{\Theta_s} \\ M^{\operatorname{asph}} & \stackrel{\sim}{\longrightarrow} & [\operatorname{Rep}_0(G)]. \end{array}$$

As  $\underline{N'_{w}} \mapsto [T(\underline{w})]$  by (6.1.2),  $\underline{N'_{w}} \in (T(\underline{w}) : \nabla(0))N'_{1} + \sum_{x \in {}^{f}\mathcal{W} \setminus 1} \mathbb{Z}N'_{x}$ .

Using the anti-equivalence  $\tau$  from (5.2) such that  $\bar{B}_{\underline{x}}\langle m \rangle \mapsto \bar{B}_{\underline{x}}\langle -m \rangle \ \forall \underline{x}, \forall m \in \mathbb{Z}$ , one has  $\dim(\mathcal{D}^{asph})^{\bullet}(\bar{B}_{\emptyset}, \bar{B}_{\underline{w}}) = \dim(\mathcal{D}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset})$ , which is equal to  $\dim(\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset})$  as  $\mathcal{D}_{BS}^{asph}$  is a full subcategory of  $\mathcal{D}^{asph} = \operatorname{Kar}(\mathcal{D}_{BS}^{asph})$  by (5.9) [Bor, Prop. 6.5.9, p. 274]. In turn,  $\dim(\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset}) \leq \sharp \{e(\underline{w}) | e(\underline{w}) \text{ is an expression of the unity avoiding } \mathcal{W}_a \setminus {}^f\mathcal{W} \}$  by (5.12). On the other hand, from (5.10) one has

$$N_1 \underline{H}_{\underline{w}} = \sum_{e(\underline{w}) \text{ avoiding } \mathcal{W}_a \setminus {}^f \mathcal{W}} v^{d(e(\underline{w}))} N_{w^{e(\underline{w})}},$$

which under the specialization  $v \rightsquigarrow 1$  yields

(1)

$$\underline{N'_{w}} = \sum_{e(\underline{w}) \text{ avoiding } \mathcal{W}_{a} \setminus {}^{f}\mathcal{W}} N_{w^{e(\underline{w})}} \\
\in \sharp\{e(\underline{w})|e(\underline{w}) \text{ is an expression of the unity avoiding } \mathcal{W}_{a} \setminus {}^{f}\mathcal{W}\}N'_{1} + \sum_{x \in {}^{f}\mathcal{W} \setminus 1} \mathbb{N}N'_{x}$$

(6.28) We are finally ready to prove Th. 6.3. We first show that  $\overline{\Psi}$  is fully faithful.  $\forall$  expressions  $\underline{x}$  and  $\underline{y}$ ,  $\alpha_{\underline{x},\underline{y}} : \mathcal{D}^{\bullet}_{BS}(B_{\underline{x}}, B_{\underline{y}}) \to \operatorname{Rep}_{0}(G)(T(\underline{x}), T(\underline{y}))$  induces  $\overline{\alpha}_{\underline{x},\underline{y}} : \mathcal{D}^{\mathrm{asph}}_{\mathrm{deg}}(\overline{B}_{\underline{x}}, \overline{B}_{\underline{y}}) = (\mathcal{D}^{\mathrm{asph}})^{\bullet}(\overline{B}_{\underline{x}}, \overline{B}_{\underline{y}}) \to \operatorname{Rep}_{0}(G)(T(\underline{x}), T(\underline{y}))$ . By (5.6) and the additivity of  $\overline{\Psi}$  we have only to show that each  $\overline{\alpha}_{\underline{x},\underline{y}}$  is bijective. We argue by induction on  $\ell(\underline{x})$ .

If  $\ell(\underline{x}) = 0$ ,  $\underline{x} = \emptyset$ . Then  $\operatorname{Rep}_0(G)(T(\underline{x}), T(\underline{y})) = \operatorname{Rep}_0(G)^{\downarrow 0}(T(\emptyset), T(\underline{y}))$ , and  $\alpha_{\emptyset,\underline{y}} = \beta_{\emptyset,\underline{y}}$  is surjective by (6.26). On the other hand,  $\dim \operatorname{Rep}_0(G)(T(\emptyset), T(\underline{y})) \geq \dim(\mathcal{D}^{\operatorname{asph}})^{\bullet}(\bar{B}_{\emptyset}, \bar{B}_{\underline{y}})$  by (6.27), and hence  $\bar{\alpha}_{\emptyset,\underline{y}}$  is bijective.

Assume now that  $\ell(\underline{x}) > 0$  and write  $\underline{x} = \underline{ws}$  for some  $s \in S_a$ . Recall from (5.3) that

As the LHS is the unit, say  $\eta''$ , associated to an adjunction  $(?B_s, ?B_s)$  [EW], it induces a unit of adjunction  $(?\bar{B}_s, ?\bar{B}_s)$  on  $\mathcal{D}_{deg}^{asph}$ , so therefore is  $\Psi(\eta'')$  associated to an adjunction  $(\Theta_s, \Theta_s)$ [ $\phi \bowtie$ , Cor. 2.2.9]. One has then a commutative daigram

As  $\bar{\alpha}_{\underline{w},\underline{y}\underline{s}}$  is bijective by induction, so is  $\bar{\alpha}_{\underline{w}\underline{s},\underline{y}} = \bar{\alpha}_{\underline{x},\underline{y}}$ .

Finally, to see that  $\overline{\Psi}_{deg}(\overline{B}_w) = \widetilde{\Psi}(B_w) = T(w \bullet 0) \ \forall w \in {}^f \mathcal{W}$ , we have only by (5.6) again to show that  $\overline{\Psi}_{deg}(\overline{B}_w)$  remains indecomposable. For that it suffices to show that  $\operatorname{Rep}_0(\overline{\Psi}_{deg}(\overline{B}_w), \overline{\Psi}_{deg}(\overline{B}_w))$  is local [AF, p. 144], and hence to show that  $\mathcal{D}_{deg}^{\operatorname{asph}}(\overline{B}_w, \overline{B}_w)$  is local by what we have shown above; recall that a ring X is local iff  $\forall x, y \in X$  with  $x + y \in X^{\times}$ , either  $x \in X^{\times}$  or  $y \in X^{\times}$ . In particular, if X is local, the idempotents of X are just 0 and 1; if e is an idempotent of local X, 1 = e + (1 - e). If  $e \in X^{\times}$ , 1 - e = 0 from e(1 - e) = 0; if  $1 - e \in X^{\times}$ , e = 0 likewise. We also have for local X, taking contrapositive of the definition, that  $X \setminus X^{\times}$  is a unique maximal ideal of X.

As  $B_w$  is indecomposable in  $\mathcal{D}^{asph}$  and as  $\mathcal{D}^{asph}(\bar{B}_w, \bar{B}_w)$  is finite dimensional,  $\mathcal{D}^{asph}(\bar{B}_w, \bar{B}_w)$ is local; we have only to show that  $\forall$  noninvertible  $\phi \in \mathcal{D}^{asph}(\bar{B}_w, \bar{B}_w)$ ,  $\phi$  is nilpotent [AF, pf of Lem. 12.8]. As  $\mathcal{D}^{asph}(\bar{B}_w, \bar{B}_w)$  is finite dimensional,  $\phi$  admits a minimal polynomial  $m_{\phi}$  in  $\Bbbk[x]$ . If  $m_{\phi} = (x - a_1)^{n_1} \dots (x - a_r)^{n_r}$  be a prime decomposition, put  $m_{\phi,i} = \prod_{j \neq i} (x - a_j)^{n_j}$ . Then one can write  $1 = \sum_i f_i m_{\phi,i}$  for some  $f_i \in \Bbbk[x]$ . As  $\mathrm{id}_{\bar{B}_w} = \sum_i \mathrm{ev}_{\phi}(f_i m_{\phi,i}), m_{\phi}$  must be a power of x.

As  $\mathcal{D}_{deg}^{asph}(\bar{B}_w, \bar{B}_w)$  is finite dimensional,  $\mathcal{D}_{deg}^{asph}(\bar{B}_w, \bar{B}_w) = (\mathcal{D}^{asph})^{\bullet}(\bar{B}_w, \bar{B}_w)$  remains local [GG, Th. 3.1].

(6.29) Under the standing hypothesis p > n, in order to determine all the irreducible characters for G, it suffices by Steinberg's tensor product theorem (1.6) and by the translation functor to determine the irreducible characters of the G-modules of highest weight  $x \bullet 0$  with  $x \bullet 0 \in \Lambda_1$ . Thus, let  $\mathcal{W}_0 = \{x \in {}^f\mathcal{W} | \langle x \bullet 0 + \rho, \alpha^{\vee} \rangle < p(n-1) \ \forall \alpha \in R^+ \}$ .  $\forall \lambda \in (p-1)\zeta + \Lambda^+$ , write  $\lambda = (p-1)\zeta + \lambda'_0 + p\lambda'_1$  with  $\lambda'_0 \in \Lambda_1$  and  $\lambda'_1 \in \Lambda^+$ , and set  $\lambda = (p-1)\zeta + w_0\lambda'_0 + p\lambda'_1$ . One has then a bijection  $(p-1)\zeta + \Lambda^+ \to \Lambda^+$  via  $\lambda \mapsto \lambda$ . Let  $\Lambda^+ \to (p-1)\zeta + \Lambda^+$  be its inverse, denoted  $\lambda \mapsto \hat{\lambda}$  [S97, p. 98]; if  $\lambda = \lambda^0 + p\lambda^1$  with  $\lambda^0 \in \Lambda_1$ ,  $\hat{\lambda} = w_0 \bullet \lambda^0 + p(\lambda^1 + 2\zeta)$ .  $\forall y \in {}^f\mathcal{W}$ , define  $\hat{y} \in {}^f\mathcal{W}$  to be such that  $\hat{y} \bullet 0 = \widehat{y \bullet 0}$ .

**Proposition** [RW, Prop. 1.8.1]: Assume  $p \ge 2(n-1)$ .  $\forall x, y \in \mathcal{W}_0$ ,

$$[\Delta(x \bullet 0) : L(y \bullet 0)] = (T(\hat{y} \bullet 0) : \nabla(x \bullet 0)).$$

**Proof:** Let  $\Lambda^+_{< p(n-1)} = \{x \bullet 0 \in \Lambda^+ | x \in {}^f \mathcal{W}, \langle x \bullet 0, \alpha_0^{\vee} \rangle < p(n-1)\}, \alpha_0 = \alpha_1 + \dots + \alpha_{n-1} = \varepsilon_1 - \varepsilon_n$ . Thus,  $x \bullet 0 \in \Lambda^+_{< p(n-1)} \quad \forall x \in {}^f \mathcal{W}$ . Let  $\operatorname{Rep}(G)_{< p(n-1)}$  denote the Serre subcategory of  $\operatorname{Rep}(G)$  generated by the  $L(\lambda), \lambda \in \Lambda^+_{< p(n-1)}$ . As  $\Lambda^+_{< p(n-1)}$  forms an ideal of  $(\Lambda^+, \uparrow)$ ,  $\operatorname{Rep}(G)_{< p(n-1)}$  forms a highest weight category by (6.10). Let  $\mathcal{O}_{< p(n-1)} : \operatorname{Rep}(G) \to \operatorname{Rep}(G)_{< p(n-1)}$  denote the truncation functor sending M to the largest submodule of M whose composition factors all belong to  $\operatorname{Rep}(G)_{< p(n-1)}$ . As  $\mathcal{O}_{< p(n-1)}$  is right adjoint to  $\operatorname{Rep}(G)_{< p(n-1)} \hookrightarrow \operatorname{Rep}(G)$  [J, A.1.3], we have only to show that  $\mathcal{O}_{< p(n-1)}T(\hat{y} \bullet 0)$  is the injective hull of  $L(y \bullet 0)$  in  $\operatorname{Rep}(G)_{< p(n-1)}$ ;

$$\begin{aligned} [\Delta(x \bullet 0) : L(y \bullet 0)] &= \dim \operatorname{Rep}(G)_{< p(n-1)} (\Delta(x \bullet 0), \mathcal{O}_{< p(n-1)} T(\hat{y} \bullet 0)) \\ &= \operatorname{Rep}(G) (\Delta(x \bullet 0), T(\hat{y} \bullet 0)) \\ &= [T(\hat{y} \bullet 0) : \nabla(x \bullet 0)]. \end{aligned}$$

Put  $\lambda = y \bullet 0$  and write  $\lambda = \lambda^0 + p\lambda^1$  with  $\lambda^0 \in \Lambda_1$  and  $\lambda^1 \in \Lambda^+$ . Then  $\hat{y} \bullet 0 = \hat{\lambda^0} + p\lambda^1$ . As  $y \in \mathcal{W}_0$ ,

$$\langle p(\lambda^1 + \zeta), \alpha_0^{\vee} \rangle \leq \langle \lambda + \zeta + (p-1)\zeta, \alpha_0^{\vee} \rangle < p(n-1) + (p-1)(n-1) = (2p-1)(n-1)$$
  
=  $p2(n-1) - (n-1) \leq p^2 - (n-1) < p^2,$ 

and hence  $\langle \lambda^1 + \zeta, \alpha_0 \rangle < p$ . Then  $\Delta(\lambda^1) = \nabla(\lambda^1) = T(\lambda^1) = L(\lambda^1)$  by the linkage principle, and

(1) 
$$T(\hat{y} \bullet 0) = T(\widehat{\lambda^0} + p\lambda^1) \simeq T(\widehat{\lambda^0}) \otimes T(\lambda^1)^{[1]} \quad [J, E.9]$$
$$= T(\widehat{\lambda^0}) \otimes L(\lambda^1)^{[1]}.$$

Now,  $T(\widehat{\lambda^0})$  is the injective hull of  $L(\lambda^0)$  in the category of  $\operatorname{Rep}(G_1)$  [J, E.9.1] and also in  $\operatorname{Rep}_{<2p(n-1)}(G)$  defined anagolously to  $\operatorname{Rep}_{<p(n-1)}(G)$  [J, II.11.11]. In particular,  $\operatorname{soc}_G T(\widehat{\lambda^0}) = L(\lambda^0)$ . Then

$$\operatorname{soc}_{G} T(\hat{y} \bullet 0) \simeq \operatorname{soc}_{G} (T(\widehat{\lambda^{0}}) \otimes L(\lambda^{1})^{[1]})$$
$$\simeq \{\operatorname{soc}_{G} (T(\widehat{\lambda^{0}}))\} \otimes L(\lambda^{1})^{[1]}) \quad [\operatorname{AK, \ Lem. \ 4.6}]$$
$$\simeq L(\lambda^{0}) \otimes L(\lambda^{1})^{[1]} \simeq L(\lambda),$$

and hence soc  $_{\operatorname{Rep}_{< p(n-1)}(G)}\mathcal{O}_{< p(n-1)}T(\hat{y} \bullet 0) = L(\lambda). \ \forall \nu \in \Lambda^+_{< p(n-1)},$ 

(2) 
$$\operatorname{Ext}_{G}^{1}(L(\nu), T(\hat{y} \bullet 0)) \simeq \operatorname{Ext}_{G}^{1}(L(\nu), T(\widehat{\lambda^{0}}) \otimes L(\lambda^{1})^{[1]}) \quad \text{by (1)}$$
$$\simeq \operatorname{Ext}_{G}^{1}(L(\nu) \otimes L(-w_{0}\lambda^{1})^{[1]}, T(\widehat{\lambda^{0}})) \simeq \operatorname{Ext}_{G}^{1}(L(\nu - pw_{0}\lambda^{1}), T(\widehat{\lambda^{0}}))$$
$$\simeq \operatorname{Ext}_{\operatorname{Rep}_{<2p(n-1)}(G)}^{1}(L(\nu - pw_{0}\lambda^{1}), T(\widehat{\lambda^{0}})) \quad \text{using the } \mathbb{K}\mathbb{H}\text{-extensions as}$$
$$\langle \nu - pw_{0}\lambda^{1} + \rho, \alpha_{0}^{\vee} \rangle < p(n-1) + \langle p\lambda^{1}, \alpha_{0}^{\vee} \rangle \leq p(n-1) + \langle \lambda, \alpha_{0}^{\vee} \rangle < 2p(n-1)$$
$$= 0.$$

Then  $\forall M \hookrightarrow M'$  in  $\operatorname{Rep}_{< p(n-1)}(G)$ , one obtains a commutative exact diagram

$$\begin{aligned} \operatorname{Rep}_{< p(n-1)}(G)(M', \mathcal{O}_{< p(n-1)}T(\hat{y} \bullet 0)) & \longrightarrow \operatorname{Rep}_{< p(n-1)}(G)(M, \mathcal{O}_{< p(n-1)}T(\hat{y} \bullet 0)) \\ & \sim | & | \sim \\ \operatorname{Rep}(G)(M', T(\hat{y} \bullet 0)) & \longrightarrow \operatorname{Rep}(G)(M, T(\hat{y} \bullet 0)) & \longrightarrow \operatorname{Ext}_{G}^{1}(M'/M, T(\hat{y} \bullet 0)) \end{aligned}$$

with  $\operatorname{Ext}^1_G(M'/M, T(\hat{y} \bullet 0)) = 0$  by (2). It follows that  $\mathcal{O}_{< p(n-1)}T(\hat{y} \bullet 0)$  is injective in  $\operatorname{Rep}_{< p(n-1)}(G)$ , as desired.

(6.30) We now obtain under the hypothesis  $p \ge 2(n-1)$  that  $\forall x \in \mathcal{W}_0$ ,

$$[\Delta(x \bullet 0)] = \sum_{y \in \mathcal{W}_0} {}^p n_{x,\hat{y}}(1) [L(y \bullet 0)]$$

in [Rep(G)]. Inverting the unipotent matrix  $[\![{}^{p}n_{x,\hat{y}}(1)]\!]_{x,y\in\mathcal{W}_{0}}$  yields ch  $L(x \bullet 0) \forall x \in \mathcal{W}_{0}$ , from which one can derive all the irreducible characters of G.

### Appendix A: The structure of the general linear groups as algebraic groups

This is meant also to be a preliminary to my lectures scheduled next semester on recent advances in the modular representation theory of algebraic groups.

Fix a field k and let  $G = \operatorname{GL}_n(\Bbbk)$  denote the general linear group of invertible matrices over  $\Bbbk$ . We will describe some basic structure of G as an algebraic group. All the details can be found in Jantzen's (resp. Carter's) classic [J] (resp. [C]). We will often abbreviate  $\operatorname{GL}_r(\Bbbk)$  as  $\operatorname{GL}_r$ .

Precisely, given a category C let C(X, Y) denote the set of morphisms from the object Xin C to the object Y in C. Let **Commrng** denote the category of commutative rings and **Set** the category of sets. A scheme is a functor from **Commrng** to **Set**. If A is a commutative ring, let  $\mathfrak{Sp}A$  be a scheme such that  $(\mathfrak{Sp}A)(C) = \mathbf{Commrng}(A, C)$ . For any scheme  $\mathfrak{X}$  if  $f \in \mathbf{Sch}(\mathfrak{Sp}A, \mathfrak{X})$ , one has for any  $\phi \in \mathbf{Commrng}(A, C)$  a commutative diagram

If  $\mathfrak{X}_f = f(A)(\mathrm{id}_A), f(C)(\phi) = \mathfrak{X}(\phi)(\mathfrak{X}_f)$ , and hence f is uniquely determined by  $\mathfrak{X}_f$ . Conversely, given  $x \in \mathfrak{X}(A), \forall C \in \mathbf{Commrng}$ , define  $f_x(C) \in \mathbf{Set}((\mathfrak{Sp}A)(C), \mathfrak{X}(C))$  by  $\phi \mapsto \mathfrak{X}(\phi)(x)$ . Thus,  $f_x$  defines a morphism of schemes from  $\mathfrak{Sp}A$  to  $\mathfrak{X}$ . We have obtained Yoneda's lemma:

 $\mathbf{Sch}(\mathfrak{Sp}A,\mathfrak{X})\simeq\mathfrak{X}(A)$  via  $f\mapsto\mathfrak{X}_f$  with inverse  $f_x\leftrightarrow x$ .

In particular, if A' is another commutative ring,

$$\operatorname{Sch}(\mathfrak{Sp}A,\mathfrak{Sp}A')\simeq(\mathfrak{Sp}A')(A)=\operatorname{Commrng}(A',A).$$

Let  $\mathbb{Z}[\xi_{ij}, \frac{1}{\det}|i, j \in [1, n]]$  be the polynomial ring in indeterminates  $\xi_{ij}, i, j \in [1, n]$ , localized at det, i.e., it is the subring of the rational function field  $\mathbb{Q}(\xi_{ij}|i, j \in [1, n])$  in indeterminates  $\xi_{ij}$  generated by the  $\xi_{ij}$ 's and  $\frac{1}{\det}$ . Then  $\operatorname{GL}_n$  is a functor  $\operatorname{\mathbf{Commrng}}(\mathbb{Z}[\xi_{ij}, \frac{1}{\det}|i, j \in [1, n]], ?)$ :  $\operatorname{\mathbf{Commrng}} \to \operatorname{\mathbf{Set}}$  from the category  $\operatorname{\mathbf{Commrng}}$  to the category  $\operatorname{\mathbf{Set}}$ . Thus  $\operatorname{GL}_n(\mathbb{k})$  is just the set of invertible matrices over  $\mathbb{k}$  of degree n. We often denote the ring  $\mathbb{Z}[\xi_{ij}, \frac{1}{\det}|i, j \in [1, n]]$  by  $\mathbb{Z}[\operatorname{GL}_n]$ . It is, moreover, equipped with an extra structure of Hopf algebra, which makes  $\operatorname{GL}_n$ into a group functor from  $\operatorname{\mathbf{Commrng}}$  to the category  $\operatorname{\mathbf{Grp}}$  of groups. (A.1) Let T be the subgroup of daiagonals of G, called a maximal torus of G. Thus, T is isomorphic to  $\operatorname{GL}_1^n$  via  $(a_1, \ldots, a_n) \mapsto \operatorname{diag}(a_1, \ldots, a_n)$ . Set  $\Lambda = \operatorname{\mathbf{Grp}}_{\mathbb{Z}}(T, \operatorname{GL}_1)$ . If  $\varepsilon_i \in \Lambda$  such that  $\operatorname{diag}(a_1, \ldots, a_n) \mapsto a_i$ ,  $\Lambda$  is endowed with a structure of abelian group isomorphic to  $\mathbb{Z}^{\oplus n}$  via  $(r_1, \ldots, r_n) \mapsto \sum_{i=1}^n r_i \varepsilon_i$ , where  $\sum_{i=1}^n r_i \varepsilon_i : t \mapsto \prod_i \varepsilon_i(t)^{r_i}$ . In particular,  $\operatorname{\mathbf{Grp}}_{\mathbb{Z}}(\operatorname{GL}_1, \operatorname{GL}_1) \simeq \mathbb{Z}$ via  $r \mapsto ?^r$ . We are thus dealing only with a special kind of group homomorphisms, morphisms of algebraic groups. We call  $\Lambda$  the character group of T.

(A.2) For each  $i, j \in [1, n]$  with  $i \neq j$  define  $x_{ij}(a) \in G, a \in \mathbb{k}$ , to be the matrix such that  $x_{ij}(a)_{kk} = 1 \ \forall k$  and  $x_{ij}(a)_{kl} = \delta_{ik}\delta_{jl}a \ \forall i \neq j$ , and set  $U(i, j) = \{x_{ij}(a)|a \in \mathbb{k}\}$  an elementary subgroup of G. Then U(i, j) is isomorphic to the additive group  $\mathbf{G}_a = \mathbb{k}$  via  $a \mapsto x_{ij}(a)$ . In particular,  $x_{ij}(a)^{-1} = x_{ij}(-a)$ .

$$\forall t \in T \text{ and } b \in \mathbb{k}, \text{ one has } tx_{ij}(b)t^{-1} = x_{ij}((\varepsilon_i - \varepsilon_j)(t)b), \text{ i.e.},$$

diag
$$(a_1, \ldots, a_n) x_{ij}(b)$$
diag $(a_1, \ldots, a_n)^{-1} = x_{ij}(a_i a_j^{-1} b).$ 

We let  $R = \{\varepsilon_i - \varepsilon_j | i \neq j\}$  and call it the set of roots. If  $\alpha = \varepsilon_i - \varepsilon_j \in R$ , we will write  $U_{\alpha}$  (resp.  $x_{\alpha}$ ) for U(i, j) (resp.  $x_{ij}$ ) and call  $U_{\alpha}$  the root subgroup of G associated to  $\alpha$ . If  $R^+ = \{\varepsilon_i - \varepsilon_j | i < j\}, R = R^+ \sqcup (-R^+)$ .

(A.3) Let  $\alpha, \beta \in R$  with  $\alpha + \beta \neq 0$ . Let  $\bar{x}_{\alpha} = x_{\alpha}(1) - I$  with I denoting the identity matrix, and define  $\bar{x}_{\beta}$  (resp.  $\bar{x}_{\alpha+\beta}$  if  $\alpha + \beta \in R$ ) likewise. Define  $N_{\alpha\beta} \in \{0, \pm 1\}$  to be

$$\bar{x}_{\alpha}\bar{x}_{\beta} - \bar{x}_{\beta}\bar{x}_{\alpha} = \begin{cases} N_{\alpha\beta}\bar{x}_{\alpha+\beta} & \text{if } \alpha+\beta \in R, \\ 0 & \text{else.} \end{cases}$$

Then  $\forall a, b \in \mathbb{k}$ 

$$x_{\beta}(b)^{-1}x_{\alpha}(a)^{-1}x_{\beta}(b)x_{\alpha}(a) = \begin{cases} x_{\alpha+\beta}(N_{\alpha\beta}(-a)b) & \text{if } \alpha+\beta \in R, \\ I & \text{else,} \end{cases}$$

which is called Chevalley's commutator relation. It follows that  $U = \prod_{\alpha \in R} U_{-\alpha}$  forms a subgroup of G consisting of the unimodular lower triangular matrices. Thus there is an isomorphism of schemes

$$\mathbb{A}^{|R^+|} \to U$$
 via  $(a_\alpha)_{\alpha \in R^+} \mapsto \prod_{\alpha \in R^+} x_{-\alpha}(a_\alpha).$ 

Likewise for  $U^+ = \prod_{\alpha \in R^+} U_{\alpha}$ . Both U and  $U^+$  are normalized by T, and we set B = TU,  $B^+ = TU^+$ , called opposite Borel subgroups of G.

(A.4) Dualizing  $\Lambda$  let  $\Lambda^{\vee} = \mathbf{Grp}_{\mathbb{Z}}(\mathrm{GL}_1, T)$ . If  $\varepsilon_i^{\vee} \in \Lambda^{\vee}$  is defined by  $c \mapsto \operatorname{diag}(1, \ldots, 1, c, 1, \ldots, 1)$  with c at the *i*-th place,  $\Lambda^{\vee}$  is endowed with a structure of abelian group isomorphic to  $\mathbb{Z}^{\oplus_n}$  via  $(r_1, \ldots, r_n) \mapsto \sum_{i=1}^n r_i \varepsilon_i^{\vee}$ , where  $\sum_{i=1}^n r_i \varepsilon_i^{\vee} : c \mapsto \prod_i \varepsilon_i^{\vee}(c)^{r_i}$ . Again we deal only with this kind of group homomorphisms.

 $\forall \lambda \in \Lambda, \gamma \in \Lambda^{\vee}$ , one has  $\lambda \circ \gamma \in \mathbf{Grp}_{\mathbb{Z}}(\mathrm{GL}_1, \mathrm{GL}_1) \simeq \mathbb{Z}$  defining  $\langle \lambda, \gamma \rangle \in \mathbb{Z}$  such that  $(\lambda \circ \gamma)(c) = c^{\langle \lambda, \gamma \rangle} \ \forall c \in \mathrm{GL}_1$ . One thus obtains a perfect pairing  $\langle ?, ? \rangle : \Lambda \times \Lambda^{\vee} \to \mathbb{Z}$  via  $(\lambda, \gamma) \mapsto \langle \lambda, \gamma \rangle$ . We thus call  $\Lambda^{\vee}$  the cocharacter group of T.

(A.5) Fix  $\alpha \in R$ . Let  $SL_2 = SL_2(\mathbb{k}) = \{g \in GL_2 | \det(g) = 1\}$  the special linear groupf of degree 2. There is a group homomorphism  $\phi_{\alpha} : SL_2 \to G$  such that  $\forall a \in \mathbb{k}$ ,

$$\phi_{\alpha} \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} = x_{\alpha}(a) \quad \text{and} \quad \phi_{\alpha} \begin{pmatrix} 1 & 0 \\ a & 1 \end{pmatrix} = x_{-\alpha}(a).$$
  
For  $c \in \mathbb{k}^{\times}$  let  $n_{\alpha}(c) = \phi_{\alpha} \begin{pmatrix} 0 & c \\ -c^{-1} & 0 \end{pmatrix}$  and  $\alpha^{\vee}(c) = \phi_{\alpha} \begin{pmatrix} c & 0 \\ 0 & c^{-1} \end{pmatrix}$ . Then  
 $n_{\alpha}(c) = x_{\alpha}(c)x_{-\alpha}(-c^{-1})x_{\alpha}(c) \in \mathcal{N}_{G}(T) \quad \text{and} \quad \alpha^{\vee}(c) = n_{\alpha}(c)n_{\alpha}(1)^{-1} \in T.$ 

More explicitly, if we let  $E(i, j) \in \mathcal{M}_n(\mathbb{k})$  such that  $E(i, j)_{kl} = \delta_{ik}\delta_{jl}$ ,

$$\phi_{\varepsilon_i - \varepsilon_j} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = aE(i,i) + bE(i,j) + cE(j,i) + dE(j,j) + \sum_{k \neq i,j} E(k,k).$$

We call  $\alpha^{\vee} \in \Lambda^{\vee}$  the coroot of  $\alpha$ , and set  $R^{\vee} = \{\alpha^{\vee} | \alpha \in R\}$ . One has  $\langle \alpha, \alpha^{\vee} \rangle = 2$ . In case  $\alpha = \varepsilon_i - \varepsilon_j, \ \alpha^{\vee} = \varepsilon_i^{\vee} - \varepsilon_j^{\vee}$ . We say that the quadruple  $(\Lambda, R, \lambda^{\vee}, R^{\vee})$  forms a root datum of G, which is used to classify the reductive algebraic groups.

(A.6) Let 
$$W = N_G(T)/T$$
. As  $W$  acts on  $T$ , so does it on  $\Lambda$  and  $\Lambda^{\vee}$  via  
 $(w\lambda)(t) = \lambda(w^{-1}tw)$  and  $(w\gamma)(c) = w\gamma(c)w^{-1} \quad \forall w \in W, \lambda \in \Lambda, t \in T, \gamma \in \Lambda^{\vee}$ 

Thus the pairing  $\langle ?, ? \rangle$  is W-invariant:  $\langle w\lambda, w\gamma \rangle = \langle \lambda, \gamma \rangle$ . As  $\Lambda$  separates T, the action of W on  $\Lambda$  is faithful.

 $\forall \alpha \in R$ , set  $s_{\alpha} = n_{\alpha}(1)$ . Then

(1) 
$$W = \langle s_{\alpha} | \alpha \in R \rangle,$$
  
(2) 
$$s_{\alpha} \lambda = \lambda - \langle \lambda, \alpha^{\vee} \rangle \alpha \quad \forall \alpha \in R, \lambda \in \Lambda.$$

More specifically, if  $\alpha = \varepsilon_i - \varepsilon_j$ ,  $i \neq j$ , and  $\lambda = \varepsilon_k$ ,  $k \in [1, n]$ ,

$$s_{\varepsilon_i - \varepsilon_j} \varepsilon_k = \varepsilon_k - \langle \varepsilon_k, \varepsilon_i^{\vee} - \varepsilon_j^{\vee} \rangle (\varepsilon_i - \varepsilon_j) = \begin{cases} \varepsilon_j & \text{if } k = i, \\ \varepsilon_i & \text{if } k = j, \\ \varepsilon_k & \text{else.} \end{cases}$$

It follows that the injective group homomorphism  $W \to \mathfrak{S}_{\Lambda}$  induces an isomorphism  $W \to \mathfrak{S}_n$ such that  $\forall i \neq j$ ,

$$s_{\varepsilon_i-\varepsilon_j}\mapsto (i\ j).$$

Thus, if  $w \mapsto \sigma$ ,

$$w \operatorname{diag}(a_1, \dots, a_n) w^{-1} = \operatorname{diag}(a_{\sigma^{-1}(1)}, \dots, a_{\sigma^{-1}(1)}), \quad w \sum_i \lambda_i \varepsilon_i = \sum_i \lambda_i \varepsilon_{\sigma(i)} = \sum_i \lambda_{\sigma^{-1}(i)} \varepsilon_i.$$

If  $e_1, \ldots, e_n$  is the standard basis of  $\mathbb{k}^{\oplus_n}$  affording  $G, we_i = e_{\sigma(i)}$  up to  $\mathbb{k}^{\times}$ . In particular,

(3) 
$$s_{\alpha}^2 = 1 \quad \forall \alpha \in R,$$

(4)  $WR = R, \quad WR^{\vee} = R^{\vee},$ 

(5) 
$$ws_{\alpha}w^{-1} = s_{w\alpha} \quad \forall w \in W, \forall \alpha \in R,$$

(6)  $W = \langle s_i | i \in [1, n] \rangle \text{ with } s_i = s_{\alpha_i} \text{ and } \alpha_i = \varepsilon_i - \varepsilon_{i+1}.$ 

We call  $\alpha_1, \ldots, \alpha_{n-1}$  the simple roots, and put  $R^s = \{\alpha_i | i \in [1, n[\}.$  The matrix  $[(\langle \alpha_i, \alpha_j^{\vee} \rangle)]$  of degree n-1 is called the Cartan matrix.

Also,  $\forall w \in W, \forall \alpha \in R, a \in \Bbbk$ ,

(7) 
$$wx_{\alpha}(a)w^{-1} = x_{w\alpha}(\pm a)$$

We cannot control  $\pm$  as w is defined up to T.

(A.7) We call  $R^+ = R \cap \sum_{i=1}^{n-1} \mathbb{N}\alpha_i = \{\varepsilon_i - \varepsilon_j | 1 \leq i < j < n\}$  the positive system of R determined by the simple roots  $\alpha_1, \ldots, \alpha_{n-1}$ . We define a partial order on  $\Lambda$  such that  $\lambda \geq \mu$  iff  $\lambda - \mu \in \sum_{i=1}^{n-1} \mathbb{N}\alpha_i = \sum_{\alpha \in R^+} \mathbb{N}\alpha$ .

If  $S = \{s_i | i \in [1, n]\}, (W, S)$  forms a Coxeter system. Define a length function  $\ell : W \to \mathbb{N}$ such that  $\ell(w), w \in W$ , is equal to the smallest number m with  $w = s_{i_1} \dots s_{i_m}, s_{i_j} \in S$ , in which case we say such a sequence is a reduced expression of w.  $\forall w \in W, \forall s_i \in S$ ,

(1) 
$$\ell(ws_i) = \begin{cases} \ell(w) + 1 & \text{if } w\alpha_i > 0, \\ \ell(w) - 1 & \text{if } w\alpha_i < 0, \end{cases}$$

(2) 
$$\ell(w) = |\{\alpha \in R^+ | w\alpha < 0\}|.$$

There is a unique  $w_0 \in W$  such that  $w_0 R^+ = -R^+$ , which corresponds to  $\begin{pmatrix} 1 & 2 & \cdots & n \\ n & n-1 & \cdots & 1 \end{pmatrix}$ . Thus,

(3) 
$$w_0^2 = 1,$$

(4) 
$$\ell(w_0) = |R^+| = \binom{n}{2}.$$

Let 
$$\rho = \sum_{i=1}^{n} (n-i)\varepsilon_i$$
.  $\forall \alpha \in \mathbb{R}^s$ ,

and hence  $s_{\alpha}\rho = \rho - \alpha$ . Then  $w\rho - \rho \in \mathbb{Z}R \ \forall w \in W$ . A new action of W on A defined by

$$w \bullet \lambda = w(\lambda + \rho) - \rho$$

 $\langle \rho, \alpha^{\vee} \rangle = 1,$ 

will be important in the representation theory of G.

(A.8) For each  $w \in W$  let BwB denote  $B\hat{w}B$  with a lift  $\hat{w} \in N_G(T)$  of w. One has a Bruhat decomposition

$$G = \sqcup_{w \in W} BwB.$$

The multiplication induces an isomorphism of schemes

$$\prod_{\alpha \in R^+} U_{\alpha} \times T \times \prod_{\alpha \in R^+} U_{-\alpha} \to U^+ B,$$

and  $U^+B$  is open in G, called a big cell, the closure  $\overline{U^+B}$  being the whole of G. More generally, let  $R^+(w) = \{\alpha \in R^+ | w^{-1}\alpha < 0\}$ . If  $U(w) = \langle U_{-\alpha} | \alpha \in R^+(w) \rangle$ , the multiplication induces an

isomorphism  $\prod_{\alpha \in R^+(w)} U_{-\alpha} \to U(w)$ . One has an isomorphism of schemes

$$\mathbb{A}^{\ell(w)} \times B \simeq U(w) \times B \to BwB \quad \text{via} \quad ((a_{\alpha})_{\alpha \in R^{+}(w)}, b) \mapsto (\prod_{\alpha \in R^{+}(w)} x_{-\alpha}(a_{\alpha}))wb,$$

where  $\mathbb{A}^{\ell(w)} = \mathfrak{Sp}(\mathbb{Z}[\xi_1, \dots, \xi_{\ell(w)}])$  is called the affine  $\ell(w)$ -space.

There is a partial order on W, called the Chevalley-Bruhat order, such that  $x \geq y$  iff  $\overline{BxB} \supseteq \overline{ByB}$ . Then

$$\overline{BwB} = \sqcup_{x \le w} BxB$$
 with  $BwB$  open in  $\overline{BwB}$ .

Given  $g \in G$ , by elementary row operations there is  $b_1 \in B$  such that the first column of  $b_1g$ is  $e_i$  for some  $i \in [1, n]$ . Then by elementary column operations there is  $b'_1 \in B$  such that the *i*-th row of  $b_1gb'_1$  is  $(1, 0, \ldots, 0)$ . Repeating the procedure, by elementary row operations there is  $b_2 \in B$  such that the second column of  $b_2b_1gb'_1$  is  $e_j$  for some  $j \in [1, n] \setminus \{i\}$ . Then by elementary column operations there is  $b'_2 \in B$  such that the *j*-th row of  $b_2b_1gb'_1b'_2$  is  $(0, 1, 0, \ldots, 0)$ . Thus, eventually there are  $b, b' \in B$  such that bgb' is equal to a permutation matrix w.

More precisely, for  $g = [(g_{kl})] \in G$  and  $i, j \in [1, n]$  let  $c_{ij}(g) = [(g_{kl})]_{1 \le k \le j, i \le l \le n}$ . For all  $r \in [1, \min\{n - i + 1, j\}]$  let

$$\mathfrak{d}_{ij}^r(g) = \begin{cases} \mathbb{k} & \text{if } \operatorname{rk} c_{ij}(g) \ge r, \\ 0 & \text{else.} \end{cases}$$

Then

$$BwB = \{g \in G | \mathfrak{d}_{ij}^r(g) = \mathfrak{d}_{ij}^r(\hat{w}) \ \forall i, j, r\}.$$

Also,  $x \leq y$  iff x is the product of a subsequence of a reduced expression of y.

### Appendix B: Representation theory of the general linear groups after Riche and Williamson 集中講義

The lecture is meant to give an introduction/survey of the first 2 parts of a recent monumental work by Riche and Williamson [RW]. We will consider the representation theory of  $\operatorname{GL}_n(\Bbbk)$  over an algebraically closed field  $\Bbbk$  of positive characteristic p.

#### 1°月曜日

(月 1) Set  $G = \operatorname{GL}_n(\Bbbk)$ . We will consider only algebraic representations of G, that is, group homomorphisms  $\phi : G \to \operatorname{GL}(M)$  with M a finite dimensional k-linear space such that, choosing a basis of M and identifying  $\operatorname{GL}(M)$  with  $\operatorname{GL}_r(\Bbbk)$ ,  $r = \dim M$ , the functions  $y_{\nu\mu} \circ \phi$  on G,  $\nu, \mu \in [1, r]$ , all belong to  $\Bbbk[x_{ij}, \det^{-1} | i, j \in [1, n]]$ , where  $y_{\nu\mu}(g') = g'_{\nu\mu}$  is the  $(\nu, \mu)$ -th element of  $g' \in \operatorname{GL}_r(\Bbbk)$  and  $x_{ij}(g) = g_{ij}$  is the (i, j)-th element of  $g \in \operatorname{GL}_n(\Bbbk)$  [J, I.2.7, 2.9]. Given a representation  $\phi$  we also say that M affords a G-module, and write gm for  $\phi(g)m, g \in G, m \in M$ . Set  $\Bbbk[G] = \Bbbk[x_{ij}, \det^{-1} | i, j \in [1, n]]$ . A basic problem of the representation theory of G is the determination of simple representations. A nonzero G-module M is called simple/irreducible iff M admits no proper subspace M' such that  $gm \in M' \,\forall g \in G \,\forall m \in M'$ .

 $(\exists 2)$  A classification of the simple *G*-modules is well-known. To describe it, let *B* denote a Borel subgroup of *G* consisting of the lower triangular matrices and *T* a maximal torus of *B* consisting of the diagonals. Let  $\Lambda = \mathbf{Grp}_{\Bbbk}(T, \mathrm{GL}_1(\Bbbk))$ , called the character group of *T*. Recall that  $\Lambda$  is a free abelian group of basis  $\varepsilon_1, \ldots, \varepsilon_n$  such that  $\varepsilon_i : \operatorname{diag}(a_1, \ldots, a_n) \mapsto a_i$ . We write the group operation on  $\Lambda$  additively; for  $m_1, \ldots, m_n \in \mathbb{Z}$ ,  $\sum_{i=1}^n m_i \varepsilon_i : \operatorname{diag}(a_1, \ldots, a_n) \mapsto a_1^{m_1} \ldots a_n^{m_n}$ . Let  $R = \{\varepsilon_i - \varepsilon_j | i, j \in [1, n], i \neq j\}$  be the set of roots, and put  $R^+ = \{\varepsilon_i - \varepsilon_j | i, j \in [1, n], i < j\}$ , the set of positive roots such that the roots of *B* are  $-R^+$ :  $B = T \ltimes U$  with  $U = \prod_{\alpha \in R^+} U_{-\alpha}$ ,  $U_{-\alpha} = \{x_{-\alpha}(a) | a \in \Bbbk\}$  such that if  $-\alpha = \varepsilon_i - \varepsilon_j, \forall \nu, \mu \in [1, n]$ ,

$$x_{-\alpha}(a)_{\nu\mu} = \begin{cases} 1 & \text{if } \nu = \mu, \\ a & \text{if } \nu = i \text{ and } \mu = j, \\ 0 & \text{else.} \end{cases}$$

If  $\alpha_i := \varepsilon_i - \varepsilon_{i+1}$ ,  $i \in [1, n[, R^s = \{\alpha_1, \dots, \alpha_{n-1}\}$  forms a set of all simple roots of  $R^+$ . For  $\alpha = \varepsilon_i - \varepsilon_j \in R$  let  $\alpha^{\vee} \in \Lambda^{\vee}$  denote the coroot of  $\alpha$  such that

$$\langle \varepsilon_k, \alpha^{\vee} \rangle = \begin{cases} 1 & \text{if } k = i, \\ -1 & \text{if } k = j, \\ 0 & \text{else.} \end{cases}$$

Let  $\Lambda^+ = \{\lambda \in \Lambda | \langle \lambda, \alpha^{\vee} \rangle \ge 0 \, \forall \alpha \in R^+ \}$ , called the set of dominant weights of T. We introduce a partial order on  $\Lambda$  such that  $\lambda \ge \mu$  iff  $\lambda - \mu \in \sum_{\alpha \in R^+} \mathbb{N}\alpha$ .

 $(\exists 3)$  Any *T*-module *M* is simultaneously diagonalizable:

$$M = \prod_{\lambda \in \Lambda} M_{\lambda} \quad \text{with} \quad M_{\lambda} = \{ m \in M | tm = \lambda(t)m \, \forall t \in T \}.$$

We call  $M_{\lambda}$  the  $\lambda$ -weight space of M, its dimension the multiplicity of  $\lambda$  in M,  $\lambda$  a weight of M iff  $M_{\lambda} \neq 0$ , and the coproduct the weight space decomposition of M. Let  $\mathbb{Z}[\Lambda]$  be the group ring of  $\Lambda$  with a basis  $e^{\lambda}$ ,  $\lambda \in \Lambda$ . We call

$$\operatorname{ch} M = \sum_{\lambda \in \Lambda} (\dim M_{\lambda}) e^{\lambda} \in \mathbb{Z}[\Lambda]$$

the (formal) character of M; if M is a G-module, for  $g \in G g$  is conjugate to  $g_s g_u$  in the Jordan cannical form with  $g_s \in T$  and  $g_u \in U$  such that  $g_s g_u = g_u g_s$ . Then the trace  $\operatorname{Tr}(g)$  on M is given by

$$\operatorname{Tr}(g) = \operatorname{Tr}(g_s g_u) = \operatorname{Tr}(g_s)$$
$$= \sum_{\lambda} \lambda(t) \dim M_{\lambda},$$

which does not make much sense in positive characterstic.

( $\exists$  4) Assume for the moment that k is of characteristic 0. Here the representation theory of G is well-understood. Any G-module is semisimple, i.e., a direct sum of simple G-modules [J, II.5.6.6]. For  $\lambda \in \Lambda$  regard  $\lambda$  as a 1-dimensional B-module via the projection  $B = T \ltimes U \to T$ , and let  $\nabla(\lambda) = \{f \in \Bbbk[G] | f(gb) = \lambda(b)^{-1}f(g) \forall g \in G \forall b \in B\}$  with G-action defined by  $g \cdot f = f(g^{-1}?)$ . The Borel-Weil theorem asserts that  $\nabla(\lambda) \neq 0$  iff  $\lambda \in \Lambda^+$  [J, II.2.6]. Any simple G-module is isomorphic to a unique  $\nabla(\lambda), \lambda \in \Lambda^+$ , and  $\operatorname{ch} \nabla(\lambda)$  is given by Weyl's character formula. To describe the formula, we have to recall the Weyl group  $\mathcal{W} = \mathrm{N}_G(T)/T$  of G and its action on  $\Lambda$ :  $\forall w \in \mathcal{W}, \forall \mu \in \Lambda$ , we define  $w\mu \in \Lambda$  by setting  $(w\mu)(t) = \mu(w^{-1}tw) \forall t \in T$ . More concretely, identify  $\Lambda$  with  $\mathbb{Z}^{\oplus_n}$  via  $\sum_{i=1}^n \mu_i \varepsilon_i \mapsto (\mu_1, \ldots, \mu_n)$ . Then  $\mathcal{W} \simeq \mathfrak{S}_n$  such that  $w\varepsilon_i = \varepsilon_{wi}$ , i.e.,  $w\mu = (\mu_{w^{-1}1}, \ldots, \mu_{w^{-1}n})$ . Let also  $\zeta = (0, -1, \ldots, -n+1) \in \Lambda$ , and set  $w \bullet \lambda = w(\lambda + \zeta) - \zeta$ ; we replace the usual choice of  $\rho = \frac{1}{2} \sum_{\alpha \in R^+} \alpha$ , which may not live in  $\Lambda$ , e.g., in the case of  $\operatorname{GL}_2(\Bbbk)$ , by  $\zeta$ . Then [J, II.5.10] for  $\lambda \in \Lambda^+$ 

$$\operatorname{ch} \nabla(\lambda) = \frac{\sum_{w \in \mathcal{W}} \det(w) e^{w(\lambda+\zeta)}}{\sum_{w \in \mathcal{W}} \det(w) e^{w\zeta}} = \frac{\sum_{w \in \mathcal{W}} \det(w) e^{w \bullet \lambda}}{\sum_{w \in \mathcal{W}} \det(w) e^{w \bullet 0}}.$$

In particular,  $\nabla(\lambda)$  has highest weight  $\lambda$  of multiplicity 1: any weight of  $\nabla(\lambda)$  is  $\leq \lambda$ , and  $\dim \nabla(\lambda)_{\lambda} = 1$ .

(月 5) Back to our original setting, each  $\nabla(\lambda)$  in (月 4) is defined over Z and gives us a standard module, denoted by the same letter, having the same character [J, II.8.8]; this is a highly nontrivial result requiring the universal coefficient theorem [J, I.4.18] on induction and Kempf's vanishing theorem [J, II.4] among other things. In particular, the ambient space V of our G is  $\nabla(\varepsilon_1)$ ; if  $v_1, \ldots, v_n$  is the standard basis of V, each  $v_i$  is of weight  $\varepsilon_i$ . More generally, let  $S(V) = \Bbbk[v_1, \ldots, v_n]$  denote the symmetric algebra of V, and  $S^m(V)$  its homogeneous part of degree m. Then  $S^m(V) \simeq \nabla(m\varepsilon_1)$  [J, II.2.16]. Note, however, that  $S^p(V)$  has a proper Gsubmodule  $\sum_{i=1}^n \Bbbk v_i^p$ , and hence  $\nabla(\lambda)$  is no longer simple in general. Nonetheless, each  $\nabla(\lambda)$ has a unique simple submodule, which we denote by  $L(\lambda)$  [J, II.2.3]. It has highest weight  $\lambda$ , and any simple G-module is isomorphic to a unique  $L(\mu)$ ,  $\mu \in \Lambda^+$  [J, II.2.4]. Thus, our basic problem is to find all ch  $L(\mu)$ .

For that, as any composition factor of  $\nabla(\lambda)$  is of the form  $L(\mu)$ ,  $\mu \leq \lambda$ , with  $L(\lambda)$  appearing just once, the finite matrix  $[\![\nabla(\nu) : L(\mu)]]\!]$  of the composition factor multiplicities for  $\nu, \mu \leq \lambda$  is unipotent, from which ch  $L(\lambda)$  can be obtained as a  $\mathbb{Z}$ -linear combinations of ch  $\nabla(\nu)$ 's.

 $(\exists 6)$  To find the irreducible characters, some reductions are in order. First, let  $\Lambda_1 = \{\lambda \in \Lambda^+ | \langle \lambda, \alpha^{\vee} \rangle . If <math>\varpi_i := \varepsilon_1 + \cdots + \varepsilon_i$ ,  $i \in [1, n]$ ,  $\Lambda = \coprod_{i=1}^n \mathbb{Z} \varpi_i$ ,  $\varpi_n = \det$ , and  $\Lambda^+ = \mathbb{Z} \det + \sum_{i=1}^{n-1} \mathbb{N} \varpi_i$ . Thus,  $\Lambda_1 = \mathbb{Z} \det + \{\sum_{i=1}^{n-1} a_i \varpi_i | a_i \in [0, p]\}$ . One can write any  $\lambda \in \Lambda^+$  in the form  $\lambda = \sum_{i=0}^r p^i \lambda^i$ ,  $\lambda^i \in \Lambda_1$ . Then

Steinberg's tensor product theorem [J, II.3.17]:

$$L(\lambda) \simeq L(\lambda^0) \otimes L(\lambda^1)^{[1]} \otimes \cdots \otimes L(\lambda^r)^{[r]},$$

where  $L(\lambda^k)^{[k]}$  is  $L(\lambda^k)$  with G acting through the k-th Frobenius  $F^k: G \to G$  via  $[(g_{ij})] \mapsto [(g_{ij}^{p^k})]$ .

Thus, if  $\operatorname{ch} L(\lambda^k) = \sum_{\mu} m_{\mu} e^{\mu}$ ,  $\operatorname{ch} L(\lambda^k)^{[k]} = \sum_{\mu} m_{\mu} e^{p^k \mu}$ , and our problem is reduced to finding  $\operatorname{ch} L(\lambda)$  for  $\lambda \in \Lambda_1$  or  $\operatorname{ch} L(\sum_{i=1}^{n-1} \lambda_i \overline{\omega}_i)$  for  $\lambda_i \in [0, p[; \forall m \in \mathbb{Z}, \nabla(m \det + \sum_{i=1}^{n-1} \lambda_i \overline{\omega}_i) \simeq$ 

 $\det^{\otimes_m} \otimes \nabla(\sum_{i=1}^{n-1} \lambda_i \varpi_i) \text{ by the tensor identity } [J, I.3.6], \text{ and hence also } L(m \det + \sum_{i=1}^{n-1} \lambda_i \varpi_i) \simeq \det^{\otimes_m} \otimes L(\sum_{i=1}^{n-1} \lambda_i \varpi_i).$ 

 $(\exists 7)$  Let  $\mathcal{W}_a = \mathcal{W} \ltimes \mathbb{Z}R$ , called the affine Weyl group of  $\mathcal{W}$ , acting on  $\Lambda$  with  $\mathbb{Z}R$  by translation. For  $\alpha \in R$  let  $s_\alpha \in \mathcal{W}$  such that  $s_\alpha : \lambda \mapsto \lambda - \langle \lambda, \alpha^{\vee} \rangle \alpha$ ,  $\lambda \in \Lambda$ , and  $s_{\alpha_0,1} : \lambda \mapsto \lambda - \langle \lambda, \alpha_0^{\vee} \rangle \alpha_0 + \alpha_0$ with  $\alpha_0 = \alpha_1 + \cdots + \alpha_{n-1} = \varepsilon_1 - \varepsilon_n$ . Under the identification  $\mathcal{W} \simeq \mathfrak{S}_n$  one has  $s_{\alpha_i} \mapsto (i, i+1)$ ,  $i \in [1, n[$ . If  $\mathcal{S} = \{s_\alpha | \alpha \in R^s\}$  and  $\mathcal{S}_a = \mathcal{S} \cup \{s_{\alpha_0,1}\}$ ,  $(\mathcal{W}_a, \mathcal{S}_a)$  forms a Coxeter system with a subsystem  $(\mathcal{W}, \mathcal{S})$  [J, II.6.3]. Let  $\ell : \mathcal{W}_a \to \mathbb{N}$  denote the length function on  $\mathcal{W}_a$  with respect to  $\mathcal{S}_a$ , and let  $\leq$  denote the Chevalley-Bruhat order on  $\mathcal{W}_a$ .

We let  $\mathcal{W}_a$  act on  $\Lambda$  by setting

$$x \bullet \lambda = px(\frac{1}{p}(\lambda + \zeta)) - \zeta \quad \forall \lambda \in \Lambda \ \forall x \in \mathcal{W}_a.$$

Let  $\operatorname{Rep}(G)$  denote the category of finite dimensional representations of G. By  $\operatorname{Ext}^1_G(M, M')$ we will mean the  $\operatorname{H}$ -extension of M by M' in  $\operatorname{Rep}(G)$  [Weib, pp. 79-80], [dJ, 27];  $\operatorname{Rep}(G)$ admits no nonzero injectives nor projectives.

The linkage principle [J, II.6.17]:  $\forall \lambda, \mu \in \Lambda^+$ ,

$$\operatorname{Ext}_{G}^{1}(L(\lambda), L(\mu)) \neq 0 \Rightarrow \lambda \in \mathcal{W}_{a} \bullet \mu.$$

By the linkage principle one has a decomposition

$$\operatorname{Rep}(G) = \coprod_{\Omega \in \Lambda/\mathcal{W}_a \bullet} \operatorname{Rep}_{\Omega}(G),$$

where  $\operatorname{Rep}_{\Omega}(G)$  consists of G-modules whose composition factors are all of the form  $L(\lambda)$ ,  $\lambda \in \Omega \cap \Lambda^+$ . In particular, for  $\lambda \in \Omega$ 

$$\operatorname{ch} L(\lambda) \in \operatorname{ch} \nabla(\lambda) + \sum_{\substack{\mu \in \Omega \\ \mu < \lambda}} \mathbb{Z} \operatorname{ch} \nabla(\mu).$$

We will abbreviate  $\operatorname{Rep}_{W_{e} \bullet 0}(G)$  as  $\operatorname{Rep}_{0}(G)$  and call it the principal block of G.

 $(\exists 8)$  We extend the  $\mathcal{W}_a \bullet$ -action on  $\Lambda$  to one on  $\Lambda_{\mathbb{R}} := \Lambda \otimes_{\mathbb{Z}} \mathbb{R}$ . For each  $\alpha \in R^+$  and  $m \in \mathbb{Z}$  let  $H_{\alpha,m} = \{x \in \Lambda_{\mathbb{R}} | \langle x + \zeta, \alpha^{\vee} \rangle = mp \}$ . We call a connected component of  $\Lambda_{\mathbb{R}} \setminus \bigcup_{\alpha \in R^+, m \in \mathbb{Z}} H_{\alpha,m}$  an alcove of  $\Lambda_{\mathbb{R}}$ . Thus,  $\mathcal{W}_a$  acts on the set of alcoves  $\mathcal{A}$  in  $\Lambda_{\mathbb{R}}$  simply transitively [J, II.6.2.4]. We call  $A^+ = \{x \in \Lambda_{\mathbb{R}} | \langle x + \zeta, \alpha^{\vee} \rangle > 0 \ \forall \alpha \in R^+, \langle x + \zeta, \alpha_0^{\vee} \rangle the bottom dominant alcove of <math>\mathcal{A}$ . Thus the action induces a bijection  $\mathcal{W}_a \to \mathcal{A}$  via  $w \mapsto w \bullet A^+$ . The closure  $\overline{A^+}$  is a fundamental domain for  $\mathcal{W}_a$  on  $\Lambda_{\mathbb{R}}$  [J, II.6.2.4], i.e.,  $\forall x \in \Lambda_{\mathbb{R}}, (\mathcal{W}_a \bullet x) \cap \overline{A^+}$  is a singleton. For  $A = \{x \in \Lambda_{\mathbb{R}} | p(m_{\alpha} - 1) < \langle x + \zeta, \alpha^{\vee} \rangle < pm_{\alpha} \ \forall \alpha \in R^+ \} \in \mathcal{A}, m_{\alpha} \in \mathbb{Z}, a$  facet of A is some  $\{x \in \overline{A} \mid p | \langle x + \zeta, \alpha^{\vee} \rangle \ \forall \alpha \in R_0\}, R_0 \subseteq R^+$ , and a wall of A is a facet with  $|R_0| = 1$ . Also, we call  $\hat{A} = \{x \in \Lambda_{\mathbb{R}} | p(m_{\alpha} - 1) < \langle x + \zeta, \alpha^{\vee} \rangle \leq pm_{\alpha} \ \forall \alpha \in R^+ \}$  the upper closure of A. One has [J, II.6.2.8]

 $\Lambda \cap A \neq \emptyset \; \exists A \in \mathcal{A} \quad \text{iff} \quad 0 \in A^+ \quad \text{iff} \quad p \ge n,$ 

in which case each wall of an alcove contains an element of  $\Lambda$  [J, II.6.3]. Assume from now on throughout the rest of this section that  $p \ge n$ .

For  $\nu \in \Lambda$  let  $\operatorname{pr}_{\nu} = \operatorname{pr}_{\mathcal{W}_{a} \bullet \nu}$ :  $\operatorname{Rep}(G) \to \operatorname{Rep}(G)$  denote the projection onto  $\operatorname{Rep}_{\mathcal{W}_{a} \bullet \nu}(G)$ . Now let  $\lambda, \mu \in \Lambda \cap \overline{A^+}$ . We choose a finite dimensional *G*-module  $V(\lambda, \mu)$  of highest weight  $\nu \in \Lambda^+ \cap \mathcal{W}(\mu - \lambda)$  such that  $\dim V(\lambda, \mu)_{\nu} = 1$ , e.g.,  $V(\lambda, \mu) = \nabla(\nu), L(\nu)$ . Define the translation functor  $T^{\mu}_{\lambda}$ :  $\operatorname{Rep}(G) \to \operatorname{Rep}(G)$  by setting  $T^{\mu}_{\lambda}M = \operatorname{pr}_{\mu}(V(\lambda, \mu) \otimes \operatorname{pr}_{\lambda}M) \ \forall M \in \operatorname{Rep}(G)$ . A different choice of  $V(\lambda, \mu)$  yields an isomorphic functor [J, II.7.6 Rmk. 1]. Each  $T^{\mu}_{\lambda}$  is exact. As  $T^{\lambda}_{\mu}$  may be defined with  $V(\lambda, \mu)$  replaced by  $V(\lambda, \mu)^*, T^{\mu}_{\lambda}$  and  $T^{\lambda}_{\mu}$  are adjoint to each other [J, II.7.6]:  $\forall M, M' \in \operatorname{Rep}(G)$ ,

(1) 
$$\operatorname{Rep}(G)(T^{\mu}_{\lambda}M, M') \simeq \operatorname{Rep}(G)(M, T^{\lambda}_{\mu}M').$$

# The translation principle: Let $\lambda, \mu \in \Lambda \cap \overline{A^+}$ .

(i) If  $\lambda$  and  $\mu$  belong to the same facet,  $T^{\mu}_{\lambda}$  and  $T^{\lambda}_{\mu}$  induce a quasi-inverse to each other between  $\operatorname{Rep}_{W_a \bullet \lambda}(G)$  and  $\operatorname{Rep}_{W_a \bullet \mu}(G)$  [J, II.7.9].

(ii) If  $\lambda$  belongs to a facet F and if  $\mu \in \overline{F}$ ,  $\forall x \in \mathcal{W}_a$ ,  $T^{\mu}_{\lambda} \nabla(x \bullet \lambda) \simeq \nabla(x \bullet \mu)$  [J, II.7.11].

(iii) If  $\lambda \in A^+$  and if  $\mu \in \overline{A^+}$  with  $C_{W_a \bullet}(\mu) = \{1, s\}$  for some  $s \in S_a$ , then  $\forall x \in W_a$  with  $x \bullet \lambda \in \Lambda^+$  and  $xs \bullet \lambda > x \bullet \lambda$ , there is an exact sequence [J, II.7.12]

$$0 \to \nabla(x \bullet \lambda) \to T^{\lambda}_{\mu} \nabla(x \bullet \mu) \to \nabla(xs \bullet \lambda) \to 0$$

with  $T^{\lambda}_{\mu}\nabla(x \bullet \mu) \simeq T^{\lambda}_{\mu}T^{\mu}_{\lambda}\nabla(x \bullet \lambda) \simeq T^{\mu}_{\lambda}\nabla(x \bullet \lambda)$ . We note also that the morphisms  $\nabla(x \bullet \lambda) \to T^{\lambda}_{\mu}\nabla(x \bullet \mu)$  and  $T^{\lambda}_{\mu}\nabla(x \bullet \mu) \to \nabla(xs \bullet \lambda)$  are unique up to  $\mathbb{k}^{\times}$ ;

 $\operatorname{Rep}(G)(\nabla(x \bullet \lambda), T^{\lambda}_{\mu} \nabla(x \bullet \mu)) \simeq \operatorname{Rep}(G)(T^{\mu}_{\lambda} \nabla(x \bullet \lambda), \nabla(x \bullet \mu)) \simeq \operatorname{Rep}(G)(\nabla(x \bullet \mu), \nabla(x \bullet \mu)) \simeq \Bbbk.$ 

(iv) If 
$$\lambda \in A^+$$
 and if  $\mu \in \overline{A^+}$ , then  $\forall x \in \mathcal{W}_a$  with  $x \bullet \lambda \in \Lambda^+$  [J, II.7.13, 7.15],  

$$T^{\mu}_{\lambda}L(x \bullet \lambda) \simeq \begin{cases} L(x \bullet \mu) & \text{if } x \bullet \mu \in \widehat{x \bullet A^+}, \\ 0 & \text{else.} \end{cases}$$

Thus, all the irreducible characters are obtained by the translation principle from those belonging to the principal block.

 $(\exists 9)$  Weyl's character formula was described using the Weyl group  $\mathcal{W}$  of G. To describe the irreducible characters in  $\operatorname{Rep}_0(G)$ , we require  $\mathcal{W}_a$ . Let  ${}^f\mathcal{W} = \{x \in \mathcal{W}_a | \ell(yx) \geq \ell(x) \; \forall y \in \mathcal{W}\}$ . There is a bijection  ${}^f\mathcal{W} \to (\mathcal{W}_a \bullet 0) \cap \Lambda^+ \text{ via } w \mapsto w \bullet 0$ , and  $\mathbb{Z}[\mathcal{W}_a]$  is a free left  $\mathbb{Z}[\mathcal{W}]$ -module of basis  $w, w \in {}^f\mathcal{W}$ . Let  $\operatorname{sgn}_{\mathbb{Z}} = \mathbb{Z}$  be the sign representation of  $\mathcal{W}$ , defining a right  $\mathbb{Z}[\mathcal{W}]$ -module such that  $s \mapsto -1 \; \forall s \in \mathcal{S}$ . If  $[\operatorname{Rep}_0(G)]$  denotes the Grothendieck group of  $\operatorname{Rep}_0(G)$ , it has a  $\mathbb{Z}$ -linear basis  $[\nabla(w \bullet 0)], w \in {}^f\mathcal{W}$ , by the linkage principle. There follows an isomorphism of  $\mathbb{Z}$ -modules

(1) 
$$\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a] \to [\operatorname{Rep}_0(G)] \quad \text{via} \quad 1 \otimes w \mapsto [\nabla(w \bullet 0)].$$

We call  $\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a]$  the antispherical module of  $\mathbb{Z}[\mathcal{W}_a]$  and denote by  $M^{\operatorname{asph}}$ . Thus, Rep<sub>0</sub>(G) gives a "categorification" of  $\operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_a]$ . The bijection is, moreover, an isomorphism of right  $\mathbb{Z}[\mathcal{W}_a]$ -modules as follows. For each  $s \in \mathcal{S}_a$  choose  $\mu \in \Lambda \cap \overline{A^+}$  such that  $C_{\mathcal{W}_a}(\mu) = \{1, s\}$ , and let  $T^s = T_0^{\mu}$  be a translation functor into the *s*-wall of  $A^+$  and  $T_s = T_{\mu}^0$  a translation functor out of the *s*-wall. We call  $\Theta_s = T_s T^s$  an *s*-wall crossing functor. In  $M^{\text{asph}}$  one has from [S97, p. 86],  $\forall s \in \mathcal{S}_a, \forall w \in {}^f \mathcal{W}$ ,

$$1 \otimes w(1+s) = \begin{cases} 1 \otimes ws + 1 \otimes w & \text{if } ws \in {}^{f}\mathcal{W} \\ 0 & \text{else.} \end{cases}$$

Then, letting 1 + s,  $s \in S_a$ , act on  $[\operatorname{Rep}_0(G)]$  by  $\Theta_s$ , makes (1) into an isomorphism of right  $\mathbb{Z}[\mathcal{W}_a]$ -modules by the translation principle ( $\exists 8.iii$ ):  $\forall s \in S_a$ ,

$$1 \otimes w(1+s) \mapsto [\nabla(w \bullet 0)]\Theta_s = [\Theta_s \nabla(w \bullet 0)].$$

Thus,  $[\operatorname{Rep}_0(G)]$  admits a right  $\mathcal{W}_a$ -action.

For  $x \in {}^{f}\mathcal{W}$  let now  $x^{s} \in M^{\text{asph}}$  such that  $x^{s} \mapsto [L(x \bullet 0)]$ . Thus,  $\forall y \in {}^{f}\mathcal{W}$ , if  $x^{s} = \sum_{y \in {}^{f}\mathcal{W}} a_{y,x}y, a_{y,x} \in \mathbb{Z}$ ,

$$\operatorname{ch} L(x \bullet 0) = \sum_{y \in {}^{f} \mathcal{W}} a_{y,x} \operatorname{ch} \nabla(y \bullet 0).$$

(月 10) As  $M^{\text{asph}}$  does not possess enough structure to describe the  $x^s$  or  $a_{y,x}$  internally, we quantize  $\mathbb{Z}[\mathcal{W}_a]$  to 岩堀-Hecke algebra  $\mathcal{H}_a$ . It is a free  $\mathbb{Z}[v, v^{-1}]$ -module of basis  $H_x, x \in \mathcal{W}_a$ , subject to the relations  $H_e = 1$ , e denoting the unity of  $\mathcal{W}_a$ ,  $H_x H_y = H_{xy}$  if  $\ell(x) + \ell(y) = \ell(xy)$ , and  $H_s^2 = 1 + (v^{-1} - v)H_s \forall s \in \mathcal{S}_a$  [S97]. For this and other reasons we will often denote the unity e of  $\mathcal{W}_a$  by 1. Under the specialization  $v \rightsquigarrow 1$  one has an isomorphism of rings

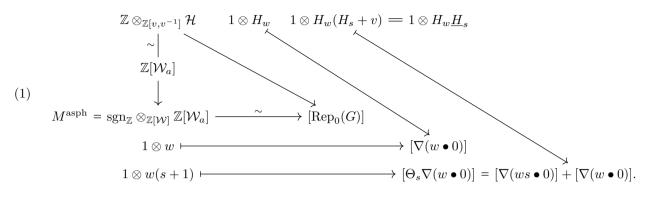
(1) 
$$\mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{H} \simeq \mathbb{Z}[\mathcal{W}_a].$$

Under the isomorphism we will regard  $\mathbb{Z}[\mathcal{W}_a]$  as a right  $\mathcal{H}$ -module, and hence also  $[\operatorname{Rep}_0(G)]$  as a right  $\mathcal{H}$ -module.

As  $(H_s)^{-1} = H_s + (v - v^{-1}) \ \forall s \in \mathcal{S}_a$ , every  $H_x$  is a unit of  $\mathcal{H}$ . There is a unique ring endomorphism  $\overline{?}$  of  $\mathcal{H}$  such that  $v \mapsto v^{-1}$  and  $H_x \mapsto (H_{x^{-1}})^{-1} \ \forall x \in \mathcal{W}_a$ . Then  $\forall x \in \mathcal{W}_a$ , there is unique  $\underline{H}_x \in \mathcal{H}$  with  $\overline{\underline{H}_x} = \underline{H}_x$  and such that  $\underline{H}_x \in H_x + \sum_{y \in \mathcal{W}_a} v\mathbb{Z}[v]H_y$ , in which case  $\underline{H}_x \in H_x + \sum_{y < x} v\mathbb{Z}[v]H_y$  [S97, Th. 2.1]. In particular,  $\underline{H}_s = H_s + v \ \forall s \in \mathcal{S}_a$ . For  $x, y \in \mathcal{W}_a$  define  $h_{x,y} \in \mathbb{Z}[v]$  by the equality  $\underline{H}_x = \sum_{y \in \mathcal{W}_a} h_{y,x}H_y$ . The  $h_{y,x}$  are the celebrated Kazhdan-Lusztig polynomials of  $\mathcal{H}$ . Let  $w_0 = \begin{pmatrix} 1 & 2 & \dots & n \\ n & n-1 & \dots & 1 \end{pmatrix}$  denote the longest element of  $\mathcal{W}$ . Then Lusztig's conjecture, which is now a theorem for  $p \gg 0$ , reads [S97, Prop. 3.7], [F, 2.4], [RW, 1.9] that  $\forall x \in \mathcal{W}_a$  with  $x \bullet 0 \in \Lambda_1$ ,

(2) 
$$\operatorname{ch} L(x \bullet 0) = \sum_{y \in \mathcal{W}_a} (-1)^{\ell(x) - \ell(y)} h_{w_0 y, w_0 x}(1) \operatorname{ch} \nabla(y \bullet 0).$$

A few years ago, however, Williamson [W] astonished the community of representation theory by exhibiting counterexamples to the formula for not so small p. The present work by Riche and Williamson [RW] is their effort to remedy the situation. ( $\exists$  11) We have seen a commutative diagram of right  $\mathcal{H}$ -modules:  $\forall w \in {}^{f}\mathcal{W}, \forall s \in \mathcal{S}_{a}$ ,



Lusztig's conjecture predicted for  $p \ge n$  that, writing  $\underline{H}_x = \sum_{y \in \mathcal{W}_a} h_{y,x} H_y$  with the Kazhdan-Lusztig polynomials  $h_{y,x}$  for  $x \in {}^f\mathcal{W}$  such that  $x \bullet 0 \in \Lambda_1$ ,

$$\sum_{y \in \mathcal{W}_a} (-1)^{\ell(x) - \ell(y)} h_{w_0 y, w_0 x}(1) \otimes H_y \mapsto [L(w \bullet 0)],$$

which turned out to be false for not so small p.

To remedy the the scheme, enter the tilting G-modules. For  $\nu \in \Lambda$  let  $\Delta(\nu) = \nabla(-w_0\nu)^*$ . Thus, it is nonzero iff  $\nu \in \Lambda^+$ , in which case it is called the Weyl module of highest weight  $\nu$ .  $\forall \lambda, \nu \in \Lambda^+, \forall i \in \mathbb{N}$ , one has [J, II.4.13]

(2) 
$$\operatorname{Ext}_{G}^{i}(\Delta(\nu), \nabla(\lambda)) = \delta_{i,0}\delta_{\lambda,\nu}\Bbbk.$$

We say a *G*-module *M* admits a  $\Delta$ - (resp.  $\nabla$ -) filtration iff it possesses a filtration  $M = M^0 > M^1 > \cdots > M^r = 0$  in Rep(*G*) such that  $\forall i \in [0, r[$ , there is  $\lambda_i \in \Lambda^+$  with  $M^i/M^{i+1} \simeq \Delta(\lambda_i)$  (resp.  $\nabla(\lambda_i)$ ), in which case we denote by  $(M : \Delta(\lambda))$  (resp.  $(M : \nabla(\lambda))$ ) the multiplicity of the appearance of  $\Delta(\lambda)$  (resp.  $\nabla(\lambda)$ ) in a  $\Delta$ - (resp.  $\nabla$ -) filtration. A tilting module is a *G*-module that admits both a  $\Delta$ - and a  $\nabla$ -filtration. Thus, for tilting M, M' one has,  $\forall i > 0$ ,

$$\operatorname{Ext}_{G}^{i}(M, M') = 0$$

and,  $\forall \lambda \in \Lambda^+$ ,

$$(M : \Delta(\lambda)) = \dim \operatorname{Rep}(G)(M, \nabla(\lambda)), \quad (M : \nabla(\lambda)) = \dim \operatorname{Rep}(G)(\Delta(\lambda), M).$$

For each  $\lambda \in \Lambda^+$  there is a unique, up to isomorphism, indecomposable tilting module  $T(\lambda)$  of highest weight  $\lambda$ , and any tilting module is a direct some of those  $T(\lambda)$ 's [J, E.3, 4]. Writing  $\lambda = \lambda^0 + p\lambda^1$  with  $\lambda^0 \in \Lambda_1$ , put  $\hat{\lambda} = w_0 \bullet \lambda^0 + p(\lambda^1 + 2\zeta)$ .  $\forall y \in {}^f \mathcal{W}$ , define  $\hat{y} \in {}^f \mathcal{W}$  to be such that  $\hat{y} \bullet 0 = \widehat{y \bullet 0}$ . Let  $\mathcal{W}_0 = \{x \in {}^f \mathcal{W} | \langle x \bullet 0 + \rho, \alpha^{\vee} \rangle < p(n-1) \; \forall \alpha \in R^+\}$ .

**Reciprocity** [RW, Prop. 1.8.1]: Assume  $p \ge 2(n-1)$ .  $\forall x, y \in \mathcal{W}_0$ ,

$$[\nabla(x \bullet 0) : L(y \bullet 0)] = (T(\hat{y} \bullet 0) : \nabla(x \bullet 0))$$

Thus, in order to determine the irreducible characters for  $p \ge 2(n-1)$ , by Steinberg's tensor product theorem and by the translation principle, we may now transform the problem into

finding the multiplicities  $(T(x \bullet 0) : \nabla(y \bullet 0)) \forall x, y \in {}^{f}\mathcal{W}$ . If  ${}^{p}x \in M^{asph}$  with  ${}^{p}x \mapsto [T(x \bullet 0)]$  under the bottom horizontal bijection in (1), one has in  $M^{asph}$ 

$${}^{p}x = \sum_{y \in {}^{f}\mathcal{W}} (T(x \bullet 0) : \nabla(y \bullet 0))(1 \otimes y).$$

(月 12) To describe  ${}^{p}x, x \in {}^{f}\mathcal{W}$ , Riche and Williamson lift it to an element of  $\mathcal{H}$ , but a little more elaborately. Let  $\mathcal{H}_{f}$  be the 岩堀-Hecke algebra of the Coxeter subsystem  $(\mathcal{W}, \mathcal{S})$ . Thus,  $\mathcal{H}_{f}$  is a  $\mathbb{Z}[v, v^{-1}]$ -subalgebra of  $\mathcal{H}$ , having the standard basis  $H_{w}, w \in \mathcal{W}$ . Let  $\operatorname{sgn} = \mathbb{Z}[v, v^{-1}]$ be a right  $\mathcal{H}_{f}$ -module such that  $H_{s} \mapsto -v \,\forall s \in \mathcal{S}$ . We set  $\mathcal{M}^{\operatorname{asph}} = \operatorname{sgn} \otimes_{\mathcal{H}_{f}} \mathcal{H}$  and call it the antipherical right module of  $\mathcal{H}$ . Then  $\mathcal{M}^{\operatorname{asph}}$  has a standard  $\mathbb{Z}[v, v^{-1}]$ -linear basis  $1 \otimes H_{w}$ ,  $w \in {}^{f}\mathcal{W}$ , and the Kazhdan-Lusztig  $\mathbb{Z}[v, v^{-1}]$ -linear basis  $1 \otimes \underline{H}_{w}, w \in {}^{f}\mathcal{W}$  [S97, line -2, p. 88]. Thus,  $\mathcal{M}^{\operatorname{asph}}$  is a quantization of the antispherical  $\mathbb{Z}[\mathcal{W}_{a}]$ -module  $M^{\operatorname{asph}} = \operatorname{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}]} \mathbb{Z}[\mathcal{W}_{a}]$ : under the specialization  $v \mapsto 1$ 

(1) 
$$\mathbb{Z} \otimes_{\mathbb{Z}[v,v^{-1}]} \mathcal{M}^{\operatorname{asph}} \simeq M^{\operatorname{asph}} \simeq [\operatorname{Rep}_0(G)].$$

Lifting  $y \in {}^{f}\mathcal{W}$  to  $H_{y}$ , we are after a favorable lift  ${}^{p}\underline{H}_{x} \in \mathcal{H}$  of  ${}^{p}x \in M^{asph}$ ,  $x \in {}^{f}\mathcal{W}$ , such that under (1)

(2) 
$$1 \otimes {}^{p}\underline{H}_{x} \mapsto {}^{p}x \mapsto [T(x \bullet 0)].$$

 $(\exists 13)$  Recall that  $(\exists 12.1)$  is an isomorphism of right  $\mathcal{H}$ -modules: we are to have

$$[T(x \bullet 0)] = [\nabla(0)]^{p} \underline{H}_{x} = [T(0)]^{p} \underline{H}_{x}$$

Thus, to realize  ${}^{p}\underline{H}_{x}$ ,  $x \in {}^{f}\mathcal{W}$ , [RW] exploits a categorification of  $\mathcal{H}$  by the diagrammatic Hecke category  $\mathcal{D}$  over k introduced by Elias and Williamson [EW], and shows that  $\mathcal{D}$  act on Rep<sub>0</sub>(G) from the right. The category  $\mathcal{D}$ , which we will call the EW-category for short, is a strict monoidal category generated by objects  $B_{s}\langle m \rangle$ ,  $s \in \mathcal{S}_{a}$ ,  $m \in \mathbb{Z}$ , and its indecomposable objects are the  $B_{x}\langle m \rangle$ ,  $x \in \mathcal{W}_{a}$ ,  $m \in \mathbb{Z}$ . The split Grothendieck group [ $\mathcal{D}$ ] of  $\mathcal{D}$  comes equipped with a structure of  $\mathbb{Z}[v, v^{-1}]$ -module such that  $v \bullet [M] = [M\langle 1 \rangle]$ , and there is an isomorphism of  $\mathbb{Z}[v, v^{-1}]$ -algebras, thabks to [EW],

(1) 
$$\mathcal{H} \simeq [\mathcal{D}]$$
 such that  $\underline{H}_s \mapsto [B_s] \forall s \in \mathcal{S}_a$ ,

under which [RW] chooses  ${}^{p}\underline{H}_{x} \mapsto [B_{x}] \ \forall x \in \mathcal{W}_{a}$ .

To verify that the choice is correct, i.e., correspondence  $(\exists 12.2)$  holds, let  $Cat(Rep_0(G), Rep_0(G))$  denote the functor category on  $Rep_0(G)$ , which is strict monoidal with respect to the composition.

# **Theorem [RW, Th. 8.1.1]:** For $p > n \ge 3$ there is a strict monoidal functor

$$\Psi: \mathcal{D} \to \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))^{\operatorname{op}} \quad such \ that \quad B_s\langle m \rangle \mapsto \Theta_s \ \forall s \in \mathcal{S}_a \ \forall m \in \mathbb{Z}.$$

Thus, the right action of  $\mathcal{W}_a$  on  $[\operatorname{Rep}_0(G)]$  is now categorified to an action of  $\mathcal{D}$  on  $\operatorname{Rep}_0(G)$ .

 $(\exists 14)$  The functor  $\Psi$  induces another functor  $\mathcal{D} \to \operatorname{Rep}_0(G)$  such that

$$B_s\langle m \rangle \mapsto T(0)B_s\langle m \rangle = \Psi(B_s\langle m \rangle)T(0) = \Theta_s T(0) \quad \forall s \in \mathcal{S}_a, \forall m \in \mathbb{Z}.$$

**Theorem [RW, Th. 1.3.1]:** Under the same hypothesis of  $(\exists 13), \forall w \in {}^{f}\mathcal{W},$  $T(0)B_{w} = \Psi(B_{w})T(0) \simeq T(w \bullet 0).$ 

## 2° 火曜日

We will assume from now on throughout the rest of the lecture that p > n, unless otherwise specified, which comes partly from the requirement to have the Elias-Williamson categorification  $\mathcal{D}$  of the Soergel bimodules to be well-behaved.

 $(\mathcal{K} 1)$  To define the EW-category  $\mathcal{D}$ , we start with the diagrammatic Bott-Samelson Hecke category  $\mathcal{D}_{BS}$ . For that we have first to define a strict monoidal category.

Definition [中岡, Def. 3.5.2, p. 211]/[Bor, Def. II.6.1.1, p. 292]/ [Mac, pp. 255-256]: A strict monoidal category is a category C equipped with a bifunctor  $\otimes : C \times C \to C$ , an object  $I \in Ob(C)$ , and a natural "associativity" identity  $\alpha_{A,B,C} : (A \otimes B) \otimes C = A \otimes (B \otimes C)$ , a natural "left unital" identity  $\lambda_A : I \otimes A = A$ , and a natural "right unital" identity  $\rho_A : A \otimes I = A$ .

Thus, the category of endo-functors Cat(Rep(G), Rep(G)) from Rep(G) to itself is a strict monoidal category under the composition of functors.

Given two strict monoidal categories  $(\mathcal{C}, \otimes, I, \alpha, \lambda, \rho)$  and  $(\mathcal{C}', \otimes', I', \alpha', \lambda', \rho')$  a strict monoidal functor  $(F, F_2, F_0) : \mathcal{C} \to \mathcal{C}'$  consists of the following data

(M1)  $F: \mathcal{C} \to \mathcal{C}'$  is a functor,

(M2)  $\forall A, B \in Ob(\mathcal{C})$ , bifunctorial identity  $F_2(A, B) \in \mathcal{C}'(F(A) \otimes' F(B), F(A \otimes B))$ ,

(M3) an identity  $F_0 \in \mathcal{C}'(I', F(I))$ .

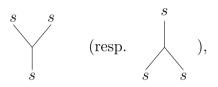
 $(\mathcal{K} 2)$  Let now  $\underline{R} = S_{\Bbbk}(\Bbbk \otimes_{\mathbb{Z}} \mathbb{Z} R^{\vee}) = \Bbbk \otimes_{\mathbb{Z}} S_{\mathbb{Z}}(\mathbb{Z} R^{\vee})$  endowed with gradation such that  $\deg(R^{\vee}) = 2$ . An expression of an element  $x \in \mathcal{W}_a$  is a sequence  $(s_1, s_2, \ldots, s_r)$  of simple reflections  $s_j \in \mathcal{S}_a$  such that  $x = s_1 s_2 \ldots s_r$ . We often denote the sequence by  $\underline{s_1 s_2 \ldots s_r}$ . We will even refer to an expression  $\underline{x}$ . The length of an expression  $\underline{x}$  is denoted  $\ell(\underline{x})$ .

The objects of  $\mathcal{D}_{BS}$  are denoted  $B_{\underline{x}}\langle m \rangle$ ,  $x \in \mathcal{W}_a$ ,  $m \in \mathbb{Z}$ , parametrized by the expressions of elements of  $\mathcal{W}_a$  and  $\mathbb{Z}$ .  $\mathcal{D}_{BS}$  is endowed with a shift of the grading autoequivalence  $\langle 1 \rangle$ such that  $(B_{\underline{x}}\langle m \rangle)\langle 1 \rangle = B_{\underline{x}}\langle m + 1 \rangle$ ; this is not even an additive category, admitting no direct sums. We will abbreviate  $B_{\underline{x}}\langle 0 \rangle$  as  $B_{\underline{x}}$ . Under the product defined on the objects such that  $B_{\underline{x}}\langle m \rangle \cdot B_{\underline{y}}\langle m' \rangle = B_{\underline{x}\underline{y}}\langle m + m' \rangle$  with  $\underline{x}\underline{y}$  denoting the concatenation of  $\underline{x}$  and  $\underline{y}$ ,  $\mathcal{D}_{BS}$  comes equipped with a structure of monoidal category. Thus,  $B_{\emptyset}$  is the unital object of  $\mathcal{D}_{BS}$ . For  $s \in S_a$  by  $\underline{s}$  we mean a sequence s, but we will abbreviate  $B_{\underline{s}}\langle m \rangle$  as  $B_s\langle m \rangle$ . The morphisms in  $\mathcal{D}_{BS}$  are defined using diagrams. An element of  $\mathcal{D}_{BS}(B_{\underline{u}}\langle m \rangle, B_{\underline{w}}\langle m' \rangle)$  is a k-linear combination of certain equivalence classes of diagrams whose bottom has strands labelled by the simple reflections with multiplicities appearing in  $\underline{v}$ . Diagrams should be read from bottom to top. The monoidal product correspond to a horizontal concatenation, and the composition to a vertical concatenation. The diagrams, i.e., morphisms, are constructed by horizontal and vertical concatenations of images under autoequivalences  $\langle m \rangle$ ,  $m \in \mathbb{Z}$ , of 4 different types of generators: (G1)  $\forall f \in \underline{R}$  homogeneous,  $B_{\emptyset} \to B_{\emptyset} \langle \deg(f) \rangle$  represented diagrammatically as f with empty top and bottom,

(G2)  $\forall s \in \mathcal{S}_a$ , the upper dot  $B_s \to B_{\emptyset} \langle 1 \rangle$  (resp. the lower dot  $B_{\emptyset} \to B_s \langle 1 \rangle$ ) represented as

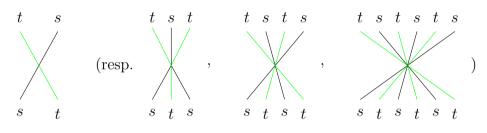
$$\begin{bmatrix} s \\ s \end{bmatrix} (\text{resp.} \quad \begin{bmatrix} s \\ s \end{bmatrix}),$$

(G3)  $\forall s \in \mathcal{S}_a$ , the trivalent vertices  $B_s \to B_{\underline{ss}} \langle -1 \rangle$  (resp.  $B_{\underline{ss}} \to B_s \langle -1 \rangle$ ) represented as



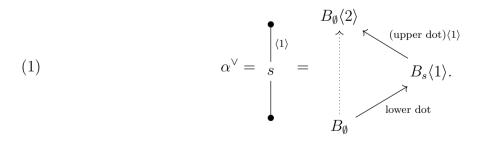
(G4)  $\forall s, t \in \mathcal{S}_a \text{ with } s \neq t \text{ and } \operatorname{ord}(st) = m_{st} \text{ in } \mathcal{W}_a, \text{ the } 2m_{st} \text{-valent vertex } B_{\underbrace{st \dots}_{m_{st}}} \to B_{\underbrace{ts \dots}_{m_{st}}}$ 

represented as



if  $m_{st} = 2$  (resp. 3, 4, 6).

Those generators are subject to a number of relations described in [EW, §5]. The relations define the "equivalence relations" on the morphisms. We recall only that isotopic diagrams are equivalent, and that,  $\forall \alpha \in \mathbb{R}^s$ , the morphism  $\alpha^{\vee} \in \mathcal{D}_{BS}(B_{\emptyset}, B_{\emptyset}\langle 2 \rangle)$  in (G1) is the composition of morphisms in (G2) [EW, 5.1]:



As  $\underline{R} = \mathbb{k}[\alpha^{\vee} | \alpha \in R^s]$ , the morphisms in (G2)-(G4) are, in fact, sufficient to generate all the morphisms in  $\mathcal{D}_{BS}$ .

There is also a monoidal equivalence  $\tau : \mathcal{D}_{BS} \to \mathcal{D}_{BS}^{op}$  sending each  $B_{\underline{w}} \langle m \rangle$  to  $B_{\underline{w}} \langle -m \rangle$  and reflecting diagrams along a horizontal axis [RW, 6.3].

 $(\pounds 3)$  The EW category  $\mathcal{D}$  is the Karoubian envelope  $\operatorname{Kar}(\mathcal{D}_{BS})$  of the additive hull of  $\mathcal{D}_{BS}$  [Bor, Prop. 6.5.9, p. 274]. Thus an object of  $\mathcal{D}$  is a direct summand of a finite direct sum of objects of  $\mathcal{D}_{BS}$ . The category  $\mathcal{D}$  is a graded category inheriting the autoequivalence  $\langle 1 \rangle$ , is Krull-Schmidt, and remains strict monoidal [RW, 1.2, 1.3]. By a Krull-Schmidt category we mean an additive category in which every object is isomorphic to a finite direct sum of indecomposable objects, and an object is indecomposable if and only if its endomorphism ring is local [EW, 6.6].  $\forall w \in \mathcal{W}_a$ ,  $\exists$ ! indecomposable  $B_w \in \operatorname{Ob}(\mathcal{D})$  such that  $B_w$  is a direct summand of each  $B_w$ for a reduced expression  $\underline{w}$  of w but is not a direct summand of any  $B_{\underline{v}}$  for an expression  $\underline{v}$ with  $\ell(\underline{v}) < \ell(w)$ . Any indecomposable object of  $\mathcal{D}$  is isomorphic to some  $B_w \langle m \rangle$  for a unique  $w \in \mathcal{W}_a$  and a unique  $m \in \mathbb{Z}$  [EW, Th. 6.25]. In particular,  $B_1 = B_{\emptyset}$  and  $B_s = B_{\underline{s}}$  for each  $s \in \mathcal{S}_a$ . Thus,  $\mathcal{D}$  is generated by objects  $B_s$ ,  $s \in \mathcal{S}_a$ . We will write  $B_x$  for  $B_x \langle 0 \rangle$ .

Our first task is to define a strict monoidal functor  $\mathcal{D}_{BS} \to \operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))^{\operatorname{op}}$  such that  $B_s\langle m \rangle \mapsto \Theta_s \ \forall s \in \mathcal{S}_a \ \forall m \in \mathbb{Z}$ . The difficulty lies in assignment of generating morphisms and verification of their relations in  $\operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))$ . We have to find enough relations among the  $\Theta_s$ 's. For that we first make use of an action of the affine Lie algebra  $\widehat{\mathfrak{gl}}_p$  over  $\mathbb{C}$  on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)]$ , due to Chuang and Rouquier [ChR]. From now on throughout the rest of the lecture we will assume  $n \geq 3$ .

(火 4) We define the affine Lie algebra  $\widehat{\mathfrak{gl}}_N$  associated to  $\mathfrak{gl}_N(\mathbb{C})$  as follows. Consider first the Lie algebra  $\widehat{\mathfrak{sl}}_N = \mathfrak{sl}_N(\mathbb{C}[t,t^{-1}]) \oplus \mathbb{C}K \oplus \mathbb{C}d$  with  $\mathfrak{sl}_N(\mathbb{C}[t,t^{-1}]) = \mathfrak{sl}_N(\mathbb{C}) \otimes_{\mathbb{C}} \mathbb{C}[t,t^{-1}]$  and the Lie bracket defined, for  $x, y \in \mathfrak{sl}_N(\mathbb{C})$  and  $k, m \in \mathbb{Z}$ , by

$$[x \otimes t^k, y \otimes t^m] = [x, y] \otimes t^{k+m} + k\delta_{k+m,0} \operatorname{Tr}(xy) K,$$
$$[d, x \otimes t^m] = mx \otimes t^m, \quad [K, \widehat{\mathfrak{sl}}_N] = 0,$$

which is the affine Lie algebra of type  $A_{N-1}^{(1)}$  in [谷崎, p. 164]. Then  $\widehat{\mathfrak{gl}}_N = \widehat{\mathfrak{sl}}_N \oplus \mathbb{C}$  with  $(0,1) = \operatorname{diag}(1,\ldots,1)$  central in  $\widehat{\mathfrak{gl}}_N$ , so  $\mathfrak{gl}_N(\mathbb{C}) = \mathfrak{sl}_N(\mathbb{C}) \oplus \mathbb{C} \leq \widehat{\mathfrak{gl}}_N$ .

Let  $e(i, j) \in \mathfrak{gl}_N(\mathbb{C}), i, j \in [1, N]$ , denote a matrix unit such that  $e(i, j)_{ab} = \delta_{a,i}\delta_{b,j} \ \forall a, b \in [1, N]$ .  $\forall i \in [0, N[$ , let

$$\hat{e}_{i} = \begin{cases} te(1,N) & \text{if } i = 0, \\ e(i+1,i) & \text{else,} \end{cases} \quad \hat{f}_{i} = \begin{cases} t^{-1}e(N,1) & \text{if } i = 0, \\ e(i,i+1) & \text{else,} \end{cases}$$
$$\hat{h}_{i} = [\hat{e}_{i},\hat{f}_{i}] = \begin{cases} e(1,1) - e(N,N) + K & \text{if } i = 0, \\ e(i+1,i+1) - e(i,i) & \text{else.} \end{cases}$$

The nonstandard indexing of  $\hat{e}$  and  $\hat{f}$  is chosen so that  $\hat{e}_i$  (resp.  $\hat{f}_i$ ) correspond to the endofunctor  $E_i$  (resp.  $F_i$ ) of Rep<sub>0</sub>(G) later in ( $\mathcal{K}$  9).

Set  $\mathfrak{h} = \mathfrak{h}_f \oplus \mathbb{C}K \oplus \mathbb{C}d < \widehat{\mathfrak{gl}}_N$  with  $\mathfrak{h}_f$  denoting the CSA of  $\mathfrak{gl}_N(\mathbb{C})$  consisting of the diagonals. Define  $(\hat{\varepsilon}_i, K^*, \delta | i \in [1, N])$  to be the dual basis of  $(e(i, i), K, d | i \in [1, N])$  in  $\mathfrak{h}^*$ . Let  $P = \{\lambda \in \mathfrak{h}^* | \lambda(\hat{h}_i) \in \mathbb{Z} \; \forall i \in [0, N[\})$ . The simple roots of  $\mathfrak{h}^*$  are defined by  $\hat{\alpha}_0 = \delta - (\hat{\varepsilon}_N - \hat{\varepsilon}_1)$ 

and  $\hat{\alpha}_i = \hat{\varepsilon}_{i+1} - \hat{\varepsilon}_i, i \in [1, N[$ . Thus,  $\forall i, j \in [0, N[$ ,

$$\hat{\alpha}_{i}(\hat{h}_{j}) = \begin{cases} 0 & \text{if } |i-j| \geq 2, \\ -1 & \text{if } |i-j| = 1 \text{ or } (i,j) \in \{(0, N-1), (N-1,0)\}, \\ 2 & \text{if } i = j, \end{cases}$$
$$[\hat{h}_{i}, \hat{e}_{j}] = \hat{\alpha}_{j}(\hat{h}_{i})\hat{e}_{j}, \quad [\hat{h}_{i}, \hat{f}_{j}] = -\hat{\alpha}_{j}(\hat{h}_{i})\hat{f}_{j}.$$

 $(\mathcal{K} 5)$  Let  $A = \coprod_{i=1}^{N} \mathbb{C}a_i$  denote the natural module for  $\mathfrak{gl}_N(\mathbb{C})$ . Then  $A \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]$  affords a module for  $\mathfrak{sl}_N(\mathbb{C}[t, t^{-1}])$  such that  $(x \otimes t^k) \cdot (a \otimes t^m) = (xa) \otimes t^{k+m} \forall x \in \mathfrak{sl}_N(\mathbb{C}), \forall a \in A \forall k, m \in \mathbb{Z}$ . One may extend it to a representation of  $\widehat{\mathfrak{gl}}_N$  by letting K act by 0, diag $(1, \ldots, 1)$  by the identity, and d by the formula  $d \cdot (a \otimes t^m) = ma \otimes t^m \forall a \in A, \forall m \in \mathbb{Z}$ . We call the resulting  $\widehat{\mathfrak{gl}}_N$ -module the natural module and denote it by  $nat_N$ .

For  $\lambda \in \mathbb{Z}$  write  $\lambda = \lambda_0 + N\lambda_1$  with  $\lambda_0 \in [1, N]$  and  $\lambda_1 \in \mathbb{Z}$ . Put  $m_\lambda = a_{\lambda_0} \otimes t^{\lambda_1}$ . Then  $\operatorname{nat}_N = \coprod_{\lambda \in \mathbb{Z}} \mathbb{C}m_\lambda$ :  $\forall \mu \in \mathbb{Z}, a_1 \otimes t^{\mu} = m_{1+N\mu}, a_2 \otimes t^{\mu} = m_{2+N\mu}, \dots, a_N \otimes t^{\mu} = m_{N+N\mu}$ , and  $\hat{e}_0 a_N = te(1, N)a_N = ta_1 = a_1 \otimes t = m_{1+N}$ .  $\forall i \in [0, N[, N]$ 

(1) 
$$\hat{e}_i m_{\lambda} = \begin{cases} m_{\lambda+1} & \text{if } i \equiv \lambda \mod N, \\ 0 & \text{else,} \end{cases}$$

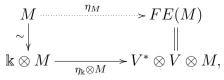
(2) 
$$\hat{f}_i m_{\lambda} = \begin{cases} m_{\lambda-1} & \text{if } i \equiv \lambda - 1 \mod N \\ 0 & \text{else,} \end{cases}$$

and  $\forall h \in \mathfrak{h}$ ,

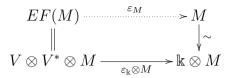
(3) 
$$hm_{\lambda} = (\hat{\varepsilon}_{\lambda_0} + \lambda_1 \delta)(h)m_{\lambda}.$$

In particular, all  $\mathfrak{h}$ -weight spaces of nat<sub>N</sub> are 1-dimensional.

 $(\mathcal{K} 6)$  Recall the natural module  $V = \mathbb{k}^{\oplus_n}$  for G with the standard basis  $v_1, \ldots, v_n$ , and its dual  $V^*$  with the dual basis  $v_1^*, \ldots, v_n^*$ . Thus,  $V = L(\varepsilon_1) = \nabla(\varepsilon_1) = \Delta(\varepsilon_1) = T(\varepsilon_1)$  and  $V^* = L(-w_0\varepsilon_1) = L(-\varepsilon_n) = \nabla(-\varepsilon_n) = \Delta(-\varepsilon_n) = T(-\varepsilon_n)$ . Define 2 exact endofunctors Eand F of  $\operatorname{Rep}_0(G)$  by  $E = V \otimes$ ? and  $F = V^* \otimes$ ?, resp. Define  $\eta_{\mathbb{k}} \in \operatorname{Rep}(G)(\mathbb{k}, V^* \otimes V)$  such that  $\eta_{\mathbb{k}}(1) = \sum_i v_i^* \otimes v_i$  and  $\varepsilon_{\mathbb{k}} \in \operatorname{Rep}(G)(V \otimes V^*, \mathbb{k})$  such that  $v \otimes \mu \mapsto \mu(v)$ ; under a  $\mathbb{k}$ linear isomorphism  $V^* \otimes V \simeq \operatorname{Mod}_{\mathbb{k}}(V, V)$  via  $f \otimes v \mapsto f(?)v$  with inverse  $\sum_i v_i^* \otimes \phi(v_i) \leftrightarrow \phi$ ,  $\sum_i v_i^* \otimes v_i$  corresponds to  $\operatorname{id}_V$ , and hence fixed by G. In turn,  $\eta_{\mathbb{k}}$  defines a natural transformation  $\eta : \operatorname{id}_{\operatorname{Rep}(G)} \Rightarrow FE$  via



while  $\varepsilon_{\Bbbk}$  defines a natural transformation  $\varepsilon : EF \Rightarrow \mathrm{id}_{\mathrm{Rep}(G)}$  via



to make  $\eta$  (resp.  $\varepsilon$ ) into the unit (resp. counit) of an adjunction (E, F) [中岡, Cor. 2.2.9, pp. 65-66] such that

(1) 
$$\operatorname{Rep}(G)(M, FM') \xrightarrow{\sim} \operatorname{Rep}(G)(EM, M')$$
 via  $\psi \mapsto \varepsilon_{M'} \circ E\psi$  with inverse  $F\phi \circ \eta_M \leftarrow \phi$ .

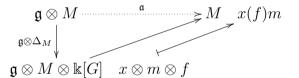
Explicitly,  $\forall m \in M$ ,

$$(F\phi \circ \eta_M)(m) = \sum_i v_i^* \otimes \phi(v_i \otimes m),$$

while, if we write  $\psi(m) = \sum_{i} v_i^* \otimes \psi(m)_i, \, \forall v \in V$ ,

$$(\varepsilon_{M'} \circ E\psi)(v \otimes m) = \sum_{i} v_i^*(v)\psi(m)_i$$

Now, let  $\mathfrak{g} = \mathfrak{gl}_n(\Bbbk)$  equipped with the structure of *G*-module Ad:  $g \bullet x = gxg^{-1} \forall g \in G \forall x \in \mathfrak{g}$ ; we identify  $\mathfrak{g}$  with  $\operatorname{Lie}(G) = \operatorname{Mod}_{\Bbbk}(\mathfrak{m}/\mathfrak{m}^2, \Bbbk), \ \mathfrak{m} = (x_{ij}, x_{ii} - 1 | i, j \in [1, n], i \neq j) \triangleleft \Bbbk[G].$  $\forall M \in \operatorname{Rep}(G), \text{ the } \mathfrak{g}\text{-action on } M \text{ given by differentiating the } G\text{-action } \Delta_M : M \to M \otimes \Bbbk[G]$ 

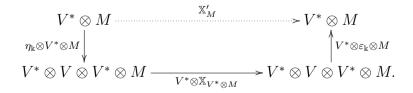


is G-equivariant [J, I.7.18.1]. Let  $\eta'_{\Bbbk} : \Bbbk \to V \otimes V^*$  via  $1 \mapsto \sum_i v_i \otimes v_i^*$  to define an adjunction (F, E) as above. Using a natural isomorphism  $\mathfrak{g} \simeq V^* \otimes V$  via  $\mu(?)v \leftrightarrow \mu \otimes v$ , define for  $M \in \operatorname{Rep}(G)$ 

$$V \otimes M \xrightarrow{\mathbb{X}_M} V \otimes M$$

$$\eta'_{\Bbbk} \otimes V \otimes M \xrightarrow{} V \otimes M \xrightarrow{} V \otimes \mathfrak{g} \otimes M,$$

which is functorial in M. Thus, one obtains an endomorphism  $\mathbb{X} \in \operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E, E)$ of E, i.e., a natural transformation from E to itself. In particular, each  $\mathbb{X}_M$  is G-equivariant. In turn,  $\mathbb{X}$  induces by adjunction (E, F) an endomorphism  $\mathbb{X}'$  of F:



Thus,  $\forall M' \in \operatorname{Rep}(G)$ ,

(2) 
$$\operatorname{Rep}(G)(EM, M') \xleftarrow{\operatorname{Rep}(G)(\mathbb{X}_M, M')} \operatorname{Rep}(G)(EM, M')$$
$$\underset{\varepsilon_{M'} \circ E}{\varepsilon_{M'} \circ E} \operatorname{Rep}(G)(M, FM') \xleftarrow{\operatorname{Rep}(G)(M, \mathbb{X}'_{M'})} \operatorname{Rep}(G)(M, FM').$$

Let Dist(G) denote the algebra of distributions on G. As G is defined over  $\mathbb{Z}$ , Dist(G) has a Z-form  $\text{Dist}(G_{\mathbb{Z}})$  which coincides with Kostant's Z-form of the universal enveloping algebra  $\mathbb{U}(\mathfrak{g}_{\mathbb{C}}) \text{ of } \mathfrak{g}_{\mathbb{C}}. \quad \operatorname{Put} \Omega = \sum_{i,j=1}^{n} e(i,j) \otimes e(j,i) \in \mathfrak{g} \otimes \mathfrak{g}; \ \operatorname{Tr}(e(i,j)e(k,l)) = \delta_{jk}\operatorname{Tr}(e(i,l)) = \delta_{jk}\delta_{il}.$ For  $x \in \mathfrak{g}$  put  $\Delta(x) = x \otimes 1 + 1 \otimes x$ . If M and M' are G-modules, recall that Dist(G) acts on the G-module  $M \otimes M'$  via  $x \mapsto \Delta(x), x \in \mathfrak{g}$ .

**Lemma:** (i)  $\forall v, v' \in V, \ \Omega \cdot (v \otimes v') = v' \otimes v.$ 

(ii)  $\forall x \in \mathfrak{g}, \ \Omega \Delta(x) = \Delta(x)\Omega$  in  $\operatorname{Dist}(G) \otimes \operatorname{Dist}(G)$ , and hence the action of  $\Omega$  on  $M \otimes M'$ for  $M, M' \in \operatorname{Rep}(G)$  commutes with the action of  $\operatorname{Dist}(G)$ .

#### **Proof:** Exercise.

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(火7) We now describe X and X' using Ω. Recall from [HLA, 10.7, p. 76] that  $\forall x \in \mathfrak{g} \forall f \in V^*$  $\forall m \in M,$ 

$$x \cdot (f \otimes m) = (xf) \otimes m + f \otimes xm = -f(x?) \otimes m + f \otimes xm.$$

In particular, x acts on  $V^*$  via  $-x^t$  with respect to the dual basis:

(1) 
$$e(i,j)v_k^* = -\delta_{ik}v_j^*.$$

Lemma [RW, 6.3]: Let  $M \in \operatorname{Rep}(G)$ .

.....

(i) 
$$\mathbb{X}_M : EM = V \otimes M \to V \otimes M = EM$$
 is given by the action of  $\Omega$ .  
(ii)  $\mathbb{X}'_M : FM = V^* \otimes M \to V^* \otimes M = FM$  is given by the action of  $-\operatorname{nid}_{V^* \otimes M} - \Omega$ .  
(iii)  $(V \otimes \mathbb{X}_M) \circ \mathbb{X}_{V \otimes M} = \mathbb{X}_{V \otimes M} \circ (V \otimes \mathbb{X}_M)$ .  
(iv)  $(V^{\otimes_2} \otimes \mathbb{X}_M) \circ \mathbb{X}_{V^{\otimes_2} \otimes M} = \mathbb{X}_{V^{\otimes_2} \otimes M} \circ (V^{\otimes_2} \otimes \mathbb{X}_M)$ .  
(v)  $\mathbb{X}_{FM} \circ (V \otimes \mathbb{X}'_M) = (V \otimes \mathbb{X}'_M) \circ \mathbb{X}_{FM}$ .  
(vi)  $\mathbb{X}'_{EM} \circ (V^* \otimes \mathbb{X}_M) = (V^* \otimes \mathbb{X}_M) \circ \mathbb{X}'_{EM}$ .

## **Proof:** Exercise.

 $(\pounds 8)$  Recall from  $(\pounds 6)$  the unit  $\eta$  and the counit  $\varepsilon$  of an adjoint pair (E, F), and also the unit  $\eta'$  and the counit  $\varepsilon'$  of an adjoint pair (F, E) induced by  $\eta'_{\Bbbk} : \Bbbk \to V \otimes V^*$  via  $1 \mapsto \sum_i v_i \otimes v_i^*$ and  $\varepsilon'_{\Bbbk} : V^* \otimes V \to \Bbbk$  via  $\xi \otimes v \mapsto \xi(v)$ .

**Lemma:** Let  $M \in \operatorname{Rep}(G)$  and  $r \in \mathbb{N}$ .

(i) 
$$(\mathbb{X}'_{EM})^r \circ \eta_M = (V^* \otimes \mathbb{X}_M)^r \circ \eta_M, \quad \varepsilon_M \circ (\mathbb{X}_{FM})^r = \varepsilon_M \circ (V \otimes \mathbb{X}'_M)^r.$$
  
(ii)  $(\mathbb{X}_{FM})^r \circ \eta'_M = (V \otimes \mathbb{X}'_M)^r \circ \eta'_M, \quad \varepsilon'_M \circ (\mathbb{X}'_{EM})^r = \varepsilon'_M \circ (V^* \otimes \mathbb{X}_M)^r.$ 

**Proof:** Exercise.

(𝔅 9) ∀ $a \in 𝔅$ , let  $E_a$  (resp.  $F_a$ ) denote the direct summand of E (resp. F) given by the generalized *a*-eigenspace of X (resp. X') acting on E (resp. F): ∀ $M \in \text{Rep}(G)$ ,

$$EM = \prod_{a \in \Bbbk} (E_a M) \quad \text{with} \quad E_a M = \bigcup_{r \in \mathbb{N}} \ker((\mathbb{X}_M - a \mathrm{id}_{EM})^r),$$
$$FM = \prod_{a \in \Bbbk} (F_a M) \quad \text{with} \quad F_a M = \bigcup_{r \in \mathbb{N}} \ker((\mathbb{X}'_M - a \mathrm{id}_{FM})^r).$$

As  $X_M$  and  $X'_M$  are G-equivariant, each  $E_a$  (resp.  $F_a$ ) is a direct summand of E (resp. F) as an endofunctor on  $\operatorname{Rep}(G)$ .

#### Lemma [RW, 6.3]: Let $a \in \Bbbk$ .

(i) The unit  $\eta$  and the counit  $\varepsilon$  of the adjunction (E, F) induce a unit  $\eta_a : \mathrm{id} \to F_a E_a$  and a counit  $\varepsilon_a : E_a F_a \to \mathrm{id}$ , resp., making  $(E_a, F_a)$  into an adjoint pair.

(ii) The unit  $\eta'$  and the counit  $\varepsilon'$  induce a unit  $\eta'_a : \mathrm{id} \to E_a F_a$  and a counit  $\varepsilon'_a : F_a E_a \to \mathrm{id}$  of an adjunction  $(F_a, E_a)$ .

**Proof:** (i) We first show that  $\eta$  (resp.  $\varepsilon$ ) factors through  $\coprod_{a \in \Bbbk} \eta_a : \mathrm{id} \to \coprod_{a \in \Bbbk} F_a E_a$  (resp.  $\coprod_{a \in \Bbbk} \varepsilon_a : \coprod_{a \in \Bbbk} E_a F_a \to \mathrm{id}$ )

(1)  $\operatorname{id} \xrightarrow{\eta} FE \quad \text{and} \quad EF \xrightarrow{\varepsilon} \operatorname{id}$  $\underset{\coprod_{a \in \Bbbk} \eta_a}{\stackrel{\uparrow}{\coprod}} \underset{A \in \Bbbk}{\stackrel{\uparrow}{\coprod}} F_a E_a \quad \underset{\coprod_{a \in \Bbbk} E_a F_a}{\stackrel{\downarrow}{\coprod}} \operatorname{ad}$ 

Let  $M \in \operatorname{Rep}(G)$ ,  $m \in M$  and  $d = \dim FEM$ . Let  $\eta(m)_{ab}$  be the  $F_a E_b M$  component of  $\eta_M(m)$ . Then

$$0 = (\mathbb{X}'_{EM} - a\mathrm{id})^d \eta(m)_{ab} \quad \text{as } \eta(m)_{ab} \in F_a(E_bM)$$
$$= ((V^* \otimes \mathbb{X}_M) - a\mathrm{id})^d \eta(m)_{ab} \quad \text{by } (\mathscr{K} 8.\mathrm{i}).$$

On the other hand,  $0 = (V^* \otimes (X_M - bid))^d \eta(m)_{ab}$  as  $\eta(m)_{ab} \in V^* \otimes (E_b M)$ . It follows that  $\eta(m)_{ab} = 0$  unless a = b, and hence  $\operatorname{im}(\eta_M) \leq \prod_{a \in \mathbb{k}} F_a E_a M$ .

Let next  $x \in E_a F_b M$  with  $a \neq b$ . Take polynomials  $\phi, \psi \in \mathbb{k}[t]$  with  $(t-a)^d \phi + (t-b)^d \psi = 1$ .

Then

$$\varepsilon_{M}(x) = \varepsilon_{M}(\{\phi(\mathbb{X}_{FM})(\mathbb{X}_{FM} - a\mathrm{id})^{d} + \psi(\mathbb{X}_{FM})(\mathbb{X}_{FM} - b\mathrm{id})^{d}\}x)$$
  

$$= \varepsilon_{M}(\psi(\mathbb{X}_{FM})(\mathbb{X}_{FM} - b\mathrm{id})^{d}x) \quad \text{as } x \in E_{a}(FM)$$
  

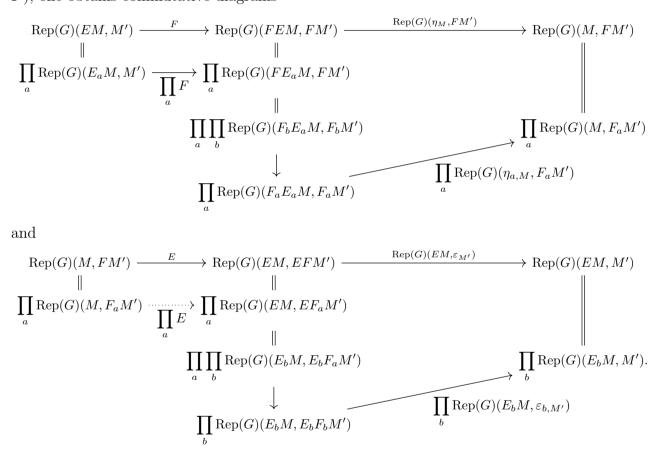
$$= \varepsilon_{M}(\psi(\mathbb{X}_{FM})(V \otimes \mathbb{X}'_{M} - b\mathrm{id})^{d}x) \quad \text{by } (\mathcal{K} 8.\mathrm{i})$$
  

$$= \varepsilon_{M}(\psi(\mathbb{X}_{FM})(V \otimes (\mathbb{X}'_{M} - b\mathrm{id})^{d})x)$$
  

$$= 0 \quad \text{as } x \in E(F_{b}M),$$

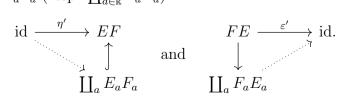
and hence (1) holds.

Recall from  $(\mathcal{K} 6.1)$  the adjunction  $\operatorname{Rep}(G)(EM, M') \simeq \operatorname{Rep}(G)(M, FM')$  given by  $f \mapsto (Ff) \circ \eta_M$  with inverse  $g \mapsto \varepsilon_{M'} \circ Eg$ . As each  $E_a$  (resp.  $F_a$ ) is a direct summand of E (resp. F), one obtains commutative diagrams



One thus obtains for each  $a \in \mathbb{k}$  isomorphisms  $\operatorname{Rep}(G)(E_aM, M') \simeq \operatorname{Rep}(G)(M, F_aM')$  via  $f \mapsto F_a(f) \circ \eta_{a,M}$  and  $\varepsilon_{a,M'} \circ E_a(g) \leftarrow g$  inverse to each other.

(ii) As in (i) it suffices to show that the induced counit  $\eta' : \mathrm{id} \to EF$  (resp. unit  $\varepsilon' : FE \to \mathrm{id}$ ) factors through  $\coprod_{a \in \Bbbk} E_a F_a$  (resp.  $\coprod_{a \in \Bbbk} F_a E_a$ )



Let  $\eta'(m)_{ab}$  be the  $E_a F_b M$ -component of  $\eta'_M(m)$ . One has

$$0 = (\mathbb{X}_{FM} - a\mathrm{id})^d \eta'(m)_{ab} = ((V \otimes \mathbb{X}'_M) - a\mathrm{id})^d \eta'(m)_{ab} \quad \text{by } (\mathscr{K} 8.\mathrm{ii})$$

while  $0 = \{V \otimes (\mathbb{X}'_M - bid)\}^d \eta'_M(m)_{ab}$ , and hence  $\eta'_M(m) = 0$  unless n + a = n + b. Thus,  $im(\eta'_M) \leq \coprod_a E_a F_a M$ .

Let finally  $y \in F_a E_b M$  with  $a \neq b$ . Then, with  $\phi, \psi \in \mathbb{k}[t]$  as above,

$$\begin{aligned} \varepsilon'_{M}(y) &= \varepsilon'_{M}(\{\phi(\mathbb{X}'_{EM})(\mathbb{X}'_{EM} - a\mathrm{id})^{d} + \psi(\mathbb{X}'_{EM})(\mathbb{X}'_{EM} - b\mathrm{id})^{d}\}y) = \varepsilon'_{M}(\psi(\mathbb{X}'_{EM})(\mathbb{X}'_{EM} - b\mathrm{id})^{d}y) \\ &= \varepsilon'_{M}(\psi(\mathbb{X}'_{EM})(V^{*} \otimes \mathbb{X}_{M} - b\mathrm{id})^{d}y) \quad \text{by } (\mathscr{K} 8.\mathrm{ii}) \\ &= 0, \quad \text{as desired.} \end{aligned}$$

## 3°水曜日

To answer the question of the choice of  ${}^{p}\underline{H}_{w}$  for  $w \in {}^{f}\mathcal{W}$  we note that, as those correspond to the indecomposables  $B_{w}$  of  $\mathcal{D}$ , they extend to  ${}^{p}\underline{H}_{x}$ ,  $x \in \mathcal{W}_{a}$ , to form a  $\mathbb{Z}[v, v^{-1}]$ -linear basis of  $\mathcal{H}$ , and coincide with the  $\underline{H}_{x}$  for  $p \gg 0$ . Just like the latter have geometric counterpart the intersection cohomology over the affine flag variety, the  ${}^{p}\underline{H}_{x}$  are related to the parity sheaves on the affine flag variety. Thus, the  ${}^{p}\underline{H}_{x}$  are named *p*-KL polynomials.

 $(\mathcal{K} 1)$  Recall now from  $(\mathcal{K} 1)$  with N = p the affine Lie algebra  $\widehat{\mathfrak{gl}}_p$  over  $\mathbb{C}$  and from  $(\mathcal{K} 2)$  its natural representation  $\operatorname{nat}_p$ .

**Proposition** [RW, 6.3]: (i)  $\forall a \in \mathbb{k} \setminus \mathbb{F}_p$ ,  $E_a = 0 = F_a$ , and hence  $E = \coprod_{a \in \mathbb{F}_p} E_a$ ,  $F = \coprod_{a \in \mathbb{F}_p} F_a$ .

(ii) Let  $\phi : \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)] \to \wedge^n(\operatorname{nat}_p)$  be a  $\mathbb{C}$ -linear isomorphism via

 $1 \otimes [\Delta(\lambda)] \mapsto m_{\lambda_1} \wedge m_{\lambda_2 - 1} \wedge \dots \wedge m_{\lambda_n - n + 1} \quad \forall \lambda = (\lambda_1, \dots, \lambda_n) \in \Lambda^+.$ 

 $\forall a \in \mathbb{F}_p$ , regarding it as an element of [0, p[, one has a commutative diagram

Thus, we may regard the exact functors  $E_a$ ,  $F_a$ ,  $a \in [0, p[$ , as part of an action of  $\widehat{\mathfrak{gl}}_p$  on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)]$  through  $\phi$ .

(iii) The "block" decomposition  $\mathbb{C}\otimes_{\mathbb{Z}}[\operatorname{Rep}(G)] = \coprod_{b\in\Lambda/\mathcal{W}_a} \mathbb{C}\otimes_{\mathbb{Z}}[\operatorname{Rep}_b(G)]$  reads as the weight space decomposition of  $\wedge^n(\operatorname{nat}_p)$  under  $\phi$ ; each  $\phi(\mathbb{C}\otimes_{\mathbb{Z}}[\operatorname{Rep}_b(G)])$  provides a distinct weight space on  $\wedge^n(\operatorname{nat}_p)$  of weight  $\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j$  with  $n_j = |\{k \in [1, n] | \lambda_k - k + 1 \equiv j \mod p\}|$  if  $\lambda = (\lambda_1, \ldots, \lambda_n) \in b$ ; for  $r \in \mathbb{Z}$  we write  $r = r_0 + pr_1$  with  $r_0 \in [1, p]$ .

**Proof:** Details will be given in  $(\mathcal{K} 3)$  with G replaced by  $G_1T$ .

 $(\mathcal{K} 2)$  From  $(\mathcal{K} 1.iii)$  we see that the set of weights of  $\wedge^n(\operatorname{nat}_p)$  is

$$P(\wedge^{n}(\operatorname{nat}_{p})) = \{k\delta + \sum_{i=1}^{p} n_{i}\hat{\varepsilon}_{i} | k \in \mathbb{Z}, n_{i} \in \mathbb{N}, \sum_{i=1}^{p} n_{i} = n\}$$

We will denote the bijection  $P(\wedge^n(\operatorname{nat}_p)) \to \Lambda/(\mathcal{W}_a \bullet)$  by  $\iota_n$ . Note that  $\Lambda/(\mathcal{W}_a \bullet)$  is infinite;  $\Lambda = \mathbb{Z} \det \oplus \coprod_{i=1}^{n-1} \mathbb{Z} \varpi_i$  with  $\mathcal{W}_a$  acting trivially on the  $\mathbb{Z}$  det-component.

Let now  $\varpi = \hat{\varepsilon}_1 + \dots + \hat{\varepsilon}_n$ . As  $\phi([\Delta(n, \dots, n)])$  has weight  $\varpi$ ,  $\iota_n(\varpi) = \mathcal{W}_a \bullet (n, \dots, n) = \mathcal{W}_a \bullet n$  det with  $n \det \in A^+$ .  $\forall i \in [1, n[, \phi([\Delta(n, \dots, n, n+1, n, \dots, n)])$  has weight  $\varpi + \hat{\alpha}_i$ , and hence  $\iota_n(\varpi + \hat{\alpha}_i) = \mathcal{W}_a \bullet (\underbrace{n, \dots, n}_{n-i}, n+1, n, \dots, n) = \mathcal{W}_a \bullet (n \det + \varepsilon_{n-i+1})$ . Put  $\mu_{s_j} = n \det + \varepsilon_{j+1}$ ,  $j \in [1, n[, \forall k \in [0, n[,$ 

$$\langle \mu_{s_j} + \zeta, \alpha_k^{\vee} \rangle = \begin{cases} 1 + \langle \varepsilon_{j+1}, \alpha_k^{\vee} \rangle & \text{if } k \neq 0, \\ n - 1 + \langle \varepsilon_{j+1}, \varepsilon_1^{\vee} - \varepsilon_n^{\vee} \rangle & \text{if } k = 0 \end{cases}$$

$$= \begin{cases} 0 & \text{if } k = j, \\ 2 & \text{if } k = j + 1, \\ n - 1 & \text{if } k = 0 \text{ and } j \neq n - 1, \\ n - 2 & \text{if } k = 0 \text{ and } j = n - 1, \\ 1 & \text{else}, \end{cases}$$

and hence  $\mu_{s_j}$  lies in the  $s_{\alpha_j}$ -wall of  $A^+$ . For  $\lambda \in \Lambda$ , let us abbreviate  $\mathcal{W}_a \bullet \lambda$  as  $[\lambda]$ , and write  $i_{[\lambda]} : \operatorname{Rep}_{[\lambda]}(G) \hookrightarrow \operatorname{Rep}(G)$ . Then

$$\begin{split} E_{n-j}|_{\operatorname{Rep}_{[n \det]}(G)} &= E_{n-j}|_{\operatorname{Rep}_{\iota_n(\varpi)}(G)} = \operatorname{pr}_{\iota_n(\varpi + \hat{\alpha}_{n-j})}(V \otimes ?) \quad \text{by } (\not K \ 1) \\ & \text{as the action of } \hat{e}_{n-j} \text{ increases the weight by } \hat{\alpha}_{n-j} \ (\not K \ 4) \\ &= \operatorname{pr}_{[\mu_{s_j}]}(V \otimes \operatorname{pr}_{[n \det]}?) \circ i_{[n \det]} = \operatorname{pr}_{[\mu_{s_j}]}(\nabla(\varepsilon_1) \otimes \operatorname{pr}_{[n \det]}?) \circ i_{[n \det]}. \end{split}$$

We could abbreviate  $\operatorname{pr}_{[\mu_{s_j}]}$  as  $\operatorname{pr}_{\mu_{s_j}}$  after the convention in ( $\exists 8$ ). As  $\mu_{s_j} - n \det = \varepsilon_{j+1} \in \mathcal{W}\varepsilon_1$ ,  $\operatorname{pr}_{[\mu_{s_j}]}(V \otimes \operatorname{pr}_{[n \det]}?)$  may be taken to be the translation functor  $\operatorname{T}_{n \det}^{\mu_{s_j}}$  by ( $\exists 8$ ), and hence

$$E_{n-j}|_{\operatorname{Rep}_{[n \det]}(G)} = \operatorname{T}_{n \det}^{\mu_{s_j}}|_{\operatorname{Rep}_{[n \det]}(G)}$$

Likewise, as  $n \det -\mu_{s_j} = -\varepsilon_{j+1} \in \mathcal{W}(-\varepsilon_n) = \mathcal{W}(-w_0\varepsilon_1)$  and as  $V^* \simeq \nabla(-w_0\varepsilon_1)$ , one may regard  $F_{n-j}|_{\operatorname{Rep}_{[\mu_{s_j}]}(G)}$  as the translation functor  $\operatorname{T}_{\mu_{s_j}}^{n \det}|_{\operatorname{Rep}_{[\mu_{s_j}]}(G)}$ .

Consider next  $\mu_{s_0} = (p+1, n, \dots, n) \in \Lambda^+$ .  $\forall k \in [0, n[,$ 

$$\langle \mu_{s_0} + \zeta, \alpha_k^{\vee} \rangle = \begin{cases} p & \text{if } k = 0, \\ p - n + 2 & \text{if } k = 1, \\ 1 & \text{else,} \end{cases}$$

and hence  $\mu_{s_0}$  lies in the  $s_{\alpha_0,1}$ -wall of  $A^+$ . This proves part (i) of the following

**Corollary** [RW, Rmk. 6.4.7]: (i)  $\forall j \in [1, n[$ , one may regard  $E_{n-j}$  (resp.,  $F_{n-j}$ ) as the translation functor  $T_{n \det}^{\mu_{s_j}}$  (resp.  $T_{\mu_{s_j}}^{n \det}$ ) restricted to  $\operatorname{Rep}_{[n \det]}(G)$  (resp.  $\operatorname{Rep}_{[\mu_{s_j}]}(G)$ ).

(ii) One may take  $E_0E_{p-1}\ldots E_{n+1}E_n|_{\operatorname{Rep}_{[n \operatorname{det}]}(G)}$  (resp.  $F_nF_{n+1}\ldots F_{p-1}F_0|_{\operatorname{Rep}_{[\mu_{s_0}]}(G)}$ ) to be the translation functor  $\operatorname{T}_{n \operatorname{det}}^{\mu_{s_0}}$  (resp.  $\operatorname{T}_{\mu_{s_0}}^{n \operatorname{det}}$ ) restricted to  $\operatorname{Rep}_{[n \operatorname{det}]}(G)$  (resp.  $\operatorname{Rep}_{[\mu_{s_0}]}(G)$ ).

 $(\mathcal{K}3)$  Analogous assertions hold for  $G_1T$ -modules with  $\wedge^n$  replaced by  $\otimes^n$  and  $\Delta(\lambda), \lambda \in \Lambda^+$ , by  $\hat{\Delta}(\lambda) = \text{Dist}(G_1) \otimes_{\text{Dist}(B_1^+)} \lambda, \lambda \in \Lambda$ . As the  $[\hat{\Delta}(\lambda)], \lambda \in \Lambda$ , do not span the whole of  $\text{Rep}(G_1T)$  [J, II.9.9], we consider the additive full subcategory  $\text{Rep}'(G_1T)$  of  $\text{Rep}(G_1T)$  consisting of those admitting a filtration with subquotients  $\hat{\Delta}(\lambda), \lambda \in \Lambda$ , and hence the Grothendieck group  $[\text{Rep}'(G_1T)]$  of  $\text{Rep}'(G_1T)$  has  $\mathbb{Z}$ -basis  $[\hat{\Delta}(\lambda)], \lambda \in \Lambda$ ; although  $\text{Rep}'(G_1T)$  does not form a Serre subcategory of  $\text{Rep}(G_1T)$  we may talk about its Grothendieck group [CR, 16.3].

Note that, as  $\eta'_{\Bbbk}$  and  $\mathfrak{a}$  are both *G*-equivariant,  $\mathbb{X}_M$  is  $G_1T$ -equivariant  $\forall M \in \operatorname{Rep}(G_1T)$ , and hence all  $E_a$ ,  $a \in \Bbbk$ , are  $G_1T$ -equivariant on  $\operatorname{Rep}(G_1T)$ . Likewise for the  $F_a$ 's. One could also argue with ( $\mathcal{K}$  6.ii).

**Proposition:** (i)  $\forall a \in \mathbb{k} \setminus \mathbb{F}_p$ ,  $E_a = 0 = F_a$ , and hence  $E = \coprod_{a \in \mathbb{F}_p} E_a$ ,  $F = \coprod_{a \in \mathbb{F}_p} F_a$ .

(ii) Let 
$$\phi' : \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_1T)] \to \otimes^n(\operatorname{nat}_p)$$
 be a  $\mathbb{C}$ -linear isomorphism via  
 $[\hat{\Delta}(\lambda)] \mapsto m_{\lambda_1} \otimes m_{\lambda_2-1} \otimes \cdots \otimes m_{\lambda_n-n+1} \quad \forall \lambda = (\lambda_1, \dots, \lambda_n) \in \Lambda.$ 

 $\forall a \in \mathbb{F}_p$ , regarding it as an element of [0, p], one has a commutative diagram

$$\overset{\circ}{\approx}^{n}(\operatorname{nat}_{p}) \xleftarrow{\phi'}{\sim} \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_{1}T)] \xrightarrow{\phi'}{\sim} \overset{\circ}{\approx} \otimes^{n}(\operatorname{nat}_{p})$$

$$\overset{\hat{e}_{a}}{\downarrow} \qquad \qquad \mathbb{C} \otimes_{\mathbb{Z}} [E_{a}] \downarrow \qquad \downarrow^{\mathbb{C} \otimes_{\mathbb{Z}} [F_{a}]} \qquad \qquad \downarrow^{\hat{f}_{a}} \qquad \qquad \qquad \downarrow^{\hat{f}_{a}}$$

$$\overset{\circ}{\approx}^{n}(\operatorname{nat}_{p}) \xleftarrow{\sim}{\phi'} \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_{1}T)] \xrightarrow{\sim}{\phi'} \overset{\circ}{\approx} \otimes^{n}(\operatorname{nat}_{p}).$$

Thus, we may regard the exact functors  $E_a$ ,  $F_a$ ,  $a \in [0, p[$ , as part of an action of  $\widehat{\mathfrak{gl}}_p$  on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_1T)]$  through  $\phi'$ .

(iii) The "block" decomposition  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_1T)] = \coprod_{b \in \Lambda/\mathcal{W}_a} \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'_b(G_1T)]$  reads as the weight space decomposition of  $\otimes^n(\operatorname{nat}_p)$  under  $\phi'$ ; each  $\phi'(\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'_b(G_1T)])$  provides a distinct weight space on  $\otimes^n(\operatorname{nat}_p)$  of weight  $\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j$  with  $n_j = |\{k \in [1,n] | \lambda_k - k + 1 \equiv j \mod p\}|$  if  $\lambda = (\lambda_1, \ldots, \lambda_n) \in b$ .

**Proof:** By the standing hypothesis p > 3. Let  $\mathbb{U}(\mathfrak{g})$  be the universal enveloping algebra of  $\mathfrak{g}$ , and let  $C = \sum_{i,j=1}^{n} e(i,j)e(j,i) \in \mathbb{U}(\mathfrak{g})$  be the Casimir element with respect to the trace form on V:  $\operatorname{Tr}(e(j,i)e(k,l)) = \delta_{ik}\delta_{jl}$ . Then

(1)  $C ext{ is central in } \mathbb{U}(\mathfrak{g}).$ 

For let  $x \in \mathfrak{g}$ . Enumerate the e(i, j) as  $x_1, \ldots, x_N$ ,  $N = n^2$ , and let  $y_1, \ldots, y_N$  be their dual

basis with respect to the trace form. In  $\mathbb{U}(\mathfrak{g})$ 

$$Cx = \sum_{i=1}^{N} x_i y_i x = \sum_{i=1}^{N} ([x_i y_i, x] + x x_i y_i) = xC + \sum_{i=1}^{N} [x_i y_i, x]$$

with  $[x_i y_i, x] = [x_i, x] y_i + x_i [y_i, x]$ . Write  $[x_i, x] = \sum_{j=1}^N \xi_{ij} x_i$  and  $[y_i, x] = \sum_{j=1}^N \xi'_{ij} y_i$  for some  $\xi_{ij}, \xi'_{ij} \in \mathbb{k}$ . Then  $\xi_{ij} = \text{Tr}([x_i, x] y_j) = \text{Tr}(x_i [x, y_j]) = -\xi'_{ji}$ , and hence  $[x_i, x] y_i = \sum_{j=1}^N \xi_{ji} x_j y_i = -\sum_{j=1}^N \xi'_{ji} x_j y_i$  while  $x_i [y_i, x] = \sum_{j=1}^N \xi'_{ij} x_i y_j$ . It follows that

$$\sum_{i=1}^{N} [x_i y_i, x] = \sum_{i=1}^{N} ([x_i, x] y_i + x_i [y_i, x]) = \sum_{i=1}^{N} (-\sum_{j=1}^{N} \xi'_{ji} x_j y_i + \sum_{j=1}^{N} \xi'_{ij} x_i y_j) = 0,$$

and hence Cx = xC.

Let us denote by  $\Delta : \mathbb{U}(\mathfrak{g}) \to \mathbb{U}(\mathfrak{g}) \otimes \mathbb{U}(\mathfrak{g})$  the comultiplication on  $\mathbb{U}(\mathfrak{g})$ . Then in  $\mathbb{U}(\mathfrak{g}) \otimes \mathbb{U}(\mathfrak{g})$  one has

$$\begin{aligned} \Delta(C) &= \sum_{i,j} (e(j,i) \otimes 1 + 1 \otimes e(j,i))(e(i,j) \otimes 1 + 1 \otimes e(i,j)) \\ &= \sum_{i,j} (e(j,i)e(i,j) \otimes 1 + e(i,j) \otimes e(j,i) + e(j,i) \otimes e(i,j) + 1 \otimes e(j,i)e(i,j)), \end{aligned}$$

and hence

(2) 
$$\Omega = \frac{1}{2} \{ \Delta(C) - C \otimes 1 - 1 \otimes C \},$$

which also explains (3.3.ii) at least when  $p \neq 2$ . Write  $C = 2\sum_{i < j} e(j, i)e(i, j) + \sum_{i=1}^{n} e(i, i)^2 + \sum_{i < j} (e(i, i) - e(j, j))$ , using the fact that e(i, j)e(j, i) = e(j, i)e(i, j) + e(i, i) - e(j, j).

Let  $\lambda = (\lambda_1, \ldots, \lambda_n) = \sum_{i=1}^n \lambda_i \varepsilon_i \in \Lambda$ . As  $\hat{\Delta}(\lambda) = \text{Dist}(G_1) \otimes_{\text{Dist}(B_1^+)} \lambda$  and as  $\mathbb{U}(\mathfrak{g}) \twoheadrightarrow$  Dist $(G_1), C$  acts on  $\hat{\Delta}(\lambda)$  by the scalar

(3) 
$$b_{\lambda} := \sum_{i=1}^{n} \lambda_i^2 + \sum_{i < j} (\lambda_i - \lambda_j);$$

if  $i < j, e(i, j) \in \text{Dist}(U_1^+)$  annihilates  $1 \otimes 1$  while each e(i, i) acts on  $1 \otimes 1$  by scalar  $\lambda(e(i, i)) = \lambda_i$ .

One has

$$E\hat{\Delta}(\lambda) = V \otimes \hat{\Delta}(\lambda) = V \otimes \operatorname{ind}_{B^+}^{G_1B^+}(\lambda - 2(p-1)\rho) \quad [J, \text{II.9.2}]$$
  
$$\simeq \operatorname{ind}_{B^+}^{G_1B^+}(V \otimes (\lambda - 2(p-1)\rho)) \quad \text{by the tensor identity } [J, \text{I.3.6}],$$

and hence  $E\hat{\Delta}(\lambda)$  admits a filtration with the subquotients  $\hat{\Delta}(\lambda + \varepsilon_i)$ ,  $i \in [1, n]$ . As C acts on  $V \otimes \hat{\Delta}(\lambda)$  through the comultiplication and as  $V = \Delta(\varepsilon_1)$ ,  $\Omega$  acts by (2) and (3) on  $\hat{\Delta}(\varepsilon_i + \lambda)$  by scalar

(4) 
$$\frac{1}{2}(b_{\lambda+\varepsilon_i}-b_{\varepsilon_1}-b_{\lambda}) = \lambda_i - i + 1.$$

(5) 
$$[E_a][\hat{\Delta}(\lambda)] = \sum_{\substack{i \in [1,n]\\\lambda_i - i + 1 \equiv a \bmod p}} [\hat{\Delta}(\lambda + \varepsilon_i)].$$

For  $\mu \in \Lambda$  write  $\lambda \to_a \mu$  iff there is  $i \in [1, n]$  with  $\lambda_i - i + 1 \equiv a \mod p$  such that  $\mu = \lambda + \varepsilon_i$ . Then (5) reads

(6) 
$$[E_a][\hat{\Delta}(\lambda)] = \sum_{\substack{\mu \in \Lambda \\ \lambda \to a\mu}} [\hat{\Delta}(\mu)].$$

Turning to F, as  $F\hat{\Delta}(\lambda) = V^* \otimes \hat{\Delta}(\lambda) \simeq \operatorname{ind}_{B^+}^{G_1B^+}(V^* \otimes (\lambda - 2(p-1)\rho))$ , the subquotients of  $F\hat{\Delta}(\lambda)$  in its  $\hat{\Delta}$ -filtration are  $\hat{\Delta}(\lambda - \varepsilon_i)$ ,  $i \in [1, n]$ . It follows that the eigenvalues of  $\mathbb{X}_{\hat{\Delta}(\lambda)}$  on  $F\hat{\Delta}(\lambda)$  are, as  $V^* = \Delta(-\varepsilon_n)$ ,  $-n - \frac{1}{2}(b_{\lambda - \varepsilon_i} - b_{-\varepsilon_n} - b_{\lambda}) = \lambda_i - i$  by (3.4). Then  $F_a = 0$  unless  $a \in \mathbb{F}_p$ , and hence  $F = \coprod_{a \in \mathbb{F}_p} F_a$ .  $\forall a \in \mathbb{F}_p \ \forall \lambda \in \Lambda$ ,

(7) 
$$[F_a][\hat{\Delta}(\lambda)] = \sum_{\substack{i \in [1,n]\\\lambda_i - i \equiv a \mod p}} [\hat{\Delta}(\lambda - \varepsilon_i)] = \sum_{\substack{\mu \in \Lambda\\\mu \to a\lambda}} [\hat{\Delta}(\mu)].$$

Now,

$$(\phi' \circ [E_a])[\hat{\Delta}(\lambda)] = \phi'(\sum_{\substack{\mu \in \Lambda \\ \lambda \to a\mu}} [\hat{\Delta}(\mu)]) = \sum_{\substack{\mu \in \Lambda \\ \lambda \to a\mu}} m_{\mu_1} \otimes m_{\mu_2 - 1} \otimes \cdots \otimes m_{\mu_n - n + 1}$$

while

$$\begin{aligned} (\hat{e}_a \circ \phi')[\dot{\Delta}(\lambda)] &= \hat{e}_a(m_{\lambda_1} \otimes m_{\lambda_2 - 1} \otimes \cdots \otimes m_{\lambda_n - n + 1}) \\ &= (\hat{e}_a m_{\lambda_1}) \otimes m_{\lambda_2 - 1} \otimes \cdots \otimes m_{\lambda_n - n + 1} \\ &+ m_{\lambda_1} \otimes (\hat{e}_a m_{\lambda_2 - 1}) \otimes m_{\lambda_3 - 2} \otimes \cdots \otimes m_{\lambda_n - n + 1} + \dots \\ &+ m_{\lambda_1} \otimes \cdots \otimes m_{\lambda_{n - 1} - n + 2} \otimes (\hat{e}_a m_{\lambda_n - n + 1}). \end{aligned}$$

For  $\mu \in \Lambda$  with  $\lambda \to_a \mu$  there is  $j \in [1, n]$  with  $\lambda_j - j + 1 \equiv a \mod p$  such that  $\forall k \in [1, n]$ ,

$$\mu_k = \begin{cases} \lambda_k + 1 & \text{if } k = j, \\ \lambda_k & \text{else.} \end{cases}$$

On the other hand, by  $(\pounds 5.1)$ 

$$\hat{e}_a m_{\lambda_i - i + 1} = \begin{cases} m_{\lambda_i - i + 2} & \text{if } \lambda_i - i + 1 \equiv a \mod p, \\ 0 & \text{else.} \end{cases}$$

Thus,

$$(\hat{e}_a \circ \phi')[\hat{\Delta}(\lambda)] = \sum_{\substack{i \\ \lambda_i - i + 1 \equiv a \mod p}} m_{\lambda_1} \otimes m_{\lambda_2 - 1} \otimes \cdots \otimes m_{\lambda_{i-1} - i + 2} \otimes m_{\lambda_i - i + 2} \otimes m_{\lambda_{i+1} - i} \otimes \cdots \otimes m_{\lambda_n - n + 1}$$

$$= (\phi' \circ [E_a])[\hat{\Delta}(\lambda)]$$

Likewise,  $\hat{f}_a \circ \phi' = \phi' \circ [F_a] \ \forall a \in [0, p[.$ 

(iii) The weight of  $m_{\nu_1} \otimes \cdots \otimes m_{\nu_n} \in \otimes^n (\operatorname{nat}_p)$  is, writing  $\nu_i = \nu_{i0} + \nu_{i1}p$  with  $\nu_{i0} \in [1, p]$ ,

$$(\hat{\varepsilon}_{\nu_{10}} + \nu_{11}\delta) + \dots + (\hat{\varepsilon}_{\nu_{n0}} + \nu_{n1}\delta) = (\sum_{i=1}^{n} \nu_{i1})\delta + \sum_{i=1}^{n} \hat{\varepsilon}_{\nu_{i0}} = (\sum_{i=1}^{n} \nu_{i1})\delta + \sum_{j=1}^{p} n_j \hat{\varepsilon}_j$$

with  $n_j = |\{i \in [1, n] | \nu_{i0} = j\}| = |\{i \in [1, n] | \nu_i \equiv j \mod p\}|$ ; in particular,  $\sum_j n_j = n$  from the middle expression. It follows  $\forall \lambda, \mu \in \Lambda$  that  $\phi'([\hat{\Delta}(\lambda)]) = m_{\lambda_1} \otimes m_{\lambda_2 - 1} \otimes \cdots \otimes m_{\lambda_n - n + 1}$  and  $\phi'([\hat{\Delta}(\mu)]) = m_{\mu_1} \otimes m_{\mu_2 - 1} \otimes \cdots \otimes m_{\mu_n - n + 1}$  have the same weight iff  $\sum_{i=1}^n (\lambda_i - i + 1)_1 = \sum_{i=1}^n (\mu_i - i + 1)_1$  and  $\forall j \in [1, p], |\{i \in [1, n] | \lambda_i - i + 1 \equiv j \mod p\}| = |\{i \in [1, n] | \mu_i - i + 1 \equiv j \mod p\}|$ iff  $\sum_{i=1}^n (\lambda + \zeta)_{i1} = \sum_{i=1}^n (\mu + \zeta)_{i1}$  and  $\forall j \in [1, p], |\{i \in [1, n] | (\lambda + \zeta)_i \equiv j \mod p\}| = |\{i \in [1, n] | (\mu + \zeta)_i \equiv j \mod p\}|$  as  $\zeta = (0, -1, \dots, -n + 1)$ iff  $\exists \sigma \in \mathfrak{S}_n$  and  $\nu_1, \dots, \nu_n \in \mathbb{Z}$  with  $\nu_1 + \dots + \nu_n = 0$ :  $(\lambda + \zeta) - \sigma(\mu + \zeta) = p(\nu_1, \dots, \nu_n)$ iff  $\lambda + \zeta \in \mathcal{W}_a(\mu + \zeta)$  as  $\{(\nu_1, \dots, \nu_n) \in \mathbb{Z}^{\oplus_n} | \nu_1 + \dots + \nu_n = 0\} = \mathbb{Z}R$ iff  $\lambda \in \mathcal{W}_a \bullet \mu$ , as desired.

 $(\mathcal{K} 4)$  Let  $a \in [0, p[$ . We have seen above that  $\mathbb{C} \otimes [\operatorname{Rep}'(G_1T)]$  admits a structure of  $\mathfrak{sl}_2(\mathbb{C})$ module such that

$$x = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \mapsto \mathbb{C} \otimes [E_a] \text{ and } y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \mapsto \mathbb{C} \otimes [F_a].$$

We show that the action extends to  $\mathbb{C} \otimes [\operatorname{Rep}(G_1T)].$ 

**Corollary:** (i) There is a structure of  $\mathfrak{sl}_2(\mathbb{C})$ -module on  $\mathbb{C}\otimes[\operatorname{Rep}(G_1T)]$  such that  $x \mapsto \mathbb{C}\otimes[E_a]$ and  $y \mapsto \mathbb{C} \otimes [F_a]$ . As such, each  $1 \otimes [\hat{L}(\lambda)]$ ,  $\lambda \in \Lambda$ , has weight  $\{\sum_{i=1}^n (\lambda_i - i + 1)_i \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j\}(\hat{h}_a)$  with respect to [x, y]. Thus,  $\operatorname{Rep}(G_1T)$  provides an  $\mathfrak{sl}_2$ -categorification of  $\mathbb{C} \otimes \mathbb{Z}[\operatorname{Rep}(G_1T)]$  in the sense of [ChR]/[Ro].

(ii)  $\forall j \in [1, n[, one may regard E_{n-j} (resp., F_{n-j}) as the translation functor <math>T_{n \det}^{\mu_{s_j}}$  (resp.  $T_{\mu_{s_j}}^{n \det}$ ) restricted to  $\operatorname{Rep}_{[n \det]}(G_1T)$  (resp.  $\operatorname{Rep}_{[\mu_{s_j}]}(G_1T)$ ). Also, one may take  $E_0E_{p-1}\ldots E_{n+1}E_n|_{\operatorname{Rep}_{[n \det]}(G_1T)}$  (resp.  $F_nF_{n+1}\ldots F_{p-1}F_0|_{\operatorname{Rep}_{[\mu_{s_0}]}(G_1T)}$ ) to be the translation functor  $T_{n \det}^{\mu_{s_0}}$  (resp.  $T_{\mu_{s_0}}^{n \det}$ ) restricted to  $\operatorname{Rep}_{[n \det]}(G_1T)$  (resp.  $\operatorname{Rep}_{[\mu_{s_0}]}(G_1T)$ ).

**Proof:** (i) As  $E_a$  and  $F_a$  are exact on  $\text{Rep}(G_1T)$ , they define

$$[E_a], [F_a] \in \operatorname{Mod}_{\mathbb{Z}}([\operatorname{Rep}(G_1T)], [\operatorname{Rep}(G_1T)]),$$

and hence also  $\mathbb{C} \otimes_{\mathbb{Z}} [E_a], \mathbb{C} \otimes_{\mathbb{Z}} [F_a] \in \operatorname{Mod}_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)], \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)])$ . Let us abbreviate those as  $[E_a]$  and  $[F_a]$ , resp. We thus get a  $\mathbb{C}$ -algebra homomorphism  $\theta : T_{\mathbb{C}}(x, y) \to \operatorname{Mod}_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)], \mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)])$  such that  $x \mapsto [E_a]$  and  $y \mapsto [F_a]$ , where  $T_{\mathbb{C}}(x, y)$  denotes the tensor algebra of 2-dimensional  $\mathbb{C}$ -linear space  $\mathbb{C}x \oplus \mathbb{C}y$ . Put  $z = x \otimes y - y \otimes x$ . We show that

$$z \otimes x - x \otimes z - 2x, z \otimes y - y \otimes z + 2y \in \ker \theta,$$

and hence  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)]$  is equipped with a structure of  $\mathbb{U}(\mathfrak{sl}_2(\mathbb{C}))$ -module.

Now, we know from  $(\mathcal{K} 3)$  that both  $z \otimes x - x \otimes z - 2x$  and  $z \otimes y - x \otimes z + 2y$  annihilate  $\mathbb{C}$ -linear subspace  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}'(G_1T)]$  of  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)]$ . We are to show that they both annihilate  $[\hat{L}(\lambda)] \forall \lambda \in \Lambda$ . We have an exact sequence of  $G_1T$ -modules

$$0 \to M' \to M_r \to \cdots \to M_1 \to \hat{L}(\lambda) \to 0$$

such that all  $M_i \in \operatorname{Rep}'(G)$  and that all of the composition factors  $\hat{L}(\mu)$  of M' have  $\mu \ll \lambda$ . As  $\hat{\Delta}(\mu) \twoheadrightarrow \hat{L}(\mu)$ , the composition factors of  $E_a \hat{L}(\mu)$  (resp.  $F_a \hat{L}(\mu)$ ) are among those of  $E_a \hat{\Delta}(\mu)$  (resp.  $F_a \hat{\Delta}(\mu)$ ). For  $X \in [\operatorname{Rep}(G_1T)]$  write  $X = \sum_{\nu \in \Lambda} X_{\nu}[\hat{L}(\nu)]$  with  $X_{\nu} \in \mathbb{Z}$  and set  $\operatorname{supp}(X) = \{\hat{L}(\nu) | X_{\nu} \neq 0\}$ . Thus,

$$\operatorname{supp}((zx - xz - 2x)[\hat{L}(\mu)]) \subseteq$$
$$\operatorname{supp}(xyx)[\hat{\Delta}(\mu)]) \cup \operatorname{supp}(yxx)[\hat{\Delta}(\mu)]) \cup \operatorname{supp}(xxy)[\hat{\Delta}(\mu)]) \cup \operatorname{supp}(xyx)[\hat{\Delta}(\mu)]) \cup \operatorname{supp}(x[\hat{\Delta}(\mu)]) \cup$$

 $\forall \nu \in \Lambda$ , we have from  $(\mathscr{K} 3.5)$ 

$$\sup(x[\hat{\Delta}(\nu)]) = \bigcup_{\substack{i \in [1,n] \\ \nu_i - i + 1 \equiv a \mod p}} \sup([\hat{\Delta}(\nu + \varepsilon_i)]),$$
$$\sup(y[\hat{\Delta}(\nu)]) = \bigcup_{\substack{i \in [1,n] \\ \nu_i - i \equiv a \mod p}} \sup([\hat{\Delta}(\nu - \varepsilon_i)]).$$

It follows, as  $\mu$  is far from  $\lambda$ , that

$$\operatorname{supp}((zx - xz - 2x)[\hat{L}(\mu)]) \cap \operatorname{supp}((zx - xz - 2x)[\hat{L}(\lambda)]) = \emptyset.$$

As  $(zx - xz - 2x)[M_i] = 0 \ \forall i \in [1, r]$ , we must then have  $(zx - xz - 2x)[\hat{L}(\lambda)] = 0 = (zx - xz - 2x)[M']$ . Likewise,  $(zy - yz + 2y)[\hat{L}(\lambda)] = 0$ .

As all  $[M_i]$ 's have weight  $\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j$ , so does  $[\hat{L}(\lambda)]$ ; again  $\theta(z) - (\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j)(\hat{h}_a)$  annihilates  $[\hat{L}(\lambda)]$ .

(ii) The assertion holds on the  $[n \det]$ -block of  $\operatorname{Rep}'(G_1T)$  by  $(\mathfrak{K} 2)$  and  $(\mathfrak{K} 3)$ . Let  $\lambda \in \mathcal{W}_a \bullet (n \det)$ . As  $\hat{L}(\lambda)$  is a quotient of  $\hat{\Delta}(\lambda)$ ,  $E_a \hat{L}(\lambda)$  is a quotient of  $E_a \hat{\Delta}(\lambda)$ , and hence  $E_a \hat{L}(\lambda)$  belongs to the same block in the whole of  $\operatorname{Rep}(G_1T)$  as  $E_a \hat{\Delta}(\lambda)$  does. Likewise for  $F_a \hat{L}(\lambda)$ . The assertion holds by construction.

(% 5) **Remark:** As nat<sub>p</sub> is locally finite with respect to the generators of  $\widehat{\mathfrak{gl}}_p$ , the same argument as in (% 4) yields that  $\mathbb{C} \otimes [\operatorname{Rep}(G_1T)]$  admits a structure of  $\widehat{\mathfrak{gl}}_p$ -module;  $\forall i \in [0, p[, \forall m \in \mathbb{Z}, if \hat{e}_i \bullet [\hat{\nabla}(\lambda)] = \sum_{\mu} [\hat{\nabla}(\mu)], \ (\hat{e}_i \otimes t^m) \bullet [\hat{\nabla}(\lambda)] = \sum_{\mu} [\hat{\nabla}(\mu + pm \operatorname{det})] = \sum_{\mu} [\hat{\nabla}(\mu) \otimes pm \operatorname{det}].$  Accordingly, we define  $(\hat{e}_i \otimes t^m) \bullet [\hat{L}(\lambda)] = \sum_{\mu} [\hat{L}(\mu) \otimes pm \det]$ . Likewise for  $\hat{f}_i \otimes t^m$ . We let d act on  $[\hat{L}(\lambda)], \lambda \in \Lambda$ , by the scalar  $(\sum_{i=1}^n (\lambda_i - i + 1)_1 \delta + \sum_{j=1}^p n_j \hat{\varepsilon}_j)(d) = \sum_{i=1}^n (\lambda_i - i + 1)_1$ . We let K annihilate the whole  $[\operatorname{Rep}(G_1T)]$  and  $(0,1) = \operatorname{diag}(1,\ldots,1)$  act as the identity on  $[\operatorname{Rep}(G_1T)]$ .

#### 4° 木曜日

 $(\bigstar 1)$  We now wish to upgrade the  $\widehat{\mathfrak{gl}}_p$ -action on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G)]$  to a categorical action of the Khovanov-Lauda-Rouquier, KLR for short, 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  on  $\operatorname{Rep}(G)$  in such a way that  $\mathbb{C} \otimes [E_a]$  and  $\mathbb{C} \otimes [F_a]$ ,  $a \in [0, p[$ , are upgraded to form translation functors on  $\operatorname{Rep}(G)$  as in  $(\mathscr{K} 2)$ . The 2-categorical action will provide ample 2-morphisms to realize an action of the Bott-Samelson diagrammatic category  $\mathcal{D}_{BS}$  on  $\operatorname{Rep}(G)$ . We will see that exactly the same argument gives an upgrading of  $\widehat{\mathfrak{gl}}_p$ -action on  $\mathbb{C} \otimes_{\mathbb{Z}} [\operatorname{Rep}(G_1T)]$  in  $(\mathscr{K} 5)$  to a  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$ -action on  $\operatorname{Rep}(G_1T)$ .

We first take N = p in §火 to consider  $\widehat{\mathfrak{gl}_p}$ . We recall the definition of Rouquier's strict k-linear additive 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}_p})$  categorifying the enveloping algebra of  $\widehat{\mathfrak{gl}_p}$  after Brundan [Br, Def. 1.1]. First, a k-linear additive category is a category  $\mathcal{C}$  with a zero object such that  $\forall X, Y \in Ob(\mathcal{C})$ , a direct sum  $X \oplus Y$  exists with  $\mathcal{C}(X, Y)$  forming a k-linear space and that the compositions  $\mathcal{C}(X, Y) \times \mathcal{C}(Y, Z) \to \mathcal{C}(X, Z)$  are k-linear [中岡, Def. 3.1.11]. Next,

Definition [中岡, Def. 3.5.22, p. 220]/[Bor, I.7]: A strict k-linear additive 2-category C consists of the data

(i) a class  $|\mathcal{C}|$ , whose elements are called objects,

(ii)  $\forall A, B \in |\mathcal{C}|$ , a k-linear additive category  $\mathcal{C}(A, B)$ , whose elements are called 1-morphisms and written as  $f : A \to B$  with the morphisms in  $\mathcal{C}(A, B)$  denoted as  $\alpha : f \Rightarrow g$  and their compositions written

$$\begin{array}{c} f \xrightarrow{\alpha} g \\ \underset{\beta \odot \alpha}{\longrightarrow} g \\ \\ h, \end{array}$$

(iii)  $\forall A, B, C \in |\mathcal{C}|$ , a k-bilinear bifunctor  $c_{A,B,C} : \mathcal{C}(A,B) \times \mathcal{C}(B,C) \to \mathcal{C}(A,C)$  [中岡, Def. 3.1.11], written

$$\begin{array}{ccc} A \xrightarrow{f} B \xrightarrow{\ell} C & & A \xrightarrow{\ell \circ f} C \\ \hline \alpha & & \beta & & & \\ A \xrightarrow{f'} B \xrightarrow{\ell'} C & & & A \xrightarrow{\ell \circ f'} C, \end{array}$$

(iv)  $\forall A \in |\mathcal{C}|$ , there is a 1-morphism  $1_A \in \mathcal{C}(A, A)$ ,

subject to the axioms that  $\forall A, B, C, D \in |\mathcal{C}|$ ,

$$\begin{array}{c|c} \mathcal{C}(A,B) \times \mathcal{C}(B,C) \times \mathcal{C}(C,D) & \xrightarrow{\mathcal{C}(A,B) \times c_{B,C,D}} \mathcal{C}(A,B) \times \mathcal{C}(B,D) \\ & \xrightarrow{c_{A,B,C} \times \mathcal{C}(C,D)} & & & & \downarrow^{c_{A,B,D}} \\ & \mathcal{C}(A,C) \times \mathcal{C}(C,D) & \xrightarrow{c_{A,C,D}} \mathcal{C}(A,D) \end{array}$$

and  $c_{A,A,B}(1_A,?) = \mathrm{id}_{\mathcal{C}(A,B)} = c_{A,B,B}(?,1_B)$ . We will denote  $\mathrm{id}_{1_A} \in \mathcal{C}(A,A)(1_A,1_A)$  by  $\iota_A$ .

Then,  $\forall \alpha, \beta \in \operatorname{Mor}(\mathcal{C}(A, B)), \forall \mu, \nu \in \operatorname{Mor}(\mathcal{C}(B, C))$ , the "interchange law" holds:

$$(\nu * \beta) \odot (\mu * \alpha) = c_{A,B,C}(\beta, \nu) \odot c_{A,B,C}(\alpha, \mu) \text{ by definition} = c_{A,B,C}((\beta, \nu) \odot (\alpha, \mu)) \text{ by the functoriality of } c_{A,B,C}(\beta \odot \alpha, \nu \odot \mu) = (\nu \odot \mu) * (\beta \odot \alpha);$$

 $(\bigstar 2)$  We now define

**Definition** [RW, 6.4.5]: A strict k-linear additive 2-category  $\mathcal{U}(\widehat{\mathfrak{gl}_p})$  consists of the following data:

(i) 
$$\forall i, j \in \mathbb{F}_p$$
 with  $i \neq j, t_{ij} = \begin{cases} -1 & \text{if } j = i+1, \\ 1 & \text{else }, \end{cases}$ 

(ii) the objects of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  are  $P = \{\lambda \in \mathfrak{h}^* | \lambda(\hat{h}_i) \in \mathbb{Z} \ \forall i \in [0, p[\} \text{ from } (\mathcal{K} 4),$ 

(iii)  $\forall \lambda \in P, \forall i \in [0, p[$ , generating 1-morphisms  $E_i 1_{\lambda} \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda, \lambda + \hat{\alpha}_i), F_i 1_{\lambda} \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda, \lambda - \hat{\alpha}_i), (\lambda, \lambda - \hat{\alpha}_i),$ 

(iv)  $\forall \lambda \in P, \forall i, j \in [0, p[$ , generating 2-morphisms

where  $E_i E_j 1_{\lambda} = (E_i 1_{\lambda + \hat{\alpha}_j}) \circ (E_j 1_{\lambda}) = c_{\lambda, \lambda + \hat{\alpha}_j, \lambda + \hat{\alpha}_j + \hat{\alpha}_i} (E_j 1_{\lambda}, E_i 1_{\lambda + \hat{\alpha}_j})$  and  $E_j E_i 1_{\lambda} = (E_j 1_{\lambda + \hat{\alpha}_i}) \circ (E_i 1_{\lambda}) = c_{\lambda, \lambda + \hat{\alpha}_i, \lambda + \hat{\alpha}_i + \hat{\alpha}_j} (E_i 1_{\lambda}, E_j 1_{\lambda + \hat{\alpha}_i})$ :

$$\begin{array}{cccc} \lambda \xrightarrow{E_j \mathbf{1}_{\lambda}} & \lambda + \hat{\alpha}_j & \lambda \xrightarrow{E_i \mathbf{1}_{\lambda}} & \lambda + \hat{\alpha}_i \\ & & \downarrow^{E_i E_j \mathbf{1}_{\lambda}} & \downarrow^{E_i \mathbf{1}_{\lambda + \hat{\alpha}_j}} & & \downarrow^{E_j \mathbf{1}_{\lambda + \hat{\alpha}_i}} \\ & & \lambda + \hat{\alpha}_j + \hat{\alpha}_i, & \lambda + \hat{\alpha}_i + \hat{\alpha}_j, \end{array}$$

and

$$\eta_{\lambda,i} = \underbrace{i}_{\lambda} \in \mathcal{U}(\widehat{\mathfrak{gl}_p})(\lambda,\lambda)(1_\lambda,F_iE_i1_\lambda)$$

with  $1_{\lambda}$  denoting the unital object of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda)$  from ( $\bigstar 1.iv$ ), and  $F_i E_i 1_{\lambda} = (F_i 1_{\lambda+\hat{\alpha}_i}) \circ (E_i 1_{\lambda})$ , and finally

$$\varepsilon_{\lambda,i} = \bigcap_{i}^{\lambda} \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda)(E_i F_i 1_{\lambda}, 1_{\lambda})$$

with  $E_i F_i 1_{\lambda} = (E_i 1_{\lambda - \hat{\alpha}_i}) \circ (F_i 1_{\lambda})$ . In the notation  $\tau_{\lambda,(j,i)}$  we follow [RW, p. 90] to write (j, i) instead of (i, j) in accordance to the order of composition reading from the right.

By ( $\bigstar 1.iv$ ) one has  $\forall f \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\mu), f \circ 1_{\lambda} = f$  and  $1_{\mu} \circ f = f$ . We will denote the identity 2-morphism of  $E_i 1_{\lambda}$  in  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda+\hat{\alpha}_i)(E_i 1_{\lambda},E_i 1_{\lambda})$  (resp.  $F_i 1_{\lambda}$  in  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda-\hat{\alpha}_i)(F_i 1_{\lambda},F_i 1_{\lambda})$ )

by 
$$\uparrow_i \lambda$$
 (resp.  $\downarrow^i \lambda$ ):

$$\iota_{E_i 1_{\lambda}} = \mathrm{id}_{E_i 1_{\lambda}} = \bigwedge_i^{\uparrow} \lambda, \qquad \iota_{F_i 1_{\lambda}} = \mathrm{id}_{F_i 1_{\lambda}} = \bigvee_{\downarrow}^i \lambda.$$

Those 2-morphisms are subject to the relations in [Br, Def.1.1], e.g.,

(1) 
$$\lambda - \lambda = \lambda - \lambda = \lambda - \lambda = \begin{cases} \uparrow \uparrow \lambda & \text{if } i = j, \\ \lambda & -j = 0 \\ 0 & \text{else,} \end{cases}$$

where

$$\begin{split} & \bigwedge_{i} j & = & \bigwedge_{\lambda + \hat{\alpha}_{j}} j \\ & = & \uparrow_{\lambda,(j,i)} \odot (x_{\lambda + \hat{\alpha}_{j},i} * \iota_{E_{j}1_{\lambda}}) \in \mathcal{U}(\widehat{\mathfrak{gl}_{p}})(\lambda, \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i})(E_{i}E_{j}1_{\lambda}, E_{j}E_{i}1_{\lambda}), \\ & \lambda = & \bigwedge_{i} j \\ & \lambda + \hat{\alpha}_{j} \xrightarrow{E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{j} \xrightarrow{E_{i}1_{\lambda + \hat{\alpha}_{j}}} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i} \\ & \stackrel{e_{\lambda,\lambda + \hat{\alpha}_{j},i} \times + \hat{\alpha}_{j} + \hat{\alpha}_{j}, i}{\lambda + \hat{\alpha}_{j}E_{i}1_{\lambda + \hat{\alpha}_{j}}} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{j} \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{j} \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{j} \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{j} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{j}, \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda = \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} = \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda + \hat{\alpha}_{i} \\ & \lambda \xrightarrow{E_{i}E_{j}E_{i}1_{\lambda}} \lambda$$

etc. We also impose, among others,

(2) 
$$\begin{array}{c} & \left( \begin{array}{c} 0 \\ t_{ij} \\ \bullet \\ i \end{array} \right) \\ i \end{array} \right) \lambda = \begin{cases} 0 \\ t_{ij} \\ \bullet \\ i \end{array} \right) \left( \begin{array}{c} \lambda \\ \uparrow \\ \lambda \end{array} \right) \\ \left( \begin{array}{c} 1 \\ \uparrow \\ \uparrow \\ i \end{array} \right) \\ i \end{array} \right) \\ i \end{array} \right) \\ i \end{array} \right) i i j \\ i$$

the left hand side of which reads  $\tau_{\lambda,(i,j)} \odot \tau_{\lambda,(j,i)}$ , and

(3) 
$$\begin{array}{ccc} \lambda & - & \lambda \\ i & j & k \end{array} & \lambda \\ i & j & k \end{array} = \begin{cases} t_{ij} & \uparrow & \uparrow & \lambda \\ i & j & k \end{array} & \text{if } i = j \text{ and } k - j \equiv \pm 1 \mod p, \\ 0 & \text{else,} \end{cases}$$

etc. On the LHS of (3) the first (resp. second) term reads  $(\tau_{\lambda+\hat{\alpha}_i,(k,j)} * \iota_{E_i1_{\lambda}}) \odot (\iota_{E_j1_{\lambda+\hat{\alpha}_k+\hat{\alpha}_i}} * \tau_{\lambda,(k,i)}) \odot (\tau_{\lambda+\hat{\alpha}_k,(j,i)} * \iota_{E_k1_{\lambda}}) (resp. (\tau_{\lambda,(j,i)} * \iota_{E_k1_{\lambda}}) \odot (\tau_{\lambda+\hat{\alpha}_j,(k,i)} * \iota_{E_j1_{\lambda}}) \odot (\iota_{E_i1_{\lambda+\hat{\alpha}_k+\hat{\alpha}_j}} * \tau_{\lambda,(k,j)})).$ 

Recall from ( $\bigstar$  1.ii) that each  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\mu)$  forms a k-linear additive category, and hence  $\forall X, Y \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\mu), \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\mu)(X,Y)$  carries a structure of k-linear space. The 1-morphisms belonging to  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\mu)$  are direct sums of those

$$E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} \mathbf{1}_{\lambda}, \quad i_k, j_k \in [0, p[, a_k, b_k \in \mathbb{N} \text{ with } \mu = \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k})$$

[Ro12, 4.2.3]. In case  $\mu = \lambda$ ,  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda, \lambda)$  forms a strict monoidal category with  $\otimes$  in  $(\not k 1)$  given by the "composition"  $\odot$  of 1-morphisms from  $(\not k 1)$  and  $I \in Ob(\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda, \lambda))$  given by  $1_{\lambda}$ .

If 
$$E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda} = E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^a E_i E_i^{a'} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}$$
 with  $\nu = \lambda - b_1 \hat{\alpha}_{j_1} + a_1 \hat{\alpha}_{i_1} - \dots + a' \hat{\alpha}_i$ ,  
 $\dots + a' \hat{\alpha}_i$ ,  
 $x_{\nu,i} = \oint_i \lambda \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\nu, \nu + \hat{\alpha}_i)(E_i 1_{\nu}, E_i 1_{\nu})$ 

induces a 2-morphism  $\iota_{E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_i^a \mathbf{1}_{\nu+\hat{\alpha}_i}} * x_{\nu,i} * \iota_{E_i^{a'}\dots E_{i_1}^{a_1}F_{j_1}^{b_1}\mathbf{1}_{\lambda}} \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda+\sum_{k=1}^m (a_k\hat{\alpha}_{i_k}-b_k\hat{\alpha}_{j_k}))$  $(E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_{i_1}^{a_1}F_{j_1}^{b_1}\mathbf{1}_{\lambda}, E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_{i_1}^{a_1}F_{j_1}^{b_1}\mathbf{1}_{\lambda}):$ 

$$\lambda \xrightarrow{E_i^{a'} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}} \nu \xrightarrow{E_i 1_{\nu}} \nu + \hat{\alpha}_i \xrightarrow{E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} 1_{\nu + \hat{\alpha}_i}} \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k}) \\
\xrightarrow{\iota_{E_i^{b} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}}} \lambda \xrightarrow{x_{\nu,i}} \nu + \hat{\alpha}_i \xrightarrow{\downarrow_{E_i^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} 1_{\nu + \hat{\alpha}_i}} \\
\xrightarrow{\iota_{E_i^{a'} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}} \nu \xrightarrow{E_i 1_{\nu}} \nu + \hat{\alpha}_i \xrightarrow{E_{i_1^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} 1_{\nu + \hat{\alpha}_i}} \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k}).$$

If  $E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda} = E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^a E_i E_j E_j^{a'} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}$  with  $\nu = \lambda - b_1 \hat{\alpha}_{j_1} + a_1 \hat{\alpha}_{i_1} - \dots + a' \hat{\alpha}_{j_n}$ 

$$\tau_{\nu,(j,i)} = \bigvee_{\substack{i = j}} \nu \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\nu,\nu+\hat{\alpha}_i+\hat{\alpha}_j)(E_iE_j1_\nu,E_jE_i1_\nu),$$

induces a 2-morphism  $\iota_{E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_i^a 1_{\nu+\hat{\alpha}_i+\hat{\alpha}_j}} * \tau_{\nu,(j,i)} * \iota_{E_j^{a'}\dots E_{i_1}^{a_1}F_{j_1}^{b_1}1_{\lambda}} \in \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\lambda,\lambda+\sum_{k=1}^m (a_k\hat{\alpha}_{i_k}-b_k\hat{\alpha}_{j_k}))(E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_i^a E_i E_j E_j^{a'}\dots E_{i_1}^{a_1}F_{j_1}^{b_1}1_{\lambda}, E_{i_m}^{a_m}F_{j_m}^{b_m}\dots E_i^a E_j E_i E_j^{a'}\dots E_{i_1}^{a_1}F_{j_1}^{b_1}1_{\lambda}):$ 

$$\lambda \xrightarrow{E_j^{a'} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}} \nu \xrightarrow{E_i E_j 1_{\nu}} \nu + \hat{\alpha}_i + \hat{\alpha}_j \xrightarrow{E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} 1_{\nu + \hat{\alpha}_i + \hat{\alpha}_j}} \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k})$$

$$\lambda \xrightarrow{E_j^{a'} \dots E_{i_1}^{a_1} F_{j_1}^{b_1} 1_{\lambda}} \nu \xrightarrow{E_j E_i 1_{\nu}} \nu + \hat{\alpha}_i + \hat{\alpha}_j \xrightarrow{E_{i_m}^{a_m} F_{j_m}^{b_m} \dots E_i^{a_1} 1_{\nu + \hat{\alpha}_i + \hat{\alpha}_j}} \lambda + \sum_{k=1}^m (a_k \hat{\alpha}_{i_k} - b_k \hat{\alpha}_{j_k}).$$

( $\bigstar$  3) **Definition** [**RW**, 6.4.5]: A 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  is a k-linear functor from  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  to the 2-category of k-linear additive categories, i.e., it consists of the following data:

- (i)  $\forall \lambda \in P$ , a k-linear additive category  $C_{\lambda}$ ,
- (ii)  $\forall \lambda \in P, \forall i \in [0, p[, \Bbbk\text{-linear functors } E_i 1_\lambda \in \operatorname{Cat}(\mathcal{C}_\lambda, \mathcal{C}_{\lambda + \hat{\alpha}_i}) \text{ and } F_i 1_\lambda \in \operatorname{Cat}(\mathcal{C}_\lambda, \mathcal{C}_{\lambda \hat{\alpha}_i}),$
- (iii)  $\forall \lambda \in P, \forall i, j \in [0, p[, x_{\lambda,i} \in \operatorname{Cat}(\mathcal{C}_{\lambda}, \mathcal{C}_{\lambda+\hat{\alpha}_{i}})(E_{i}1_{\lambda}, E_{i}1_{\lambda}), \tau_{\lambda,(j,i)} \in \operatorname{Cat}(\mathcal{C}_{\lambda}, \mathcal{C}_{\lambda+\hat{\alpha}_{i}+\hat{\alpha}_{j}})(E_{i}E_{j}1_{\lambda}, E_{j}E_{i}1_{\lambda}) \text{ with } E_{i}E_{j}1_{\lambda} = (E_{i}1_{\lambda+\hat{\alpha}_{j}}) \circ (E_{j}1_{\lambda}) \text{ and} E_{j}E_{i}1_{\lambda} = (E_{j}1_{\lambda+\hat{\alpha}_{i}}) \circ (E_{i}1_{\lambda}), \eta_{\lambda,i} \in \operatorname{Cat}(\mathcal{C}_{\lambda}, \mathcal{C}_{\lambda})(\operatorname{id}_{\mathcal{C}_{\lambda}}, F_{i}E_{i}1_{\lambda}) \text{ with } F_{i}E_{i}1_{\lambda} = (F_{i}1_{\lambda+\hat{\alpha}_{i}}) \circ (E_{i}1_{\lambda}), \varepsilon_{\lambda,i} \in \operatorname{Cat}(\mathcal{C}_{\lambda}, \mathcal{C}_{\lambda})(E_{i}F_{i}1_{\lambda}, \operatorname{id}_{\mathcal{C}_{\lambda}}) \text{ with } E_{i}F_{i}1_{\lambda} = (E_{i}1_{\lambda-\hat{\alpha}_{i}}) \circ (F_{i}1_{\lambda}),$

subject to the same relations as  $x_{\lambda,i}, \tau_{\lambda,(j,i)}, \eta_{\lambda,i}, \varepsilon_{\lambda,i}$  for  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  from  $(\bigstar 2)$ .

 $(\bigstar 4)$  We now define a 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  on  $\operatorname{Rep}(G)$ , which is also due to [ChR]. Let  $\mathbb{T} \in \operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E^2, E^2)$  be a natural transformation defined by associating to each  $M \in \operatorname{Rep}(G)$  a k-linear map  $\mathbb{T}_M : E^2M = V \otimes V \otimes M \to E^2M$  such that  $v \otimes v' \otimes m \mapsto v' \otimes v \otimes m$  $\forall v, v' \in V \ \forall m \in M$ . Then

(1) 
$$(V \otimes \mathbb{T}_M) \circ \mathbb{X}_{V^{\otimes_2} \otimes M} = \mathbb{X}_{V^{\otimes_2} \otimes M} \circ (V \otimes \mathbb{T}_M).$$

Using  $(\pounds 6.i)$ , one also checks

(2) 
$$\mathbb{T}_M \circ (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M} \circ \mathbb{T}_M = -\mathrm{id}_{E^2 M}.$$

Recall from  $(\mathcal{K} 2)$  the bijection  $\iota_n : P(\wedge^n \operatorname{nat}_p) \to \Lambda/(\mathcal{W}_a \bullet)$ . For  $\lambda \in P$  let us write

$$\mathbf{R}_{\iota_n(\lambda)}(G) = \begin{cases} \operatorname{Rep}_{\iota_n(\lambda)}(G) & \text{if } \lambda \in P(\wedge^n \operatorname{nat}_p), \\ 0 & \text{else.} \end{cases}$$

Consider the following data:

(i)  $\forall \lambda \in P$ , let  $\mathcal{C}_{\lambda} = \mathcal{R}_{\iota_n(\lambda)}(G)$ .

(ii)  $\forall \lambda \in P, \forall i \in [0, p[, \text{let } E_i 1_{\lambda} = E_i |_{\mathcal{R}_{\iota_n(\lambda)}(G)} : \mathcal{R}_{\iota_n(\lambda)}(G) \to \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_i)}(G) \text{ and } F_i 1_{\lambda} = F_i |_{\mathcal{R}_{\iota_n(\lambda)}(G)} : \mathcal{R}_{\iota_n(\lambda)}(G) \to \mathcal{R}_{\iota_n(\lambda-\hat{\alpha}_i)}(G) \text{ from } (\not k \ 1).$  In particular,  $E_i 1_{\lambda} = 0$  (resp.  $F_i 1_{\lambda} = 0$ ) unless  $\lambda$  and  $\lambda + \hat{\alpha}_i$  (resp.  $\lambda$  and  $\lambda - \hat{\alpha}_i$ )  $\in P(\wedge^n \operatorname{nat}_p)$ . Put for simplicity  $E_i^{\lambda} = E_i |_{\mathcal{R}_{\iota_n(\lambda)}(G)}$  and  $F_i^{\lambda} = F_i |_{\mathcal{R}_{\iota_n(\lambda)}(G)}$ .

(iii)  $\forall \lambda \in P, \forall i, j \in [0, p[, \text{ define } x_{\lambda,i} \in \text{Cat}(\mathcal{R}_{\iota_n(\lambda)}(G), \mathcal{R}_{\iota_n(\lambda+\hat{\alpha}_i)}(G))(E_i^{\lambda}, E_i^{\lambda})$  by associating to each  $M \in \mathcal{R}_{\iota_n(\lambda)}(G)$  a k-linear map  $x_{M,i} = \mathbb{X}_M - i \text{id}_{V \otimes M}$ :

Define  $\tau_{\lambda,(j,i)} \in \operatorname{Cat}(\mathbf{R}_{\iota_n(\lambda)}(G), \mathbf{R}_{\iota_n(\lambda+\hat{\alpha}_i+\hat{\alpha}_j)}(G))(E_i^{\lambda+\hat{\alpha}_j}E_j^{\lambda}, E_j^{\lambda+\hat{\alpha}_i}E_i^{\lambda})$  by associating to each  $M \in \mathbf{R}_{\iota_n(\lambda)}(G)$  a k-linear map  $\tau_{M,(j,i)} : E_i^{\lambda+\hat{\alpha}_j}E_j^{\lambda}M \to E_j^{\lambda+\hat{\alpha}_i}E_i^{\lambda}M$  such that

(4)  $\tau_{M,(j,i)} = \begin{cases} \{ \mathrm{id} + (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M} \}^{-1} (\mathbb{T}_M - \mathrm{id}) & \text{if } j = i, \\ (V \otimes \mathbb{X}_M - \mathbb{X}_{V \otimes M}) \mathbb{T}_M + \mathrm{id}_{V \otimes V \otimes M} & \text{if } j \equiv i - 1 \bmod p, \\ (V \otimes \mathbb{X}_M - \mathbb{X}_{V \otimes M}) \{ \mathrm{id} + (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M} \}^{-1} (\mathbb{T}_M - \mathrm{id}) + \mathrm{id} \quad \text{else}, \end{cases}$ 

which is well-defined by [Ro, Th. 3.16]/[RW, Th. 6.4.2]; verification may formally be done using the degenerate affine Hecke algebra. In case j = i,  $E_i^{\lambda + \hat{\alpha}_i} E_i^{\lambda} M$  is a generalized *i*-eigenspace of both  $V \otimes \mathbb{X}_M$  and  $\mathbb{X}_{V \otimes M}$ . As  $V \otimes \mathbb{X}_M$  and  $\mathbb{X}_{V \otimes M}$  commute by  $(\mathcal{K} 7.iii)$ ,  $(V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M}$ is nilpotent on  $E_i^{\lambda + \hat{\alpha}_i} E_i^{\lambda} M$ , and hence id  $+ (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M}$  is invertible on  $E_i^{\lambda + \hat{\alpha}_i} E_i^{\lambda} M$ . Likewise in the 3rd case.

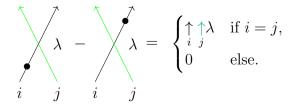
Define  $\eta_{\lambda,i}$  to be the unit  $\eta_i \in \operatorname{Cat}(\operatorname{R}_{\iota_n(\lambda)}(G), \operatorname{R}_{\iota_n(\lambda)}(G))(\operatorname{id}, F_i^{\lambda+\hat{\alpha}_i} E_i^{\lambda})$  of the adjunction  $(E_i, F_i)$  on  $\operatorname{R}_{\iota_n(\lambda)}(G)$  from  $(\mathcal{K}9)$ . Define finally  $\varepsilon_{\lambda,i}$  to be the counit  $\varepsilon_i \in \operatorname{Cat}(\operatorname{R}_{\iota_n(\lambda)}(G), \operatorname{R}_{\iota_n(\lambda)}(G))$   $(E_i^{\lambda-\hat{\alpha}_i} F_i^{\lambda}, \operatorname{id})$  of the adjunction  $(E_i, F_i)$  on  $\operatorname{R}_{\iota_n(\lambda)}(G)$  from  $(\mathcal{K}9)$  also.

**Theorem [RW, Th. 6.4.6]:** The data above constitutes a 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$ .

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( $\pm 1$ ) To see that Th.  $\pm 4$  holds, we must check that the 2-morphisms in ( $\pm 4.iii$ ) satisfy the relations of those for  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  as given in ( $\pm 2$ ).

Consider for example the relation from  $(\bigstar 2.1)$ 



Accordingly, we must verify

(1) 
$$\tau_{\lambda,(j,i)} \odot (x_{\lambda+\hat{\alpha}_j,i} * \iota_{E_j^{\lambda}}) - (\iota_{E_j^{\lambda+\hat{\alpha}_i}} * x_{\lambda,i}) \odot \tau_{\lambda,(j,i)} = \begin{cases} \text{id} & \text{if } i = j \\ 0 & \text{else,} \end{cases}$$

i.e., in case i = j, for example, one must show on  $E_i^{\lambda + \hat{\alpha}_i} E_i^{\lambda} M$  for  $M \in \mathcal{R}_{\iota_n(\lambda)}(G)$  that

$$\{ \mathrm{id} + (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M} \}^{-1} (\mathbb{T}_M - \mathrm{id}) \circ (\mathbb{X}_{E_i M} - i\mathrm{id}) - \\ \{ V \otimes (\mathbb{X}_M - i\mathrm{id}) \} \circ \{ \mathrm{id} + (V \otimes \mathbb{X}_M) - \mathbb{X}_{V \otimes M} \}^{-1} (\mathbb{T}_M - \mathrm{id}) = \mathrm{id}.$$

For that the KLR-algebra  $H_3(\mathbb{F}_p)$  and the degenerate affine Hecke algebra  $\overline{H}_3$  of degree 3 come to rescue.

( $\pm 2$ ) To define the KLR-algebra, recall first  $t_{ij} \in \{\pm 1\}$  from  $(\bigstar 2)$  for  $i, j \in \mathbb{F}_p$  with  $i \neq j$ . Let  $\mathfrak{S}_3$  act on  $\mathbb{F}_p^3$  such that  $\sigma \nu = (\nu_{\sigma^{-1}1}, \nu_{\sigma^{-1}2}, \nu_{\sigma^{-1}3})$  for  $\nu = (\nu_1, \nu_2, \nu_3) \in \mathbb{F}_p^3$ . Put  $\sigma_k = (k, k+1) \in \mathfrak{S}_3$ ,  $k \in \{1, 2\}$ . The algebra  $\mathrm{H}_3(\mathbb{F}_p)$  is really a k-linear additive category with objects  $\mathbb{F}_p^3$  and morphisms generated by  $x_{z,\nu} \in \mathrm{H}_3(\mathbb{F}_p)(\nu,\nu)$  and  $\tau_{c,\nu} \in \mathrm{H}_3(\mathbb{F}_p)(\nu,\sigma_c\nu)$ ,  $z \in [1,3]$ ,  $c \in [1,2]$ ,  $\nu \in \mathbb{F}_p^3$ , subject to the relations

$$(\text{KLR1}) \qquad x_{z,\nu} x_{z',\nu'} = x_{z',\nu} x_{z,\nu'}, \\ (\text{KLR2}) \qquad \tau_{c,\sigma_c\nu} \tau_{c,\nu} = \begin{cases} 0 & \text{if } \nu_c = \nu_{c+1}, \\ t_{\nu_c,\nu_{c+1}} x_{c,\nu} + t_{\nu_{c+1},\nu_c} x_{c+1,\nu} & \text{if either } \nu_{c+1} \equiv \nu_c + 1 \text{ or } \nu_c \equiv \nu_{c+1} + 1, \\ \text{id}_{\nu} & \text{else}, \end{cases}$$

(KLR3)

$$\tau_{c,\nu} x_{z,\nu} - x_{\sigma_c z, \sigma_c \nu} \tau_{c,\nu} = \begin{cases} -\mathrm{id}_{\nu} & \text{if } c = z \text{ and } \nu_c = \nu_{c+1}, \\ \mathrm{id}_{\nu} & \text{if } z = c+1 \text{ and } \nu_c = \nu_{c+1}, \\ 0 & \text{else.} \end{cases}$$

We do not care what  $x_{z,\nu}: \nu \to \nu$  and  $\tau_{c,\nu}: \nu \to \sigma \nu$  are as maps.

A representation of  $H_3(\mathbb{F}_p)$  consists of the data

(i)  $\forall \nu \in \mathbb{F}_p^3$ , a k-linear space  $V_{\nu}$ ,

- (ii)  $\forall \nu \in \mathbb{F}_p^3, \forall z \in [1,3], a \Bbbk$ -linear map  $x_{z,\nu} : V_{\nu} \to V_{\nu},$
- (iii)  $\forall \nu \in \mathbb{F}_p^3, \forall c \in [1, 2], a \Bbbk$ -linear map  $\tau_{c,\nu} : V_{\nu} \to V_{\sigma_c \nu}$

satisfying the relations (KLR1-3).

( $\pm$  3) Recall next the degenerate affine Hecke algebra, daHa for short,  $\overline{H}_m$  of degree m; DAHA already stands for "double affine Hecke algebra". Thus, let  $\Bbbk[X] = \Bbbk[X_1, \ldots, X_m]$  be the polynomial k-algebra in indeterminates  $X_1, \ldots, X_m$  with a natural  $\mathfrak{S}_m$ -action:  $\sigma : X_i \mapsto X_{\sigma(i)}$ . For transposition  $\sigma_c = (c, c+1) \in \mathfrak{S}_m, c \in [1, m[$ , let  $\partial_c$  denote the Demazure operator on  $\Bbbk[X]$  defined by

$$f \mapsto \frac{f - \sigma_c f}{X_{c+1} - X_c},$$

which differs from the standard one by sign. The daHa  $\bar{\mathrm{H}}_m$  is a k-algebra with the ambient k-linear space  $\Bbbk \mathfrak{S}_m \otimes_{\Bbbk} \Bbbk[X]$  having  $\Bbbk \mathfrak{S}_m$  and  $\Bbbk[X]$  as k-subalgebras such that, letting  $T_c$  denote  $\sigma_c \in \mathfrak{S}_m$  in  $\bar{\mathrm{H}}_m$ ,

(1) 
$$fT_c = T_c \sigma_c(f) + \partial_c(f) T_c \quad \forall f \in \mathbb{k}[X], \forall c \in [1, m[.$$

If  $r \leq m$ , one has naturally  $\overline{H}_r \leq \overline{H}_m$ .

Lemma [RW, Lem. 6.4.5]: There is a k-algebra homomorphism

 $\bar{\mathrm{H}}_m \to \mathrm{Cat}(\mathrm{Rep}(G), \mathrm{Rep}(G))(E^m, E^m)$ 

such that  $\forall M \in \operatorname{Rep}(G), X_z \mapsto V^{\otimes_{m-z}} \otimes \mathbb{X}_{V^{\otimes_{z-1}} \otimes M}, z \in [1, m] \text{ and } T_c \mapsto V^{\otimes_{m-c-1}} \otimes \mathbb{T}_{V^{\otimes_{c-1}} \otimes M}, c \in [1, m[.$ 

**Proof:** One checks that the relations  $T_c^2 = 1 \ \forall c \in [1, m[$ , and the braid relations  $T_cT_b = T_bT_c$ for b, c with  $|b - c| \geq 2$ ,  $T_cT_{c+1}T_c = T_{c+1}T_cT_{c+1}$  on  $\operatorname{Cat}(\operatorname{Rep}(G), \operatorname{Rep}(G))(E^m, E^m)$ . Also, the relations  $X_zX_y = X_yX_z$ ,  $z, y \in [1, m]$ , hold on the RHS by generalizing  $(\not K 7)$ . To check (1), we may assume  $f \in \{X_1, \ldots, X_m\}$  as  $\forall g \in \Bbbk[X]$ ,  $(fg)T_c = f(T_cg)$ . Then the relations hold on the RHS by generalizing  $(\not K 4.1, 2)$ .

( $\pm$  4) It follows for *M* ∈ Rep(*G*) that *E*<sup>3</sup>*M* comes equipped with a structure of  $\overline{H}_3$ -module. By ( $\bigstar$  1)

$$E^3M = \coprod_{\nu \in \mathbb{F}^3_p} E^3_{\nu}M$$

with  $E_{\nu}^{3}M = E_{\nu_{3}}E_{\nu_{2}}E_{\nu_{1}}M$  and  $E_{\nu_{i}}(V^{\otimes_{i-1}}\otimes M)$  forming a generalized eigenspace of eigenvalue  $\nu_{i}$  for  $\mathbb{X}_{V^{\otimes_{i-1}}\otimes M}$ ,  $i \in [1,3]$ . Thus,  $E_{\nu}^{3}M$  affords a generalized eigenspace of eigenvalue  $\nu_{i}$  for each  $X_{i}$  by ( $\mathfrak{A}$  3). As such, it follows from a theorem of Brundan and Kleschev [BrK] and Rouquier [Ro], cf. [RW, Th. 6.4.2], that  $E^{3}M$  affords a representation of  $H_{3}(\mathbb{F}_{p})$ . Then ( $\mathfrak{A}$  1.1) follows from (KLR3).

( $\pm 5$ ) **Remark:** As the set  $P(\otimes^n \operatorname{nat}_p)$  of  $\otimes^n (\operatorname{nat}_p)$  coincides with  $P(\wedge^n \operatorname{nat}_p) = \mathbb{Z}\delta + \{\sum_{j=1}^p n_j \hat{\varepsilon}_j | n_j \in \mathbb{N}, \sum_{j=1}^p n_j = n\}$ , we may denote the bijection  $P(\otimes^n \operatorname{nat}_p) \to \Lambda/(\mathcal{W}_a \bullet)$  by  $\iota_n$  from ( $\not \times 2$ ). Define  $\mathbb{T} \in \operatorname{Cat}(\operatorname{Rep}(G_1T), \operatorname{Rep}(G_1T))(E^2, E^2)$  just as on  $\operatorname{Rep}(G)$ , and for each  $\lambda \in P$  let

$$\mathbf{R}_{\iota_n(\lambda)}(G_1T) = \begin{cases} \operatorname{Rep}_{\iota_n(\lambda)}(G_1T) & \text{if } \lambda \in P(\otimes^n \operatorname{nat}_p) = P(\wedge^n \operatorname{nat}_p), \\ 0 & \text{else.} \end{cases}$$

Exactly the same arguments for  $\operatorname{Rep}(G)$  yield a 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  on  $\operatorname{Rep}(G_1T)$ .

 $( \stackrel{\text{(}}{\oplus} 6) \text{ Recall } \varpi = \hat{\varepsilon}_1 + \dots + \hat{\varepsilon}_n \in P(\wedge^n(\operatorname{nat}_p))) \text{ from } (\mathcal{K} 2). \forall s \in \mathcal{S}_a, \text{ set}$ 

$$T^{s} = \begin{cases} E_{n-j}^{\varpi} & \text{if } s = s_{\alpha_{j}}, \\ E_{0}^{\varpi+\hat{\alpha}_{n}+\dots+\hat{\alpha}_{p-1}} E_{p-1}^{\varpi+\hat{\alpha}_{n}+\dots+\hat{\alpha}_{p-2}} \dots E_{n+1}^{\varpi+\hat{\alpha}_{n}} E_{n}^{\varpi} & \text{if } s = s_{\alpha_{0},1}, \end{cases}$$
$$T_{s} = \begin{cases} F_{n-j}^{\varpi+\hat{\alpha}_{n-j}} & \text{if } s = s_{\alpha_{j}}, \\ F_{n}^{\varpi+\hat{\alpha}_{n}} F_{n+1}^{\varpi+\hat{\alpha}_{n}+\hat{\alpha}_{n+1}} \dots F_{p-1}^{\varpi+\hat{\alpha}_{n}+\dots+\hat{\alpha}_{p-1}} F_{0}^{\varpi+\hat{\alpha}_{n}+\dots+\hat{\alpha}_{p-1}+\hat{\alpha}_{0}} & \text{if } s = s_{\alpha_{j}}, \end{cases}$$

and  $\Theta_s = T_s T^s$ . By  $(\mathcal{K} 2)$  each  $\Theta_s$  may be taken to be the *s*-wall crossing functor on  $\operatorname{Rep}_{[n \det]}(G)$ . We have obtained a strict monoidal functor

(1) 
$$\mathcal{U}(\mathfrak{gl}_p)(\varpi, \varpi) \to \operatorname{Cat}(\operatorname{Rep}_{[n \det]}(G), \operatorname{Rep}_{[n \det]}(G))$$

such that  $F_{n-j}E_{n-j}1_{\varpi} \mapsto \Theta_{s_j} \ j \in [1, n[$ , and  $F_nF_{n+1} \dots F_{p-1}F_0E_0E_{p-1} \dots E_{n+1}E_n1_{\varpi} \mapsto \Theta_{s_{\alpha_0,1}}$ .

As  $\iota_n(\varpi) = n \det = \det^{\otimes_n} \in A^+$ , we may regard  $\operatorname{R}_{\iota_n(\varpi)}(G) = \operatorname{Rep}_{[n \det]}(G)$  as the principal block  $\operatorname{Rep}_0(G)$ ;  $\operatorname{Rep}_0(G) \simeq \operatorname{R}_{\iota_n(\varpi)}(G)$  via  $M \mapsto \det^{\otimes_n} \otimes M$ . Then (1) reads as a strict monoidal functor

(2) 
$$\mathcal{U}(\widehat{\mathfrak{gl}}_p)(\varpi, \varpi) \to \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G)).$$

 $(\pounds 7)$  In order to obtain a strict monoidal functor  $\mathcal{D}_{BS} \to \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))$  such that  $B_s\langle m \rangle \mapsto \Theta_s \ \forall s \in \mathcal{S}_a \ \forall m \in \mathbb{Z}$ , it now suffices to construct a strict monoidal functor  $\mathcal{D}_{BS} \to \mathcal{U}(\widehat{\mathfrak{gl}}_p)(\varpi, \varpi)$  such that  $\forall j \in [1, n[, \forall m \in \mathbb{Z}, B_{s_{\alpha_j}}\langle m \rangle \mapsto F_{n-j}E_{n-j}1_{\varpi} \text{ and that } B_{s_{\alpha_0,1}}\langle m \rangle \mapsto F_nF_{n+1}\dots F_{p-1}F_0E_0E_{p-1}\dots E_{n+1}E_n1_{\varpi}$ . Such had been done by Mackaay, Stošić and Vas [MSV], Mackaay and Thiel [MT15], [MT17].

Instead of dealing directly with  $F_nF_{n+1}\ldots F_{p-1}F_0E_0E_{p-1}\ldots E_{n+1}E_n1_{\varpi}$ , however, [RW] considers "restriction" of the 2-representation of  $\mathcal{U}(\widehat{\mathfrak{gl}}_p)$  to  $\mathcal{U}(\widehat{\mathfrak{gl}}_n)$ . We omit further details to state

Theorem [RW, Th. 8.1.1]: There is a strict monoidal functor

 $\mathcal{D}_{BS} \to Cat(\operatorname{Rep}_{[n \operatorname{det}]}(G), \operatorname{Rep}_{[n \operatorname{det}]}(G))$ 

such that  $\forall s \in S_a$ ,  $\forall m \in \mathbb{Z}$ ,  $B_s \langle m \rangle \mapsto \Theta_s$ , and  $\forall j \in [1, n[,$ 

$$s_{\alpha_{j}} \\ \downarrow_{\langle m \rangle} \mapsto \eta_{n-j}^{\varpi} \in \operatorname{Cat}(\operatorname{Rep}_{[n \det]}(G), \operatorname{Rep}_{[n \det]}(G))(\operatorname{id}, \Theta_{s_{\alpha_{j}}}),$$

( $\pm 8$ ) Finally, there is an autoequivalence  $\iota : \mathcal{D}_{BS} \to \mathcal{D}_{BS}$  such that  $B_{\underline{s_1...s_r}}\langle m \rangle \mapsto B_{\underline{s_r...s_1}}\langle m \rangle \forall$ sequences  $\underline{s_1 \ldots s_r}$  in  $\mathcal{S}_a$ ,  $\forall m \in \mathbb{Z}$ , and on each morphism reflecting the corresponding diagrams along a vertical axis [RW, 4.2]. In particular,  $\forall X, Y \in Ob(\mathcal{D}_{BS})$ ,  $\iota(XY) = \iota(Y)\iota(X)$ . Thus, combined with  $\iota$ , we have obtained a strict monoidal functor  $\mathcal{D}_{BS} \to Cat(\operatorname{Rep}_{[n \det]}(G), \operatorname{Rep}_{[n \det]}(G))^{\operatorname{op}}$  such that  $\forall s \in S_a$ ,  $\forall m \in \mathbb{Z}$ ,  $B_s \langle m \rangle \mapsto \Theta_s$ . As  $\operatorname{Rep}_{[n \operatorname{det}]}(G)$  is equivalent to the principal block  $\operatorname{Rep}_0(G)$  by tensoring with  $\operatorname{det}^{\otimes_{-n}}$ , we have now

Corollary [RW, Th. 1.5.1]: There is a strict monoidal functor

 $\Psi: \mathcal{D}_{BS} \to \operatorname{Cat}(\operatorname{Rep}_0(G), \operatorname{Rep}_0(G))^{\operatorname{op}}$ 

such that  $\forall s \in \mathcal{S}_a, \forall m \in \mathbb{Z}, B_s \langle m \rangle \mapsto \Theta_s$ .

 $(\pounds 9)$  The functor  $\Psi$  induces another functor  $\Psi : \mathcal{D}_{BS} \to \operatorname{Rep}_0(G)$  such that  $B \mapsto \nabla(0)B$ . If  $\underline{x} = s_1 s_2 \dots s_r$  is an expression of  $x \in \mathcal{W}_a$ , one has

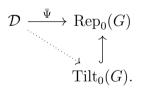
$$B_{\underline{x}} \mapsto \nabla(0)B_{\underline{x}} = \nabla(0)B_{s_1}B_{s_2}\dots B_{s_r} = \Theta_{s_r}\dots\Theta_{s_2}\Theta_{s_1}\nabla(0).$$

( $\pm$  10) Recall now from ( $\angle X$  3) the EW-category  $\mathcal{D} = \text{Kar}(\mathcal{D}_{BS})$ . The functor  $\tilde{\Psi}$  naturally extends to a functor  $\mathcal{D} \to \text{Rep}_0(G)$ , which we denote by the same letter. Our final objective is to show

Theorem [RW, Th. 1.3.1]:  $\forall w \in {}^{f}\mathcal{W}$ ,

$$\tilde{\Psi}(B_w) = \nabla(0)B_w = T(w \bullet 0).$$

As  $\nabla(0) = T(0)$ ,  $\tilde{\Psi}(B_w)$  is tilting, and hence we have only to show that it is indecomposable. For that we will show that  $\operatorname{Rep}(G)(T(0)B_w, T(0)B_w)$  is local. Let  $\operatorname{Tilt}_0(G) = \operatorname{Tilt}(G) \cap \operatorname{Rep}(G)$ . As  $\nabla(0) = T(0)$ , as the translation functors send a tilting module to a tilting module, and as  $\operatorname{Tilt}_0(G)$  is Karoubian [J, E.1],  $\tilde{\Psi}$  factors through  $\operatorname{Tilt}_0(G)$ :



( $\pm$  11) Lemma [RW, Lem. 4.2.3]: Given an expression  $\underline{s_1 \dots s_r}$  in  $\mathcal{W}_a$ , if  $B_x \langle m \rangle$ ,  $m \in \mathbb{Z}$ , is an indecomposable direct summand of  $B_{\underline{s_1 \dots s_r}}$  in  $\mathcal{D}$ ,  $\underline{s_1 x} < \overline{x}$  in the Chevalley-Bruhat order.

This may appear strange. Recall, however, an isomorphism of  $\mathbb{Z}[v, v^{-1}]$ -algebras  $\mathcal{H} \xrightarrow{\sim} [\mathcal{D}]$  such that  $\underline{H}_s \mapsto B_s \ \forall s \in \mathcal{S}_a$ . Let  $s, t \in \mathcal{S}_a$  with  $s \neq t$ . One has

$$\begin{aligned} \underline{H}_{s}\underline{H}_{t} &= (H_{s} + v)(H_{t} + v) = H_{st} + v(H_{s} + H_{t}) + v^{2} \\ &= \underline{H}_{st} & \text{by the characterization of KL-basis elements [S97, Th. 2.1],} \\ \underline{H}_{s}^{2} &= (H_{s} + v)^{2} = H_{s}^{2} + 2vH_{s} + v^{2} = 1 + (v^{-1} - v)H_{s} + 2vH_{s} + v^{2} \\ &= 1 + v^{2} + (v^{-1} + v)H_{s} = (v^{-1} + v)(v + H_{s}), \end{aligned}$$

and hence

$$\underline{H}_{s}^{2}\underline{H}_{t} = (v^{-1} + v)(v + H_{s})(H_{t} + v) = (v^{-1} + v)\{H_{st} + v(H_{s} + H_{t}) + v^{2}\} = (v^{-1} + v)\underline{H}_{st}.$$

As  ${}^{p}\underline{H}_{s} = \underline{H}_{s}$ ,  ${}^{p}\underline{H}_{t} = \underline{H}_{t}$ , and as  ${}^{p}\underline{H}_{st} = \underline{H}_{st}$ ,  $[B_{\underline{sst}}] = (v^{-1} + v)[B_{st}] = [B_{st}\langle -1\rangle] + [B_{st}\langle 1\rangle],$ 

and the lemma indeed holds in this case.

(金 12) Let  $\mathcal{D}_{\mathcal{W}_a \setminus f_{\mathcal{W}}}$  be the additive full subcategory of  $\mathcal{D}$  consisting of the direct sums of objects  $B_w \langle m \rangle$ ,  $w \in \mathcal{W}_a \setminus {}^f \mathcal{W}$ ,  $m \in \mathbb{Z}$ , and let  $\mathcal{D}^{asph} = \mathcal{D}//\mathcal{D}_{\mathcal{W}_a \setminus f_{\mathcal{W}}}$  be the quotient of  $\mathcal{D}$  by  $\mathcal{D}_{\mathcal{W}_a \setminus f_{\mathcal{W}}}$  [中岡, Prop. 3.2.51, p. 150]:  $\forall X, Y \in \mathcal{D}$ , let  $\mathcal{I}(X, Y) = \{f \in \mathcal{D}(X, Y) \mid f \text{ factors through some } Z \in \mathcal{D}_{\mathcal{W}_a \setminus f_{\mathcal{W}}}\}$ . Then  $\mathcal{D}^{asph}$  is the category with objects  $Ob(\mathcal{D})$  and  $\forall X, Y \in \mathcal{D}, \mathcal{D}^{asph}(X, Y) = \mathcal{D}(X, Y)/\mathcal{I}(X, Y)$ .  $\forall s \in \mathcal{S}, \ \tilde{\Psi}(B_s) = \nabla(0)B_s = \Theta_s \nabla(0) = 0$ .  $\forall x \in \mathcal{W}_a \setminus {}^f \mathcal{W}, \exists s \in \mathcal{S} \text{ and } y \in \mathcal{W}_a \text{ with } \ell(x) = \ell(y) + 1$  such that x = sy. If  $\underline{y}$  is a reduced expression of  $y, B_x$  is a direct summand of  $B_{\underline{sy}} = B_s B_{\underline{y}}$ , and hence  $\widetilde{\Psi}(B_x)$  is a direct summand of  $\widetilde{\Psi}(B_{\underline{sy}}) = \widetilde{\Psi}(B_s)B_{\underline{y}} = 0$ . It follows that  $\widetilde{\Psi}$  factors through  $\mathcal{D}^{asph}$ :

which we denote by  $\overline{\Psi}$ . If  $\underline{w}$  is a reduced expression of  $w \in {}^{f}\mathcal{W}$ ,  $\nabla(0)B_{\underline{w}}$  has highest weight  $w \bullet 0$ . As  $B_{\underline{w}}$  is a direct sum of  $B_{w}$  and some  $B_{y}$ 's with y < w, we must have  $\nabla(0)B_{w} \neq 0$ , and hence  $\overline{B}_{w} \neq 0$  in  $\mathcal{D}^{asph}$ . Then, as a quotient of a local ring remains local [AF, 15.15, p. 170], the indecomposable objects of  $\mathcal{D}^{asph}$  are  $\overline{B}_{w}\langle m \rangle$ ,  $w \in {}^{f}\mathcal{W}$ ,  $m \in \mathbb{Z}$ . Thus,  $\mathcal{D}^{asph}$  is a graded category inheriting shift functor  $\langle 1 \rangle$ , and the indecomposables of  $\mathcal{D}^{asph}$  are the images  $\overline{B}_{w}\langle m \rangle$  of  $B_{w}\langle m \rangle$ ,  $w \in {}^{f}\mathcal{W}$ ,  $m \in \mathbb{Z}$ . Also, ( $\mathfrak{A}$  11) implies that  $\mathcal{D}^{asph}$  admits a structure of right  $\mathcal{D}$ -module. For let  $\phi \in \mathcal{D}(X, Y)$  factor through some  $Z \in \mathcal{D}_{\mathcal{W}_{a}\backslash^{f}\mathcal{W}}$ . Let  $B_{x}\langle m \rangle$  be a direct summand of Z, so x admits a reduced expression  $\underline{s_{1}\ldots s_{r}}$  with  $s_{1} \in \mathcal{S}$ . Given an expression  $\underline{y}$  in  $\mathcal{W}_{a}$ , each direct summand  $B_{w}\langle k \rangle$  of  $B_{x}\langle m \rangle B_{\underline{y}}$  has  $s_{1}w < w$  by ( $\mathfrak{A}$  11) again, and hence  $w \notin {}^{f}\mathcal{W}$  and  $B_{w}\langle k \rangle \in \mathcal{D}_{\mathcal{W}_{a}\backslash f\mathcal{W}}$ .

Let  $\mathcal{D}_{deg}^{asph}$  be the degrading of  $\mathcal{D}^{asph}$ :  $Ob(\mathcal{D}_{deg}^{asph}) = Ob(\mathcal{D}^{asph})$  but  $\forall X, Y \in Ob(\mathcal{D}_{deg}^{asph})$ ,  $\mathcal{D}_{deg}^{asph}(X,Y) = (\mathcal{D}^{asph})^{\bullet}(X,Y) = \coprod_{m \in \mathbb{Z}} \mathcal{D}^{asph}(X,Y\langle m \rangle)$ . In particular,  $\forall m \in \mathbb{Z}, X \simeq X\langle m \rangle$  in  $\mathcal{D}_{deg}^{asph}$ ;  $id_X \in \mathcal{D}^{asph}(X,X) \leq \mathcal{D}_{deg}^{asph}(X,X\langle m \rangle)$  admits an inverse  $id_{X\langle m \rangle} \in \mathcal{D}^{asph}(X\langle m \rangle,X\langle m \rangle) \leq \mathcal{D}_{deg}^{asph}(X\langle m \rangle,X)$ . By construction  $\overline{\Psi}$  induces a functor  $\mathcal{D}_{deg}^{asph} \to \text{Tilt}_0(G)$ , which we denote by  $\overline{\Psi}_{deg}$ .  $\forall w \in {}^{f}\mathcal{W}, \mathcal{D}_{deg}^{asph}(\overline{B}_w, \overline{B}_w) = (\mathcal{D}^{asph})^{\bullet}(\overline{B}_w, \overline{B}_w)$  remains local [GG, Th. 3.1]. Our objective ( $\pounds$  10) will thus follow from

**Theorem** [RW, Th. 1.3.1]; The functor  $\overline{\Psi}_{deg} : \mathcal{D}_{deg}^{asph} \to \text{Tilt}_0(G)$  is an equivalence of categories.

( $\pm$  13) For an expression  $\underline{x} = \underline{s_1 s_2 \dots s_r}$  of  $x \in \mathcal{W}_a$ , put  $T(\underline{x}) = T(0)B_{\underline{x}} = \Theta_{s_r} \dots \Theta_{s_2}\Theta_{s_1}T(0)$ . To establish the categorical equivalence, it suffices by induction and ( $\pounds$  3) to show that  $\overline{\Psi}$  induces an isomorphism  $\mathcal{D}_{deg}^{asph}(\overline{B}_{\underline{x}}, \overline{B}_{\underline{y}}) \xrightarrow{\sim} \operatorname{Rep}_0(T(\underline{x}), T(\underline{y})) \ \forall \underline{x}, \underline{y}$ . Let  $\alpha_{\underline{x}, \underline{y}} : \mathcal{D}_{deg}^{asph}(\overline{B}_{\underline{x}}, \overline{B}_{\underline{y}}) \rightarrow \operatorname{Rep}_0(T(\underline{x}), T(\underline{y}))$  denote the k-linear map induced by  $\overline{\Psi}$ . The surjectivity of  $\alpha_{\underline{x}, \underline{y}}$  requires introduction of highest weight categories and the Serre quotient of a highest weight category by a Serre subcategory. We will only show that

$$\dim \mathcal{D}_{\deg}^{\operatorname{asph}}(\bar{B}_{\underline{x}}, \bar{B}_{\underline{y}}) \leq \dim \operatorname{Rep}_0(T(\underline{x}), T(\underline{y})).$$

If  $\underline{x} = \underline{ws}$  for some  $s \in \mathcal{S}_a$ . Recall from  $(\pounds 7)$  that

As the LHS is the unit, say  $\eta^s$ , associated to an adjunction  $(?B_s, ?B_s)$  [EW], it induces a unit of adjunction  $(?\bar{B}_s, ?\bar{B}_s)$  on  $\mathcal{D}_{deg}^{asph}$ , so therefore is  $\Psi(\eta^s)$  associated to an adjunction  $(\Theta_s, \Theta_s)$ [ $\phi \bowtie$ , Cor. 2.2.9]. One has then a commutative daigram

Thus the bijectivity is reduced to that of  $\alpha_{\underline{w},\underline{ys}}$ , and hence to the case  $\underline{x} = \emptyset$ .

 $(\pm 14)$  For any expression <u>x</u> of an element of  $\mathcal{W}_a$  one has

 $\dim \operatorname{Rep}_0(T(\emptyset), T(\underline{x})) = \dim \operatorname{Rep}_0(\Delta(0), T(\underline{x})) = (T(\underline{x}) : \nabla(0)).$ 

Lemma [RW, Lem. 5.4.1, 5.4.2]: If  $\underline{w}$  is an expression of  $w \in {}^{f}W$ ,

$$\dim \mathcal{D}_{\deg}^{\operatorname{asph}}(\bar{B}_{\emptyset}, \bar{B}_{\underline{w}}) \le (T(\underline{w}) : \nabla(0)).$$

( $\pm 15$ ) To see Lem.  $\pm 14$ , fix an expression  $\underline{w} = \underline{s_1 \dots s_r}$ . Each  $e(\underline{w}) \in \{0,1\}^r$  defines a subexpression  $\underline{w}^{e(\underline{w})} = (s_1^{e(\underline{w})_1}, \dots, s_r^{e(\underline{w})_r})$  of  $\underline{w}$  by deleting those terms with  $e(\underline{w})_j = 0$ , in which case we also let  $w^{e(\underline{w})} = s_1^{e(\underline{w})_1} \dots s_r^{e(\underline{w})_r} \in \mathcal{W}_a$ . The Bruhat stroll of  $e(\underline{w})$  is the sequence  $x_0 = e, x_1 = s_1^{e(\underline{w})_1}, x_2 = s_1^{e(\underline{w})_1} s_2^{e(\underline{w})_2}, \dots, x_r = s_1^{e(\underline{w})_1} s_2^{e(\underline{w})_2} \dots s_r^{e(\underline{w})_r}. \quad \forall j \in [1, r],$  we assign a symbol

 $\begin{cases} \mathrm{U1} & \text{if } e(\underline{w})_j = 1 \text{ and } x_j = x_{j-1}s_j > x_{i-1}, \\ \mathrm{D1} & \text{if } e(\underline{w})_j = 1 \text{ and } x_j = x_{j-1}s_j < x_{i-1}, \\ \mathrm{U0} & \text{if } e(\underline{w})_j = 0 \text{ and } x_j = x_{j-1}s_j > x_{i-1}, \\ \mathrm{D0} & \text{if } e(\underline{w})_j = 0 \text{ and } x_j = x_{j-1}s_j < x_{i-1}, \end{cases}$ 

"U" (resp. "D") standing for Up (resp. Down). Let  $d(e(\underline{w}))$  denote the number of U0's minus the number of D0's, called the defect of  $e(\underline{w})$  [EW, 2.4]. For  $\mathcal{W}' \subseteq \mathcal{W}_a$  we say  $e(\underline{w})$  avoids  $\mathcal{W}'$  iff  $x_r \notin \mathcal{W}'$  and  $x_{j-1}s_j \notin \mathcal{W}' \; \forall j \in [1, r]$ . We understand  $e(\underline{w})$  avoids any  $\mathcal{W}'$  in case r = 0. For each  $x \in {}^{f}\mathcal{W}$  put  $N_x = 1 \otimes H_x$  in  $\mathcal{M}^{asph}$ , and for each expression  $\underline{w} = \underline{s_1 \dots s_r}$  of  $w \in \mathcal{W}_a$  put  $\underline{H}_{\underline{w}} = \underline{H}_{s_1} \dots \underline{H}_{s_r}$ .

Lemma [RW, Lem. 4.1.1]: For each expression  $\underline{w}$  one has in  $\mathcal{M}^{asph}$ 

$$N_1 \underline{H}_{\underline{w}} = \sum_{e(\underline{w}) \text{ avoiding } \mathcal{W}_a \setminus {}^f \mathcal{W}} v^{d(e(\underline{w}))} N_{w^{e(\underline{w})}}.$$

( $\pm 16$ ) Let  $\underline{w} = \underline{s_1 \dots s_r}$  be an expression. One has from [EW, Prop. 6.12] that  $\mathcal{D}_{BS}^{\bullet}(B_{\underline{w}}, B_{\emptyset})$  admits a basis of left <u>R</u>-module consisting of the light leaves  $L_{e(\underline{w})} \forall e(\underline{w})$  expressing the unity of  $\mathcal{W}_a$ .

**Proposition** [**RW**, **Prop.** 4.5.1]: Let  $\underline{w}$  be an expression of an element in  $\mathcal{W}_a$ . One can choose the light leaves  $L_{e(\underline{w})}$  with  $e(\underline{w})$  expressing 1 and avoiding  $\mathcal{W}_a \setminus {}^f\mathcal{W}$  to  $\Bbbk$ -linearly span  $(\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset}).$ 

( $\oplus$  17) We are now ready to show Lem.  $\oplus$  11. Recall from ( $\exists$  10) an isomorphism of right  $\mathcal{H}$ -modules  $M^{\text{asph}} = \text{sgn}_{\mathbb{Z}} \otimes_{\mathbb{Z}[\mathcal{W}_f]} \mathbb{Z}[\mathcal{W}_a] \simeq [\text{Rep}_0(G)]$ . If we put  $N'_w = 1 \otimes w$ ,  $w \in {}^f\mathcal{W}$ ,  $w \in {}^f\mathcal{W}$  forms a  $\mathbb{Z}$ -linear basis of  $M^{\text{asph}}$ , and for each  $s \in \mathcal{S}_a$  one has a commutative diagram

For an expression  $\underline{w} = \underline{s_1 \dots s_r}$  of an element  $w \in {}^{f}\mathcal{W}$  put  $\underline{N'_{\underline{w}}} = 1 \otimes (1 + s_1) \dots (1 + s_r)$  in  $M^{\text{asph}}$ . As  $\underline{N'_{\underline{w}}} \mapsto [T(\underline{w})], \underline{N'_{\underline{w}}} \in (T(\underline{w}) : \nabla(0))N'_1 + \sum_{x \in {}^{f}\mathcal{W} \setminus 1} \mathbb{Z}N'_x$ .

Using the anti-equivalence  $\tau$  from  $(\pounds 2)$  such that  $\bar{B}_{\underline{x}}\langle m \rangle \mapsto \bar{B}_{\underline{x}}\langle -m \rangle \forall \underline{x}, \forall m \in \mathbb{Z}$ , one has  $\dim(\mathcal{D}^{asph})^{\bullet}(\bar{B}_{\emptyset}, \bar{B}_{\underline{w}}) = \dim(\mathcal{D}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset})$ , which is equal to  $\dim(\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset})$  as  $\mathcal{D}_{BS}^{asph}$  is a full subcategory of  $\mathcal{D}^{asph} = \operatorname{Kar}(\mathcal{D}_{BS}^{asph})$  by  $(\pounds 11)$  [Bor, Prop. 6.5.9, p. 274]. In turn,  $\dim(\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset}) \leq \sharp \{e(\underline{w}) | e(\underline{w}) \text{ is an expression of the unity avoiding } \mathcal{W}_a \setminus {}^f\mathcal{W} \}$  by  $(\pounds 16)$ . On the other hand, from  $(\pounds 15)$  one has

$$N_1 \underline{H}_{\underline{w}} = \sum_{e(\underline{w}) \text{ avoiding } \mathcal{W}_a \setminus {}^f \mathcal{W}} v^{d(e(\underline{w}))} N_{w^{e(\underline{w})}},$$

which under the specialization  $v \rightsquigarrow 1$  yields

$$\underline{N'_{w}} = \sum_{e(\underline{w}) \text{ avoiding } \mathcal{W}_{a} \setminus {}^{f}\mathcal{W}} N_{w^{e(\underline{w})}} \\
\in \sharp\{e(\underline{w}) | e(\underline{w}) \text{ is an expression of the unity avoiding } \mathcal{W}_{a} \setminus {}^{f}\mathcal{W}\}N'_{1} + \sum_{x \in {}^{f}\mathcal{W} \setminus 1} \mathbb{N}N'_{x}.$$

One thus obtains

 $\dim(\mathcal{D}_{BS}^{asph})^{\bullet}(\bar{B}_{\underline{w}}, \bar{B}_{\emptyset}) \leq \sharp\{e(\underline{w}) | e(\underline{w}) \text{ is an expression of the unity avoiding } \mathcal{W}_a \setminus {}^{f}\mathcal{W}\} = (T(\underline{w}) : \nabla(0)).$ 

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